

SUPERINTENDENT'S LIST OF FINANCIAL INSTITUTIONS OFFERING LOCKED-IN PENSION PRODUCTS

for the Purposes of

**Sections 38, 39 & 40 of the Alberta Employment Pension Plans Regulation (35/2000)
and the Alberta Employment Pension Plans (General 2006) Amendment Regulation
Effective August 10, 2006 (Updated November 6, 2006)**

The Financial Institutions that appear on this list, as indicated in bold typeface, have been acknowledged by the Superintendent to accept locked-in funds into the types of contracts indicated, and are indicated in bold typeface. The companies listed in regular typeface have been authorized by the Financial Institutions to accept locked-in funds on their behalf, and payments may be made directly to those listed agents.

On August 10, 2006, the *Employment Pension Plans Regulation* was amended to, among other things, close Locked-in Retirement Income Funds (LRIFs). As well, changes were made to the Locked-in Retirement Accounts (LIRAs) and Life Income Funds (LIFs). Therefore, this list has been separated to indicate those Financial Institutions eligible to accept locked-in funds for the pre-August 10, 2006 LIRAs and LIFs, and post-August 9, 2006 LIRAs and LIFs. **For a transitional period, Locked-in funds may be transferred to any Financial Institution, or its agent, that appears on this list. However, after December 31, 2007, only those Financial Institutions authorized to provide post-August 9, 2006 LIRAs and LIFs will be eligible to accept locked-in funds.**

There may be institutions that have applied to appear on this list but whose application was still being processed at the time this list was updated. Please contact our office to verify the status of any Financial Institution with respect to this list.

Questions may be directed to:

Alberta Finance
Superintendent of Pensions
#402, 9515 – 107 Street
Edmonton, AB T5K 2C3

Phone: (780) 427-8322 Fax: (780) 422-4283

Website: www.finance.gov.ab.ca/business/pensions/

BANKS	LIRA	LIF	LIRA	LIF
Canadian Western Bank	7-Aug-03			
CIBC (Canadian Imperial Bank of Commerce)	6-Feb-04	6-Feb-04		
Citizens Bank of Canada	31-Mar-04			
HSBC Bank Canada	27-Jun-03			
ING DIRECT (ING BANK OF CANADA)	5-Mar-04			
National Bank of Canada	12-Apr-04			
Ubiquity Bank of Canada	12-Aug-04	12-Aug-04	26-Sep-06	26-Sep-06
CREDIT UNIONS	LIRA	LIF	LIRA	LIF
Battle River Credit Union Ltd.	5-Dec-03	5-Dec-03	27-Oct-06	27-Oct-06
Capital City Savings & Credit Union Ltd.	23-Dec-03	23-Dec-03		
Coastal Community Credit Union	5-Dec-03			
Coast Capital Savings Credit Union	24-Jun-04	24-Jun-04	31-Aug-06	31-Aug-06
Community Credit Union	6-Feb-04	6-Feb-04		
Credit Union Central of British Columbia	6-Feb-04	6-Feb-04	08-Sep-06	08-Sep-06
§ Aldergrove Credit Union			X	X
§ Arrow Credit Union			X	X
§ Bulkley Valley Credit Union			X	X
§ Castlegar Savings Credit Union			X	X
§ Columbia Valley Credit Union			X	X
§ Compensation Employees Credit Union			X	X
§ Creston and District Credit Union			X	X
§ Cumberland and District Credit Union			X	X
§ East Kootenay Community Credit Union			X	X
§ Enderby and District Credit Union			X	X
§ Grand Forks & District Credit Union			X	X
§ Greater Vancouver Community Credit Union			X	X
§ Greater Victoria Savings Credit Union			X	X
§ Gulf & Fraser Credit Union			X	X
§ Integris Credit Union			X	X
§ Khalsa Credit Union			X	X
§ Ladysmith & District Credit Union			X	X
§ Lake View Credit Union			X	X
§ Mt Lehman Credit Union			X	X
§ Nelson and District Credit Union			X	X
§ North Peace Savings Credit Union			X	X
§ Northern Savings Credit Union			X	X
§ Operating Engineers Credit Union			X	X
§ Osoyoos Credit Union			X	X
§ Quadra Credit Union			X	X
§ Revelstoke Credit Union			X	X
§ Salmon Arm Savings Credit Union			X	X
§ Sharons Credit Union			X	X

