EVALUATION DOCUMENT

NUNAVUT COURT OF JUSTICE

Evaluation Framework

Revised March 31, 2004

Evaluation Division Policy Integration and Coordination Section

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CONTEXT

Canada's first unified¹ Court is a development that other jurisdictions in Canada are following with a view to possible implementation. An evaluation will therefore be read with interest. The purpose of drafting an evaluation framework is to provide a planning aid to help the Court clarify its objectives, to decide how those objectives will be measured, and to create processes for continuous improvement. It should be stressed that the purpose of the evaluation is to assist in planning.

This evaluation framework will help planners consider the relevant issues and questions as the Court's information system continues being developed. In February 1999, just prior to the elimination of the Territorial Court, consultants spoke with various stakeholders (including members from the judiciary, the Crown, the Department of Justice, Legal Aid, the private bar, Court personnel, and others involved with the Court system) and integrated their questions into the framework. In March 2000, consultants conducted a second set of interviews to gain knowledge from those who were directly involved in the operation of the new Court. New questions arising from these interviews were also included in the evaluation framework. The framework is being updated in March 2004 on the basis of further discussions with stakeholders. After five years of operation of the Nunavut Court of Justice, the evaluation issues are being clarified and the availability of information updated.

A logical outcome of this framework development is a monitoring process, which will enable planners to review and amend procedures as the Nunavut Court of Justice continues to evolve.

¹ Unified Court refers to the elimination of the lower Territorial Court.

1. INTRODUCTION

Nunavut, Canada's third territory, was created effective April 1, 1999. The territory has a unified Court which is unlike the system currently used elsewhere in Canada. The *Nunavut Act* created a unified court system for the Territory of Nunavut in order to provide an efficient and accessible court structure capable of responding to the unique needs of the Territory, while at the same time maintaining substantive and procedural rights equivalent to those enjoyed elsewhere in Canada.

The federal government and Government of Nunavut officials recognize the need to plan for an evaluation to assess the impact of the unified Court and the requirements for future judicial resources in Nunavut. This report presents a framework for the evaluation of the unified Court system.

1.1 Structure of the Report

The report is divided into four main areas:

- a comparison of the old and new Court structure
- a profile of the Court
- logic models of the criminal and civil components of the Court
- an evaluation framework.

2. A COMPARISON OF THE COURT STRUCTURES BEFORE AND AFTER APRIL 1, 1999

On April 1, 1999, the Northwest Territories was divided to form a new territory, Nunavut. Nunavut has its own Court system, which is different from that of the Northwest Territories. This section explains the Court system that was in place prior to April 1, 1999 and the structure that replaces it.

All cases commencing after April 1, 1999 arising in Nunavut are heard by the Nunavut Court. All cases and actions initiated prior to April 1, 1999 continued to be heard by the Northwest Territories Courts unless specifically transferred to the Nunavut Court.

Figure 1 provides a general overview of the two Court structures in the Northwest Territories and Nunavut and Table 1 (next page) discusses the differences between them.

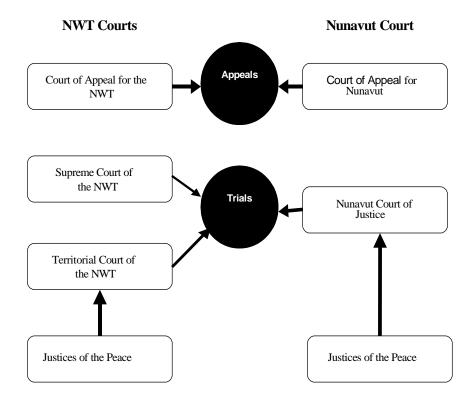


Figure 1

Court Level	Description of Court	NWT	Nunavut
Court of Appeal	Composition	The Court of Appeal for the Northwest Territories consists of the justices of the Northwest Territories Supreme Court, and the justices and supernumerary Judges of the Court of Appeal of Alberta and Saskatchewan, all of whom are appointed by the Governor-in-Council. The Court sits with a panel of three justices.	The Alberta Court of Appeal will continue to function as the Appellate Body for issues arising in Nunavut. Some appeal mechanisms are different. For example, the first level of appeal in some matters is a single Justice of the Court of Appeal (i.e., on summary conviction appeals from a Nunavut Court of Justice judge). The appeal thereafter is to a full panel of the Court of Appeal.
	Geographic location	The Court may sit in the Northwest Territories and in Alberta and there are regular sittings in Yellowknife.	The Court may sit anywhere in Canada unless otherwise restricted by statute in Nunavut.
	Jurisdiction	This Court has the jurisdiction to hear appeals in criminal and civil matters from the Supreme Court of the Northwest Territories and the Territorial Court.	The Court hears appeals in criminal and civil matters from the Nunavut Court of Justice.
The Superior Court	Name	The Supreme Court of the NWT	The Nunavut Court of Justice
	Composition	The Court consists of four judges who are appointed by the Governor-in-Council.	There are presently 3 judges in Nunavut appointed by the Governor-in-Council.
	Geographic Location	The Court is resident in Yellowknife and travels on circuit throughout the territory as required. The Court registry and office is located in Yellowknife.	The Nunavut Court of Justice is located in Iqaluit. The Court sits in Iqaluit and travels on circuit throughout Nunavut.
	Jurisdiction	This Court is a Court of original jurisdiction and, therefore, has jurisdiction in all cases arising in the Northwest Territories, except those matters or cases expressly excluded by statute. In civil cases, there is no monetary amount limiting jurisdiction, although the Court generally only hears matters with claims exceeding \$5,000.	The Nunavut Court of Justice hears <i>all</i> criminal, civil and family matters. The Nunavut Court of Justice has a reduced appellate function because there is no lower Court from which to appeal decisions. The Nunavut Court of Justice serves as an appellate body for decisions of the Justices of the Peace.

