



Transportation Appeal Tribunal of Canada

Annual Report for the fiscal year

2005-2006

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June 9, 2006

The Honourable Lawrence Cannon, P.C., M.P., Minister of Transport, Infrastructure and Communities Transport Canada Building Ottawa, Ontario K1A 0N5

Dear Minister:

Pursuant to section 22 of the Transportation Appeal Tribunal of Canada Act, I am pleased to submit to Parliament, through your intermediary, the Annual Report of the Transportation Appeal Tribunal of Canada for the fiscal year 2005-2006.

Yours sincerely,

Faye Smith Chairperson

Transportation Appeal Tribunal of Canada



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Message from the Chairperson





It is my pleasure to present the Annual Report of the Transportation Appeal Tribunal of Canada, this being its third year of existence as a multi-modal tribunal. The year 2006 will represent twenty years of service to Canadians by the Transportation Appeal Tribunal of Canada and its predecessor the Civil Aviation Tribunal.

When the Civil Aviation Tribunal opened its doors on June 1, 1986, matters related to aviation could now be heard by a panel of experts, in an informal and expeditious way. The idea was to de-criminalize breaches of aviation regulations moving them from the courts of criminal jurisdiction of the provinces to an administrative tribunal, a layman's tribunal which could deal with matters on a timely basis. As well, the interests of the aviation community would now be served by a specialized quasi-judicial review body that is independent of Transport Canada.

Over the years the practice and procedures of the Tribunal were flexible and straightforward and the Tribunal became one of government's best practices. It worked well over time because the Tribunal took great pains to keep its process simple and accessible for applicants thereby providing effective recourse rights regarding certain administrative decisions.

However, a review of cases over the past number of months will reveal multiple day hearings with countless motions which by themselves add several days. Unchecked, these delays will mirror those in the former court system. We are currently taking steps to get back to basics. The Tribunal has taken measures to develop a policy whereby the parties may confer in a supervised setting to identify issues and in some cases resolve matters. We are confident that the provision of mediation as an alternate dispute resolution will produce timeliness for the parties and cost effectiveness for the Tribunal for the most complex cases.

I am pleased to again recognize the dedication of Tribunal members and staff. Through their efforts, we will continue to provide a forum for the resolution of transportation safety matters that adheres to the Tribunal's basic principles: fair, independent, expert, expeditious, informal, accessible and economical.

Faye Smith Chairperson

Lucy Smit



Overview



Introduction

The Transportation Appeal Tribunal of Canada replaces the Civil Aviation Tribunal which was established under Part IV of the *Aeronautics Act* in 1986. On the recommendation of the Minister of Transport, pursuant to section 73 of the *Transportation Appeal Tribunal of Canada Act*, assented to on December 18, 2001, being Chapter 29 of the Statutes of Canada, 2001, the Act officially came into force on June 30, 2003. The Transportation Appeal Tribunal of Canada, a multi-modal tribunal, is available to the air and rail sectors; and at a later time, the marine sector. The *Transportation Appeal Tribunal of Canada Act* amends the *Aeronautics Act*, the *Canada Shipping Act*, the *Canada Transportation Act*, the *Marine Transportation Security Act* and the *Railway Safety Act* to establish the jurisdiction and decision-making authorities of the Tribunal under those Acts. The Tribunal's jurisdiction, extending to the rail sector, is expressly provided for under the *Aeronautics Act* and the *Railway Safety Act* (section 2 of the *Transportation Appeal Tribunal of Canada Act*). Therefore, the Tribunal's caseload will increase considerably over the next few years.

Mandate

Program
Objective and
Description

The Tribunal's principal mandate as a multi-modal review body is to hold review and appeal hearings at the request of interested parties with respect to certain administrative actions taken under various federal transportation acts.

The objective of the program is to provide the transportation community with the opportunity to have enforcement and licensing decisions of the Minister of Transport reviewed by an independent body.

The Minister's enforcement and licensing decisions may include the issuance of orders, the imposition of monetary penalties or the suspension, cancellation, refusal to renew, or refuse to issue or amend documents of entitlement on medical or other grounds. The person or corporation affected is referred to as the document holder.

These decisions are reviewed through a two-level hearing process: review and appeal. All hearings are to be held expeditiously and informally, in accordance with the rules of fairness and natural justice.

At the conclusion of a hearing, the Tribunal may confirm the Minister's decision, substitute its own decision, or refer the matter back to the Minister for reconsideration.





Overview

Organizational Structure

The Tribunal's Chairperson is also its Chief Executive Officer. The Chairperson is responsible for the direction and supervision of the work necessary to facilitate the functions of the Tribunal. The Chairperson, Vice-Chairperson and immediate staff account for nine full-time equivalents.

Thirty-four part-time members were in office during 2005-2006, twenty-eight in aeronautics, including medicine, and six in rail. Members are drawn from across Canada and are appointed by Order in Council on the basis of their knowledge and expertise. The office of the Tribunal is located in the National Capital Region.

Basic Principles

The basic principles governing the Tribunal are those of independence and expertise. The sound and competent execution of the Tribunal's mandate determines its effectiveness in dealing with the Canadian transportation community.

The Tribunal offers its services in both official languages of Canada. It is also itinerant, in the sense that its hearings take place throughout Canada, at the convenience of the parties to the extent possible.

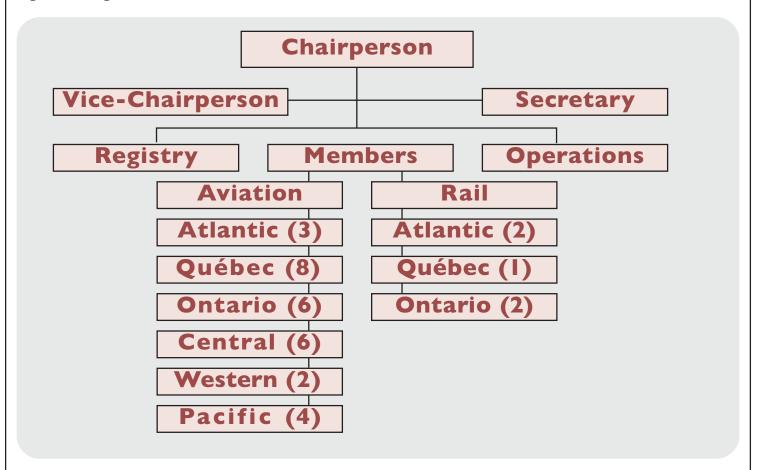
In enforcement matters, the location will normally be where the alleged infraction occurred, or the nearest practical alternative, so that witnesses for the parties may present themselves with minimum displacement costs.

