CANADIAN PARI-MUTUEL AGENCY CONSOLIDATION PARI-MUTUEL BETTING SUPERVISION REGULATIONS



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CANADIAN PARI-MUTUEL AGENCY CONSOLIDATION

PARI-MUTUEL BETTING SUPERVISION REGULATIONS

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Short Title

1. These Regulations may be cited as the *Pari-Mutuel Betting Supervision Regulations*.

Interpretation

- 2. In these Regulations,
- "account" means an account under a telephone account betting system or under an on-track account betting system, as the case may be; (compte)
- "Act" means the Criminal Code; (Loi)
- "approved" means approved in writing by the Executive Director; (approuvé)
- "approximate odds" means the odds that are calculated by an association before the close of betting on a race; (cotes approximatives)
- "Area Manager" [Repealed, SOR/2003-218, s. 1(1)]
- "Area Supervisor" [Revoked, SOR/93-255, s. 1]
- "association's percentage" means the percentage referred to in subsection 102(2) that an association deducts and retains from each pool; (retenue de l'association)
- "betting theatre" means an enclosed structure that is used for theatre betting, that is affixed to the land and that contains seating for 75 per cent of the number of persons permitted by the appropriate municipal authority to occupy the structure; (salle de paris)
- "calculating pool" means the portion of the net pool that remains after deduction of the total value or the net value as determined pursuant to paragraph 119(4)(a), as the case may be, of all bets on the winning horses in that pool; (poule de calcul)
- "cashier" means a person who cashes winning tickets for an association; (guichetier)
- "Commission" means
 - (a) an organization incorporated under the laws of a province that supervises and regulates races in the province, or
 - (b) in a province where an organization referred to in paragraph (a) has not been established, Standardbred Canada or the United States Trotting Association; (commission) [SOR/2003-218, s. 1(2)]
- "consolation double" means the pay-out price of a daily double ticket that combines a horse, entry or mutuel field that is declared the winner in the official result of the first race of the daily double with a horse, entry or mutuel field in the second race of the daily double where, after the official result of the first race is posted,
 - (a) the second race of the daily double is cancelled, or
 - (b) the horse, entry or mutuel field in the second race of the daily double is scratched from that race; (prix de consolation du pari double)
- "daily double" means a type of bet on two races on a racing card to select the winning horse in the official result in each race; (pari double)
- "day" means a period of 24 hours from midnight to midnight; (jour ou journée)
- "dead heat" means the official result of a race in which more than one horse finishes in first, second, third or fourth place, as the case may be; (égalité)
- "**Department**" means the Department of Agriculture and Agri-Food; (ministère)
- "Director" [Revoked, SOR/93-255, s. 1]
- "drug" means any substance included in the schedule; (drogue)

- "drug control service" [Repealed, SOR/2000-163, s. 1(1)]
- "drug control surveillance program" means all of the activities relating to equine drug control undertaken by the test inspectors, official chemists and official veterinarians at official laboratories and other facilities dedicated to that control; (programme de surveillance du contrôle des drogues) [SOR/2000-163, s. 1(3)]
- "EIPH list" means a list, established and maintained by a Commission pursuant to subsection 170.1(1), of horses that display visible evidence, external to the lung, of bleeding caused by exercise-induced pulmonary hemorrhage following a race, where that evidence is confirmed by an endoscopic examination of the trachea performed by a veterinarian licensed by the Commission and the examination is endorsed by a second veterinarian who is an official veterinarian; (liste IHPE)
- "enactment" means an Act or any rule, regulations, order or other instrument made under the authority of an Act; (texte législatif)
- "entry" means two or more horses in a race that, for the purpose of making a bet, are treated as one horse; (écurie couplée)
- "exactor" means a type of bet on a race to select, in the correct order, the first two horses in the official result; (couplé gagnant)
- "exchange system" means a system for issuing tickets on a daily double in which the holder of a winning ticket on the first race of the daily double is entitled to exchange it for a ticket on the second race of the daily double; (système d'échange)
- "Executive Director" means the officer who is the Executive Director of the Canadian Pari-Mutuel Agency; (directeur exécutif)
- "feature pool" means the pool corresponding to the following types of bets, namely, a daily double, an exactor, a quinella, a triactor or any bet other than a win, place or show bet that an association is authorized to offer by its permit; (poule de pari spécial)
- "final odds" means the odds that are calculated by an association after the close of betting on a race; (cotes définitives) "foreign race inter-track betting" means pari-mutuel betting at one or more satellite tracks on a foreign race, where the money bet on each pool at each satellite track is combined with the money bet on the corresponding pool that is operated by the organization holding the foreign race to form one pool, from which the pay-out price is calculated and distributed; (pari inter-hippodromes sur course à l'étranger)
- "foreign race separate pool betting" means separate pool betting in Canada on a foreign race; (pari séparé sur course à l'étranger)
- "home market area" means a geographical area that is assigned to an association in respect of a race-course within which the association is authorized to conduct telephone account betting or theatre betting, as the case may be; (zone d'exploitation exclusive)
- "horseman" means any person, group or organization that has an interest in the sharing of purses drawn from an association's percentage and the scheduling of races by the association, but does not include an officer or employee of an association; (professionnel du cheval)
- "host track" means a race-course at which a race is held on which inter-track or separate pool betting is conducted at a satellite track; (hippodrome hôte)
- "**infield board**" means a board or electronic screen that is situated in the infield of a race-course and that displays the information referred to in subsection 31(1); (tableau indicateur)
- "inter-track betting" means pari-mutuel betting at one or more satellite tracks or in one or more places in one or more foreign countries on a race that is held at a host track, where the money bet on each pool at each satellite track or place is combined with the money bet on the corresponding pool at the host track to form one pool from which the pay-out price is calculated and distributed; (pari inter-hippodromes)
- "**judge**" means a person who is licensed by a Commission to administer and enforce the applicable rules of racing at a race-course; (*juge*)
- "late scratch" means a horse that is withdrawn from a race after betting on that race has begun; (retrait de dernière heure)

