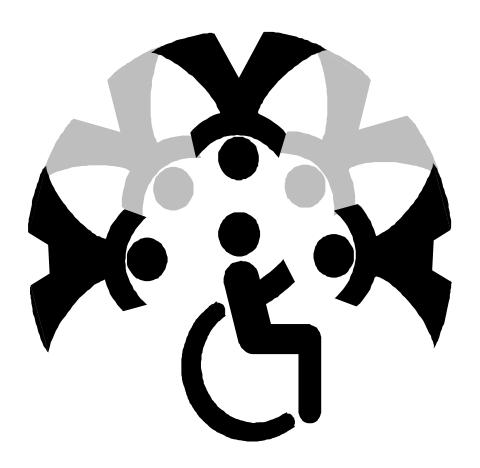
Employment Equity in the Office of the Auditor General of Canada



Annual Report 2000-01



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President of the Treasury Board

Pursuant to section 21 of the *Employment Equity Act*, I am pleased to submit to Parliament, through your office, the Annual Report on Employment Equity in the Office of the Auditor General of Canada for 2000-01.

Sincerely,

Sheila Fraser, FCA Auditor General of Canada

October 2001

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Introduction

This Annual Report on Employment Equity in the Office of the Auditor General of Canada highlights employment equity activities during the period 1 April 2000 to 31 March 2001.

It also presents information on the representation of designated groups as at 31 March 2001.

The Office of the Auditor General of Canada

Enabling Legislation

The Auditor General Act, the Financial Administration Act and a variety of other acts and orders-in-council set out the duties of the Auditor General as they relate to legislative auditing and monitoring of federal departments and agencies, Crown corporations and other national and international organizations.

Independence from Government

We are different from departments and agencies through our independence from the government of the day and our reporting relationship to Parliament. Our independence is assured by a broad legislative mandate, freedom from certain controls over our budget and staff, and a ten-year term for the Auditor General. The first independent Auditor General was appointed in 1878.

Application of the *Employment Equity Act* to the Office of the Auditor General of Canada

The Office of the Auditor General of Canada falls under the *Employment Equity Act*, section 4.(1)(c) as a portion of the Public Service of Canada — set out in Part II of Schedule I to the *Public Service Staff Relations Act* — employing 100 or more employees.

Our Vision and Mission

Our vision and mission statements guide the work of the Office.

Our Vision

We are committed to making a difference for the Canadian people by promoting, in all our work for Parliament, answerable, honest and productive government that reflects a commitment to sustainable development.

Our Mission

The Office of the Auditor General of Canada conducts independent audits and examinations that provide objective information, advice and assurance to Parliament. We promote accountability and best practices in government operations.

Our Work

The principal activity of the Office is legislative auditing — providing reliable, relevant and timely assurances and other information on financial and program performance to help legislators hold government accountable.

Our Organization

The Office is organized into three branches — Audit Operations, Corporate Services and Executive — along with the Office of the Commissioner of the Environment and Sustainable Development. Most of the staff work from the head office in Ottawa. Regional offices are located in Vancouver, Edmonton, Winnipeg, Montreal and Halifax.

We have a specialized workforce, with roughly two thirds of our 528 employees at the middle manager and auditor levels.



Our Employment Equity Environment

Our Values

Employment equity is an underlying value of the Office of the Auditor General. Our Strategic Framework includes five principles that guide us in achieving our mission. One of these principles — Caring about people — includes the following statement:

We value the talent and diversity each individual brings to the Office.

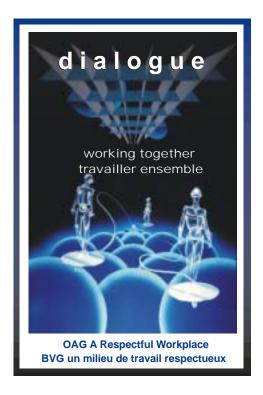
In 1995, a Strategic Framework initiative was launched to identify ways in which staff can work toward day-to-day demonstrations of the people skills that lead to creating a respectful workplace.

Every two years, we identify a corporate goal that we believe supports a respectful workplace in which staff having varied backgrounds and abilities are respected and valued.

In November 1999, the Auditor General announced our newest goal — *Dialogue*. This goal was chosen to encourage our staff to stay connected with each other by listening, understanding and working together. Over the year, a number of workshops were held to support this goal.

