## REGISTERED DEALERS, ADVISORS AND UNDERWRITERS

## As at November 22, 2005

NOTE: This is not an official list of the Dealers, Advisers and Underwriters maintained by the Director of Securities. Anyone seeking information relating to the status of a particular issuer should contact a member of the staff of the Nova Scotia Securities Commission.

Acadian Securities Incorporated

Acumen Capital Finance Partners Limited

Addenda Capital Inc.

Aegon Dealer Services Canada Inc.

AGF Funds Inc.

AGF Private Investment Management Ltd.

Agilerus Investment Management Limited

AIC Private Portfolio Group Inc.

AIM Funds Management Inc.

Alliance Capital Management Canada, Inc.

Altamira Financial Services Limited

Altamira Management Ltd.

Altus Securities Inc.

Ameritrade Canada, Inc.

Armstrong Quaile Associates Inc.

Arrowstreet Capital, Limited Partnership

Assante Asset Management Ltd.

Assante Capital Management Ltd.

Assante Financial Management Ltd.

Aurion Capital Management Inc.

AXA Financial Services Inc.

Bank of Ireland Asset Management (U.S.) Limited

Barclays Global Investors Canada Limited

Baskin Financial Services Inc.

**Beacon Securities Limited** 

Benson Quinn - GMS

Berkshire Investment Group Inc.

Berkshire Securities Inc.

Beutel, Goodman & Company Ltd.

Bieber Securities Inc.

BMO Investments Inc.

BMO Nesbitt Burns Inc.

BMO InvestorLine Inc.

BMO Harris Investment Management Inc.

Bonavista Asset Management Ltd

Boston Company Asset Management, LLC, The

Burgeonvest Securities Limited

**Burgundy Asset Management Limited** 

C G & B Investment Services Inc.

C. A. Delaney Capital Management Ltd.

C.S.T. Consultants Inc.

Caldwell Securities Ltd.

**Canaccord Capital Corporation** 

Canadian Shareowner Investments Inc.

Canadian Retirement Securities Limited

CanDeal.Ca Inc.

Capital Guardian Trust Company

Cartier Partners Securities Inc.

CBID Markets Inc.

Centurion Investment Advisors Inc.

Children's Education Funds Inc.

CIBC Financial Planning Inc.

CIBC Investor Services Inc.

CIBC World Markets Inc.

CIBC Securities Inc.

Clarica Investco Inc.

Co-operators Investment Counselling Ltd.

Connor, Clark & Lunn Investment Management Ltd.

Cranston, Gaskin, O'reilly & Vernon

Credential Asset Management Inc.

Credential Securities Inc.

Credit Suisse First Boston Canada Inc.

Cumberland Asset Management Corp.

Davis-Rea Ltd.

Desjardins Financial Services Firm Inc.

Desjardin Securities Inc.

**Dundee Securities Corporation** 

Dundee Private Investors Inc.

E\*TRADE Canada Securities Corporation

E3M Investments Inc.

**Edward Jones** 

Elliott & Page Limited

**Evangeline Securities Limited** 

Fidelity Investments Canada Limited

Fidelity Retirement Services Company of Canada Limited

Fiduciary Trust Company Of Canada

Fiera Capital Management Inc.

FIMAT Canada Inc.

First Associates Investments Inc.

First Asset Advisory Services Inc.

Foyston, Gordon & Payne Inc.

Frank Russell Canada Limited

Franklin Templeton Investments Corp.

Friedberg Mercantile Group

FTC Investor Services Inc.

Fundex Investments Inc.

Fundtrade Financial Corp.

Futureworth Financial Planners Corp.

G.E Asset Management Canada Company

Galileo Equity Management Inc.

GBC Asset Management Inc.

General Motors Acceptance Corp. of Canada, Limited

**Genuity Capital Markets** 

Global Educational Marketing Corporation

Global Maxfin Investments Inc.

Global Maxfin Capital Inc.

Gluskin Sheff + Associates Inc.

GMP Private Client Ltd.

GMP Securities Ltd.

Goodman & Company, Investment Counsel Ltd.

Goodwood Inc.

Greystone Managed Investments Inc.

Growth Works Capital Ltd.

GRS Securities Inc.

Gryphon Investment Counsel Inc.

Gryphon International Investment Corporation

Guardian Capital Advisors Inc.

Guardian Capital Inc.

GWL Investment Management Ltd.

Hansberger Global Investors, Inc.

Haywood Securities Inc.

Heathbridge Capital Management Ltd.

Heritage Education Funds Inc.

Highstreet Asset Management Inc.

Howson Tattersall Private Asset Management Inc.

Howson Tattersall Investment Counsel Limited

HSBC Securities (Canada) Inc.

HSBC Asset Management (Canada) Limited

HSBC Investment Funds (Canada) Inc.

Hub Capital Inc.

Independence Investments LLC

Independent Planning Group Inc.

ING Wealth Management Inc.

**ING Direct Funds Limited** 

Integra Capital Limited

Integra Capital Corporation

Integral Wealth Securities Limited

Interactive Brokers Canada Inc.

Investia Financial Services Inc.

Investors Group Securities Inc.

Investors Group Financial Services Inc.