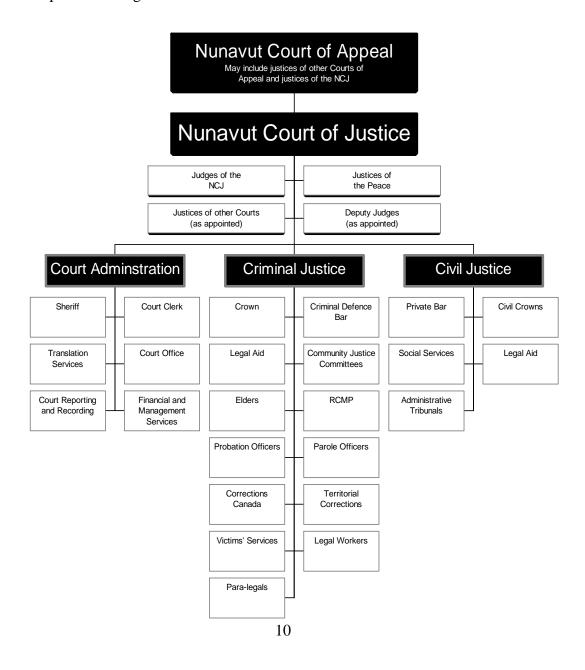
Table 1: Comparison of the NWT Courts (pre-April 1, 1999) to the Nunavut Courts					
Court Level	Description of Court	NWT	Nunavut		
		The Court can hear most family law cases. In criminal cases, the Court has jurisdiction over indictable offences and hears summary conviction appeals from the Territorial Court. The Court also has an appellate capacity in some civil matters.			
The Territorial	Name	Territorial Court of the NWT			
Court	Composition	This Court is established under the Territorial Court Act (NWT). There are 4 judicial appointments that are made by the Commissioner of the Northwest Territories.			
	Geographic location	The Court is resident in Yellowknife, Iqaluit, Hay River and Inuvik and travels a circuit throughout the territory.			
	Jurisdiction	This Court is a court of record and it has jurisdiction throughout the territory to exercise all the power and perform all the duties conferred by or under any Act of the Territory or of Canada.			
		In particular, the Court has jurisdiction in the following matters: most civil claims under \$5,000 support/maintenance, child welfare, paternity, guardianship, and intra-family Criminal Code offences this Court is a Youth Court within the meaning of the Young Offenders Act and has all the powers of that Act the Court has absolute jurisdiction to hear some adult criminal matters and may hear other criminal matters preliminary inquiries	N/A		

Court Level	Description of Court	NWT	Nunavut
Justice of the Peace	Name	Justice of the Peace	Justice of the Peace
	Composition	The Justice of the Peace Court is a component of the Territorial Court. Justices of the Peace are appointed by the Commissioner of the Northwest Territories. There is no Court support for the Justice of the Peace, and the Justice of the Peace is responsible for recording the proceedings and forwarding all documents to the nearest Court Office. Justices of the Peace are not required to have formal legal training or be members of the Bar.	Justices of the Peace are governed by the Justices of the Peace Act, a Nunavut Statute. Appointments are made by Nunavut's Commissioner in Executive Council. Justices of the Peace are under the supervision of the Senior Judge of the Nunavut Court of Justice. A Justice of the Peace Coordinator, responsible for overseeing the program, resides in Iqaluit. The plan is for Justices of the Peace to be able to update cases electronically. There are no formal educational requirements for Justices of the Peace.
	Geographic location	In 1997, there were approximately 180 Justices of the Peace in the Northwest Territories and usually a minimum of one in a community.	Nunavut will attempt to maintain the current level of Justice of the Peace service in each community. If Justices of the Peace are expected to hear more matters, the number of appointments may increase if funding permits.
	Jurisdiction	The duties of the Justice of the Peace may include the following: • receive and swear Informations • confirm or cancel Appearance Notices, Promises to Appear and Recognizances • issue or cancel a summons, Warrant for Arrest or Subpoena • grant adjournments • perform marriages • interim child custody • bail applications (limited) • hear summary and territorial offences matters.	The legal power given to Justices of the Peace in Nunavut extends to the summary conviction crimes under the Criminal Code, as well as Nunavut Statutes. They have limited power to deal with family and civil matters and can also conduct bail hearings on indictable offences. Justices of the Peace may also perform marriage ceremonies and swearing of oaths.

Court Level	Description of Court	NWT	Nunavut
		In the Northwest Territories, there are three functional levels of the Justice of the Peace:	As in the Northwest Territories, there ar three levels of Justice of the Peace based on training and experience. A Justice of the Peace Coordinator
		AdministrativeSentencingTrial	manages the program. This includes recruitment and ongoing training for Justices of the Peace.
		These levels are based upon the training of the Justice of the Peace. In practice, there are few Justices of the Peace operating at the third level and few of those justices conduct summary offence trials.	It is anticipated that eventually some Justices of the Peace will achieve a leve of competence to be able to more fully exercise their statutory jurisdiction and take on a greater number of criminal an possibly civil matters. The intent of this to increase access to judicial services in remote communities throughout Nunavor

3. RELATIONSHIP BETWEEN THE NUNAVUT COURT OF JUSTICE AND OTHER AGENCIES, ORGANIZATIONS AND DEPARTMENTS

The linkages between the Nunavut Court of Justice and other agencies, departments, and organizations are shown in Figure 2 below. See Appendix A for a detailed description of the various components in Figure 2.



4. LOGIC MODELS

As part of the evaluation framework, all activities of the Nunavut Court of Justice are identified and indicators of success established. The logic model links all activities of the Court to the various elements of each activity. The elements are:

- actors describes all participants involved for each activity
- objectives describes the intent of each activity
- inputs describes the action involved in each activity
- outputs describes the expected result of each activity
- short-term outcomes describes the desired effects shortly after the activity occurred
- long-term outcomes are not included in this logic model as they include many elements of the justice system that are not uniquely within the control of the Court.