Our Structure

In January 1998, we updated our Strategic Framework and added a new employment equity strategy — to identify under-represented groups and take action to meet Office goals. This



strategy has been incorporated into our Human Resources Corporate Plan.

In March 1998, we created a new senior committee — the Human Resources Committee. This committee is responsible for monitoring the implementation of both our Human Resources Corporate Plan and our Employment Equity Plan.

Our Employment Equity Committee is committed to ensuring equal opportunity in the workplace for all employees, including, but not limited to, members of designated groups.

There are sub-committees established for each of the four designated groups. The Employment Equity Committee reports to the Human Resources Committee.

Implementing Employment Equity

Implementation of the Legislation

Our Employment Equity Committee and its sub-committees represent the interests of designated groups in the Office. This committee has existed in our Office for many years. Each year, it has organized events and activities to promote awareness of employment equity and diversity issues in the workplace.

Our first step in implementing the requirements of the legislation was to organize and complete a self-identification survey in April 1997. We then completed a workforce analysis to determine the degree of under-representation of members of designated groups in each occupational group.

This analysis is updated each year. The results at 31 March 2001 are presented in this report.

Employment Equity Records

We have implemented procedures for the maintenance of orderly and accurate employment equity records. We have also developed information vehicles and practices to keep our employees up-to-date on employment equity matters. For example, our INTRAnet provides all employees with easy access to current employment equity information. Periodic notices are sent to remind all employees of their right to self-identify at any time. All new employees are asked to complete a self-identification questionnaire even before their first day with us.

Activities and Events

In addition to promoting a corporate environment that supports employment equity values and ideals, a number of Office activities took place that supported designated groups and promoted an equitable work environment (see Figure 1).

Figure 1

Employment Equity Activities

- Participation in the 2001 Employment Equity Job Fair, hosted by the Public Service Commission
- Participation in the Senate Information Fair —
 Making a Difference, an event to raise awareness,
 increase knowledge and integrate the talents of the
 disability community
- Participation in the CNIB crocus sale
- Presentations by International Fellows on their countries and cultures
- Sharing information on employment equity with outside organizations
- Support for the Canadian Association for Disabled Skiing Ski-a-Thon
- Increased emphasis on diversity and equity issues in many of our internal training courses
- Attendance at PSC Smartshop sessions dealing with employment equity issues

Throughout the year, the Office also celebrated a number of events to recognize and heighten awareness of diversity issues. These included seminars and presentations to observe:

- International Day for the Elimination of Racial Discrimination
- International Day of Disabled Persons
- International Women's Week
- Women's History Month
- National Aboriginal Awareness Week

Recruitment Plan

In December 2000, the Executive Committee approved a recruitment plan developed to meet the immediate staffing needs of the Office as well as our ongoing replacement staffing needs. We expect the current tight labour market that we are experiencing to continue over the next several years. This means that our turnover rate will remain high and the supply of qualified candidates will remain low. Our challenge is to identify potential employees and then to attract them to the Office.

The recruitment plan states that we will pay special attention to our employment equity goals in implementing our recruitment program. We will ensure that our advertising is directed as much as



possible to designated group communities and we will encourage those engaged in the selection and hiring processes to give full and fair consideration to candidates from the four designated groups.

Succession Planning

The Executive Committee approved a succession planning process early in 1999 and in September of that year approved an action plan to put that process in place. An important component of the

action plan is preparing younger employees to move up and take on greater responsibilities as a large number of retirements occur between 2003 and 2008.

In identifying the employees that will participate in this development initiative, we will ensure that due consideration has been given to all designated group members. This effort should, over time, result in an increase in the number of designated group members that are promoted to higher levels.

Figure 2

The Employment Equity Numbers

The data in this report are as at 31 March 2001.

Tables 4 through 7 show comparative numbers as at 31 March 2000. Tables 8 through 10 show the numbers of people hired, promoted and who left during 2000-2001.

Our employment equity numbers have been prepared on the basis of the National Occupational Classification (NOC) system, developed by Human Resources Development Canada. This system comprises 14 Employment Equity Occupational Groups (EEOGs) — as defined in Schedule II of the *Employment Equity Regulations* — and 522 NOC unit groups.