	LIRA	LIF	LIRA	LIF
§ Spruce Credit Union			X	X
§ Summerland and District Credit Union			X	X
§ Sunshine Coast Credit Union			X	X
§ Union Bay Credit Union			X	X
§ V.P. Credit Union			X	X
§ Vancouver Firefighters Credit Union			X	X
§ Vernon Credit Union			X	X
Envision Credit Union	22-Aug-03	22-Aug-03	30-Aug-06	30-Aug-06
First Calgary Savings	12-Aug-03			
Interior Savings Credit Union	27-Nov-03	27-Nov-03	24-Oct-06	24-Oct-06
North Shore Credit Union	6-Feb-04	6-Feb-04		
Prospera Credit Union	5-Mar-04	5-Mar-04	26-Sep-06	26-Sep-06
Surrey Metro Savings Credit Union	31-Oct-03	31-Oct-03		
Valley First Credit Union	27-Nov-03	27-Nov-03		
Vancouver City Savings Credit Union	10-Feb-03	1-Apr-05		
Van Tel / Safeway Credit Union	29-Dec-04	29-Dec-04		
Village Credit Union	29-Sep-04	29-09-04		
Westminster Savings Credit Union	2-Aug-05			
FRATERNAL BENEFIT SOCIETIES				
	LIRA	LIF	LIRA	LIF
Independent Order of Foresters, The (IOF Foresters)	14-Dec-04			
Knights Of Columbus	30-Sep-03			
Lutheran Life Insurance Society of Canada	30-Sep-03	30-Sep-03		
INSURANCE COMPANIES				
	LIRA	LIF	LIRA	LIF
Allianz Life Insurance Company of North America	27-Nov-03	27-Nov-03		
Canada Life Assurance Company, The	31-Mar-04	31-Mar-04		
Co-operators Life Insurance Company	7-Aug-03	19-Jul-05		
Desjardins Financial Security Life Assurance Company	15-Jul-03	5-Dec-03		
Empire Life Insurance Company, The	5-Dec-03	5-Dec-03		
Equitable Life Insurance Company of Canada, The	27-Nov-03	27-Nov-03		
Great-West Life Assurance Company, The	15-Jul-03	15-Jul-03		
Industrial-Alliance Life Insurance and Financial Services Inc.	5-Mar-04	5-Mar-04		
Industrial-Alliance Pacific Life Insurance Company	5-Mar-04	5-Mar-04		
London Life Insurance Company	15-Jul-03	15-Jul-03		
Manufacturers Life Insurance Company, The	8-Dec-03	8-Dec-03		
National Bank Life Insurance Company	22-Aug-03	22-Aug-03		
§ National Bank of Canada	X	X		
National Life Assurance Company of Canada, The	31-Mar-04	31-Mar-04		
Primerica Life Insurance Company of Canada	14-Dec-04			

	LIRA	LIF	LIRA	LIF
Standard Life Assurance Company, The	14-Dec-04	14-Dec-04	18-Oct-06	18-Oct-06
State Farm Life Insurance Company	24-Aug-06			
Sun Life Assurance Company of Canada, The	31-Mar-04	31-Mar-04		
Transamerica Life Canada	1-Apr-05	1-Apr-05		
Wawanesa Life Insurance Company, The	5-Dec-03			
OTHER	LIRA	LIF	LIRA	LIF
Alberta Treasury Branch	31-Oct-03	31-Oct-03		
§ ATB Securities Inc.	X	X		
§ ATB Investment Services Inc.	X	X		
Concentra Financial Services Association	31-Dec-04	31-Dec-04	20-Sep-06	20-Sep-06
TRUST COMPANIES	LIRA	LIF	LIRA	LIF
AGF Trust Company	1-Jan-04	1-Jan-04	23-Oct-06	23-Oct-06
§ AGF Funds Inc.	X	X		
§ Primiercia Financial Services	X	X		
B2B Trust	23-Jan-04	23-Jan-04		
BMO Trust Company	23-Jan-04	23-Jan-04		
§ BMO Bank of Montreal	X	X		
§ BMO Investments Inc.	X			
§ BMO InvestorLine Inc.	X	X		
§ BMO Nesbitt Burns Inc.	X	X		
§ Guardian Group of Funds Inc.	X	X		
§ PCG Operations RSP	X	X		
Bank of Nova Scotia Trust Company, The (Scotiast)	2-Jan-04	2-Jan-04		
§ The Bank of Nova Scotia	X	X		
§ Scotia Capital Inc.	X	X		
Canadian Western Trust	11-Jul-03	11-Jul-03	21-Aug-06	21-Aug-06
§ ATB Securities Inc.			X	X
§ BenFlex Services Inc.			X	X
§ Haywood Securities Inc.			X	X
§ Leede Financial Markets Ltd.			X	X
§ Odlum Brown Limited			X	X
§ Qtrade Asset Management Inc.			X	X
§ Qtrade Fund Management Inc.			X	X
§ Qtrade Investor Inc.			X	X
§ The Canadian Conference of the Mennonite Brethren Church of North America			X	X
§ The Recordkeeper Limited			X	X
§ Trinity Western University			X	X