The intended outcomes for each activity reflect the underlying objectives of this new Court system, which are accessibility, increased cultural sensitivity and efficiency.

For greater clarity, the logic model has been divided into two charts: one for adult and youth criminal matters, and the other for civil matters. Although the Nunavut Court of Justice can hear both types of matters, the sequence and types of activities differ.

4.1 Adult and Youth Criminal Court Logic Model

The activities involved in adult and youth criminal matters are described on the following page in the order that they would be expected to occur in criminal proceedings.

The model assumes that administrative procedures will be in place to track all charges through the system.

Adult and Youth Criminal Court - Logic Model

1		, 10	uit and routii Ci	mmar oour				
	Charges	Bail Hearings	First Appearances and Remands	Preliminary Inquiries	Trials	Sentencing	Appeals to NCJ	Statutory Review
	•	•	•	•	•	•	•	•
Objectives	To provide notice to persons accused of criminal and regulatory offences.	To release accused back into the community with restrictions and/or conditions to protect the community and prevent further offences or to detain offenders who should not be released.	To set hearing/ trial dates To provide the accused and Crown time to prepare cases and enter into plea negotiations.	To ensure the Crown prosecutor has enough evidence to support the charge To allow the defence to test the Crown's witnesses Disclosure.	To require the Crown prove its accusations beyond a reasonable doubt.	To provide a just result in light of the statutory objectives.	To correct substantive and/or procedural errors made by a JP.	To review decisions relating to: warrants or summonses; conduct of preliminary inquiry; subpoenas; publication/ access to Court; refusal to quash information or indictment; and objects seized under warrant or order.
	•	•	•	•	Ψ	•	•	•
Actors	- RCMP - Crown Prosecutors - Justices of the Peace - Judges - Community and Youth Justice Committees - Other diversion	- Judge or Justice of the Peace - RCMP - Crown prosecutors - Defence counsel - Para-legal - Court clerks - Sheriff - Court administration - Interpreters - Accused - Victims	- (- [- (- (-) -) - (Judge or Justice of Crown prosecutors Defence counsel Court clerks Sheriff Court administration nterpreters Accused Victims Juries (trials) Elders Youth Panels			- Judge - Crown prosecutors - Defence counsel - Court clerks - Sheriff - Court administration - Interpreters - Accused - Victims	- Judge of Court of Appeal - Crown prosecutor - Defence - Court clerks - Sheriff - Court administration
	•	Ψ	Ψ	Ψ	Ψ	Ψ	Ψ	•
Inputs	JP hears and considers Crown reviews evidence to make election (if applicable).	Hear evidence on the offence, risk of flight, and possible danger to the community posed by releasing the accused.	The accused is read the charge and informed of Crown's election A plea is entered The accused election is made (if applicable) A contested remand is argued.	Hear Crown's case.	Crown and defence present case Witnesses are examined Points of law are argued.	Evidence is presented to assist the Court in determining sentence Case law may be argued.	The Court hears arguments on the JPs' decision New evidence may be heard (where applicable).	Arguments are heard on decision of NCJ judge.
	Ψ	+	↓	•	Ψ	Ψ	+	•
Outputs	Pre-bail hearing process incl. - Release - Charge is laid - Election is made	Accused is released on conditions or remains in custody.	The matter is remanded to another date A hearing date is set A plea is accepted.	Cases where the Crown has not met its burden are discharged.	Guilty or not guilty.	A sentence is imposed.	The JPs' decision is upheld or over-turned A new decision may be entered by the Court.	The earlier decision is upheld or over-turned.
	•	Ψ	Ψ	•	Ψ	Ψ	+	•
Intended Short-term Outcomes	Minimize the number of charges quashed on the basis of procedural error on the part of Court personnel.	Appropriate release decisions are made based on NCJ review.	Accused persons are brought before the Court at the earliest possible date to determine when and how the matter will be proceeded with	Preliminary hearings occur in a timely fashion and the Crown either meets the burden of proof to commit the accused for trial or the accused is discharged.	Trials fixed on a timely basis Delays not increase due to a lack of Courts/ judges To have procedurally and substantively "fair" trials.	Just and appropriate sentences given Use of alternatives to incarceration when appropriate.	Timely and fair determination of appeals.	Timely access to the Court and a fair decision Equal access to reviews.

Table 2 provides a brief description of each activity and notes some implications that may arise as a result of the Nunavut Court structure (criminal).