Classification of OAG Employees under the National

Occupational Classification System

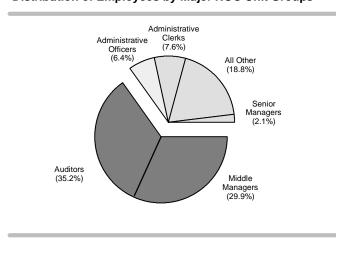
	imployment Equity eccupational Group (EEOG)	•	ational Occupation cation (NOC) unit group
1-	Senior Managers	0012 -	Senior Managers
2-	Middle and Other Managers	0414 -	Middle Managers
3-	Professionals	1111 -	Auditors
4-	Semi-professional & Technicians		N/A
7-	Administrative & Senior Clerical Personnel	1221 -	Administrative Officers
10-	Clerical Personnel	1441 -	Administrative Clerks

Classifying our employees under this system shows that we have a very specialized workforce:

- The 528 employees in our Office fall into 6 of the 14 Employment Equity Occupational Groups (EEOGs).
- Within these EEOGs, 429 employees (81.2 percent) fall into 5 of the 522 National Occupational Classification (NOC) unit groups (see Figures 2 and 3).
- Most of our employees (65.1 percent) are concentrated in 2 NOC unit groups — Middle Managers (NOC unit 0414) and Auditors (NOC unit 1111).

Distribution of Employees by Major NOC Unit Groups

Figure 3



The following comments highlight the data in the Appendix.



Designated Groups Representation

We have compared our representation in each designated group to the most recent workforce availability figures provided by Human Resources Development Canada — the 1996 Employment Equity Data Report. We have used national figures for this comparison.

Women - Table 4

Women represented 51.5 percent of our workforce at 31 March 2001. We are representative in all occupational groups but one.

Under-representation for women persists in the large middle manager group where they are at 78.9 percent of the workforce availability.

Aboriginal Peoples - Table 5

The overall number of aboriginal employees declined by one person this year, however, we now have representation in four of six occupational groups, including both of our large groups — the middle manager group and the professional group.

Persons with Disabilities - Table 6

In 2000-01, we achieved a more even representation in five of our six occupational groups.

Under-representation of persons with disabilities is most pronounced in our clerical group.

Persons in a Visible Minority Group - Table 7

We have made some progress this past year in improving our representation of persons in a visible minority group. We now are well represented in four of six occupational groups.

Our challenge remains in improving our representation in the two clerical groups.

Employment Equity D 2000-01

Representation in Hirings, Promotions, Separations and Salary Distribution

This annual report includes an analysis of the numbers of employees hired, promoted and terminated and the degree of representation in those numbers, of persons who are members of each designated group.

Hirings - Table 8

Sixty-eight people were hired during the year.

The percentage of women hired exceeded the workforce availability. For the other three designated groups, the percentage hired was below the workforce availability, although we were successful in hiring an aboriginal person in our professional group.

Promotions - Table 9

In 2000-01, 48 people — 8.9 percent of all employees — were promoted.

The rate of promotion for members of three of the four designated groups slightly exceeded the Office-wide figure. There were no persons with disabilities promoted during the year.

Separations - Table 10

With very few employees in two designated groups — Aboriginal peoples and persons with disabilities — any turnover impacts on our representation.

Last year, our rate of separation for three designated groups was higher than the Office-wide attrition rate of 12.8 percent.



Our Employment Equity Plan

In the past, our Employment Equity Committee developed an employment equity plan that outlined activities and initiatives intended to increase awareness of equity and diversity issues and to improve opportunities in the workplace for all employees.

Developing the Plan

In 1997-98, a new Employment Equity Plan was prepared to meet the specific requirements of the *Employment Equity Act*, section 10. Our Plan covers an initial three-year period, 1998-99 to 2000-01. It includes short-term numerical goals to reduce under-representation of the designated groups over that period. The Office's Executive Committee has endorsed these short-term numerical goals.

We have now completed the final year of our first Plan. In the winter of 2000-01, we updated the Plan and prepared Version III, which was approved by our Human Resources Committee.

In the fall of 2001, we will analyze our employment equity results against the first Plan and prepare a new three-year Plan, covering the years 2001-02 to 2003-04.

