# CANADIAN AND AMERICAN GOVERNANCE: A COMPARATIVE LOOK







## **DEMOCRACY**

United States of America formed between Canada formed in 1867 following negotiations by the British North American (BNA) colonies 1776-83 during the War of Independence. and the passage of the BNA Act by the British Parliament. Alberta founded in 1905 – 9<sup>th</sup> province. Federal republic; presidential-congressional Federal constitutional monarchy; parliamentary Bicameral, elected legislatures (Nebraska only Bicameral Parliament federally; elected House, unicameral legislature) appointed Senate All provinces have unicameral, elected legislatures Comprehensive, written Constitution, with Written and unwritten Constitution. Custom, amendments (the first ten of which are known usage, practice and convention play an as the Bill of rights). In addition, the important part in the Canadian Constitution. For example, until 1982, the Constitution did Constitution identifies the powers of, responsibilities of, and relationships between not even make reference to the position of the executive, legislative and judicial branches. Prime Minister or provincial Premiers. Also the Constitution sets out the authority of the federal government and powers reserved to In 1982, a Charter of Rights and Freedoms was added to the Constitution. the states. The Framers designed a system of checks and Canada inherited a system of **responsible** balances, in which the executive, legislative **government** from the British tradition. In this and judicial branches are held in check by each system the executive sits in the legislative other. For example, the President may provide branch and depends on votes of confidence by leadership and initiate important legislation, but the House of Commons or legislature. does not vote on bills in the legislative branch. Confidence essentially refers to the legislature's Instead, once both houses have passed a bill, majority support of the executive's mandate. the President signs it into law. If the President When the legislative branch loses confidence in is not in favour of particular legislation, he/she the executive, the cabinet must resign, call an may use the veto power to block parts or all of election or form a new cabinet that can gain a bill. The veto can be overcome by a 2/3 confidence. majority vote in Congress.

## **FEDERALISM**

- US composed of 50 states, the District of Columbia and at least 7 significant territories and possessions.
- Canada composed of 10 provinces and 3 territories.
- In early American history, the federation began as highly decentralized – but has become more centralized over time.
- In early Canadian history, the federation began as moderately centralized but has become relatively decentralized over time.

## Dynamics of the federal systems

- The 10<sup>th</sup> Amendment reserves to the states all powers not given to the federal government. While this amendment has not been fully implemented in practice, evidence suggests that the Supreme Court is currently giving it more weight.
- However, over the past 200 years, Congress and the executive branch have asserted an expanding breadth of jurisdiction. There are very few areas where the state has sole authority, such as the structures and functions of state government itself. Yet, there are also many areas where regulation is shared, the specifics of which depend on the subject matter.
- Each state has its own constitution, which may not conflict with the US constitution, but may contain provisions, such as an explicit right of privacy, a right to know (about government) and a means for citizens to exercise legislative authority through initiative and referenda. These provisions may reach beyond the parameters of the national constitution.
- American states are active in the promotion of their states through trade missions and foreign offices, but play little direct role in federal trade policy and trade negotiations.

- The Canadian Constitution specifies in Sections 91 and 92 a **division of powers** between the provincial and federal orders of government. Beyond the provincial power to tax directly, the primary provincial responsibilities include: natural resources, education, and health.
- The territories are responsible for many of the same roles and responsibilities as provinces but these responsibilities are not constitutionally entrenched. All territorial powers are delegated from the federal government.
- In practice today, the division of responsibilities has many grey areas. For example, the federal government has used its fiscal powers or the federal spending power to attempt to influence public policy in areas of provincial responsibility.
- While foreign relations fall under federal jurisdiction, many provinces have been active internationally. The mandate of the Alberta government's Department of International and Intergovernmental Relations is to lead the development of government-wide strategies and policies for Alberta's relations with other governments within Canada, with national and sub-national governments around the world, and with the Aboriginal communities. Alberta has played an active part in Canadian trade negotiations.



# Dispute resolution and intergovernmental relations

- The structure of American federal-state-local relations are based on legal interpretations and ongoing political negotiations. Disputes are usually played out in the bureaucracy, Congress and the courts. Rarely would the Governors meet with federal officials to secure a mutual agreement.
- The US Senate, which can be looked upon as a house of the states, has traditionally been the venue where regional and state interests are presented and debated. US Senators are considered important defenders of the interests of their states. However, members are also expected and empowered to look beyond parochial concerns and articulate the national interest.
- American governors meet regularly in the National Governors Association and in regional groups, such as the Western Governors Association (WGA). The WGA and Western Premiers Council established a formal linkage in 1999 under Alberta's leadership.