Activity	Descriptions	Implication of Change to Court
Charges	The involvement of the Court in laying charges is limited. The RCMP and the Crown prosecutors prepare the matter before it is brought to the Court.	The new Court structure has not resulted in significant changes in the manner in which charges are laid. However, with increased caseloads and additional responsibilities upon judges, Court staff, Crown counsel, defence lawyers, etc. will make the delivery of services more complex, necessitating increased staff training and increased resources.
Bail Hearings	In some cases, the resident Justice of the Peace will be able to hear the bail application in the community where the offence took place. This procedure currently occurs in the Northwest Territories.	Increased Justice of the Peace training may result in more bail applications being heard in communities, thereby reducing the Nunavut Court of Justice judges' workload. Also, new technology may be developed and implemented to facilitate bail hearings in communities.
First Appearances	The first appearance is an opportunity for the accused to make a plea, set a trial or hearing date, or set the matter over to another date in order to obtain counsel.	First appearances are largely determined by the fixing of regular arraignment days by the Court. Recruitment and training of more Justices of the Peace may enable the Court to increase the number of days scheduled for first appearances.
Preliminary Inquiries	The Preliminary Inquiry serves three main functions: The Crown must present evidence to support the charge and if there is insufficient evidence, that charge will be dismissed. The Crown discloses its theory of the case and evidence to the accused and his or her counsel, which may facilitate a plea agreement. The defence has an opportunity to test the Crown's witnesses and evidence.	With the Nunavut Court of Justice having only 3 (at this time 2) judges, conflicts may arise since the judge who heard the preliminary inquiry should not hear the trial. This may be resolved if the level of training of some Justices of the Peace reaches an appropriate level to conduct Preliminary Inquiries.
Trials	The Nunavut Court of Justice will try more types of matters than the Supreme Court of the Northwest Territories.	Scheduling timely trials along with the additional matters heard by the Nunavut Court of Justice may be challenging. Increased caseloads and additional responsibilities upon the limited number of actors in the Court may create a need for additional resources. Compared with the Northwest Territories, a larger proportion of Nunavut's population speaks Inuktitut. There may be increased use of translation services at trial, which could have implications for cost and scheduling.
Sentencing	Changing the Court system does not affect the principles behind sentencing nor the Court's authority to impose sentences.	If the Justices of the Peace conduct more trials, they will be sentencing more people from their own community. Given that some of the communities are small, there is a concern about the ability of the Justice of the Peace to appear impartial. There is a potential for external pressure to be placed on the Justice of the Peace, which may affect sentences. Moreover, pressure of this type may restrict the ability of the Court to recruit new Justices of the Peace.

Appeals to NCJ	The appellate function of the Nunavut Court of Justice is more limited than that of the Northwest Territories Supreme Court.	In the Northwest Territories Courts, decisions of the Territorial Court are often appealed to the Northwest Territories Supreme Court. In Nunavut since there is no lower court, the only appeals heard by the NCJ will be decisions of the Justice of the Peace.
Statutory Review	This process has replaced prerogative writs, which were infrequently used. An application for statutory review may be made in cases where a judge has made a decision: • relating to a warrant or summons • relating to the conduct of a preliminary inquiry • relating to a subpoena • -relating to the publication or broadcast of information or access to the courtroom • To refuse to quash an Information or indictment • relating to the detention, disposal or forfeiture of any thing seized under a warrant or order. A single judge of the Court of Appeal hears the application.	It is expected that statutory review will be used infrequently. However, a substantial decrease in the use of this remedy may indicate that the new process has reduced accessibility. Prerogative writs were available from the Superior Court; the statutory remedy is now available from the Appellate Court.

4.2 Civil and Family Matters – Logic Model

The residents of Nunavut have historically not utilized the Civil Court to any great extent. Some of the reasons may be linked to:

- limited access to Courts
- lack of community support for civil actions
- the use of community dispute resolution mechanisms
- a lack of understanding civil processes
- limited access to lawyers and legal aid.

Nunavut has worked to create civil and family laws reflective of the needs and values of Nunavummiut.

Activities that arise in civil and family matters are described on the following page in the order that they may be expected to occur. The model assumes that administrative procedures will be in place to track all cases through the system. As of March 2004, these procedures are still under development.

Civil and Family Matters Logic Model

			anning matters		_	
	Initiating Proceedings	Ex parte and emergency hearings	Pre-trial conferences and motions	Hearings/ Trials	Enforcement Proceedings	Appeals from Government Agencies
	•	•	•	•	•	•
Objectives	To enable parties to initiate legal proceedings and file all required documents.	To allow parties to obtain interim remedies pending on-going litigation, particularly in cases where quick action is necessary to protect assets or persons.	To identify outstanding issues To reduce the number of issues heard at trial To facilitate and encourage settlement.	To resolve the issues in dispute between the litigants.	To ensure the litigants comply with the Court order.	To ensure administrative tribunals are not making incorrect or patently unreasonable decisions.
	•	•	•	•	•	•
Actors	- Applicant/plaintiff - Respondent/ defendant - Counsel - Court administration - Court registrar	- Applicant and/or respondent - Counsel - Judge - Court clerk - Interpreter - Sheriff - Social services (family)		- Litigants - Counsel - Judge - Court clerk - Interpreter - Sheriff - Social services (fa	amily)	- Counsel - Litigants - Judge of NCJ - Court clerk - Sheriff
	•	•	•	•	V	•
Inputs	Issuing claims and actions and notices Filing responses Scheduling hearing dates	Party or parties argue the necessity of the expedient hearing Evidence is reviewed.	Pre-trial issues are discussed and argued Evidence may be introduced.	Evidence is presented and witnesses are examined Case law is argued.	Evidence is heard on the nature of the default.	The decision of the tribunal is reviewed and case law is argued.
	•	•	•	•	•	Ψ
Outputs	- Applicants/ plaintiffs commence legal actions and respondents/ defendants file documents defending their rights.	An interim order is granted protecting assets or persons.	Negotiated settlement Issues for trial are narrowed.	A decision is rendered by the Court on liability, custody, access, etc.	An order for enforcement is entered.	The former decision is upheld or sent back to the agency or tribunal for decision.
	•	•	•	•	•	Ψ
Intended Short-term Outcomes	Increased capacity to process cases Greater reach to communities.	No substantial increase in number of successful appeals Increased access in remote communities to this type of hearing.	There is a larger percentage of negotiated settlements The number of issues for the trial judge is reduced.	There is no decrease in the number of small claims matters appealed There is no increase in the Court's ability to schedule trials.	The delay in scheduling a hearing is not increased.	The delay in obtaining a hearing date is not increased.
	•	•	•	•	•	Ψ
Expected Short-term Outcomes	Increase in the number of civil and family actions.	Increase in the number of emergency and ex parte hearings.	Increase in the number of pre-trial conferences.	Increase in the number of civil and family trials Fair and just outcomes.	Increase in the number of enforcement actions in relation to the increase in civil actions.	Increase in the number of appeals from administrative tribunals.