In medical cases, hearings will be held at the location which is nearest to the residence of the document holder and attainable by way of commercial transportation.

Organization Chart



Figure 1: Organization Chart



- ♦ The lower half of the organization chart displays the distribution of part-time members and their area of expertise by region. All members report to the Chairperson.
- ♦ Nine full-time equivalents are utilized by the continuing full-time employees including the Chairperson and Vice-Chairperson. The 34 part-time members utilize the equivalent of five full-time equivalents.



Tribunal Members - Full-time



Faye Smith Chairperson

Reappointed on May 30, 2005 to a two-year full-time term as Chairperson, Mrs. Smith was originally appointed Chairperson of the Civil Aviation Tribunal on May 30, 1995. She was previously employed as Counsel at Transport Canada Legal Services. She is a graduate of the University of Ottawa Law School and following call to the Bar of Ontario, she practised law with a private firm in Ottawa.



Mrs. Smith has extensive background work in aviation since 1982, namely, providing advice to Transport Canada's Aviation Group on the *Aeronautics Act* and Regulations. She was Manager of Appeals to the Civil Aviation Tribunal and formerly Superintendent of Inspector and Engineer Training for the Aviation Group. Mrs. Smith authored a Digest of Civil Aeronautics Case Law as well as three volumes of Civil Aeronautics Jurisprudence.

Allister W. Ogilvie Vice-Chairperson

Reappointed on September 30, 2001 to a five-year full-time term as Vice-Chairperson, Mr. Ogilvie was originally appointed Vice-Chairperson of the Civil Aviation Tribunal on September 30, 1996. He received his LL.B. from the University of New Brunswick in 1985 and his LL.M. from McGill University's Institute of Air and Space in 1986, was called to the Bar in Ontario in 1988 and in British Columbia in 1996.



A licensed pilot, Mr. Ogilvie served as manager of representation for the Canadian Air Line Pilots Association from 1990 to 1996. He began his aviation career in 1970, flying for various independent northwestern Ontario air services. He was a pilot for Canadian Pacific Airlines, between 1974 and 1980, and the Ontario Ministry of Natural Resources, between 1980 and 1982, and served as an aviation inspector for Transport Canada between 1988 and 1989.







Dr. David AhmedRegina, Saskatchewan

Reappointed to a three-year part-time term on September 15, 2003, Dr. Ahmed has been practising general surgery in Regina since 1970 and has been a clinical assistant professor of surgery with the University of Saskatchewan College of Medicine. He has also been Chief of Section of General Surgery and Head of the Department of Surgery at Pasqua Hospital in Regina. Since 2003, he is Vice-President of the Regina Qu'Appelle medical services.

Born in Pakistan, Dr. Ahmed moved to England in 1955 where he studied medicine. He obtained a private pilot licence in 1965 while in England, and now has an airline transport pilot licence as well as a Class 1 instructor rating. Dr. Ahmed has been a Civil Aviation Medical Examiner for Transport Canada as well as for the Federal Aviation Administration in the United States.

Randy Allen Nepean, Ontario

Mr. Allen was appointed to a two-year part-time term on October 7, 2003. He is a fully qualified locomotive engineer, a seasoned negotiator and was secretary treasurer of the Brotherhood of Locomotive Engineers. Mr. Allen currently serves as a member on the Board of Advisors of Serence Inc., and is a senior advisor for Asatte Systems Inc. He has over 34 years of experience with CN Rail and Via Rail as a safety instructor, locomotive engineer and conductor.

Pierre Beauchamp Montréal, Québec

Reappointed to a three-year part-time term on May 13, 2004, Mr. Beauchamp has been a pilot with Air Canada since 1972. He holds an airline transport pilot licence with ratings on landplanes and single-engine seaplanes and some multi-engine aircraft. He has flown B727, B767, DC8, DC9, T33, VC8, EA32, EA33 and EA34 aircraft. He received a Bachelor of Arts (Economics) Degree from the Université de Montréal (Collège Ste-Marie) in 1966 and a Law Degree from McGill University in 1969. Mr. Beauchamp was called to the Barreau du Québec in 1972. He practises law and has been a member of various associations within the aviation industry.

Dr. Samuel BirenbaumToronto, Ontario

Reappointed to a three-year part-time term on September 5, 2003, Dr. Birenbaum received his M.D. from the University of Toronto in 1956, has been an industrial medicine consultant since 1960, and has had a private practice in aviation medicine at Buttonville Airport since 1975. He operates a family practice clinic.

Dr. Birenbaum was on the staff at Branson Hospital from 1957 to 1992, and has served on the hospital's honorary consultant staff since 1993. He served in the Royal Canadian Air Force as a flight surgeon, between 1958 and 1963. Dr. Birenbaum is a senior Civil Aviation Medical Examiner in both Canada and the United States and a special examiner for the Workers' Compensation Board of Ontario.



Michel Boulianne Québec, Québec

Reappointed to a three-year part-time term on November 16, 2003, Mr. Boulianne has practised law since 1968. A lieutenant-colonel in the Canadian Armed Forces (Reserve), he was commanding officer of the 55 Canadian Service Battalion, served as a judge in the Office of the Judge Advocate General. He was also the president of the Canadian Forces Logistics Association in 1977, and the Québec vice-president of the Conference of Defence Associations, between 1977 and 1981.

He is a member of the Canadian Bar Association, the Barreau du Québec, the Canadian Association of Industrial Relations Consultants and the Association des conseillers en relations industrielles du Québec, and a former member of the Association des arbitres du Québec.

James C. CampbellWest St. Paul, Manitoba

Reappointed to a part-time term of three years on September 5, 2003, Mr. Campbell is a licensed commercial pilot with over 34 years of experience flying commercial and private aircraft throughout North America.

Mr. Campbell was operations manager for Sowind Air Ltd. From 1981 to 1997, he was owner and operations manager for Campbell Air Limited. He was also a founding partner, corporate secretary, and director of northern operations for Perimeter Airlines (Inland) Ltd., and prior to 1976 was base manager and pilot for St. Andrews Airways and Silver Pine Air.

Evariste CormierShediac, New Brunswick

Appointed to a part-time term of three years on October 20, 2005, Mr. Cormier holds a Bachelor of Arts degree from the University of Moncton. He retired from Canadian National Railways in 1993, after 37 years of service, including eight years as supervisor of the Moncton Main Car Shop. Mr. Cormier served as a school trustee and president of the school district's finance, and transportation committee and personnel. He was also director of the New Brunswick School Trustees Association, a member of the executive of the Shediac Winter Carnival and president of the Boishebert Club in Shediac.