The next Employment Equity Plan, 2001-02 to 2003-04

Communication and consultation

Communication was identified as a critical success factor for the first Employment Equity Plan. Efforts were made throughout the three-year Plan period to keep employees informed of progress that was being made and challenges that remained. This communication regularly reinforced the message that everyone's support and participation was necessary to achieve our goals.

This fall, we will also communicate the plan results to all employees. In addition to presentations to the Human Resource Committee and the Executive Committee, we will attempt to engage employees through the Office in a consideration and discussion of the successes we

achieved and the challenges we faced in implementing the Plan. This broad-based consultation will be key to preparing ourselves for the second Employment Equity Plan.

Challenges and special efforts

In setting the numerical goals for our next Employment Equity Plan, 2001-02 to 2003-04, we will take into account the action plan proposed by the Task Force on the Participation of Visible Minorities in the Federal Public Service in its March 2000 report "Embracing Change". In particular, we will consider the recommendation that visible minorities represent a one-in-five share of external recruitment hires.

In our second Plan, we will also make a special effort to improve the representation of Aboriginal Peoples in our professional and manager groups. This effort will focus around our recruitment and succession planning programs described earlier. We will review our initiatives aimed at improving our outreach to Aboriginal Peoples and communities with a view to achieving representation equal to workforce availability at the end of the next Plan period.

Numerical goals

Our second Employment Equity Plan will establish short-term numerical goals for 2001-02 to 2003-04. Once again, goals will be stated for each occupational group in which under-representation has been identified. Goals will be expressed in terms of our representation as a percentage of the workforce availability and number of additional employees required in each designated group.

The new short-term numerical goals will be approved by our Human Resources Committee.

We will make every effort to ensure that our consultation initiatives are meaningful and appropriate in terms of the *Employment Equity Act*. The Office of the Auditor General of Canada is committed to employment equity ideals. Our challenge is to reflect these ideals in both our workforce and workplace.

We believe that our first Employment Equity Plan has constituted reasonable progress toward implementing employment equity as required by the *Act*.

APPENDIX — STATISTICS

Technical Notes

Report Coverage

This report contains information on our indeterminate employees and term employees of three months or more as at 31 March 2001. Employees who were on secondment to other organizations are also included in our numbers.

Employees who were on leave without pay from our Office at 31 March 2001 are not included in the population. Because of the rapid turnover of students and casual employees, no information is reported on them.

Data on People in the Designated Groups

All data, other than those for women, were obtained through self-identification, the process by which people identify themselves as being from one of the other three designated groups. The data on women were obtained from other human resources information.

The completeness and accuracy of employment equity data depend on employees being willing to self-identify and on being given the opportunity to do so.

Our self-identification process, including the questionnaire completed on a voluntary basis, began in April 1997. Employees who joined the Office since then were provided with the questionnaire when they began working.

At any time during the year, any employee may complete or revise a self-identification questionnaire, available from our Human Resources Group.

In tables 3 to 7, we show our representation as a percentage of the workforce availability, with comparative figures for the prior year. We believe this is the best single statistic to show how well we are doing in achieving a representative workforce.

We provide information by Employment Equity Occupational Group (EEOG). In tables 4 through 7, we also provide information for the two National Occupational Classification (NOC) unit groups that comprise the majority of our employees — Middle Managers (NOC Unit 0414), which is part of EEOG 2 (Middle and Other Managers) and Auditors (NOC Unit 1111), included in EEOG 3 (Professionals).



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Table 1

Representation of Designated Groups in the Office of the Auditor General of Canada

	All Employees	w	Women Aboriginal People		nal Peoples		ons with abilities	Persons in a Visible Minority	
	Number	Number	Percentage	Number	Percentage	Number	Percentage	Number	Percentage
As at 31 March 2001	528	272	51.5	9	1.7	16	3.0	32	6.1
Workforce Availability			46.4		2.1		6.3		10.3

Table 2

Distribution of Employees by Designated Group and Region of Work

Region of Work	All Employees	w	Women		Aboriginal Peoples		Persons with Disabilities		Persons in a Visible Minority	
	Number	Number	Percentage	Number	Percentage	Number	Percentage	Number	Percentage	
National Capital Region	456	236	51.8	7	1.5	14	3.1	23	5.0	
All Other Regions	72	36	50.0	2	2.8	2	2.8	9	12.5	
Total	528	272	51.5	9	1.7	16	3.0	32	6.1	