	LIRA	LIF	LIRA	LIF
	27-Jun-03	27-Jun-03		
Canada Trust Company, The (merged with TD Trust)				
§ AIC Limited	X	X		
§ Arrow Hedge Partners Inc.	X	X		
§ Assante Capital Management Ltd.	X	X		
§ Assante Financial Management Ltd.	X	X		
§ Berkshire Investment Group Ltd.	X	X		
§ Berkshire Securities Inc.	X	X		
§ BluMont Capital Corporation	X	X		
§ Canadian ShareOwner Investments Inc.	X			
§ CI Investments Inc.	X	X		
§ CI Mutual Funds - Insight Program	X	X		
§ Cougar Global Investments Limited	X	X		
§ Counsel Group of Funds	X	X		
§ Dundee Securities Corporation	X	X		
§ Dundee Structured Notes	X			
§ Dynamic Mutual Funds	X	X		
§ Fidelity Investments Canada Limited	X	X		
§ Fidelity Investments Life Insurance Company	X			
§ Fidelity Retirement Services Company of Canada Limited	X	X		
§ First Ontario Fund	X			
§ First Energy Capital Corp.	X			
§ Harbour Capital	X	X		
§ Integral Wealth Securities	X	X		
§ Integral Wealth Financial	X	X		
§ Manulife Bank of Canada	X			
§ Manulife Securities International Ltd.	X	X		
§ PEAK Investment Services Inc.	X	X		
§ PEAK Securities Inc.	X	X		
§ Rice Financial Group Inc.	X	X		
§ TD Asset Management Inc.	X	X		
§ TD Bank (TD Canada Trust)	X			
§ TD Canada Trust Flexi-RIF		X		
§ TD Future Builder Group RRSP	X			
§ TDAM Private Investment Counsel	X	X		
§ TDIM Private Investment Counsel	X	X		
§ TD Waterhouse Canada Inc.	X	X		
§ TD Waterhouse Institutional Services Canada Inc.	X	X		
§ The Canada Trust Company (E&T)	X	X		
§ Tradex Management Inc.	X	X		
§ Tricycle Capital Corporation	X	X		
§ Worldsource Financial Management Inc.	X	X		