Dr. Pierre CoutuMontréal, Québec

Appointed to a part-time term of three years on September 29, 2005, Dr. Coutu holds a bachelor's degree in industrial relations from the Université de Montréal and a doctorate in education from the Nova Southeastern University in Miami. He also completed his postgraduate studies in air transportation at Northwestern University in Chicago. During his 13 years working at Transport Canada, he was director of special projects at the Airports Group's headquarters and served on the Airports Transfer Task Force, established to oversee the transfer of government-owned airports to the private and public sectors. In 1987, he left government to help start the International Aviation Management Training Institute, where he became executive vice-president and chief operating officer. Dr. Coutu is founder of Aviation Strategies International and teaches in the International Aviation Program at the John Molson School of Business at Concordia University.

Caroline Desbiens Sainte-Foy, Québec Ms. Desbiens was reappointed to a three-year part-time term on August 31, 2003. A lawyer since 1988, she works principally in the areas of aviation and maritime law. Ms. Desbiens received a Law Degree from the Université Laval in 1987, and a diploma in international law and comparative law from the University of San Diego in 1988. Ms. Desbiens received a Master's Degree in air and space law from McGill University in 1993.

A member of the Barreau du Québec, Ms. Desbiens is also a member of the Canadian Bar Association, the Association québécoise des transporteurs aériens, the Institute of Air and Space Law Association, the Canadian Maritime Law Association and the Chambre de commerce de Québec.

E. David Dover Calgary, Alberta

Reappointed to a part-time term of three years on February 1, 2005, Mr. Dover is president and owner of Pioneer Developments Ltd. During the past 30 years, Mr. Dover has been president and owner of several companies in Alberta and British Columbia.

He is past Chairman of the Board of the Calgary Airport Authority, having served as Chairman from 1990 to 1998. Mr. Dover co-chaired the negotiating team to establish a local airport authority at the Calgary International Airport. Mr. Dover was a founding member of the Canadian Airports Council and served as Chairman in 1995-1996. Among Mr. Dover's hobbies is aircraft racing. He has more than 3,000 hours as pilot-in-command, and is IFR rated. In 1998, Mr. Dover was presented the Canadian Business Aircraft Association Recognition Award.



William H. FellowsBelle River, Ontario

Appointed to a part-time term of three years on September 15, 2003, Mr. Fellows is a retired senior executive from Hiram Walker & Sons Ltd. He is a licensed private pilot (1988) with approximately 1,000 flying hours. Mr. Fellows is rated to fly piston single, multi-engine, night, float and ski aircraft.

James Edward Foran Winnipeg, Manitoba Appointed to a part-time term of three years on September 15, 2005, Mr. Foran is a partner in a law firm in Winnipeg, Manitoba, where he practices administrative law with a focus on transportation law. He received his Bachelor of Laws degree from the University of Manitoba and was called to the Manitoba Bar in 1962 and the Saskatchewan Bar in 1981. In 1985, he was appointed a Queen's Counsel. Over the course of his career, Mr. Foran provided legal counsel for a variety of industry and government clients before the Supreme Court of Canada, the Federal Court, provincial courts, the Canadian Transportation Agency and various provincial boards. He was also involved in drafting several major pieces of provincial and federal transportation legislation.

Jean-Marc FortierVille Mont-Royal,
Québec

Appointed to a part-time term of three years on November 22, 2005, Mr. Fortier received his law degree from the Université de Montréal and his master's degree in air and space law from McGill University. He has been a member of the Barreau du Québec since 1971. He received an MBA from Richard Ivey School of Business Administration of the University of Western Ontario in London, Ontario. Mr. Fortier acted as legal advisor to many aviation societies, including Québécair and la Société québécoise des transports. He was vice-president of Télésystème Ltée (a private investor in telecommunication), executive vice-president of BCE Media and a member of the Civil Aviation Tribunal of Canada. He has also been a lecturer on aircraft financing at the Institute of Space Law of McGill University. He has been treasurer and president of the Canadian Chapter of the International Law Association from 1990 to 1998 and he is currently honorary president of the association. Mr. Fortier is currently a senior partner at a prominent law firm in Montréal and Québec City.

Armand GoguenCocagne,
New Brunswick

Mr. Goguen was appointed to a part-time term of three years on December 2, 2004. He has spent 35 years with CN Rail in Marketing, Sales, Industrial Development and Public Affairs. He retired from CN Rail as Public Relations Officer. Mr. Goguen acted as Vice-Chairman of the New Brunswick Safety Council and was a Director of the Nova Scotia Safety Council. He was also a member of the *Railway Safety Act* Review Committee and Transport Canada's Operation Lifesaver Program, Atlantic Canada.



Keith Edward GreenSidney, British Columbia

Reappointed to a part-time term of three years on January 12, 2005, Mr. Green is the president of QualaTech Aero Consulting Ltd., one of the largest aviation consultancies in Western Canada. QualaTech specializes in human factors and safety management systems training, quality, maintenance, manufacturing, including speciality management positions.

He holds an aircraft maintenance engineer licence endorsed with several type ratings in Canada and the United States. Mr. Green has worked for a multitude of Canadian and foreign carriers, including Canada 3000, Air Transat, CP Air, Garuda International Airlines, Air Lingus and Saudia.

Hilery T. HargroveBristol, New Brunswick

Appointed to a three-year part-time term on February 1, 2005, Mr. Hargrove has practised law in Bath-Bristol, New Brunswick, for over 17 years. He received his LL.B. from the University of New Brunswick in 1986. From 1975 to 1983, Mr. Hargrove was a member of the Royal Canadian Mounted Police. Further, Mr. Hargrove has long been interested in the field of aviation and has been licensed as a private pilot for 21 years accumulating approximately 1,000 hours of flying time and has a night rating, a float rating and single-engine high performance rating.

John D. Issenman Ottawa, Ontario

Mr. Issenman was appointed to a part-time term of three years on February 1, 2005. He is president and founder of PROAV International Aviation Services Corporation. He is a former director of the Air Transport Association of Canada and Aerospace Industries Association of Canada and worked for Transport Canada and the original Canadian Aviation Safety Board as an investigator and safety analyst. He is a member of the Canadian Society of Air Safety Investigators and the International Society of Air Safety Investigators. Mr. Issenman has been a licensed commercial pilot since 1977.