Table 3

Representation of Designated Groups by Employment Equity Occupational Group

Employment Equity Occupational Group	All Employees	W	omen	Aborigi	nal Peoples		ons with abilities		ons in a e Minority
	Number	Number	Percentage	Number	Percentage	Number	Percentage	Number	Percentage
All Occupations	528	272	51.5	9	1.7	16	3.0	32	6.1
Senior Managers	11	3	27.3	0	-	0	-	1	9.1
Middle and Other Managers	170	45	26.5	1	0.6	5	2.9	12	7.1
Professionals	221	127	57.5	2	0.9	6	2.7	16	7.2
Semi-Professionals & Technicians	15	9	60.0	0	-	1	6.7	1	6.7
Administrative & Senior Clerical Personnel	39	34	87.2	3	7.7	2	5.1	0	-
Clerical Personnel	72	54	75.0	3	4.2	2	2.8	2	2.8

Table 4

Representation of *Women* by Employment Equity Occupational Group and Two Largest National Occupational Classification Unit Groups

Employment Equity Occupational Group	All Employees	Women		Workforce Availability (WFA)	Representation as a Percentage of WFA	
	Number	Number	Percentage	Percentage	2000-01	1999-2000
All Occupations	528	272	51.5	46.4	100+	100+
Senior Managers	11	3	27.3	20.8	100+	100+
Middle and Other Managers	170	45	26.5	33.6	78.9	74.4
Professionals	221	127	57.5	52.7	100+	100+
Semi-Professionals & Technicians	15	9	60.0	48.3	100+	100+
Administrative & Senior Clerical Personnel	39	34	87.2	85.3	100+	100+
Clerical Personnel	72	54	75.0	71.0	100+	100+

National Occupational Classification Unit Group	All Employees	Women		Women		Workforce Availability (WFA)	Representation as a Percentage of WFA	
	Number	Number	Percentage	Percentage	2000-01	1999-2000		
Middle Managers	158	41	25.9	36.1	71.7	68.1		
Auditors	186	107	57.5	45.4	100+	100+		



Table 5

Representation of *Aboriginal Peoples* by Employment Equity Occupational Group and Two Largest National Occupational Classification Unit Groups

As at 31 March 2001

Employment Equity Occupational Group	All Employees	Aboriginal Peoples		Workforce Availability (WFA)	Representation as a Percentage of WFA	
	Number	Number	Percentage	Percentage	2000-01	1999-2000
All Occupations	528	9	1.7	2.1	81.0	90.5
Senior Managers	11	0	-	2.6	0.0	0.0
Middle and Other Managers	170	1	0.6	1.0	60.0	0.0
Professionals	221	2	0.9	1.3	69.2	69.2
Semi-Professionals & Technicians	15	0	-	2.0	0.0	0.0
Administrative & Senior Clerical Personnel	39	3	7.7	1.6	100+	100+
Clerical Personnel	72	3	4.2	1.6	100+	100+

National Occupational Classification Unit Group	All Employees	Aboriginal Peoples				tation as a tage of FA
	Number	Number	Percentage	Percentage	2000-01	1999-2000
Middle Managers	158	1	0.6	1.2	50.0	0.0
Auditors	186	1	0.5	0.5	100+	100+

Table 6

Representation of *Persons with Disabilities* by Employment Equity Occupational Group and Two Largest National Occupational Classification Unit Groups

Employment Equity Occupational Group	All Employees	Persons with Disabilities		Workforce Availability (WFA)	Representation as a Percentage of WFA	
	Number	Number	Percentage	Percentage	2000-01	1999-2000
All Occupations	528	16	3.0	6.3	47.6	50.8
Senior Managers	11	0	-	4.1	0.0	0.0
Middle and Other Managers	170	5	2.9	3.3	87.9	100+
Professionals	221	6	2.7	3.6	75.0	77.8
Semi-Professionals & Technicians	15	1	6.7	5.3	100+	100+
Administrative & Senior Clerical Personnel	39	2	5.1	4.2	100+	61.9
Clerical Personnel	72	2	2.8	6.0	46.7	23.3

National Occupational Classification Unit Group	All Employees	Persons with Disabilities		Workforce Availability (WFA)	Representation as a Percentage of WFA	
	Number	Number	Percentage	Percentage	2000-01	1999-2000
Middle Managers	158	5	3.2	n/a	n/a	n/a
Auditors	186	4	2.2	3.3	66.7	66.7