	LIRA	LIF	LIRA	LIF
CIBC Mellon Trust Company	9-Jan-04	9-Jan-04		
§ Foyston, Gordon & Payne Inc.	X	X		
§ Galileo Equity Management Inc.	X	X		
§ Integra Capital Financial Corporation	X	X		
§ Integra Capital Financial Management Corporation	X			
§ Knight, Bain, Seath & Holbrook Capital Management Inc.	X	X		
§ Laketon Investment Management Ltd.	X	X		
§ Leith Wheeler Investment Management Ltd.	X	X		
§ Manufacturers Life Insurance Company, The	X			
CIBC Trust Corporation	6-Feb-04	6-Feb-04		
§ CIBC Wood Gundy	X	X		
§ CIBC Securities Inc.	X			
§ CIBC President's Choice Financial	X			
§ CIBC Investor's Services	X			
§ CIBC Asset Management	X	X		
§ Talvest	X	X		
Clarica Trust Company	7-Aug-03			
Computershare Trust Company of Canada	17-Oct-03	17-Oct-03	22-Aug-06	22-Aug-06
§ Agence d' Assurance Partenaires Cartier			X	X
§ Blackmont Capital Inc.			X	X
§ Caldwell Securities Ltd.			X	X
§ Canaccord Capital Corporation			X	X
§ E*TRADE Canada Securities Corporation			X	X
§ Global Securities Corporation			X	X
§ GMP Securities L.P.			X	X
§ Golden Capital Securities Ltd.			X	X
§ Jory Capital Inc.			X	X
§ NBF Emissary Turnkey Solution LP			X	X
§ Northern Securities Inc. (Georgia Pacific)			X	X
§ Open Access			X	X
§ Pacific International Securities Inc.			X	X
§ Pension Financial Services Canada Inc.			X	X
§ Peters & Co. Limited			X	X
§ Raymond James Ltd.			X	X
§ Salman Partners Inc.			X	
§ Sprott Assest Management Inc.			X	X
§ Sprott Securities Inc.			X	X
§ Union Securities Ltd.			X	X
§ Wolverton Securities Ltd.			X	X

Concentra Trust	LIRA	LIF	LIRA	LIF
	16-Jul-03	16-Jul-03	20-Sep-06	20-Sep-06
§ Advantage Credit Union.			X	
§ Apex Savings Credit Union			X	
§ Assiniboine Credit Union			X	
§ Astra Credit Union			X	
§ Agassiz Credit Union			X	X
§ Asset Logics			X	
§ Battlefords Credit Union			X	X
§ Belgian Credit Union			X	
§ Beaumont Credit Union			X	
§ Bow Valley Credit Union Ltd.			X	
§ Brook Street Credit Union			X	
§ Caisse Horizon Credit Union			X	
§ Caisse Populaire La Verendrye			X	
§ Caisse Populaire Provencher Ltee			X	X
§ Calgary Police Credit Union			X	
§ Calgary Hospital Employees Credit Union Ltd.			X	
§ Cambrian Credit Union			X	X
§ Canada Safeway Limited Employees Savings & Credit Union			X	
§ Canora Credit Union Ltd.				X
§ Carpathian Credit Union Ltd.			X	
§ Casera Credit Union			X	
§ CCEC Credit Union			X	
§ Chinook Credit Union			X	X
§ Christian Credit Union			X	
§ City Plus			X	
§ Civic Credit Union			X	
§ Common Wealth Credit Union			X	X
§ Community Credit Union - MB			X	X
§ Concentra Financial Self-Directed			X	X
§ Conexus Credit Union			X	
§ Cornerstone Credit Union			X	
§ Credential Asset Management Inc.			X	X
§ Crocus Credit Union			X	
§ Crocus Investment Fund			X	
§ Crown Ventures Fund Inc.			X	
§ Cut Knife Credit Union Ltd.			X	
§ Cypress Credit Union			X	
§ Dauphin Plains Credit Union			X	
§ Debden Credit Union Ltd.			X	
§ Easternedge Credit Union			X	
§ Eckville District Savings & Credit Union			X	X

	LIRA	LIF	LIRA	LIF
§ Edson Credit Union			X	X
§ Eriksdale Credit Union			X	
§ Ethical Funds			X	X
§ 1 st Choice Savings & Credit Union Ltd.			X	
§ First Island Financial Investment Inc.			X	
§ Fisgard Capital Corporation			X	X
§ Gateway Credit Union Ltd.			X	
§ Goodsoil Credit Union Ltd.			X	
§ Growth Works WOF Ltd.			X	
§ ING Direct Funds			X	X
§ Inglewood Savings & Credit Union Ltd.			X	
§ Key Savings & Credit Union			X	X
§ Kindersley Credit Union			X	
§ Kings University College			X	
§ La Salle Credit Union			X	
§ Lakeland Credit Union			X	X
§ Langham Credit Union			X	
§ Legacy Savings and Credit Union			X	X
§ Legg Mason Canada Funds			X	X
§ Lloydminster Credit Union			X	X
§ Lutheran Church - Canada, Alberta British Columbia District Investments Ltd.			X	X
§ Macklin Credit Union Ltd.			X	
§ MacLeod Savings & Credit Union Ltd.			X	
§ Meadow Lake Credit Union Ltd.			X	
§ Mendham-Burstall Credit Union			X	
§ Mennonite Savings & Credit Union			X	X
§ Meritas Funds			X	X
§ Mountain View Credit Union			X	
§ Newfoundland and Labrador Credit Union			X	
§ North Winnipeg Credit Union			X	
§ ONE Financial Corporation			X	
§ Ottawa Women's Credit Union			X	
§ Portus Alternative Management Ltd.			X	X
§ Pegasus Savings & Credit Union Ltd.			X	
§ Pierceland Credit Union			X	
§ Pincher Creek Credit Union			X	
§ Portfolio Strategies Corporation			X	
§ Powell River Credit Union			X	
§ Prairie Centre Credit Union			X	
§ Pro-Financial Asset Management Inc.			X	
§ Prosperity (T.E. Investment Counsel Inc.)			X	