- "legal percentages", in respect of a pool, means
 - (a) the association's percentage,
 - (b) the deduction from each dollar bet authorized under a provincial enactment, and
 - (c) the payment to the Receiver General, determined in accordance with subsection 204(4) of the Act; (prélèvements prescrits)
- "Minister" means the Minister of Agriculture and Agri-Food; (ministre)
- "**mutuel field**" means two or more horses in a race that, for the purpose of making a bet, are treated as one horse because the number of horses in the race exceeds the number that can be dealt with individually by the pari-mutuel system; (champ mutuel)
- "net factor" means the difference between one and the sum of the legal percentages that an association deducts from the value of each bet made on a pool, expressed as a fraction; (facteur net)
- "net pool" means the portion of a pool that remains after deduction of the legal percentages; (poule nette)
- "odds" means the probable pay-out price in the win pool or the ratio that represents that pay-out price; (cotes)
- "officer" means a person who is appointed by the Minister to supervise the operation of a pari-mutuel system and to administer and ensure compliance with these Regulations; (fonctionnaire désigné)
- "official chemist" means a chemist who is designated by the Executive Director; (chimiste officiel) [SOR/2000-163, s. 1(2)]
- "official laboratory" means a laboratory that is designated by the Executive Director; (laboratoire officiel) [SOR/2000-163, s. 1(2)]
- "official result" means the order of finish of the horses in a race as declared by the stewards or judges, as the case may be; (résultat officiel)
- "official sample" means a sample of blood, urine or any other bodily substance that is, by means of approved paraphernalia, collected from a horse and packaged and sealed by or under the supervision of a test inspector; (échantillon officiel) [SOR/2000-163, s. 1(2)]
- "official veterinarian" means a veterinarian referred to in section 156; (vétérinaire officiel)
- "on-track account betting" means pari-mutuel betting conducted at a race-course or in a betting theatre of an association otherwise than by buying a ticket, and in accordance with sections 84.1 to 84.9; (pari sur hippodrome)
- "on-track account betting system" means the services, facilities and equipment used for conducting on-track account betting; (système de pari sur hippodrome)
- "outstanding ticket" means a winning ticket that has not been cashed before the end of the racing day for which it was issued; (billet impayé) [SOR/2003-218, s. 1(2)]
- "pari-mutuel department" means
 - (a) the facilities of an association in which the pari-mutuel system is situated, and
 - (b) the operations of an association related to the pari-mutuel system; (service de pari mutuel)
- "pari-mutuel system" means the manual, electro-mechanical or computerized system and all software, including the totalizator, the telephone account betting system, the on-track account betting system and the inter-track betting equipment, that are used to record bets and to transmit betting data; (système de pari mutuel)
- "pay-out price", in respect of a pool, means the amount of money that is payable to the holder of a winning ticket or to an account holder who has made a winning bet, for each dollar bet by the holder or account holder, as the case may be, calculated in accordance with Part IV; (rapport)
- "permit" means a permit issued under section 6; (permis)
- "place" means a type of bet on a race to select a horse to finish first or second in the official result; (pari «placé»)
- "**pool**", in respect of each type of bet that may be made on a race, means the total amount of money bet on the race; (poule)
- "post time" means the time that is set for the start of a race; (heure de départ)
- "quantitative limit" [Repealed, SOR/2000-163, s. 1(1)]
- "quinella" means a type of bet on a race to select, in any order, the first two horses in the official result; (jumelé)
- "race" means a running, trotting or pacing horse-race on which pari-mutuel betting is conducted; (course)
- "race-meeting" means a series of racing cards that is held by an association at a race-course; (réunion de courses)
- "racing card" means a number of races that are scheduled to be run consecutively during a specified period on any one day at a race-course; (programme de courses)

- "**retention area**" means the area and facilities within the race-course of an association that are provided by the association for the collection and securing of official samples; (enclos) [SOR/2000-163, s. 1(2)]
- "rules of racing" means
 - (a) in the case of a race held in a province, the rules made by a Commission, and
 - (b) in the case of a foreign race, the rules made by the governing body that regulates the race; (*règles de course*)
- "satellite track" means a race-course where, by way of inter-track and separate pool betting, bets are taken on races held at a host track; (hippodrome satellite)
- "scratched" in respect of a horse, means a horse that does not start or compete in a race because it is
 - (a) declared a late scratch,
 - (b) determined not to have had a fair start, pursuant to the applicable rules of racing,
 - (c) declared to be a non-contestant, pursuant to the applicable rules of racing,
 - (d) added to the race after betting has begun, in contravention of subsection 52(3), or
- (e) a horse in relation to which an officer has ordered that betting be closed under subsection 52(4); (retiré)
- "seller" means a person who issues tickets for an association; (vendeur)
- "separate pool betting" means pari-mutuel betting at one or more satellite tracks or in one or more places in one or more foreign countries on a race that is held at a host track, where the money bet on each pool at each satellite track is retained at that satellite track or is combined with the money bet on the corresponding pool at another satellite track or tracks or at a place or places to form one pool from which the pay-out price is calculated and distributed; (pari séparé) "show" means a type of bet on a race to select a horse to finish first, second or third in the official result; (pari «classé»)
- "**special sample**" [Repealed, SOR/2000-163, s. 1(1)]
- "steward" has the same meaning as "judge"; (version anglaise seulement)
- "tag" [Repealed, SOR/2000-163, s. 1(1)]
- "**telephone**" means any telecommunication device that can be used to record and verify a bet made by an account holder; (*téléphone*) [SOR/2003-218, s. 1(3)]
- "telephone account betting" means pari-mutuel betting conducted by an account holder by means of a telephone; (pari par téléphone) [SOR/2003-218, s. 1(2)]
- "telephone account betting system" means the recording devices and related equipment that are used to record and conduct telephone account betting; (système de pari par téléphone) [SOR/2003-218, s. 1(2)]
- "teller" means a person who issues and cashes tickets for an association; (caissier)
- "test" [Repealed, SOR/2000-163, s. 1(1)]
- "test inspector" means a person who is designated as a test inspector by the Executive Director for the purpose of collecting or supervising the collection of official samples; (inspecteur des prélèvements) [SOR/2000-163, s. 1(2)]
- "theatre betting" means pari-mutuel betting that is conducted in a betting theatre in accordance with these Regulations; (pari en salle)
- "theatre licence" means a licence that is issued by the Executive Director to an association to conduct theatre betting under subsection 85(7); (permis de pari en salle)
- "ticket" means a receipt that is issued by an association for one or more bets on a race; (billet)
- "triactor" means a type of bet on a race to select, in the correct order, the first three horses in the official result; (triplé)
- "win" means a type of bet on a race to select a horse to finish first in the official result; (pari «gagnant»)
- "winning ticket" includes a ticket that is eligible for refund; (billet gagnant)
- "**year**" means a calendar year. (année)