Table 7

Representation of *Persons in a Visible Minority* by Employment Equity Occupational Group and Two Largest National Occupational Classification Unit Groups

Employment Equity Occupational Group	All Employees		ons in a Minority	Workforce Availability (WFA)	ailability Percentage of	
	Number	Number	Percentage	Percentage	2000-01	1999-2000
All Occupations	528	32	6.1	10.3	59.2	57.3
Senior Managers	11	1	9.1	7.3	100+	100+
Middle and Other Managers	170	12	7.1	9.4	75.5	85.1
Professionals	221	16	7.2	10.4	69.2	67.3
Semi-Professionals & Technicians	15	1	6.7	9.1	73.6	0.0
Administrative & Senior Clerical Personnel	39	0	-	7.3	0.0	0.0
Clerical Personnel	72	2	2.8	11.6	24.1	12.1

National Occupational Classification Unit Group	All Employees	Persons in a Visible Minority		Workforce Availability (WFA)	Representation as a Percentage of WFA	
	Number	Number	Percentage	Percentage	2000-01	1999-2000
Middle Managers	158	12	7.6	5.2	100+	100+
Auditors	186	15	8.1	14.7	55.1	53.7

Table 8

Hirings by Designated Group and Employment Equity Occupational Group

1 April 2000 to 31 March 2001

Employment Equity Occupational Group	AII Employees	Women Aborig		Aborigi	Aboriginal Peoples		Persons with Disabilities		Persons in a Visible Minority	
	Number	Number	Percentage	Number	Percentage	Number	Percentage	Number	Percentage	
All Occupations	68	47	69.1	1	1.5	0	-	4	5.9	
Senior Managers	1	1		0		0		0		
Middle and Other Managers	1	1		0		0		0		
Professionals	47	30		1		0		2		
Semi-Professionals & Technicians	2	1		0		0		1		
Administrative & Senior Clerical Personnel	0	0		0		0		0		
Clerical Personnel	17	14		0		0		1		

Includes employees hired into term positions (three months or more) and indeterminate positions.

Hirings as a Percentage of Workforce Availability	All Employees	Women		Aboriginal Peoples		Persons with Disabilities		Persons in a Visible Minority	
	Number	Number	Percentage	Number	Percentage	Number	Percentage	Number	Percentage
All Occupations	68	47	69.1	1	1.5	0	-	4	5.9
Workforce Availability (WFA)			46.4		2.1		6.3		10.3
Hirings as a Percentage of Workforce Availability			148.9		71.4		0.0		57.3



Table 9

Promotions by Designated Group and Occupational Category

1 April 2000 to 31 March 2001

Employment Equity Occupational Group	All Employees	Women	Aboriginal Peoples	Persons with Disabilities	Persons in a Visible Minority
All Occupations	48	27	1	0	3
Senior Managers	0	0	0	0	0
Middle and Other Managers	12	4	1	0	0
Professionals	24	17	0	0	3
Semi-Professionals & Technicians	1	1	0	0	0
Administrative & Senior Clerical Personnel	3	4	0	0	0
Clerical Personnel	2	1	0	0	0

Includes promotions and reclassifications.

Promotion Rate	All Employees	Women	Aboriginal Peoples	Persons with Disabilities	Persons in a Visible Minority
Number	48	27	1	0	3
Promotion Rate (Percentage)	8.9	10.3	10.0	-	9.4

Table 10
Separations by Designated Group and Employment Equity Occupational Group

1 April 2000 to 31 March 2001

Employment Equity Occupational Group	All Employees	Women	Aboriginal People	Persons with Disabilities	Persons in a Visible Minority
All Occupations	69	36	2	3	4
Senior Managers	2	1	0	0	0
Middle and Other Managers	15	3	0	2	1
Professionals	36	18	0	1	3
Semi-Professionals & Technicians	3	2	0	0	0
Administrative & Senior Clerical Personnel	2	2	1	0	0
Clerical Personnel	11	10	1	0	0

Attrition Rate	All Employees	Women	Aboriginal People	Persons with Disabilities	Persons in a Visible Minority
Number	69	36	2	3	4
Attrition Rate (Percentage)	12.8	13.8	20.0	17.6	12.5