IPC Securities Corporation

IPC Investment Corporation

Igon Financial Inc.

J. P. Morgan Fleming Asset Management (Canada) Inc.

J. C. Clark Ltd.

J. R. Younker & Associates Investment Counsel Incorporated

J. P. Morgan Investment Management Inc.

J.F. Mackie & Company Ltd.

Jarislowsky, Fraser Limited

Jennings Capital Inc.

Jitney Group Inc., The

Jones Heward Investment Counsel Inc.

KBSH Capital Management Inc.

Keel Capital Management Inc.

KeyBase Financial Group Inc.

Kingsdale Capital Markets Inc.

Kingwest and Company

Laketon Investment Management Ltd.

Lawrence Decter Investment Counsel Inc.

Lazard Asset Management (Canada), Inc.

LBC Financial Services Inc.

Legacy Associates Inc.

Legg Mason Canada Inc.

Leith Wheeler Investment Counsel Ltd.

Leon Frazer & Associates Inc.

Letko, Brosseau & Associates Inc.

Loewen, Ondaatje, McCutcheon Limited

Louisbourg Investments Inc.

Lynch Investments Limited

Lyons Asset Management Inc.

M.R.S. Inc.

M.R.S. Securities Services Inc.

MacDougall, MacDougall & Mactier Inc.

Manitou Investment Management Ltd.

Manulife Securities International Ltd.

Markets Securities Inc.

Mawer Investment Management Ltd.

McFarlane Gordon Inc.

McLean Budden Funds Inc.

McLean, Budden Limited

MD Management Limited

MD Private Investment Management Inc.

Merrill Lynch Canada Inc.

Middlefield Capital Corporation

Moneyware Inc.

Montrusco Bolton Investments Inc.

Morrison Williams Investment Management Ltd.

Mulvihill Capital Management Inc.

Natcan Investment Management Inc.

National Bank Financial Ltd.

National Bank Financial Inc.

National Bank Discount Brokerage Inc.

Navellier & Associates Inc.

NBCN

NBCN Inc.

Newport Investment Counsel Inc.

Northern Securities Inc.

Northwater Capital Management Inc.

NT Global Advisors, Inc.

Ocean Securities Inc.

Odlum Brown Limited

Oechsle International Advisors, LLC

Optifund Investments Inc.

Orion Securities Inc.

Pacific International Securities Inc.

Panagora Asset Management Inc.

Paradigm Capital Inc.

Partners in Planning Financial Services Ltd.

Patient Capital Management Inc.

PCJ Investment Counsel Ltd.

Peak Investment Services Inc.

Penson Financial Services Canada Inc.

Peregrine Financial Group Canada, Inc.

Performa Financial Group Limited

PFSL Investments Canada Ltd.

Phillips, Hager & North Investment Management Limited Partnership

Phillips, Hager & North Investment Management Ltd.

Phillips, Hager & North Investment Funds Ltd.

Portus Alternative Asset Management Inc.

QTRADE Investor Inc.

Quadrus Investment Services Limited

Queensbury Securities Inc.

Questrade, Inc.

QVGD Investors Inc.

Ramey Investments Incorporated

Raymond James Ltd.

RBC Asset Management Inc.

**RBC** Action Direct Inc.

RBC Private Counsel Inc.

RBC Dominion Securities Inc.

Refco Futures (Canada) Ltd.

Research Capital Corporation

Richardson Partners Financial Limited

Rockwater Asset Management Inc.

Royal Mutual Funds Inc.

Rudderham Norwood Ellison Investment Counsel Ltd.

Salman Partners Inc.

Sceptre Investment Counsel Limited

Scotia Securities Inc.

Scotia Cassels Investment Counsel Limited

Scotia Capital Inc.

Seamark Asset Management Limited

SEI Investments Canada Company

Select Money Strategies Inc.

Senecal Investment Counsel Inc.

Sionna Investment Managers Inc.

Sky Investment Counsel Inc.

Sprott Securities Inc.

Sprucegrove Investment Management Ltd.

Standard Life Investments Inc.

State Street Global Markets Canada Inc.

State Street Global Advisors, Ltd.

Strategic Advisors Corp.

Successful Investor Wealth Management Inc.

Sun Life Securities Inc.

Swift Trade Securities Inc.

T. E. Investment Counsel Inc.

TAL Global Asset Management Inc.

TAL Private Management Ltd.

TD Investment Services Inc.

TD Asset Management Inc.

TD Securities Inc.

TD Waterhouse Canada Inc.

TD Waterhouse Private Investment Counsel Inc.

Toll Cross Securities Inc.

Tradefreedom Securities Inc.

UBS Trust (Canada)

UBS Global Asset Management (Canada) Co.

UBS Securities Canada Inc.

Union Securities Inc.

United Capital Securities Inc.

USC Education Savings Plans Inc.

Van Berkom and Associates Inc.

W.H. Stuart Mutuals Ltd.

Wellington West Capital Inc.

Wellington Management Company, LLP

Wolverton Securities Ltd.

Worldsource Financial Management Inc.

Worldsource Securities Inc.

YMG Capital Management Inc.

Yourcfo Advisory Group Inc.