Jean-Marc Lalonde Rosemère, Québec

Mr. Lalonde was appointed to a part-time term of two years on October 7, 2003. He is a former chairman of the Safety and Operations Management Committee of the Railway Association of Canada, a member of the American Association of Railway Superintendents, and a member of the National Rail Passenger Committee. With 35 years hands-on rail operating experience, he rose from various ranks and qualified as a conductor, to a Chief of Transportation at Via Rail.

Dr. Michel LaroseMontréal, Québec

Reappointed to a part-time term of three years on November 22, 2003, Dr. Larose graduated in medicine from the Université de Montréal in 1965. He is currently a medical assessor at the Commission d'appel en matière de lésions professionnelles. Dr. Larose was previously in private practice and associated with several Montréal area hospitals. He was medical director for several organizations including Québécair and was a Civil Aviation Medical Examiner for Transport Canada.





Herbert Lee

Richmond. British Columbia

Sandra Kathleen Lloyd

Vancouver, British Columbia

James Edward Lockyer

Moncton, New Brunswick

K. M. Tracy Medve Calgary, Alberta

Mr. Lee was appointed to a part-time term of two years on September 15, 2003. He is managing director of LTA Holidays (Canada) Ltd., a Vancouver-based travel agency. A licensed private pilot and a certified travel manager, Mr. Lee is a member of the Richmond Chamber of Commerce. He has studied at Simon Fraser University, the Hong Kong Polytechnic University and the Royal Navy School in the United Kingdom.

Reappointed to a three-year part-time term on May 13, 2004, Ms. Lloyd is a pilot with Air Canada. She began flying in 1973, flew float and wheel aircraft commercially in British Columbia and northern Manitoba between 1975 and 1979, and commenced her airline career with CP Air in 1979. Ms. Lloyd has since been a crew member on DC8, DC10, B737 and A320 aircraft. She received a Bachelor of Business Administration degree from Simon Fraser University in 1985 and a LL.B. from the University of British Columbia in 1990.

Ms. Lloyd was called to the Bar of British Columbia in 1991. She has practised law in Vancouver and served in a number of aviation-related volunteer positions including as an advisor in the Technical/Safety Division of the Canadian Air Line Pilots Association.

Appointed to a part-time term of two years on September 15, 2003, Mr. Lockyer is a professor of law at the Faculté de droit of the Université de Moncton. He holds a Master of Laws degree from the London School of Economics and Political Science, a Diplôme d'études approfondies from the Université de Paris, and an instrument-rated private pilot licence.

Ms. Medve was appointed to a part-time term of three years on February 1, 2005. She is the co-founder and managing director of C.T. AeroProjects Inc., an aviation management consulting firm located in Calgary. With 18 years experience in the industry, her expertise in aviation includes aviation asset management, regulatory affairs, strategic planning and implementation, airline start-ups and labour relations. She has a law degree from the University of Saskatchewan.



Frank Morgan Woodbridge, Ontario

Appointed to a part-time term of three years on September 15, 2003, Mr. Morgan, BSc. P.Eng, is a consulting engineer with extensive experience in the transport and mining sectors. He has held senior positions in divisions of both CN and CP. During his career he has been responsible for the design of maintenance/safety operations across Canada and has personally designed specialized road, rail and refrigeration equipment for CN Rail and Air Canada. He holds voting membership in the S.A.E., Chartered Institute of Transport (London, England) and the Institute of Industrial Engineers.

In addition to his BSc. in mechanical engineering, Mr. Morgan has successfully completed a senior management transport maintenance and safety program at Penn State University. He is also a licensed active pilot.

W. D. (Bill) NicholsonSaskatoon, Saskatchewan

Mr. Nicholson was appointed to a three-year part-time term on October 7, 2003. He is chief pilot at Potash Corporation of Saskatchewan. With 34 years of commercial flying and 18,700 hours of flying time, he has held positions in the aviation sector including line pilot, supervisor, manager, and owner/operator. Mr. Nicholson currently mentors students enrolled in the Saskatchewan Institute of Applied Sciences and Technology Commercial Pilot Course and he is enrolled in the National Business Aircraft Association Certified Aviation Manager Program.

David R. OwenRiverview, New Brunswick

Mr. Owen was appointed to a part-time term of two years on September 15, 2003. He is a former general manager at the Moncton Flight College and a former regional manager/accident investigator with the Transportation Safety Board. A licensed pilot, he has worked as an air traffic controller and is a qualified mediator.

Suzanne Racine Kirkland, Québec

Ms. Racine was reappointed to a part-time term of five years on November 30, 2004. A lawyer since 1980, she holds a licence in civil law from the University of Ottawa (1979), mediator training (1992) and a Master's Degree from the Institute of Air and Space Law, McGill University (1987).

She was a director of Regulatory and Government Affairs at Air Transat and has acted as a consultant in the areas of travel and air transport. Ms. Racine is a member of the Barreau du Québec and the Institute of Air and Space Law Association.

Dr. Michael T. RichardOttawa, Ontario

Appointed to a part-time term of three years on February 1, 2005, Dr. Richard has been practising neurosurgery in Ottawa since 1967. An associate professor of surgery, he was the Head of Neurosurgery at the Ottawa General Hospital and Chairman of Neurosurgery at the University of Ottawa. He has written or co-authored 75 papers and served as a member or chairman on numerous committees at the university, provincial and national levels. Dr. Richard was a commissioned officer of the Canadian Navy Executive Branch and is also a former director of the Ottawa Flying Club. He maintains his private pilot licence, which he has held since 1969.





Coleen Rogers Winnipeg, Manitoba

Appointed on September 15, 2003 to a part-time term of two years, Ms. Rogers is a former executive vice-president and chief operating officer with the Winnipeg Airports Authority Inc., with 27 years of experience in the aviation industry. As airport general manager at the Winnipeg International Airport, she guided the transfer of the airport from Transport Canada control to the newly created local airport authority.

Hebb C. RussellL'Orignal, Ontario

Appointed to a part-time term of three years on September 15, 2003, Mr. Russell is a retired Air Canada captain. He has worked for the medical department of the Canadian Air Line Pilots Association (CALPA) to help airline pilots regain their licences. As a commercial pilot, he is rated to fly a variety of multi-engined commercial aircraft. Mr. Russell enjoys flying his Taylorcraft on wheels and on skis in the winter.