[SOR/91-518, s. 1; SOR/93-255, ss. 1, 3; SOR/95-262, s. 1; SOR/96-431, s. 1; SOR/99-55, s. 1; SOR/2000-163, s. 1; SOR/2003-218, s. 1]

PART I - PERMITS

- 3. (1) No association shall conduct pari-mutuel betting unless
 - (a) the association has been issued a permit; and
 - (b) the pari-mutuel system and the facilities for its supervision and operation have been approved.
 - (2) A permit referred to in paragraph (1)(a) expires at the end of the year in which it is issued.
 - (3) Approvals of the pari-mutuel system and its facilities referred to in paragraph (1)(b) expire at the end of the year in which they are given.
- **4.** (1) An association shall submit an application for a permit to an officer not later than October 31 of the year immediately preceding the year for which the permit is requested. [SOR/2003-218, s. 38]
 - (2) An association shall, at the time it submits an application referred to in subsection (1), send a copy of the application to the appropriate Commission. [SOR/93-255, s. 4]
- **5.** (1) An association shall, in making an application for a permit,
 - (a) provide evidence that the association is a corporation in good standing under the laws of the jurisdiction in which it was incorporated;
 - (b) name the owners and directors of the association and any person who holds or exercises control over 10 per cent or more of any voting shares issued by the association;
 - (c) provide evidence that the association
 - (i) owns the race-course on which the races are to be run, or
 - (ii) holds a lease on the race-course on which the races are to be run, for the duration of the permit;
 - (d) request approval for the association's percentage;
 - (e) request approval for the types of bets that the association proposes to offer and the method of calculation that the association proposes to use for each type of bet;
 - (f) request approval for the dates and times on which the association proposes to conduct pari-mutuel betting;
 - (g) submit proposed dates during the two years next after the year for which the permit is requested on which the association wishes to conduct pari-mutuel betting;
 - (h) where the association has contracted the operation of the pari-mutuel system to another person,
 - (i) provide a copy of the contract, and
 - (ii) name the person who manages and operates the pari-mutuel system at the association's race-course;
 - (i) where the association intends to apply for a theatre betting licence, be in compliance with the requirements of paragraph 85(4)(f);
 - (j) where the association intends to apply for authorization to conduct inter-track or separate pool betting, be in compliance with the requirements of paragraph 90(1)(d);
 - (k) where the association intends to apply for approval to conduct foreign race inter-track betting or foreign race separate pool betting, be in compliance with the requirements of paragraph 94(f); and
 - (l) provide such other information respecting the ownership and financial circumstances of the association as may be required by the Executive Director to determine whether the association will be able to conduct pari-mutuel betting in accordance with the Act and these Regulations.
 - (2) A permit shall not be issued where the information provided under paragraphs (1)(h) and (l) discloses that an association is not able to conduct pari-mutuel betting in accordance with the Act or these Regulations. [SOR/92-628, s. 1; SOR/93-255, s. 3; SOR/95-262, s. 2]

- **6.** (1) Subject to these Regulations, the Executive Director may, on application, issue a permit to an association.
 - (2) A permit that is issued to an association shall
 - (a) fix for a year the dates and times at which the association may conduct pari-mutuel betting; and
 - (b) set out the terms and conditions of the permit, including
 - (i) the type of bets that the association may offer and the method of calculation that the association may use for each type of bet,
 - (ii) the facilities and equipment that require improvements to be made, including those associated with the provision of any photo finish surveillance program or video patrol surveillance program or with the undertaking of the drug control surveillance program activities, before the association may conduct pari-mutuel betting at its race-course, and [SOR/2000-163, s. 2; SOR/2003-218, s. 2]
 - (iii) any limitations or restrictions on pari-mutuel betting that arise from the type of pari-mutuel system used at the association's race-course. [SOR/93-255, s. 3; SOR/95-262, s. 3]
- 7. (1) The Executive Director may, by written notice to an association,
 - (a) amend the terms and conditions of its permit; or
 - (b) suspend or cancel its permit, where the association
 - (i) contravenes any provision of the Act or these Regulations, or
 - (ii) fails to comply with any term or condition of its permit.
 - (2) An association may apply to the Executive Director to amend its permit.
 - (3) Where an association applies to the Executive Director to amend its permit, it shall send a copy of its application to the appropriate Commission.
 - (4) An association shall, on request of the Executive Director, immediately provide him or her with notice of any change in the owners or directors of the association and of any change in the persons who hold or exercise control 10 per cent or more of any voting shares issued by the association. [SOR/93-255, s. 3; SOR/2003-218, s. 3]
- **8.** [SOR/93-255, s. 3; SOR/2003-218, s. 4]
- 9. Where, in an application for a permit, an association proposes to conduct pari-mutuel betting at a new race-course located within 80 km of an existing race-course on the same day as at the existing race-course, the Executive Director shall, with respect to the fixing the dates on which an association may conduct pari-mutuel betting, give priority to the association that conducted pari-mutuel betting on that day in the previous year. [SOR/93-255, s. 3; SOR/2003-218, s. 4]
- 10. An association shall display its permit prominently in a public place at its race-course.
- **11.** [Repealed, SOR/2003-218, s. 5]

