

# **Intergovernmental Cooperation in Sustainable Urban Transportation Experience in the U.S.**

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# My Agenda

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- Explain Federal Planning Regulations
- Describe Federal Funding
- Identify Issues
- Discuss Bay Area Process

# Explain Federal Planning Regulations

- Intent
- MPO Definition
- Applicability
- Requirements

[www.fta.dot.gov](http://www.fta.dot.gov)

[www.fhwa.dot.gov](http://www.fhwa.dot.gov)

# Intent

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- Single Multi-modal 3 C Process
- Means to an End
- Fiscally Constrained
- Local Decision-making
- Separate from Implementation
- All Players at the Same Table

# Definition

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- The forum for cooperative transportation decision-making for the metropolitan planning area
- Established by agreement of Governor and local governments representing 75% of the urban area population or by applicable state law

# Applicability

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- All Urban Areas of 50,000+ Population
- Areas Over 200,000 Given More Authority over More Dollars
- MPO Boundary is Defined
- Minimum Membership Requirements

# MPO Must

- Approve All Federal Funding To Be Spent within the MPO Boundary
- Adopt a Fiscally Constrained Plan and Program
- Address Planning Factors
- Certify Annually Compliance with Federal Regulations

# Federal Funding

- Established on a 6-year cycle.
- Growth Reflects National Priorities
- User Fees Provide Most of Funds
- Only Provides 50% of the Transit Need
- Provided By Program Type



# **Federal Funding Sources (FHWA)**

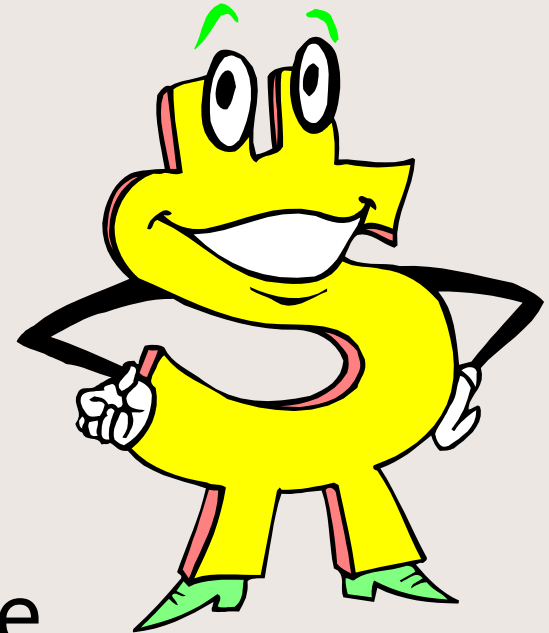
## **Pre TEA-21**

- National Highway System (NHS)
- Interstate Maintenance Program (IM)
- Surface Transportation Program (STP)
- Congestion Mitigation and Air Quality Program (CMAQ)
- Bridge Replacement and Rehabilitation Program
- Federal Lands

# Federal Funding Sources (FHWA)

## TEA-21

- Transportation/  
Community/System  
Preservation Pilot  
(TCSP)
- Transportation  
Infrastructure Finance  
and Innovation (TIFIA)
- Border and Corridor



# Federal Funding Sources (FTA)

## Pre TEA-21

- 49 USC 5309 Discretionary
  - New Starts
  - Fixed Guideway (formula)
  - Bus
- 49 USC 5307 Urban Formula
- 49 USC 5311 Rural
- 49 USC 5310 E&H

# Federal Funding Sources (FTA)

## TEA-21

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- Clean Fuels
- Job Access and Reverse Commute
- Over-the-Road Bus Accessibility

# How the Federal Dollars Work

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- The Golden Rule
- Link Funding to Goals
- Formula vs Discretionary Funding
- Formula Variables
- Flexible Funding
- Different Strokes for Different Folks

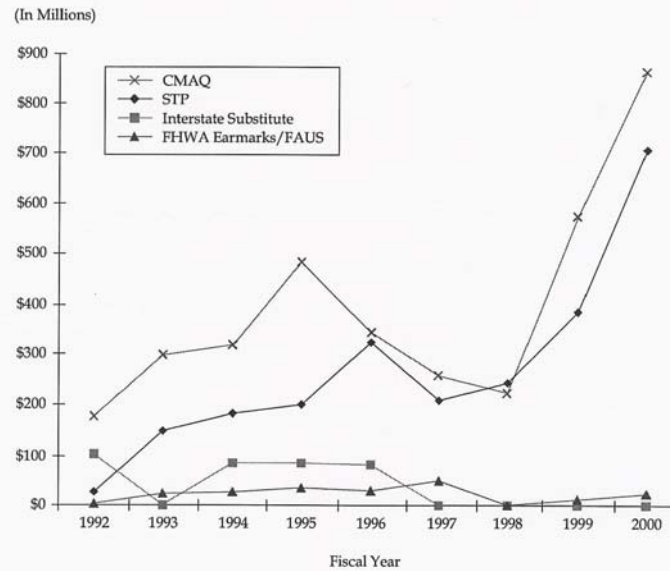
# Impact of Flexible Funding

## APPENDIX C

### Supplementary Tables and Figure

FIGURE C-1

ANNUAL FUNDS FLEXED TO TRANSIT BY PROGRAM (\$ MILLIONS)



Source: *Year by Year Summary of Flexible Funds Used for Transit*, FTA Office of Program Management, U.S. Department of Transportation.

Notes: CMAQ = Congestion Mitigation and Air Quality; FAUS = Federal Aid Urban System; FHWA = Federal Highway Administration; FTA = Federal Transit Administration; STP = Surface Transportation Program.

# Funds Subject to Programming

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- Funds allocated directly by the MPO
- Funds allocated on a statewide basis by the state DOT
- Funds allocated by the transit district
- Funds obtained through a national discretionary decision

# Issues

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- Garnering Adequate Resources
- Managing the Institutional Environment
- Incentivizing Cooperation
- Maximizing Flexible Funding
- Keeping Your Eye on the Target
- Responding to Change



# Bay Area Partnership

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- Inclusive Membership
- Committee Structure
- Walk In Their Shoes
- Win-Win Attitude
- Buy-in

# Process

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- Iterative
- Common Linchpin
- Avoided Arguments on Merits
- Programming Factors Understood
- Shaped Project Development

# Issues

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- Accommodating Regional Projects
- Developing Mode Neutral Criteria
- Ensuring Equity
- Applying Rules Across Programs
- Interfacing with State process

# Outcomes

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- Multi-Tiered Criteria
- Programming Criteria Drove Project Selection
- Eliminated Disagreements
- Decisions Held Up the Line
- Revised Process