Table 3 provides a brief description of each activity and notes some implications that may arise as a result of the Nunavut Court structure (civil and family).

TABLE 3: DESCR FAMILY)	RIPTION OF ACTIVITIES AND IMPLICATION	ONS OF THE CHANGE IN COURT STRUCTURE (CIVIL AND
Activity	Descriptions	Implication of change to Court
Initiating proceedings	All civil and family proceedings may now be commenced in Iqaluit.	Access to the Court to initiate proceedings should increase for those living in Iqaluit. Access in the remaining 25 communities will continue to be somewhat limited. Additional lawyers will be needed to handle civil and family matters.
Ex parte and emergency hearings	In ex parte and emergency hearings time is of the essence. These proceedings are designed to protect the interests in property or personal safety on an interim basis until the issues can be resolved at trial or final hearing.	The presence of the Court in Iqaluit will increase access there, but for those living outside of Iqaluit, the ability to use remedies derived from these hearings are still limited. Telephone may be used in some circumstances to facilitate access. In child custody issues, the Justices of the Peace may be expected to hear interim child custody issues on an emergency basis but may be somewhat reluctant to hear these matters.
Pre-trial conferences and motions	Pre-trial conferences and motions are used in the Northwest Territories Courts and will continue to be used in the Nunavut Court of Justice. Pre-trial motions and conferences help narrow and focus issues to be heard at trial.	Anticipated increased civil caseloads will likely increase the usage of pre-trials.
Hearings/ trials	The trial or hearing is where the matter is resolved after witnesses are examined, evidence reviewed and case law argued.	The elimination of the two-level Court system in family matters has the potential to increase efficiency by having one Court administration system. At this time, Justices of the Peace have very limited power to deal with family and civil matters. With training and experience, some of the restrictions may be relaxed.
Enforcement proceedings	Enforcement proceedings are actions taken to ensure compliance with Court orders.	With the anticipated increased use of civil and family law remedies, there will be an increased need for enforcement of Court orders.
Appeals from Government agencies, boards and tribunals	The Northwest Territories Supreme Court sometimes functions as an appellate body for territorial administrative tribunals/agencies, e.g., Social Assistance Reviews, Worker's Compensation Appeals. In these cases, all appeals within the administrative framework have been exhausted and the appellant is generally asking the Court to review the decision of the Appeal Board.	The Nunavut Court of Justice will continue to hear these appeals.

5. FRAMEWORKS

5.1 Performance Framework

The performance framework in Table 4 stems from the logic model but provides a general overview of the entire program, in this case, the structure of the Court. The activities of the Court are identified in general areas as are the outputs, reach and impacts of the program.

Table 4: Main elements of a performance framework for a Court system					
Mission: To hear mat	ters effectively and effi	ciently and be accessibl	e		
Activities	Outputs	Reach	Immediate Impacts	Intermediate/Long- term impacts	
Define scope of service	- Policies, guidelines	Clients: - accused	Fair hearings	Fair hearings	
Identify methods for service delivery	- Administrative procedures		Effective use of resources	Effective use of resources	
	- Training	Co-Delivery Systems	Tier i G	77.00	
Engage staff services - administrators - prosecutors	- Staff, firms under contract - Job descriptions	- federal justice - provincial justice departments	Efficient Court processes	Efficient and timely Court processes	
- judiciary Schedule trials,	- Infrastructure - Schedules	- Law Societies - Victims Assistance	Accessible Court	Accessible Court	
motions, hearings Arrange support	- Minimize downtime - Administrative staff	organizations	Cultural sensitivity	Cultural sensitivity	
services	hired - Facilities leased - Support equipment	Stakeholders - lawyers delivering service		Minimum standards of access in all regions	
Maintain records and evidence	- Reports on cases - Case tracking	taxpayerspoliceCrownJudges		Cost-effective service	

5.2 Evaluation Framework

The traditional core of an evaluation framework is the matrix that presents the issues and questions. The evaluation framework is presented below and is divided into 6 key issues: implementation, accessibility, efficiency/effectiveness, sufficiency of resources, and understanding of the community. The evaluation framework is flexible and will evolve as the development and implementation of the Court progresses.

Each of the issues is broken down into a series of questions that assist management in determining whether the program is meeting its objectives. If it is not, management is alerted to those areas where modifications are required.

The indicators identify key sources of information, such as stakeholder opinion, management information systems, administrative data, and tracking of cases as they move through the Court.

The data collection method describes how information can be obtained from key sources. Data may be obtained by case review and tracking, interviews with those involved with the administration of the Court or providing Court services, and obtaining feedback from "consumers" of Court services. It is important to note that the computerized information system for criminal cases was started in 2001. Accurate data are available from 2002. Prior to 2001, all information was kept in manual files. With regard to civil files, the computerized system is currently being built. Thus far, civil files are only available manually.

In terms of analysis, simple descriptions of activity, case attributes, and other basic case descriptors are useful for planning and resource allocation. Tracking information over time is an effective means of identifying change. Ideally, and as described in the earlier version of this Evaluation Framework, this would be done by:

- comparing Court data collected in Iqaluit before and after April 1, 1999.
- comparing data collected after April 1, 1999 by Nunavut and by NWT.
- developing a baseline from April 1, 1999 for one year and monitoring (and comparing) change over time (e.g., months 18, 24, 30, etc.).

With regard to the first two methods listed above (namely, those that require data on NWT cases), Court officials have advised that the Nunavut Court does not hold the required data. Previous requests to the NWT to obtain relevant data have not been met. If this continues to be the case, the third approach may be the only possible one; i.e., developing a baseline for one year from April 1, 1999 for comparative purposes. If this approach is taken, a substantial amount of manual file review will be required.

