### **Nova Scotia Securities Commission**

# Rule 13-101(Amendment) Amendments to National Instrument 13-101 System for Electronic Document Analysis and Retrieval (SEDAR)

### -And-

## Amendments to National Instrument 13-101 System for Electronic Document Analysis and Retrieval (SEDAR)

#### WHEREAS:

- 1. Pursuant to section 150 of the Securities Act, R.S.N.S. 1989, chapter 418, as amended (the "Act"), the Nova Scotia Securities Commission (the "Commission") has power to make rules subject to compliance with the requirements of the Act;
- 2. Amendments to National Instrument 13-101 System for Electronic Document Analysis and Retrieval (SEDAR), a copy of which is attached hereto and is hereinafter called the "Rule", has been made a rule by one or more of the Canadian securities regulatory authorities; and
- 3. The Commission is of the opinion that the attainment of the purpose of the Act is advanced by this Instrument.

### NOW THEREFORE the Commission hereby:

- (a) pursuant to the authority contained in section 150 of the Act and subject to compliance with the requirements of section 150A of the Act, approves the Rule and makes the same a rule of the Commission except insofar as the Rule contains coming into force or effective date provisions; and
- (b) declares that the rule approved and made pursuant to clause (a) shall take effect on **June 13, 2005**, unless the Governor-in-Council disapproves the rule or returns it to the Commission in accordance with subsection 150A(3) of the Act in which event the rule shall not become effective until the rule is approved by the Governor-in-Council.

IN WITNESS WHEREOF this Instrument has been signed by the Chair and Vice-Chair of the Commission, being the members of the Commission prescribed by the Chair pursuant to subsection 15(3) of the Act to attend the hearing of this matter and the quorum with respect to this matter, on the  $30^{\rm th}$  day of March, 2005.

"H. Leslie O'Brien"
H. Leslie O'Brien

"R. Daren Baxter"
R. Daren Baxter

Attachments

### NATIONAL INSTRUMENT 13-101 SYSTEM FOR ELECTRONIC DOCUMENT ANALYSIS AND RETRIEVAL (SEDAR) AMENDMENT INSTRUMENT

- 1. National Instrument 13-101 System for Electronic Document Analysis and Retrieval (SEDAR) is amended by this Instrument.
- 2. Appendix A is amended
  - (a) by repealing item 6 of Part I B and substituting the following:
    - "6 News Release";
  - (b) by repealing item 8 of Part I B and substituting the following:
    - "8.1. Annual Management Report of Fund Performance
    - 8.2. Interim Management Report of Fund Performance";
  - (c) by repealing item 13 of Part I B and substituting the following:
    - "13. Labour Sponsored Investment Fund Valuation Reports";
  - (d) by adding the following after Item 13 of Part I B:
    - "14. Report of Management Company Transactions with related persons or companies (Form 81-903F British Columbia,

BC, Alta, Sask, Ont, NS and Nfld

- Form 38 Alberta and Ontario,
- Form 36 Saskatchewan, Form 39 – Nova Scotia, and
- Form 37 Newfoundland)
- 15. Annual Information Form
- 16. Change in Legal Structure Filings
- 17. Material Contracts";
- (e) by repealing item 1 of Part II B(a) and substituting the following:
  - "1. News Release":
- (f) by striking out "BC, Alta, Sask, Ont, NS & Nfld" from item 2 of Part II B(a);
- (g) by striking out "BC, Ont & Que" from item 6 of Part II B(a);
- (h) by repealing item 8 of Part II B(a) and substituting the following:
  - "8.1. Annual Management Report of Fund Performance
  - 8.2. Interim Management Report of Fund Performance"; and
- (i) by adding the following after Item 16 of Part II B(a):

- "17. Change in Corporate/Legal Structure Filings
- 18. Material Documents/Contracts".
- 3. This Instrument comes into force on June 1, 2005.