Dr. John SabaBrossard, Québec

Appointed to a part-time term of three years on September 29, 2005, Dr. Saba holds a Master of Arts degree in economics and political science, a doctor of civil laws degree, and a diploma in air and space law from McGill University. At McGill, he is a lecturer in economics and an adjunct professor of law at the Institute of Air & Space Law, secretary-general of the Institute of Air & Space Law Association and the editor-in-chief of its newsletter. He is a professor in economics and political science at Champlain Regional College in St-Lambert, Québec, and director of its World Studies program. Dr. Saba has served as manager and chairman for a number of worldwide air and space conferences and seminars for the International Civil Aviation Organization, the American Bar Association and McGill University. He has also served as president of a number of companies.

T. Richard TurnerWest Vancouver,
British Columbia

Appointed on September 15, 2003 to a part-time term of three years, Mr. Turner holds a Bachelor of Commerce Degree in Finance from the University of British Columbia and has received a Diploma from the Canadian Securities Institute. He is the President and CEO of International Aviation Terminals Inc.

Mr. Turner is a Governor and past Chair of the Vancouver Board of Trade and also a past Chair of Airports Council International (ACI) – North America Associates, ACI-Pacific Associates, and the British Columbia Aviation Council. He is currently the Chair of both the British Columbia Lottery Corporation and the Insurance Corporation of BC. Mr. Turner is a Board member of the Vancouver Organizing Committee for the 2010 Olympic and Paralympic Winter Games and serves on several other boards.



William T. TweedWinnipeg, Manitoba

Reappointed to a part-time term of three years on September 15, 2003, Mr. Tweed received his Law Degree from the University of Victoria in 1987 and was called to the Bar of Manitoba in 1988. He currently practises law in Winnipeg. Mr. Tweed obtained a commercial pilot licence in 1967 and has accumulated approximately 8,000 hours during his 20-year varied career as a pilot.

Richard WillemsAlton, Ontario

Appointed to a part-time term of two years on September 15, 2003, Mr. Willems is the senior captain flying a Canadair Challenger for Rogers Telecommunications in Toronto. His flying career began in central Saskatchewan in 1963 and has continued through North, Central and South America as well as Africa, Asia and Europe. Mr. Willems has held Transport Canada company check pilot authority since 1976 on various piston, turbo prop and jet aircraft. He is an active member of the Soaring Association of Canada.

Staff of the Tribunal



Registry	Mary Cannon Registrar – Ontario, Prairie and Northern and Pacific Regions	Thérèse Martine Acting Editor
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Tribunal Rules



Short Title

1. These Rules may be cited as the *Transportation Appeal Tribunal of Canada Rules*.

Interpretation

2. In these Rules,

"Act" means the *Aeronautics Act* or the *Railway Safety Act* [s. 2 of the *Transportation Appeal Tribunal of Canada Act*];

"party" means a party to a proceeding;

"proceeding" means a review under section 6.71, 6.9, 7, 7.1 or 7.7 or an appeal under section 7.2 or 8.1 of the *Aeronautics Act*; a review under section 27.1, 31 or 32 or an appeal under section 27.5, 31.2 or 32.2 of the *Railway Safety Act*; "registrar" means a registrar of the Tribunal, and includes a deputy registrar; "registry" means the principal office of the Tribunal in the National Capital Region or such other offices as the Tribunal may establish from time to time.

Application

3. These Rules apply to all proceedings.

General

4. Where a procedural matter not provided for by the Act or by these Rules arises during the course of any proceeding, the Tribunal may take any action it considers necessary to enable it to settle the matter effectively, completely and fairly.

Service

5. Service of a document, other than a summons referred to in section 14, shall be effected by personal service or by registered mail.

Filing

6. Where service of a document is effected by registered mail, the date of service is the date of receipt of the document.

7. Where a party is required or authorized to file a document with the Tribunal, the document may be filed by depositing it in the registry personally, by mailing it or sending it by courier to the registry or by transmitting it to the registry by telex, facsimile or other electronic means of communication if the registry has the necessary facilities for accepting transmission in such manner.

8. The date of filing of a document with the Tribunal is the date of receipt of the document at the registry, as evidenced on the document by means of the filing stamp of the Tribunal.

Holiday

9. Where a time limit prescribed by or pursuant to the Act or these Rules falls on a Saturday, Sunday or holiday, the time limit is extended to the next following day of business.





Applications

- 10. (1) An application for any relief or order, other than a request for a review under section 6.71, 6.9, 7, 7.1 or 7.7 or an appeal under section 7.2 or 8.1 of the *Aeronautics Act*; a review under section 27.1, 31 or 32 or an appeal under section 27.5, 31.2 or 32.2 of the *Railway Safety Act*, shall be in writing and filed with the Tribunal unless, in the opinion of the Tribunal, circumstances exist to allow an application to be dealt with in some other manner.
- (2) An application shall fully set out the grounds on which it is based and shall specify the relief or order requested.
- (3) Subject to subsection (4), where a party makes an application, the Tribunal shall serve notice of the application on each other party and shall afford each other party a reasonable opportunity to make representations.
- (4) The Tribunal may dispose of an application on the basis of the material submitted by each party or, if in its opinion there exist exigent circumstances, on the basis of the material submitted by the applicant only.
- (5) The Tribunal, upon considering the material submitted to it, shall render its determination of an application in writing and shall serve on each party a copy of the determination forthwith after the determination has been rendered.
- 11. The Tribunal may extend or abridge a time prescribed by or pursuant to these Rules for performing any act or doing any thing on such terms, if any, as seem just.
- 12. The Tribunal may, orally or in writing, direct that the parties appear before a member of the Tribunal at a specified date, time and place for a conference, or consult each other and submit suggestions in writing to the Tribunal, for the purpose of assisting it in the consideration of
 - (a) the admission or proof of certain facts;
 - (b) any procedural matter;
 - (c) the exchange between the parties of documents and exhibits proposed to be submitted during a proceeding;
 - (d) the need to call particular witnesses; and
 - (e) any other matter that may aid in the simplification of the evidence and disposition of the proceeding.

Adjournments

Extending or

Preliminary

Procedures

Abridging Time

13. At any time, the Tribunal may, on the application of any party or on its own motion, adjourn a proceeding on such terms, if any, as seem just.