The evaluation will also need to measure the factors that affect outcomes. For example, it will be important to determine whether findings are consistent throughout the 26 communities of Nunavut. If there are differences, it is useful to find out the nature of the differences, where they are occurring, and why they are occurring.

Many of the evaluation issues, questions, indicators, and data collection methods indicated in Table 5, below, were developed at the time of the March 2000 Evaluation Framework. Others have been added for the revised Evaluation Framework based on discussions with Court personnel, including the Chief Judge, and others working in the justice system in Nunavut. A fifth column has been added to reflect the possibility of acquiring the information. The ratings in

the fifth column are based on assessments by Court staff and by the author of this revised framework.

It should be noted when reading Table 5 that two major types of information are represented in the Table (although not labeled as such). The first might be called *process issues*. Generally, these issues concern the operations of the Court with respect to such matters as changes in volume of cases. The second type concerns *innovation issues*. These issues are linked to the "broader picture" of justice in Nunavut – the aspects of Nunavut justice that enable the formal system to work together with the more informal, community based system. The two systems are not separable, and personnel working within both see that the success of one will depend, in part, on the flexibility and innovation of the other. *Innovation issues* reflect the ideals espoused at the creation of Nunavut and the Nunavut Court of Justice.

TABLE 5: EVALUATION FRAMEWORK					
Questions	Indicators	Data Collection Method	Data Availability ²		
The number of Adult matters The number of Youth matters In criminal (both adult and youth) matters, the number of: Information sworn search warrants criminal charges guilty pleas summary offences indictable offences adjournments/remands bail applications bail reviews preliminary inquiries trials jury trials statutory review applications appeals to NCJ from Justice of the Peace fines undertaking recognizances	- administrative/ management information	- review administrative data	1 1 1 3 1 1 1 1 1 - both 3 3 1 1 1 3 3		
	Questions The number of Adult matters The number of Youth matters In criminal (both adult and youth) matters, the number of: Information sworn search warrants arrests criminal charges guilty pleas summary offences indictable offences adjournments/remands bail applications bail reviews preliminary inquiries trials jury trials statutory review applications appeals to NCJ from Justice of the Peace fines undertaking	The number of Adult matters The number of Youth matters The number of Youth matters In criminal (both adult and youth) matters, the number of: Information sworn search warrants criminal charges indictable offences indictable offences indictable offences adjournments/remands bail applications bail reviews preliminary inquiries trials jury trials statutory review applications appeals to NCJ from Justice of the Peace fines undertaking recognizances	The number of Adult matters The number of Youth matters The number of Youth matters In criminal (both adult and youth) matters, the number of: Information sworn search warrants criminal charges summary offences indictable offences indictable offences adjournments/remands bail applications bail reviews preliminary inquiries trials jury trials statutory review applications appeals to NCJ from Justice of the Peace fines undertaking recognizances		

² Key to Data Availability column:

^{1 =} data can be collected

^{2 =} data may possibly be collected (not directly recorded but results may be inferred using multiple queries and algorithms)

^{3 =} data cannot be collected

^{4 =} approximation (based on stakeholder comments/opinions)

Issues	Questions	Indicators	Data Collection Method	Data Availability ²
	 probation orders court orders (non-probation) types of election by charge convictions/ incarceration rates unrepresented accused 			1 1 2 1 – both
	How long do each of these matters take to hear/process?			3
	How much has been collected in fine, surcharges?	- administrative/ management information	- review administrative data	1
	In what cases are these being charged?			2
	What is the average caseload and types of case of: - Judges?	- administrative/ management information	review administrative data key informant	1
	- JPs?	- stakeholder opinion	interviews	1
	- Crowns? - Legal Aid?			4
	Has the number of adjournments increased or decreased?	- administrative/ management	- review administrative data	1
	Why?	information - stakeholder opinion	- key informant interviews	4
	Are alternatives to incarceration being used?	- administrative/ management information	review administrative data key informant	4
	If so, in what circumstances?	- stakeholder opinion	interviews	4
	What are they?			4
	Do they differ by community?			4
	What are the reasons for adjournments/remands and other delays in the various stages and types of Court appearances?	administrative/ management information stakeholder opinion	review administrative datakey informant interviews	4
	In civil matters, the number of: - claims - defences - counter-claims - cross claims - third party claims - default judgements - applications - motions - claims under \$5000 - pre-trial conferences - trials - enforcement hearings - wills probated	- administrative/ management information	- review administrative data	2

Issues	Questions	Indicators	Data Collection Method	Data Availability ²
	How long do each of these matters take to hear/process?			3
	What types of claims, applications, motions initiated?	- administrative/ management information	- review administrative data	4
	In family matters, the number of: applications motions petitions undefended actions interim orders final orders variances enforcement proceedings	- administrative/ management information	- review administrative data	3
	How long do each of these matters take to hear/process?			4
	Under what statute are actions being brought in family matters?	- administrative/ management information	- review administrative data	4
	What is the average docket size for: criminal civil family matters?	- administrative/ management information	- review administrative data	1 4 4
Accessibility	Are the number of civil actions increasing over time?	- Administrative data	- review administrative data	1
	Are the number of family actions increasing over time?	- administrative/ management information	- review administrative data	4
	Are the number of emergency and ex parte motions increasing over time?	- administrative/ management information	- review administrative data	4
	Are enforcement actions increasing over time?	- administrative/ management information	- review administrative data	4
	Are the number of claims matters increasing over time?	- administrative/ management information	- review administrative data	4
	Do litigants understand how to access the Court and legal remedies?	decision-maker opinion stakeholder opinion	- surveys, key informant interviews	4
Efficiency and Cost-	What is the time from charge to bail hearing?	- administrative/ management	review administrative data	3
Effectiveness	How does it vary by community?	information - stakeholder opinion	 key informant interviews 	3