- Canadian Premiers meet occasionally with the Prime Minister at First Ministers Meetings, which are called at the discretion of the Prime Minister. Premiers also meet in regional and national premiers conferences annually. An important objective of premiers conferences is to develop a common position and demands to take to the federal government.
- These intergovernmental summits are often referred to as executive federalism as they are characterized by significant dispute resolution and deal-making by Canadian first ministers.
- Because Canada does not have an effective Senate, Canadian premiers are considered the preeminent defenders of their province's interests.

## Funding

- Typically, US state legislatures receive a significant portion of funding from the federal government, which is allocated and dispersed according to various federal agency guidelines. Such programs include Social Security, veterans benefits, pensions, interstate highways and education. Thus, federal funding in a given state often exceeds 50 percent of total state government spending.
- Federal transfers to the provinces take three forms:
  - 1. **Equalization transfers**. Depending on a province's financial condition, it will receive an equalization transfer from the federal government. Typically, Alberta, Ontario and B.C., contribute to the transfer, while the other 7 provinces receive the transfer.
  - 2. Canadian Health and Social Transfer (CHST). The CHST is a transfer of funds from the federal government to the provinces. These funds are used by the provinces for social programs: health, social services and post-secondary education.
  - 3. Specific cost-shared programmes. Several cost-shared programs, such as the national infrastructure program and the labour market development agreements, involved a transfer of funds from the federal to provincial governments.
- Nationally, federal transfers comprise an average of 22% of provincial revenue (in Newfoundland, federal transfers account for as much as 40% of provincial revenue). However, in Alberta this percentage is much lower at only 5%. In recent years, Alberta's annual budget surplus has significantly surpassed its revenue from federal transfers.

## **EXECUTIVE**

- The President (nationally), Governors (statelevel) and their Cabinets (which head various departments and agencies) form the American executive branch.
- At the Canadian federal level, the executive branch is composed of the Queen or her representative the Governor General (Head of State), the Prime Minister and the Cabinet.
- Provincially, the executive is comprised of the Lieutenant Governor (representative of the Queen), the Premier and the Cabinet.

Governors General and Lieutenant Governors

# Heads of State and Government

- While Governors are directly elected by the people, Presidents win office through the electoral college, a body which reflects the number of Members of Congress in each state. The candidate who receives the most votes in any given state is awarded all the electoral colleges votes in that state.
- Prime Ministers and Premiers (or First Ministers) must be members of the House.
   They arrive to the position as the leaders of the majority party.

are appointed by the Prime Minister.

- Candidates for Governor or President receive their party's nomination following an extensive party primary election. However, some states have conventions or caucuses instead of primary elections.
- Leaders of political parties (both the majority and minority parties) are elected by registered members of that party during leadership conventions. Leadership conventions are evolving in Canada, as several parties have adopted a full membership vote system, rather than the traditional delegate-convention system.
- American Governors and Presidents occupy the executive branch and may not concurrently sit in the legislative branch.

#### Cabinet

- Presidents and Governors appoint an unelected Cabinet, to head various departments and agencies. In Washington, Cabinet members are called Secretaries and at the state level, they are often called Directors.
- Cabinet or the government is composed of elected Members of Parliament (federal) or of the Legislative Assemblies (provincial), who are appointed to Cabinet by the Prime Minister or Premier to head specific departments or agencies. In Canada, the First Ministers lead both the legislative and executive branches of government.

## **Powers**

- Presidents and Governors possess many powers, but are held in check by a balance of power by the legislative and judicial branches.
- In Canada, there is a concentration of power in the executive. Based on responsible government, the executive has a strong mandate to govern with the support of a majority in the House.
- Neither the President, Governors, nor Cabinet members can appear in the House to debate a bill or respond to questions without an invitation from the legislators. While this occurs rarely, it is more common for other administration officials to appear before legislative committees.
- As legislators and the executive, the Prime Minister, Premiers and Cabinet members regularly speak in the House and must submit to a daily **Question Period**. When the House is in session, question period provides the opportunity for the Cabinet to remain accountable and responsible as it responds to the House's questions.



## **LEGISLATIVE**

- Congress is composed of 100 Senators and 435 Representatives.
- The Senate possesses fundamental powers in the American political system. Among these responsibilities are the confirmation of presidential and gubernatorial appointments, as well as the ratification of international agreements.
- State legislatures vary in size from 61 Senators in New York to 400 Representatives in New Hampshire to 20 Senators and 40 Representatives in Alaska. Some states call their lower house other names (eg. the Assembly or the House of Delegates).
- While service in Congress is clearly a full-time pursuit, the time commitment and remuneration for state legislators vary. For example, many states have part-time, citizen legislatures that meet every other year and whose members necessarily maintain occupations outside of politics.