Tribunal Rules

Witnesses

- 14. (1) At the request of a party, the registrar shall issue a summons in blank for a person to appear as a witness before the Tribunal and the summons may be completed by the party requesting it.
- (2) A summons shall be served personally on the person to whom it is directed at least 48 hours before the time fixed for the attendance of the person.
- (3) At the time of service of a summons on a person, the party requesting the appearance of the person shall pay fees and allowances to the person in accordance with Rule 42 of the *Federal Court Rules*, 1998.
- 15. (1) Where a person has been summoned to appear as a witness before the Tribunal and does not appear, the party that requested the issuance of the summons may apply to the Tribunal for a warrant directing a peace officer to cause the person who failed to appear to be apprehended anywhere in Canada and, subsequent to the apprehension, to be
 - (a) detained in custody and forthwith brought before the Tribunal until his presence as a witness is no longer required; or
 - (*b*) released on a recognizance, with or without sureties, conditional on the person's appearance at the date, time and place specified therein to give evidence at a proceeding.
- (2) An application made pursuant to subsection (1) shall contain information indicating that
 - (a) the person named in the summons
 - (i) was served with the summons in accordance with subsection 14(2),
 - (ii) was paid or offered witness fees and allowances in accordance with subsection 14(3), and
 - (iii) failed to attend or remain in attendance before the Tribunal in accordance with the requirements of the summons; and
 - (*b*) the presence of the person named in the summons is material to the proceeding.

Proceeding

- 16. (1) Witnesses at a proceeding shall be subject to examination and cross-examination orally on oath or solemn affirmation.
- (2) The Tribunal may order a witness at a proceeding to be excluded from the proceeding until called to give evidence.



Tribunal Rules

- (3) The Tribunal may, with the consent of each party, order that any fact be proved by affidavit.
- (4) The Tribunal may inspect any property or thing for the purpose of evaluating the evidence.
- 17. The Tribunal may direct a party to submit written argument in addition to oral argument.
- 18. (1) An appeal to the Tribunal pursuant to section 7.2 or 8.1 of the Aeronautics Act, or section 27.5, 31.2 or 32.2 of the Railway Safety Act shall be commenced by filing in writing with the Tribunal a request for appeal.
- (2) A request for appeal shall include a concise statement of the grounds on which the appeal is based.
- (3) A copy of a request for appeal shall be served by the Tribunal on each other party within ten days after filing the request.
- 19. Where a request for appeal has been filed with the Tribunal, the Tribunal shall serve on the parties to the appeal
 - (a) a notice of the date, time and place of the hearing of the appeal; and (b) a copy of the record referred to in section 20 of the Transportation Appeal *Tribunal of Canada Act*, respecting the proceedings to which the appeal relates.
- 20. (1) The Tribunal shall render its determination in writing at the conclusion of a proceeding or as soon as is practicable after a proceeding.
- (2) For the purpose of calculating the period within which a party may appeal a determination, the determination is deemed to be made on the day on which it is served on the party.
- (3) The Tribunal shall serve on each party a copy of a determination forthwith after the determination has been rendered.

These Rules contain consequential amendments in the Transportation Appeal Tribunal of Canada Act and have been embodied for convenience of reference only. For purposes of interpretation of the law, the original Rules should be consulted.

Argument

Appeals

Determination

Note

2005-2006 in Review



Activities

The present annual report covers the 12 months between April 1, 2005 and March 31, 2006. In the 12-month reporting period, the Tribunal registered **128** new requests for reviews and **16** requests for appeals from the transportation community. This represents a decrease of **24** new case files registered over fiscal year 2004-2005.

Effective June 30, 2003, the *Aeronautics Act* amendments changed the procedure for the *Designated Provisions Regulations* so that the Minister is no longer the applicant, rather the person who receives the Notice of Assessment of Monetary Penalty either pays the stated penalty or files a request for review with the Tribunal. This has reduced the number of files which the Registry had heretofore opened and closed shortly thereafter when the hearing request was automatically triggered by non-payment of the penalty. Consequently, the number of requests for certificates of non-payment has increased offsetting the decrease in number of case files. That is to say that in fiscal year 2004-2005 there were **151** new cases and **46** requests for certificates while in fiscal year 2005-2006, there were **128** new cases and **42** requests for certificates.

In addition to the new cases registered in this reporting period, **128** cases were carried over from the previous reporting period, bringing the total caseload to **272**. This represents an increase of **3** cases over 2004-2005.

The Tribunal heard **49** first level reviews and **14** second level appeals for a total of **78** hearing days. This represented an increase of **16** hearings over the previous fiscal year. Of the **63** cases that proceeded to a hearing, many cases were postponed and rescheduled at a later date. At the end of 2005-2006, **82** cases were pending further action, **16** were awaiting decisions and **10** had been scheduled for the 2006-2007 fiscal year.

In the 2005-2006 reporting period, **113** cases were concluded without a hearing. It should be noted that of these **113** cases many were requests registered with the Tribunal and concluded shortly before the hearing was to take place, which means that all registry work that leads up to the hearing was completed. The registry prepared for **96** hearings. In many cases an agreement was reached between the parties. In other cases, Transport Canada or the document holder withdrew their application.





2005-2006 in Review

The **113** cases concluded without a hearing were resolved in a number of ways:

- document holder paid fine before hearing;
- document holder's licence reinstated before hearing;
- request for hearing withdrawn by document holder;
- notice withdrawn by the Minister;
- agreement reached between parties.

Effectiveness

The program's effectiveness can be measured by its ability to provide the transportation community with the opportunity to have ministerial decisions reviewed fairly, equitably and within a reasonable period of time.

The average lapsed time between the conclusion of a review hearing and the issuance of a determination is 77 days and 92 days for an appeal. This brings the hearing process to a timely conclusion for both parties appearing before the Tribunal.

The Tribunal encourages the use of pre-hearing conferences to assist the parties appearing before it, to identify the issues for determination by the Tribunal and to disclose and exchange documents. This reduces the length of hearings and avoids last-minute adjournments necessitated by late disclosure.

Such conferences have also been particularly effective in settling licence suspensions and cancellations on medical grounds without the necessity of a hearing. The Tribunal registrars contact the parties to schedule mutually agreed hearing dates to the extent possible to avoid unnecessary adjournments.

In 2004-2005, the Tribunal referred three cases back to the Minister of Transport for reconsideration pursuant to section 7.1 of the Aeronautics Act, the Tribunal lacking the power to substitute its own decision for that of the Minister in these cases. The Minister confirmed its original decision in all three cases.

In 2005-2006, the Tribunal referred four cases back to the Minister. The Minister confirmed its original decision in one case and we are awaiting the outcome in the three remaining decisions.



Training and Development

A two-day training session was held in Ottawa on March 23 and 24, 2006. Five new members attended, representing both the aviation and railway sectors.



The success of the Tribunal over the past 19 years can be attributed to the importance placed on the training and development of its part-time members and staff. The skillfully developed training programs and seminars are reflected in the quality of hearings that are held across the country and the decisions rendered by Tribunal members.