PART II – PARI-MUTUEL SYSTEMS AND RELATED FACILITIES

Requirements Generally

- 12. (1) An association shall, no fewer than 48 hours before the beginning of a race-meeting, permit an officer to test
 - (a) the pari-mutuel system and to examine the facilities for its supervision and operation to ensure that they meet the requirements of this Part and are in good working condition; and
 - (b) the persons who operate the pari-mutuel system to ensure that they are qualified to operate it.
 - (2) Where an association proposes a change in its pari-mutuel system or in the persons who operate it, the association shall notify an officer and permit the officer to conduct any applicable test.
- 13. (1) For the proper supervision and operation of a pari-mutuel system at a race-course, an association shall
 - (a) provide rooms and areas that are lighted, ventilated and equipped with plumbing and electricity to meet the requirements of the operations carried out therein;
 - (b) provide a window for giving information and for receiving complaints;
 - (c) ensure that each seller, cashier, teller or self-activated terminal is identifiable by means of a name or number that is visible to any person who obtains or cashes a ticket;
 - (d) provide, for the use of an officer, an office in the immediate vicinity of the totalizator that is equipped with
 - (i) a telephone line capable of linking up with computer equipment,
 - (ii) a storage cabinet and a filing cabinet that can be locked, and
 - (iii) such additional furnishings as are necessary to permit the officer to perform the officer's duties; and
 - (e) where a computerized or other approved pari-mutuel system is used, provide a device, located in the immediate vicinity of the totalizator and in any other locations that are authorized by an officer, for use by an officer or the association, to close betting on a race. [SOR/2003-218, s. 6]
 - (2) Paragraph (1)(d) does not apply in respect of a race-course that conducts races on fewer than 10 days in a year. [SOR/93-255, s. 4]
- 14. An association shall maintain a daily written record and, where applicable, a computerized print-out, of the pari-mutuel system's operations and maintenance and shall retain those records and print-outs for a period of 30 days after the end of every race-meeting.

Requirements for Computerized Pari-mutuel Systems

- 15. Where an association uses a computerized pari-mutuel system, the system shall not be approved unless
 - (a) it is capable of accurately recording bets;
 - (b) it is capable of accurately calculating the pay-out prices;
 - (c) the tickets printed by the system contain the information set out in section 16; and
 - (d) the association provides the Executive Director, on request, with
 - (i) a description of the pari-mutuel system, including a diagram of the configuration of the totalizator,
 - (ii) a description of the method for sending and receiving pari-mutuel information between host and satellite tracks,
 - (iii) a description of the interface capabilities of the pari-mutuel system,
 - (iv) a description of the security system for the pari-mutuel system,
 - (v) a description of the controlled access to the pari-mutuel system,
 - (vi) a description of the security system that protects all records pertaining to the pari-mutuel system, including records of outstanding tickets and all approved types of pari-mutuel betting, ISOR/2003-218, s. 7]

- (vii) a description of procedures to implement modifications and testing of the pari-mutuel system,
- (viii) a catalogue of all the pari-mutuel system computer tapes that are used at the association's race-course, and
- (ix) a glossary of terms, procedural-log explanations and any other information required to enable an officer to audit the pari-mutuel system. [SOR/93-255, s. 3]
- **16.** An association shall ensure that every computerized ticket sets out
 - (a) the name of the race-course;
 - (b) the value of each individual bet;
 - (c) the amount of money bet;
 - (d) the type of bet;
 - (e) the number of the race;
 - (f) a code that uniquely identifies the ticket;
 - (g) the date the ticket was issued;
 - (h) the number of the horse or horses on which the bet was made; and
 - (i) the number of the ticket-issuing machine.
- 17. Whenever a device referred to in paragraph 13(1)(e) to close betting in a computerized pari-mutuel system has been activated, the association shall record on its pari-mutuel system log file
 - (a) the device that was used to close betting; and
 - (b) the time of day in hours, minutes and seconds at which betting was closed.