Issues	Questions	Indicators	Data Collection Method	Data Availability ²
	How long does it take to move between activities (i.e., arrest to first appearance, preliminary inquiry to trial, etc)?	- administrative/ management information	- review administrative data	2
	How does it vary by community?			3-4
	What are the reasons for delays?			3-4
	How often are charges quashed due to procedural error?	- administrative/ management information	- review administrative data	3-4
	Have police been able to access the JP when required?	- RCMP, decision- maker and JP opinions	review administrative data key informant interviews	4
	How many adjournments/remands occur? How long are adjournments/remands? What are the reasons for adjournments/remands?	administrative/ management information decision-maker opinion stakeholder opinion	review administrative data key informant interviews	3-4
	Has there been a change in the number of preliminary inquiries? What are the reasons for the change?	administrative/ management information decision-maker opinion stakeholder opinion	review administrative data key informant interviews	3-4
	How often do appeals result from preliminary inquiries?	- administrative/ management	- review administrative data	3-4
	From sentences?	information - decision-maker	key informant interviews	1
	Who hears them?	opinion - stakeholder opinion		4
	How many decisions are overturned?			2
	What is the nature of the judicial review process? How often has it been used?	administrative/ management information decision-maker opinion stakeholder opinion	- review administrative data - key informant interviews	3-4
	Are there any barriers to selecting juries in any of the communities?	administrative/ management information decision-maker opinion stakeholder opinion	review administrative data key informant interviews	4

Issues	Questions	Indicators	Data Collection Method	Data Availability ²
	How long does it take to obtain a statutory review (prerogative writ) remedy?	administrative/ management information decision-maker opinion stakeholder opinion	review administrative data key informant interviews	3-4
	What are the operating costs of the Nunavut Court of Justice?	- financial data - administrative/ management	review financial data review administrative interviews	1
	What are the travel costs?	information	interviews	1
	What are the training costs (staff, JPs, translator, etc.)?			1
	How often and when are pre-trials used?	- financial data - administrative/ management	 review financial data review administrative interviews 	3-4
	Do they reduce the number and strength of trials?	information - decision-maker opinion - stakeholder opinion		3-4
	How much time does the Court spend in communities?	- administrative/ management information	review administrative interviews key informant	1
	Is the amount of time sufficient?	- decision-maker , user and community opinion	interviews	4
Sufficiency of resources	Do JPs feel equipped to perform their expanded duties?	- JP opinion - stakeholder opinion	- key informant interviews	4
	Are the caseloads manageable?			4
	Are JPs able to meet the needs of the communities?			4
	Do judges feel that judges' caseloads are manageable?	Judge opinionstakeholder opinion	- Key informant interviews	4
Are Deputy Judges able to effectively as resident judg	How are Deputy Judges used? Are Deputy Judges able to operate as effectively as resident judges in the communities?	- Judge opinion - stakeholder opinion	- Key informant interviews	4
	How does Court staff perceive the services they provide to their clients?	Court staff opinion stakeholder opinion	- key informant interviews	4
Are there adequate hold Court?	Are there adequate facilities in which to hold Court?	stakeholder, client, decision-maker, staff opinions	- key informant interviews	4
	Are the numbers of Court staff adequate to run the Court efficiently and effectively?	- Court staff, Judges, lawyers opinions	- key informant interviews	4

Issues	Questions	Indicators	Data Collection Method	Data Availability ²
	Do Court staff require additional training?	- Court staff, Judges, lawyers opinions	- key informant interviews	4
	If so, what training is required?			
	Are additional resources required to develop an efficient and effective Court information management system?	- Court staff, Judges opinions	- key informant interviews	4
	If so, what are the developmental needs?			
	Are the dockets manageable for the Crown attorneys?	- Crown opinion	- key informant interviews	4
	Is Youth Court able to handle its caseload effectively?	- Judges, lawyers opinions	- key informant interviews	4
	Has the YCJA put added pressure on the Court?			
Court – Community Relations	Are probation and parole services adequate in the communities?	Correction Service Canada, Nunavut Justice, Community Corrections Officers, Parole Officers, stakeholders opinions	- key informant interviews	4
	Are there adequate numbers of legal aid lawyers in the communities?	- Judges, legal aid lawyers opinions	 key informant interviews 	4
	Is public legal education adequate throughout Nunavut?	- Judges, lawyers, JPs, police, Community Justice Committees	- key informant interviews	4
	Are the Community Justice Committees capable of handling pre- charge and post-charge referrals?	- Judges, Community Justice Committees	- key informant interviews	4
	If not, what do they need in order to develop the capacity?			
	Is the Court able to meet the needs of local communities; e.g., adequate translation services; remand time?	decision-maker, user, stakeholder and community opinion	- key informant interviews	4
	What role do the communities play in the justice system (e.g., community justice committees, use of Elders, etc.)?	decision-maker, stakeholder, community group opinion	- key informant interviews and surveys	4
	Is the unified Court compatible with the needs and traditions of Nunavummiut?	- management stakeholder and client opinion	- key informant interviews and surveys	4
	Does the Court promote the concept of justice in light of the unique culture, communities and socio-economic conditions of Nunavut?	decision-maker, stakeholder and client opinion	- key informant interviews and surveys	4

TABLE 5: EVALUATION FRAMEWORK				
Issues	Questions	Indicators	Data Collection Method	Data Availability ²
	Does the Court understand the unique culture, communities, and socio-economic conditions of Nunavut?	 decision-maker, stakeholder and client opinion 	- key informant interviews and surveys	4

APPENDIX A

DESCRIPTION OF ORGANIZATIONS, AGENCIES, AND DEPARTMENTS LINKED TO THE NUNAVUT COURT OF JUSTICE

DESCRIPTION OF ORGANIZATIONS, AGENCIES, AND DEPARTMENTS LINKED TO THE NUNAVUT COURT OF JUSTICE

Sheriff

Sheriff's officers perform four main functions:

- Service of documents
 - Civil Summonses and Subpoenas, garnishee orders, petitions, notices and any other civil documents
- Court security (NCJ only)
 - Escort and protect judges while attending Court
 - Protect the public attending Court
 - Separate and protect witnesses
 - Arrest on order of the judge (contempt)
- Jury Management
 - Summons juries
 - Prepare attendance lists
 - Payment to jurors
 - Seclude and guard juries
- Executions
 - Writs of seizure and sale and other writs of execution issued under Nunavut Court of Justice Court rules
 - Writs of the Federal Courts
 - Warrants to arrest ships
 - Sheriff sales
 - Order of Replevin

The RCMP and fee-for-service bailiffs serve criminal documents in Nunavut. The RCMP provide courtroom security for Justice of the Peace Courts outside of Iqaluit.