- The federal House of Commons is composed of 308 MPs, including the Prime Minister and Cabinet.
- While the Senate is un-elected, un-equally represented and operates as the lower chamber (although called the **upper chamber**), it has the power to present legislation and approve House of Commons legislation.
- The Alberta Legislative Assembly is composed of 83 MLAs, including the Premier and Cabinet.
- Provincial legislatures range in size from 125 members in Quebec to 27 members in Prince Edward Island.
- All MPs and MLAs serve publicly in a fulltime capacity.

#### Elections

- Senators and Representatives are accountable to specific districts and are elected by those citizens who reside in their district. The state Governor is elected by all state voters and is thus accountable to all state citizens. Federal House districts average well over half a million people in size.
- Elections occur on the first Tuesday of November of the last year of an elected official's term. Terms range from 2 years for Representatives, to 2-4 years for Governors, to 4 years for Presidents and 6 years for Senators.
- All MPs and MLAs, including the Prime Minister, Premiers and Cabinets, represent a constituency or riding (the average riding consists of 36,000 people in Alberta and 100,000 people in the federal House of Commons).
- Elections can be called at any time, but must be called within 5 years of the last.

# Roles and responsibilities

- American legislators perform a full-range of legislative duties, including representing a voting district, presenting legislation, debating, bargaining with the Executive branch, participating on committees and voting on legislation.
- The role of the MP and MLA is to represent the wishes and aspirations of his/her constituency in the party caucus and in the House.
- During the 26 weeks per year (on average) that the House of Commons or 12 weeks per year (on average) that the Alberta Legislature sits, MPs and MLAs are responsible for debating and voting on legislation.
- MPs and MLAs may be chosen to sit on Committees or perform other government business. When the House is not in session, MPs and MLAs are responsible for meeting



with constituents and continuing a representational role.

## Passing legislation

- Presidents and Governors may have legislation sponsored by senators and representatives. In Congress and in some states, each house may add or remove items from the bills, or reject them completely, and what emerges may bear little resemblance to the original proposed bill. In other states, there is scarce latitude to alter the original intent of a bill or to add riders that are not integrally related to the main subject of the legislation.
- Presidents and Governors also possess an important veto power, which can only be overridden by a 2/3 majority vote in both houses. Not all state constitutions may possess the same veto procedure.
- American legislatures are presented with and pass a large number of bills. For example, in Washington State, three to four thousand bills are often introduced in one 60 day session, 300-400 of which would be passed.
- As there is great room for individual legislators to vote independently, the American political system involves much bargaining between different groups. Fellow legislators may logroll in exchange for support.
- Lobbyists, play an important part in all facets of American politics.

- The Prime Minister/Premier and Cabinet advance the vast majority of the legislative agenda, with the confidence of the House.
- Backbenchers or non-cabinet MPs or MLAs may present private-member's or non-cabinet legislation, although most legislation originates from the cabinet.

- Canada's legislatures tend to deal with much less legislation than their American counterparts. Often, the number presented and passed are the same, due to the consolidated majority party power in the executive and legislature. In Alberta, an average of 60 bills would be passed in one session.
- Lobbyists have a relatively limited and much more indirect role in Canadian politics.
   Lobbyists may present their position to legislative committees or to a caucus, but they must ultimately persuade the government as a whole.

## The Speaker

- The Speaker of the House is the leader of the House majority party, and possesses a variety of important powers regarding the scheduling of bills, procedures, committee membership and decorum.
- The Speaker of the House, who is also an MP or MLA, impartially oversees the functioning of the Legislative Assembly. The Speaker interprets the rules of conduct in the House, acts as arbitrator during debates and oversees the legislative process. The Speaker may not take part in debate, ask or answer questions during Oral Question Period, or vote except to break a tie. Most Canadian Speakers are elected by the House and also oversee a significant budget for the Legislature and its various services.



# **JUDICIARY**

The Supreme Court	
<ul> <li>Supreme Court appointed by President and confirmed by the Senate, with lifetime appointments.</li> </ul>	Supreme Court appointed by Prime Minister, with mandatory retirement age of 75.
<ul> <li>No specific prerequisites, other than a successful confirmation by the Senate.</li> </ul>	Composed of 3 judges from Quebec, 3 from Ontario, 2 from the West and 1 from Atlantic Canada.
■ Through its constitutional interpretations, the Supreme Court has played a pivotal role in American governance. It has had the power to engage in judicial review since 1803.	■ The role of the Supreme Court has changed dramatically since the implementation of the Charter of Rights and Freedoms. The Court is increasingly involved in contentious issues of public policy that were previous the sole domain of elected legislatures.
Other courts	
• For the most part, other judges are elected.	No judges in Canada are elected.
	The federal cabinet appoints approximately 750 judges to the Supreme and Federal Courts of Canada, as well as provincial Superior, District, and County Courts.
	Provincial cabinets appoint approximately 1250 judges to provincial courts.