Requirements for Electro-mechanical Pari-mutuel Systems

- **18.** (1) Where an association uses an electro-mechanical pari-mutuel system with ticket-issuing machines, the system shall not be approved unless each ticket-issuing machine
 - (a) is equipped with a sealed mechanism that records the progressive total of tickets issued through the machine:
 - (b) is equipped with a mechanism that can lock any of the keys on the machine;
 - (c) is of a type from which a record can be obtained showing the sale of tickets on each horse, entry or mutuel field, where the machine is used without a totalizator; and
 - (d) is capable of printing on tickets the information set out in subsection 19(1).
 - (2) An association shall, when using an electro-mechanical pari-mutuel system with ticket-issuing machines, ensure that all the sales counters of each ticket-issuing machine are set at zero after the sales have been recorded and before betting on the next race begins.
- 19. (1) An association shall ensure that every ticket issued by a ticket-issuing machine has printed on it
 - (a) the name of the race-course;
 - (b) the amount of money bet;
 - (c) the type of bet;
 - (d) the number and date of the race; [SOR/2003-218, s. 8(1)]
 - (e) a unique reference code that identifies the transaction; [SOR/2003-218, s. 8(1)]
 - (f) the date the ticket was issued;
 - (g) the number of the horse or horses on which the bet was made; and
 - (h) the number of the ticket-issuing machine.
 - (2) [Repealed, SOR/2003-218, s. 8(2)]

Testing and Breakdown of Computerized and Electro-mechanical Pari-mutuel Systems

- **20.** An association shall, each day prior to the opening of betting,
 - (a) test the operation of the pari-mutuel system;
 - (b) make all the necessary repairs and adjustments to the pari-mutuel system; and
 - (c) notify an officer that the pari-mutuel system is operating properly.
- 21. An association shall forthwith notify an officer in writing where, by reason of mechanical or electrical failure, any error or faulty operation of the pari-mutuel system or its related electrical equipment is discovered.

Requirements for Manual Pari-mutuel Systems

- **22.** (1) Where an association uses a manual pari-mutuel system with pre-printed tickets, each ticket shall have printed on it
 - (a) the amount of money bet;
 - (b) the type of bet;
 - (c) a control number that enables an association to determine the number of tickets sold; and
 - (d) a number that corresponds to the horse, entry or mutuel field on which the bet is made.
 - (2) An association shall ensure that the pre-printed tickets referred to in subsection (1) are kept in decks bearing consecutive control numbers and that the tickets issued for each race begin with control numbers starting with zero, ten or any multiple of ten.
- **23.** (1) Where all the pre-printed tickets in a deck have been issued in respect of a race, an association shall, if necessary, issue tickets from an additional deck of pre-printed tickets.
 - (2) Where an association issues a deck of pre-printed tickets in which the number referred to in paragraph 22(1)(d) is not the same as the number of the horse set out in the race program, the association shall immediately bring to the attention of the public the discrepancy in the two numbers by posting the discrepancy on the notice-board during betting and immediately after betting has closed and indicate the numbers on the calculation and record sheets referred to in subsection 24(1).
- **24.** (1) An association shall, immediately after the close of betting, compile a record sheet of the tickets issued for each pool, calculate the pay-out prices and provide an officer with that information.
 - (2) An association shall, at the request of an officer, assist the officer to make a cross-check of the total number of tickets issued, as recorded on the record sheet under subsection (1), against the number of unissued pre-printed tickets.

Race Program

- **25.** An association shall provide, in respect of every racing card, by purchase or otherwise, a race program that sets out
 - (a) the name of the association conducting the pari-mutuel betting;
 - (b) directly underneath the association's name, a statement that the supervision of the pari-mutuel betting is provided by the Minister, the name of the Minister and a statement that all pools are calculated and distributed in accordance with these Regulations; [SOR/2000-163, s. 3(1)]
 - (c) the date and day of the week of the racing card;
 - (d) the number of each race;
 - (e) an explanation of all symbols that may appear on a ticket or a ticket-issuing machine;
 - (f) the terms and conditions of a ticket;

- (g) the distance of each race;
- (h) the number worn by each horse in a race and, where that number is different from the post position of a horse, the post position of the horse or a blank space in which that information may be written;
- (i) where pre-printed tickets are used, the number referred to in paragraph 22(1)(d);
- (j) the name, colour, sex, age, sire and dam of each horse on the racing card;
- (k) the name of the trainer, owner and scheduled jockey or driver of each horse on the racing card;
- (1) the colours of silks, where required, to be worn by the jockey or driver of each horse on the racing card;
- (m) the weight assigned by the association to each horse in a running horse-race;
- (n) the eligibility conditions that have to be met by each horse in a race;
- (o) the amount of purse money or the prizes offered for each race;
- (p) in the case of a race-course that has more than one type of track, the types of track on which each race will be run;
- (q) the types of bets offered on each race;
- (r) a list of the ratios that are used by an association to display odds;
- (s) a statement that approximate odds represent only the probable pay-out price of the win pool at the time they are posted and have no bearing on the pay-out price for any other pool;
- (t) a statement that where, pursuant to section 33, approximate odds for any horse are posted as "1:9", the pay-out price based on a \$2 bet on the win pool may be as low as \$2.10;
- (u) the location of
 - (i) the notice-board, and
 - (ii) where applicable, the photo finish display board;
- (v) the legal percentages;
- (w) if applicable, a symbol indicating which horses have been administered furosemide in the manner set out in paragraph 170.1(1)(e); [SOR/2000-163, s. 3(2)]
- (x) a statement that all tickets should be kept until the official result of the race is declared; and
- (y) a statement that any type of bet offered by the association set out in the race program means that type of bet as defined in section 2, and, in the case of a type of bet authorized by the association's permit, that is not defined in section 2, as that type of bet is specified in the permit.
- **26.** (1) An association that conducts 10 or more days of pari-mutual betting during a year shall, in respect of every racing card, in addition to the information required by section 25, include in a race program or in some other publication that the association shall make available at the race-course, the following information:
 - (a) subject to subsection (2), in respect of each horse in a race, the chart lines of the last six races of that horse, listing the most recent race first and including, for each race,
 - (i) the date,
 - (ii) the name of the race-course,
 - (iii) the size and type of track,
 - (iv) the track condition,
 - (v) the type of race,
 - (vi) where applicable, the weight assigned to the horse,
 - (vii) where applicable, the symbol for a free-legged pacer or a trotter wearing hopples,
 - (viii) the distance,
 - (ix) the time of the leading horse at each measured fraction of the track, including the time of the winning horse,
 - (x) the post position of the horse,
 - (xi) the position of the horse at each measured fraction of the track,
 - (xii) the position of the horse in the stretch and the number of lengths, if any, behind the leading horse.
 - (xiii) the position of the horse in the official result,
 - (xiv) the number of lengths, if applicable, that the horse finished behind the winning horse,
 - (xv) the time for the horse to complete the race,

