

Notice No. 33-703

IN THE MATTER OF THE SECURITIES ACT,
R.S.N.S. 1989, CHAPTER 418, AS AMENDED (the Act)

-AND-

IN THE MATTER OF
POLICY STATEMENT NO. 2.3 DUAL LICENSING UNDER
THE SECURITIES ACT AND THE INSURANCE ACT

NOTICE

WHEREAS:

1. On July 21, 1993, the Nova Scotia Securities Commission (the Commission) issued Policy Statement No. 2.3 Dual Licensing Under the Securities Act and the Insurance Act (the Policy);
2. The Policy sets out the requirements which the Commission requires to be met in order for an individual to be registered or maintain registration as a salesperson or trading officer of a registered dealer under the Act while also licensed or seeking to be licensed as an insurance agent under the Insurance Act, R.S.N.S. 1989, Chapter 231, as amended;
3. The requirements contained in the Policy are now addressed in Multilateral Instrument 33-109 Registration Information, which the Commission adopted as a rule with effect on April 1, 2003, and in the rules and by-laws of the Investment Dealers Association of Canada and the Mutual Fund Dealers Association of Canada; and
4. The Commission has determined that the Policy is no longer required and can be repealed.

NOTICE IS HEREBY GIVEN THAT the Commission repeals the Policy.

Dated at Halifax, Nova Scotia, this 31 day of May, 2006.

NOVA SCOTIA SECURITIES COMMISSION

“H. Leslie O’Brien”

H. Leslie O’Brien, Q.C.