The Vice-Chairperson supervised a student of the University of Ottawa, Faculty of Law, who prepared a research paper on the concept of double jeopardy as it applies in an administrative law setting. This was the tenth year that the Tribunal participated with the University of Ottawa Law School in the delivery of its course entitled *Federal Tribunals Practice Seminar*.

Annual Seminar

The Transportation Appeal Tribunal of Canada's second annual seminar was held in Ottawa, Ontario at the Château Laurier Hotel on May 19 and 20, 2005. The two-day seminar was held to exchange ideas, information and experiences of the members relative to Tribunal matters.

The Tribunal Chairperson, Faye Smith, presented updates on administrative law and Tribunal practice. She led a discussion on the issue of double jeopardy, highlighting two Tribunal cases on that issue which had worked their way through to judicial review at the Federal Court.

Several members also made presentations to their colleagues. Mr. Jean-Marc Lalonde gave an overview of the *Railway Safety Act*. Ms. Suzanne Racine and Mr. Bill Fellows reported back to the members on presentations they attended at the seventh Annual Tribunal Members Forum.





The Tribunal members participated in active discussions on the topics presented, as well as on other procedural and legal issues that arose in the course of the seminar. As the members increase their Tribunal experience, the sharing of these experiences and expertise not only enhances the members' knowledge and qualification but also enhances the professionalism and credibility of the Tribunal.

The Tribunal was pleased to welcome a guest speaker, Mr. Brian Whitehead, who spoke on Transport Canada's initiative on Safety Management Systems.

The Tribunal also invited representatives of the parties who present cases before the Tribunal to attend and to share their review of the hearing process from the presenters' point of view. Transport Canada was represented by Ms. Beverlie Caminsky, Mr. Umberto Tamborriello and Mr. Larry Lipiec. The document holder's point of view was presented by Mr. Robert Fenn.

Representatives from various aviation associations and government officials joined the members for a luncheon over which they discussed issues affecting their various transportation sectors. Representatives from the Air Transport Association of Canada, the Canadian Owners and Pilots Association, the Association québécoise des transporteurs aérien, the Canadian Business Aircraft Association and the Airline Pilots Association were in attendance.

Future Plans

It is anticipated that regulations made under the *Canada Shipping Act, 2001* respecting administrative monetary penalties will be implemented over the next year. It is likely, however, that the Tribunal may be hearing matters related to Canadian maritime documents suspended for medical reasons before the end of the current fiscal year, that is, at a time consistent with the in-force date of the *Canada Shipping Act, 2001*. As well, an expansion of the *Railway Safety Act* to include administrative monetary penalties affecting the rail mode is forecast for the next fiscal year. Additional jurisdiction to the Tribunal under the proposed *Canada Airports Act* is projected over the next two years. We are keeping a watching brief on the ongoing expansion of the Tribunal jurisdiction which is estimated to significantly affect the workload of the Tribunal.



2005-2006 in Review

Resources

Figure 2: Financial Resources

Review			
Details of Financial Requirements by C)bject		
(thousands of dollars)	Estimates 2005-2006	Forecast 2005-2006	Estimates 2004-2005
Personnel			
Salaries and Wages	649.0	609.4	654.0
Contributions to employee benefit plans	130.0	116.3	108.5
Total Personnel	779.0	725.7	762.5
Goods and Services			
Transportation and communications	160.0	155.5	160.2
Information	0.0	0.0	6.5
Professional and special services	340.0	324.5	341.0
Rentals	18.0	17.5	25.4
Purchased repairs and upkeep	3.2	3.2	15.2
Utilities, materials and supplies	40.0	38.1	33.8
Informatics	26.0	20.2	0.0
Total Goods and Services	587.2	559.0	582.1
Totals	1,366.2	1,284.7	1,344.6
	FTE'S*		
Governor in Council (GIC)	2		
Administration and Foreign Services (AS) 5		
Clerical (CR)	2		
Total	9		

Figure 3: Distribution of Full-time Equivalents

^{*} FTE'S (Full-time equivalent is a measure of human resource consumption.)



Pages 28 to 34 contain data tables, based on the Tribunal's new applications received during 2005-2006 and files carried over from 2004-2005.

The tables are separated into various interests.

The tables are presented under various categories and regions.

- ◆ Comparison of files worked on for past five fiscal years
- ◆ Hearing results by region and disposition
- **♦** Suspensions
- **♦** Cancellations
- **♦** Fines
- ◆ Refusal to issue or amend
- ◆ Medicals
- ◆ Rail orders

SUSPENSIONS	CANCELLATIONS	FINES
 AME* AMO** CCP**** operator certificate operator security personnel security pilot competence pilot enforcement pilot instrument rati pilot proficiency check 	 AME certificate of airworthiness operator personnel security pilot 	 AME unpaid fine ATC*** unpaid fine aircraft owner unpaid fine operator security unpaid fine operator unpaid fine pilot unpaid fine
CHECK	REFUSAL TO ISSUE	MEDICALS
	AMEmedical certificatepilot and others	– ATC – pilot
** AMO (approved a *** ATC (air traffic c	intenance engineer) maintenance organization) ontroller) neck pilot authority)	





Fiscal Year 2005-2006

Figure 4: Total Cases by Category

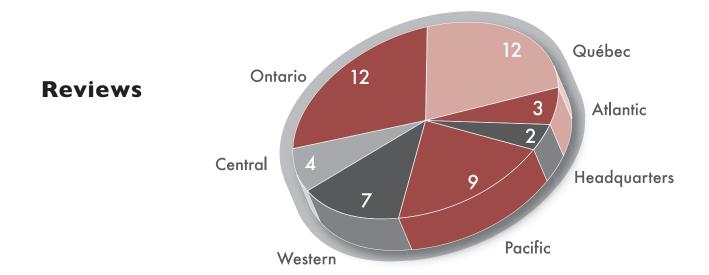
Category	Pacific	Western	Central	Ontario	Québec	Atlantic	HQ	Totals	%
Medicals	14	3	3	14	6	3	0	43	16
Suspensions	12	6	5	18	7	4	3	55	20
Fines	20	22	18	45	27	4	2*	138	51
Cancellations	3	2	1	3	1	0	0	10	4
Refusal to issue	5	3	1	12	2	0	0	23	8
Rail orders	3	0	0	0	0	0	0	3	1
Totals	57	36	28	92	43	11	5	272	100
%	21	13	10	34	16	4	2	100	

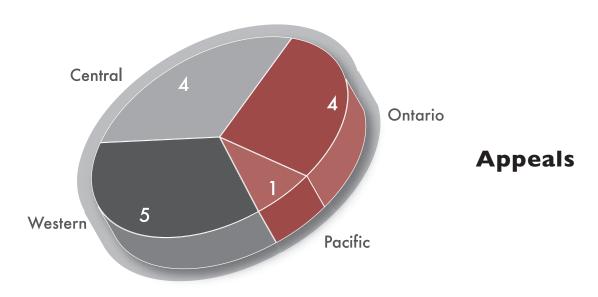
^{*} Includes one case received from the Canadian Transportation Agency.