- (xvi) the final odds on the horse, expressed in dollars,
- (xvii) the name of the jockey or the driver of the horse,
- (xviii) the names of the horses that in the official result came in first, second and third,
- (xix) if the horse was scratched, the reason therefor;
- (xx) where applicable, the symbols that indicate that the horse had broken gait, was parked-out, caused a recall or was suffering from exercise-induced pulmonary hemorrhage, and
- (xxi) if the horse ran with the aid of a drug, the name of, or symbol for, the drug;
- (b) in respect of each horse in a race, a summary for the current year and the preceding year of that horse's
 - (i) starts in purse races, including the number of times the horse finished first, second and third in the official result, and
 - (ii) amount of earnings from purse races;
- (c) in respect of each horse in a race, the horse's best time in a race in the current year and the preceding year;
- (d) in respect of each horse in a race, a symbol that identifies the type of licence issued by a Commission to the jockey or driver of the horse; and
- (e) an explanation of the information referred to in paragraphs (a) to (d).
- (2) Where chart lines are required under paragraph (1)(a) and a horse has not raced six times, the race program shall show
 - (a) chart lines of as many past races as the horse has raced; and
 - (b) the horse's running time in its last official work-out or qualifying race, as the case may be.
- **27.** (1) The Executive Director may approve the use by an association of an abbreviated version of its race program where
 - (a) betting begins more than one hour before post time of the first race of a racing card; or
 - (b) the association conducts foreign race separate pool betting.
 - (2) Where, pursuant to subsection (1), the use by an association of an abbreviated version of its race program is approved, the abbreviated version shall contain all the information required by section 25. [SOR/93-255, s. 3]
- **28.** An association shall ensure that the number worn by each horse is the same as the number of that horse as shown on the race program.
- **29.** Where the surname and initials of two or more persons appearing on a race program are identical, the race program shall identify those persons by their full given names or addresses.
- **30.** Where a race program refers to any provision of these Regulations or to any excerpts therefrom, those references shall employ the exact language of the provisions or excerpts as they appear in these Regulations.

Information Display

- **31.** (1) An association that conducts 10 or more days of pari-mutuel betting during a year shall provide an infield board on which the association shall display, for each race,
 - (a) the odds referred to in section 32;
 - (b) the official result of the first four horses in the race;
 - (c) the winning combinations of horses for any feature pool;
 - (d) the pay-out prices;
 - (e) the race number;
 - (f) dead heats, if any;

- (g) the post time;
- (h) the running time of the race;
- (i) the words "Photo", "Official" and "Objection" or "Inquiry", as the case may be; and
- (i) the entries and mutuel fields, if any.
- (2) An association shall ensure that its infield board is adequately lit so that the information shown on it is visible to the public attending at the race-course.
- (3) An association shall, no fewer than 48 hours before the beginning of a race-meeting, permit an officer to examine its infield board to determine whether it meets the requirements of subsections (1) and (2).
- **32.** (1) An association shall display on an infield board the approximate odds and the final odds on each horse, entry or mutuel field in a race.
 - (2) During the 10 minutes before the close of betting, an association shall display the approximate odds referred to in subsection (1) on its infield board, at intervals not exceeding two minutes.
- **33.** Where the odds are 1 to 10 or less and the infield board is one that displays the odds in terms of a ratio and is incapable of displaying odds of 1 to 10 or less, those odds may be displayed by the expression "1:9" and the lower odds need not be otherwise displayed.
- **34.** Where the odds are higher than 99 to 1 and the infield board is one that displays the odds in terms of
 - (a) a ratio, the odds may be displayed by the expression "99:1", or
 - (b) a pay-out price, the odds may be displayed as "\$99.90", and the higher odds need not be displayed.
- 35. The final odds on each horse, entry or mutuel field shall be displayed by an association on its infield board (a) subject to subsection 73(2), within one minute after the start of the race or before the first horse crosses
 - the finish line, whichever occurs first; and [SOR/2003-218, s. 9] (b) for at least 30 seconds after the pay-out prices for a race are posted. [SOR/2003-218, s. 9]
- **36.** An association shall ensure that the official result of the first four horses in a race and the pay-out prices remain displayed on the infield board for three minutes after the end of the race or until such time as the horses for the next race begin their post parade, whichever is later.
- **37.** An association shall provide an officer, in writing, in respect of each race, with the approximate odds, the final odds and the pay-out prices.
- **38.** Where an infield board that displays data by means of an electronic display fails to function properly, an association shall use an alternative form of public display during such period as is reasonable to effect the necessary repairs to, or the replacement of, the infield board.
- **39.** An association shall provide a notice-board on which it shall post, from the time that it comes to the association's attention until the end of the racing card, any errors to be corrected, or changes to be made in a race program, a publication referred to in subsection 26(1) or an abbreviated version of a race program referred to in section 27.
- **40.** (1) An association shall display, immediately after the end of each race, in a manner readily visible to the public, the following information:
 - (a) the numbers of the winning horses and winning combinations of horses;
 - (b) the pay-out prices for each pool; and
 - (c) the number of any scratched horses. [SOR/2003-218, s. 10]