	LIRA	LIF	LIRA	LIF
§ River City Credit Union			X	
§ Rocky Credit Union			X	X
§ Rorketon & District Credit Union			X	
§ Royglenor Savings & Credit Union			X	
§ Sandhills Credit Union			X	
§ Saskatoon Credit Union			X	
§ Shell Employees' Credit Union			X	X
§ South Calgary Savings & Credit Union Ltd.			X	
§ South Interlake Credit Union			X	
§ Southwest Credit Union			X	
§ Steinbach Credit Union			X	
§ Sterling Mutuals Inc.			X	X
§ Transcanada Credit Union Ltd.			X	
§ Vanguard Credit Union			X	
§ Vermilion Credit Union Ltd.			X	X
§ Wainwright Credit Union			X	
§ Westoba Credit Union			X	
§ Wetaskiwin Credit Union Ltd.			X	
§ White Fox Savings & Credit Union			X	
Desjardins Trust Inc.	29-May-04	29-May-04	30-Oct-06	30-Oct-06
§ Desjardins Securities Inc.	X	X		
§ Northwest Mutual Funds	X	X		
HSBC Trust Company (Canada)	27-Jun-03	27-Jun-03		
§ HSBC Securities (Canada) Inc.	X	X		
§ HSBC Investments (Canada) Limited	X	X		
§ HSBC Investment Funds (Canada) Inc.	X	X		
Industrial Alliance Trust Inc.	22-Aug-05	22-Aug-05		
§ Industrial Alliance Fund Management Inc.	X	X		
§ Industrial Alliance Securities Inc.	X	X		
§ Industrial Alliance Clarington Inc.	X	X		
Investors Group Trust Co. Ltd.	6-Feb-04	6-Feb-04		
LBC Trust	4-Jan-04	4-Jan-04		
§ Elliot & Page	X	X		
§ Front Steet Captial	X			
§ Investia Financial Services Inc.	X	X		
§ Laurentian Bank of Canada	X	X		
§ Manulife Conductor Notes	X			
§ Return on Innnovation Fund Inc.	X	X		
Maple Trust Company	30-Sep-03	30-Sep-03	23-Aug-06	23-Aug-06
§ IQON Financial Inc.			X	X
§ Keybase Financial Group			X	X
§ Maple Trust			X	X
§ Maple Trust Self-Directed			X	X