Court Clerk

The Clerk of the Court performs the following duties:

- Filing all required documents
- Filing and preserving all original wills submitted to the Court

- Maintaining an account of all fees, fines and money payable to the Court
- Taxing solicitor's bills of costs

Court Office

The Court Office is located in Iqaluit and offers the following support services:

- Receiving and processing legal documents
- Issuing service
- Storage and retrieval of Court documents
- Coordinating trial scheduling under the direction of judges
- Accounting for monies paid into or out of Court in the form of
 - Fines
 - Fees
 - Funds held in trust
 - Payments to witnesses and interpreters
- Receiving, storing and maintaining the integrity of Court exhibits
- Providing Justices of the Peace before whom police can swear Informations
- Providing clerks in Court who call Court to order, administer oaths, take custody of evidence, record pertinent information about the proceedings
- Making arrangement for Circuit Court sittings
- Providing information to the general public and lawyers on procedural requirements

Financial and Management Services

This department is responsible for the following tasks:

- Recording of all expenditures and commitments for court related services
- Invoicing for circuit travel by air
- Development of budgets

Crown Prosecutors

The Federal Department of Justice conducts prosecutions in Nunavut. This is different from the provinces where the Provincial Departments of Justice or Attorney General handle most prosecutions, with the exception of drug and federal regulatory offence prosecutions.

Criminal Defence Bar

The Criminal Defence Bar continues to operate as it had prior to the implementation of the unified Court. Defence attorneys act on behalf of accused in various criminal matters.

There are Legal Aid clinics in Iqaluit, Cambridge Bay, Rankin Inlet, and Pond Inlet. However, there are few criminal defence counsel and fewer family and civil lawyers in Nunavut.

Legal Aid

The Legal Aid program is authorized by statute and provides eligible applicants with funding for legal counsel. There may be delays caused by an insufficient number of lawyers to represent accused. These delays are the result of factors outside of the control of the Court and not due to the change in the Court structure.

Community Justice Committees

These committees are not part of the formal Court system, but play a significant role in precharge diversion programmes in which the accused, both adult and young offenders, participate in rehabilitation activities as an alternative to having a formal charge laid. Community Justice Committees also handle post-charge referrals from the Court (Crowns).

Elders and Youth Panels

The use of Elders in criminal matters has increased in recent years. Elders sit with the judge during the proceedings and provide input on sentencing and other disposition matters, although judges vary in their use of Elders. The Chief Judge has also recently established Youth Panels to assist in sentencing in Iqaluit and Arviat.

Royal Canadian Mounted Police (RCMP)

The RCMP are responsible for policing in Nunavut as they are in the Northwest Territories. RCMP officers also act as prosecutors in trials and bail hearings before Justices of the Peace.

Probation and Parole Officers

Community Corrections Officers, employed by Nunavut Justice in ten communities, are responsible for ensuring released offenders comply with the conditions of their release.

Corrections Canada - Parole

Corrections Canada is responsible for the custody of all inmates who have received a sentence of two or more years from the Court. These inmates are held in federal facilities.

Territorial Corrections

Territorial Corrections are the responsibility of the territory and maintain custody of offenders receiving sentences of less than two years. Incarceration rates of the Nunavut Court of Justice continue to have a direct impact on the ability of the correctional facilities to manage their caseloads.

Victims' Services

There are limited services currently available in Nunavut. A Victim-Witness Program, which is restricted to criminal court matters, is run from the Crown office. Nunavut Justice recently established a Victims Assistance program to encourage communities to apply for Victim Assistance Fund resources to mount local programs.

Court Workers

Court Workers provide support and counseling to accused prior to trial and sentencing. They assist the accused understand the process and workings of the judicial system. However, these services are limited, primarily due to lack of funding, and the potential for Court Workers has not been realized.

Civil and Family Justice

The civil system is little used by the residents of Nunavut, although that is beginning to change, particularly in Iqaluit.

Private Bar

There is very limited availability of lawyers, especially civil litigators. Having the Circuit Court travel to communities may not necessarily increase access to civil remedies if there are no legal resources in that community. The cost of conducting a civil trial in the Circuit Court may be prohibitive for litigants if they must pay for a lawyer to travel and stay in the community. Otherwise, litigants must to travel to Iqaluit to have their matter heard.

Social Services

Social Services acts in custody and access cases where issues of abuse and neglect have been raised and cases where one parent is receiving social assistance. The role is to ensure that the best interests of the child are fully represented.

Legal Aid

Legal Aid continues to operate as it did in the Northwest Territories Courts. For the most part, civil litigation is not funded by Legal Aid with the exception of family matters. Family law matters may become an issue if there is a substantial increase in the number of actions outside of Iqaluit where resources may be more limited.

Administrative Tribunals/Agencies

There has not been a great deal of change in the manner in which administrative tribunals (e.g., Human Rights, Worker's Compensation) interact with the Courts. Generally, the Court will only hear an appeal from an administrative tribunal if there is a claim that a Board's decision was patently unreasonable.