Figure 5: Reviews and Appeals Concluded with a Hearing

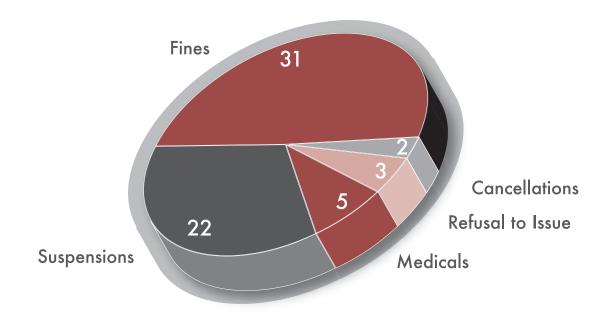




Hearing Level	Pacific	Western	Central	Ontario	Québec	Atlantic	HQ	Totals	%
Reviews	9	7	4	12	12	3	2	49	78
Appeals	1	5	4	4	0	0	0	14	22
Totals	10	12	8	16	12	3	2	63	100



Figure 6: Hearings by Category



Category	Pacific	Western	Central	Ontario	Québec	Atlantic	HQ	Totals
Medicals	1	0	1	2	1	0	0	5
Suspensions	6	1	1	7	4	2	1	22
Fines	3	10	5	4	7	1	1	31
Cancellations	0	0	1	1	0	0	0	2
Refusal to Issue	0	1	0	2	0	0	0	3
Totals	10	12	8	16	12	3	2	63



Figure 7: Hearing Results by Disposition

Suspensions

Disposition	Pacific	Western	Central	Ontario	Québec	Atlantic	HQ	Totals
Referred back to the Minister	0	0	0	1	0	0	0	1
Minister's allegation upheld	2	0	0	0	0	0	0	2
Minister's allegation dismissed	0	0	1	0	0	0	0	1
Minister's decision confirmed	1	1	0	2	1	2	0	7
Appeal allowed; sanction increased	.5*	0	0	0	0	0	0	.5
Appeal dismissed	.5*	0	0	0	0	0	0	.5
Awaiting decisions	2	0	0	4	3	0	1	10
Totals	6	1	1	7	4	2	1	22

^{*3} Minister's allegations: 2 allowed; 1 dismissed



Figure 7: (continued)

Fines

Disposition	Pacific	Western	Central	Ontario	Québec	Atlantic	HQ	Totals
Minister's allegation upheld	.5*	4	1	1	1	0	0	7.5
Minister's allegation dismissed	1.5*	0	0	0	1	1	0	3.5
Appeal dismissed	0	3	0	1	0	0	0	4
Appeal allowed; sanction cancelled	0	0	4	1	0	0	0	5
Appeal allowed; sanction reinstated	0	2	0	0	0	0	0	2
Awaiting decision	1	1	0	1	5	0	1	9
Totals	3	10	5	4	7	1	1	31

* 2 allegations: upheld * 1 allegation: dismissed

Medicals

Disposition	Pacific	Western	Central	Ontario	Québec	Atlantic	HQ	Totals
Minister's decision confirmed	1	0	1	2	0	0	0	4
Awaiting decisions	0	0	0	0	1	0	0	1
Totals	1	0	1	2	1	0	0	5

Refusal to Issue

Disposition	Pacific	Western	Central	Ontario	Québec	Atlantic	HQ	Totals
Referred back to the Minister Referred back to the Minister	0	1 0	0	0 2	0	0	0	1 2
on appeal Totals	0	1	0	2	0	0	0	3



Historical Data



Figure 8: Comparison of Cases Worked on for the Past Five Years

Regions	2005-2006	2004-2005	2003-2004	2002-2003	2001-2002
Pacific	57	35	37	101	43
Western	36	44	46	50	28
Central	28	25	49	64	32
Ontario	92	106	122	105	87
Québec	43	43	54	80	93
Atlantic	11	11	20	24	33
HQ	5	5	4	23	14
Totals	272	269	332	447	330

Since its inception in 1986 the Tribunal has registered 5,610 case files.

Figure 9: Total Case Files Since 1986

Summary	Pacific	Western	Central	Ontario	Québec	Atlantic	HQ	Totals
Total case files	634	744	967	1,490	1,233	432	110	5,610
%	11	13	17	27	22	8	2	100

Figure 10: Total Cases Concluded with Hearings by Region Since 1986

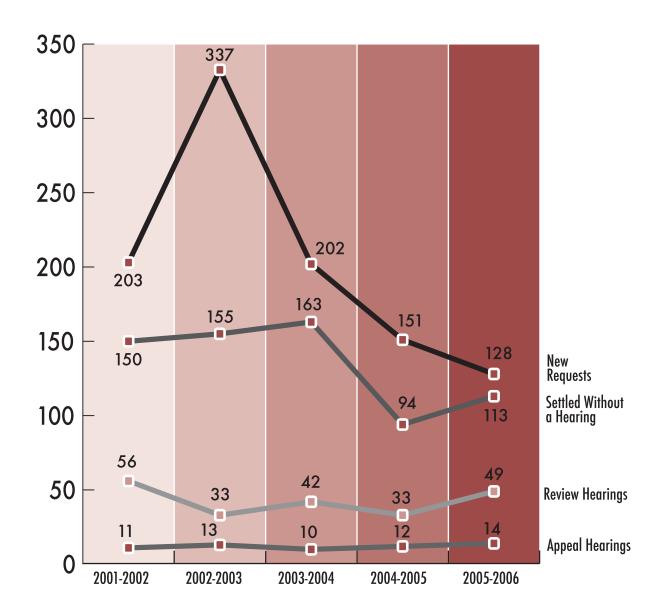
Summary	Pacific	Western	Central	Ontario	Québec	Atlantic	HQ	Totals
Total hearings	158	187	402	319	343	130	17	1,556
%	10	12	26	21	22	8	1	100

Of the 1,556 cases concluded with hearings, 1,252 were reviews (80%) and 304 were appeals (20%).





Figure 11: Caseload for the Past Five Years



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