	LIRA	LIF	LIRA	LIF
§ Stone & Company Limited			X	X
§ W.H. Stuart Mutuals Ltd. Self Directed			X	X
§ Your Investment Shoppers			X	X
M.R.S. Trust Company	31-Oct-03	31-Oct-03		
§ GRS Securities Inc.	X			
§ IPC Investment Corporation Registered Plan	X	X		
§ MRS Inc.	X	X		
§ MRS Securities Services Inc.	X	X		
§ Mackenzie Financial Corporation	X	X		
Natcan Trust Company	22-Aug-03	22-Aug-03	8-Sep-06	8-Sep-06
§ Altamira Investment Services Inc.			X	X
§ National Bank Financial Inc.	X	X		
§ National Bank Financial Ltd.	X	X		
§ National Bank Discount Brokerage Inc.	X	X		
§ NBCN Clearing Inc.	X	X		
§ NBCN	X	X		
National Bank Trust Inc.	22-Aug-03	22-Aug-03		
Northern Trust Company Canada, The	14-Dec-03	14-Dec-03		
§ Genus Capital Management Inc.	X	X		
Olympia Trust Company	16-Jan-04	16-Jan-04	5-Sep-06	5-Sep-06
Peace Hills Trust Company	6-Feb-04			
Royal Trust Company, The	19-Dec-03	30-Jan-01		
§ ABC Funds	X	X		
§ Acuity Funds Ltd.	X	X		
§ Adroit Group Ltd.	X	X		
§ AEGON Fund Management Inc.	X	X		
§ AIM Funds	X	X		
§ Beutel Goodman Managed Funds Inc.	X	X		
§ Brownstone Investment Planning Inc.	X	X		
§ Bullion Management Services Inc.	X	X		
§ Burgundy Asset Management	X	X		
§ Caldwell Ltd.	X			
§ Canadian Medical Association	X	X		
§ Capital Alliance Ventures	X			
§ Capstone Consultants Inc.	X	X		
§ CC & L Private Capital	X	X		
§ Chou Fund	X	X		
§ Criterion Investment Ltd. Vengrowth Capital Partners Inc.	X	X		
§ DGC Entertainment Ventures	X	X		
§ Duncan Ross Associates Ltd.	X	X		
§ Edward Jones	X	X		
§ Excel Funds Management	X	X		

	LIRA	LIF	LIRA	LIF
§ First Asset Advisory Services	X	X		
§ Franklin Templeton Management Ltd	X	X		
§ GBC Group of Funds	X	X		
§ Gluskin Sheff + Associates Inc.	X	X		
§ GoldTrust	X			
§ Highstreet Asset Management	X	X		
§ ING Investment Management Inc.	X	X		
§ Jarislowsky Fraser	X	X		
§ Jeffrey D. Slacey & Associates Ltd.	X	X		
§ Jones Collombin	X	X		
§ MD Private Trust Company	X	X		
§ MD Management Ltd.	X	X		
§ MacDougall, MacDougall & MacTier	X	X		
§ Manitou Investment Management Ltd.	X	X		
§ Marquest Investment Counsel Inc.	X	X		
§ Mavrix Group	X	X		
§ Mawer Investment Management	X	X		
§ McLean Budden	X	X		
§ Montrusco Bolton Investments Inc.	X	X		
§ Multiple Opportunities Fund	X			
§ Nexus	X	X		
§ Octagon Capital Corporation	X	X		
§ OTG Financial Services Inc.	X	X		
§ Putnam Investments	X	X		
§ QVGD Investors Inc.	X	X		
§ RBC Action Direct Inc.	X	X		
§ RBC Asset Management Inc.	X	X		
§ RBC Dominion Securities Inc.	X	X		
§ RBC Global Services	X	X		
§ RBC Structured Notes.	X	X		
§ Research Capital Corporation	X	X		
§ Retrocom Growth Fund Inc.	X			
§ Royal Bank	X	X		
§ Royal Managed Portfolio	X	X		
§ Royal Trust Personal Trust Services	X	X		
§ Saxon Funds Management Ltd.	X	X		
§ Sceptre Personal Investment Funds	X	X		
§ SEI Investments Canada Company	X	X		
§ Strategic Nova	X	X		
§ Stylus Asset Maangement Inc.	X	X		
§ Thornmark Asset Management Inc.	X	X		
§ Trend Management Limited	X	X		
§ Triax Funds	X	X		

Pre Aug 10, 2006 Post Aug 9, 2006

	LIRA	LIF	LIRA	LIF
§ UBS Bank (Canada)	X	X		
§ Value Partners	X	X		
Royal Trust Corporation of Canada	19-Dec-03	19-Dec-03		
§ Royal Managed Portfolio	X	X		
§ Royal Trust Personal Trust Services	X	X		
Standard Life Trust Company	30-Sep-03	30-Sep-03	18-Oct-06	18-Oct-06
§ Standard Life Mutual Funds Ltd.	X	X		
§ Performa Ltd.	X	X		
State Street Trust Company Canada	27-Nov-03	27-Nov-03		
§ Phillips, Hagar and North Investment Funds Limited	X	X		
§ Hartford Canada	X	X		
Sun Life Financial Trust Inc.	31-Mar-04	31-Mar-04		
Trust Company of London Life, The	17-Dec-04	17-Dec-04		