- (2) An association that hosts a pool shall, on request, make available the following information:
 - (a) the amount of money bet on each horse and on each winning combination of horses; and
 - (b) the total amount of money bet on each pool. [SOR/2003-218, s. 10]
- (3) An association that hosts a pool shall, on request of an officer, make available any information related to the pool. [SOR/2003-218, s. 10]

Public-Address System

- **41.** An association shall provide a public-address system at a race-course.
- **42.** An association shall announce on its public-address system, immediately after it has been notified of any of the following by an officer or a judge or steward, as the case may be,
 - (a) a late scratch;
 - (b) an unfair start;
 - (c) a horse that is declared to be a non-contestant;
 - (d) any objection or inquiry;
 - (e) the result of any objection or inquiry that is posted on the infield board;
 - (f) any entry that is not reflected in the race program;
 - (g) any refunds that are required to be made under Part IV; and
 - (h) any errors to be corrected or changes to be made in the race program that are posted on the notice-board pursuant to section 39.

Communication System

- 43. An association shall provide, at every race-course, a telephone or other electronic communication system
 - (a) between the judges' stand and
 - (i) the pari-mutuel department,
 - (ii) the infield board,
 - (iii) the paddock,
 - (iv) the starting gate, and
 - (v) where applicable, the facilities of the photo finish surveillance program, the video patrol surveillance program and the drug control surveillance program; and [SOR/2000-163, s. 4; SOR/2003-218, s. 11]
 - (b) between the pari-mutuel department and the infield board.

Finish Line

44. An association shall provide a finish line on the track at its race-course that has been established by a surveyor and that is located at right angles to the track, immediately in front of the judges' stand or, if the association has a photo finish surveillance program, immediately in front of the photo finish camera. [SOR/2003-218, s. 12]

Artificial Lighting

45. An association that holds races after dusk shall provide artificial lighting of the entire track.

Photo Finish Surveillance Program

46. An association that has a photo finish surveillance program shall provide any facilities that are required for the proper operation of the program. [SOR/2003-218, s. 13]

- **47.** (1) Where an association has a photo finish surveillance program, the association shall display a photo finish picture immediately after the judges decide the official result, on a photo finish display board provided by the association at a location approved by an officer and stated in the race program, whenever
 - (a) the "Photo" sign is displayed on the infield board; or
 - (b) at the finish line, the distance between horses involved in a pari-mutuel pay-out is less than a neck. [SOR/2003-218, s. 13]
 - (2) An association that has a photo finish surveillance program shall ensure that each horse is equipped with a number on its head and on its saddle pad that corresponds to the number of the horse in the race program and that will be clearly identifiable in a photo finish picture. [SOR/2003-218, s. 13]
 - (3) An association that runs trotting or pacing horse races and has a photo finish surveillance program shall ensure that the wheel discs of the sulkys in a race are of a colour and type that do not interfere with the identification of the horses in a photo finish picture. [SOR/2003-218, s. 13]

Video Patrol Surveillance Program

48. An association that has a video patrol surveillance program shall provide any facilities that are required for the proper operation of the program. [SOR/2003-218, s. 13]

Drug Control Surveillance Program

49. An association that has a drug control surveillance program shall provide a retention area on its premises for the activities relating to the program and shall provide access to persons concerned to undertake those activities. [SOR/2000-163, s. 5; SOR/2003-218, s. 13]

Access

50. An association shall permit an officer unrestricted access at all times to its facilities and equipment and to all books, ledgers, accounts, documents and records of the association that relate to pari-mutuel betting.

PART III - PARI-MUTUEL BETTING OPERATIONS

Requirements Respecting Pari-mutuel Betting Operations

- **51.** (1) No association shall conduct pari-mutuel betting on races that are not held in accordance with the applicable rules of racing.
 - (2) No association shall offer a type of bet that is not expressly authorized under its permit.
 - (3) The Executive Director may set out terms and conditions in the association's permit governing each type of bet.
 - (4) Where a bet is made in foreign currency, the value of the bet for the purposes of calculating the applicable deductions and pay-out prices shall be determined by converting the foreign currency into Canadian currency at the rate of exchange between the Canadian dollar and that foreign currency as quoted by the Bank of Canada at noon on the day immediately preceding the day on which the post time of the first race of the racing card is scheduled. [SOR/93-255, s. 3; SOR/95-262, s. 4]
- **52.** (1) An officer or an association may cancel a type of bet on a race, or on a horse, entry or mutuel field in the race, before betting begins on that race.
 - (2) Subject to subsections (3) and (4), no association shall, after betting has begun on a race, refuse to accept bets on a horse, entry or mutuel field in the race.
 - (3) No association shall conduct pari-mutuel betting on a horse, entry or mutuel field that is
 - (a) a late scratch; or
 - (b) added to a race after betting has begun.
 - (4) No association shall conduct pari-mutuel betting on a race or on a horse, entry or mutuel field in a race where an officer has ordered that that betting be closed because there are reasonable grounds for the officer to believe that the integrity of betting on that race or on the horse, entry or mutuel field, as the case may be, is in doubt.
- **53.** (1) Except as provided in sections 76 to 89, no association shall accept bets or instructions to bet on a race from any person by telephone, telegram or any other means of communication that originates from outside the race-course at which the race is taking place.
 - (2) Except as provided in sections 76 to 84.9, no association shall accept bets or instructions to bet on a race from any person unless that person tenders to the association, for each bet, cash or a voucher issued by the association in exchange for cash. [SOR/99-55, s. 2]
- **54.** (1) Subject to subsections 81(2) and 84.6(2), a bet is made when a ticket is issued.
 - (2) Where a person makes a tender in accordance with subsection 53(2), the association shall immediately
 - (a) issue a ticket to the person; or
 - (b) where, for any reason, a ticket cannot be issued, return the cash or voucher to the person. [SOR/99-55, s. 3]
- 55. (1) Where betting has begun on a race and a ticket on a type of bet cannot be issued owing to a defective totalizator or because there are no pre-printed tickets available, an association shall close betting on that type of bet and shall not resume offering that type of bet unless, prior to the race,

