

### Export Related Penalties from AMPS Master Penalty Document (Short Version)

No.	Legislation	Regulation	Contravention	Penalty Condition	Penalty Basis
C005	Customs Act 7.1		Person provided information to an officer that is not true, accurate and complete. The information required to be provided in any permit, certificate, licence, document or declaration in respect of imported or exported goods is incorrect.	1st - \$100 2nd - \$200 3rd + - \$300	Per Document
C170	Customs Act 95(1)		Exporter failed to report the export of goods on an export declaration prior to export.	1st - \$0.00 2nd - \$1,000 3rd - \$2,000 4th + - \$3,000	Per Shipment
C189	Customs Act 95(3)(a)		Person who has reported goods under subsection 95(1) of the <i>Customs Act</i> failed to answer truthfully any question asked by an officer with respect to the goods.	1st - \$1,000 2nd - \$2,000 3rd + - \$3,000	Per Instance
C190	Customs Act 95(3)(b)		Person who has reported goods under subsection 95(1) of the <i>Customs Act</i> or the person who has possession of the goods at the time of the request by the customs officer failed to present goods, remove any covering from the goods, unload the conveyance or open thereof or unpack any package.	1st - \$1,000 2nd - \$2,000 3rd + - \$3,000	Per Instance
C192	Customs Act 97.1(2)		Person who reported goods under subsection 95(1) of the <i>Customs Act</i> failed to export goods and failed to report failure to export the goods.	1st - \$1,000 2nd - \$2,000 3rd + - \$3,000	Per Shipment
C193	Customs Act 97.1(2)		Exporter or producer of goods failed to provide officer with a copy of certificate of origin on request.	1st - \$1,000 2nd - \$2,000 3rd + - \$3,000	Per Request
C194	Customs Act 97.1(3)		Person who has completed and signed a certificate of origin in accordance with subsection 97(1) of the <i>Customs Act</i> failed to notify person to whom the certificate was given, of incorrect information.	1st - \$100 2nd - \$200 3rd + - \$400	Per Certificate
C195	Customs Act 97.2(1)		Person who exported goods or caused goods to be exported failed to keep records at the place of business in Canada or at a designated place for a prescribed period.	1st - \$1,000 2nd - \$5,000 3rd - \$10,000 4th + - \$25,000	Per Audit

C315		Reporting of Exported Goods Regulations, section 5	Exporter failed to provide to customs, according to the legislative timeframes, any export permit, licence or certificate required.	1st - \$1,000 2nd - \$2,000 3rd and Subsequent - \$3,000	Per Document
C316	Customs Act 95(1)		Exporter failed to submit an export summary report.	1st - \$2,000 2nd - \$5,000 3rd + - \$10,000	Per Summary Report
C317	Customs Act 95(1)		Exporter submitted written summary report for goods that do not qualify for summary reporting.	1st - \$1,000 2nd - \$2,000 3rd + - \$3,000	Per Shipment
C318	Customs Act 97.2(1)		Person who exported goods failed to make such records available to an officer within the time specified.	1st - \$1,000 2nd - \$5,000 3rd - \$10,000 4th + - \$25,000	Per Occurrence
C319	Customs Act 97.2(1)		Person who exported goods failed to truthfully answer any questions asked by an officer in respect of the records.	1st - \$1,000 2nd - \$5,000 3rd - \$10,000 4th + - \$25,000	Per Occurrence
C341	Customs Act 95(1)		Exporter failed to report a shipment on an export summary report.	1st - \$1,000 2nd - \$2,000 3rd + - \$3,000	Per Shipment
C343	Customs Act 95(1)		Person failed to report in bond cargo to Customs outbound.	Flat rate - \$1,000	Per Shipment or Manifest
C345	Customs Act 95(1)		Exporter failed to report goods subject to export control prior to export.	1st - \$2,000 or 20% of value 2nd - \$4,000 or 40% of value 3rd + - \$6,000 or 60% of value	Value of Goods
C346	Customs Act 95(3)(a)		Person who has reported goods under subsection 95(1) of the Customs Act that are subject to export control, failed to answer truthfully any question asked by an officer with respect to the goods.	1st - \$2,000 or 20% of value 2nd - \$4,000 or 40% of value 3rd + - \$6,000 or 60% of value	Value of Goods

C348	Customs Act 7.1		Person intentionally provided false information in any permit, certificate, licence, document or declaration required to be provided for imported or exported goods under the Customs Act, the Customs Tariff or <i>Special Import Measures Act</i> (SIMA) or under any other Act of Parliament that prohibits, controls or regulates the importation or exportation of goods.	1st - \$2,000 or 20% of value 2nd -\$4,000 or 40% of value 3rd + - \$6,000 or 60% of value	Value for Duty or Value of Goods
C362		Reporting of Exported Goods Regulations 5	Exporter failed to indicate the General Export Permit (GEP) number in the permit field of the export declaration.	1st - \$100 2nd - \$200 3rd + - \$300	Per missing GEP Number