- (a) the defect in the totalizator is rectified; or
- (b) pre-printed tickets for that type of bet become available.
- (2) Where, pursuant to subsection (1), a type of bet on a race is closed until such time as the race is run, all bets of that type that were made before betting was closed shall constitute the pool.
- **56.** Where an association proposes to cancel or cancels any race on a racing card, the association shall immediately notify an officer. [SOR/93-255, s. 4; SOR/2003-218, s. 38]
- **57.** (1) No association shall, on request of a person, cancel a bet and provide a refund to that person except in accordance with this section.
 - (2) Subject to subsections (5) and (6), where a person requests the cancellation of a bet, the association shall cancel the bet and provide a refund to the person if the request and cancellation take place before the betting on the race has closed.
 - (3) Where a person who makes a bet claims immediately upon receiving a ticket that the ticket is incorrect, the association shall cancel the bet and provide a refund to the person.
 - (4) Where an officer has reasonable grounds to believe that, on request of a person, the cancellation and refund of a bet made to that person may impact negatively on the integrity of the betting, the officer shall order the association to refuse to cancel and refund the bet.
 - (5) No association shall, on request of a person, cancel a bet and provide a refund to that person where
 (a) that association has reasonable grounds to believe that the cancellation and refund of the bet may impact negatively on the integrity of the betting; or
 - (b) an officer orders the association to refuse to cancel and refund the bet under subsection (4).
 - (6) In order to prevent the cancellation of a bet from distorting or manipulating information displayed to the public in respect of a pool, a permit issued under subsection 6(1) shall contain the following conditions based on an historical record of the relevant betting patterns of an association and the horse racing industry:
 - (a) a threshold monetary amount of a bet above which an association may not, on request of a person, cancel the bet unless the person provides satisfactory proof of the person's identity; and
 - (b) time limits, in reference to the betting on a race, outside of which an association may not, on request of a person, cancel a bet.
 - (7) An association shall notify the public of the terms on which a bet may, on request of a person, be cancelled. [SOR/99-360, s. 1]
- **58.** No association shall refuse a claim for payment or a refund on a mutilated or torn ticket where the ticket can be identified to the satisfaction of an officer as a winning ticket.
- **59.** (1) No association shall, before betting has closed, subtract the value of any bet from a pool unless the ticket that was issued for the bet was
 - (a) mutilated by the ticket-issuing machine and refunded; or
 - (b) taken back and refunded and was not reissued.
 - (2) Where the value of a bet is subtracted from a pool under subsection (1), an association shall immediately notify an officer of the amount of money subtracted and, where applicable, of the seller's or teller's name.

- **60.** (1) Where a person makes a complaint respecting pari-mutuel betting to an association, the association shall immediately make out a complaint report, setting out
 - (a) the name of the complainant;
 - (b) the nature of the complaint;
 - (c) the name of the person, if any, against whom the complaint is made;
 - (d) the date of the complaint; and
 - (e) the action taken or proposed to be taken, if any, by the association.
 - (2) An association shall give every complaint report to an officer within 48 hours after the complaint has been made.

Pari-mutuel Department

- **61.** An association that has reasonable grounds to believe that an employee of a pari-mutuel department has contravened section 204 of the Act or these Regulations shall suspend or terminate that employee from employment and shall forthwith notify the Executive Director of the suspension or termination. [SOR/93-255, s. 3]
- **62.** An association shall maintain a current list of the names and addresses of the supervisory personnel of the pari-mutuel department and shall forthwith provide the list to an officer on request.
- **63.** (1) Subject to subsection (2), no person who is an employee of a pari-mutuel department shall, while that person is inside the pari-mutuel department,
 - (a) place a bet or come into possession of a ticket; or
 - (b) sell a ticket to or cash a ticket from a person other than a person who is on the opposite side of the employee's betting window.
 - (2) Subsection (1) does not apply to an on-track messenger employed by an association to place bets on behalf of persons attending at the race-course.
- **64.** (1) An association shall determine shortages and overages by comparing the actual amount of money turned in by each seller, cashier, teller or terminal, as the case may be, with receipts in respect of cash draws and cash returns and records of the value of all bets made, bets cancelled, bets cashed and bets refunded.
 - (2) Subject to section 65, no association shall offset a shortage by an overage.
 - (3) Where an association determines that there is a shortage in the money in the money box of an employee of the pari-mutuel department, that employee shall forthwith reimburse the association for the amount of the shortage.
- 65. (1) At the end of each racing day, an association shall add together any overages occurring in any races of that racing day and the resulting sum shall then be added, after betting has ended but before the pay-out price is calculated, to the first pool that is conducted on the third day on which pari-mutuel betting is held by that association after the day on which the overages occurred. [SOR/2003-218, s. 14]
 - (2) Where overages are to be added to a pool, they shall be added to the net pool. [SOR/2003-218, s. 14]
- **66.** (1) Before the start of each racing card or within 24 hours after the completion of any race-meeting, whichever occurs first, an association shall prepare and provide an officer with a statement or computer print-out for the immediately preceding racing card that contains
 - (a) in the case of any pari-mutuel system with separate selling and cashing terminals,

- (i) for each seller, for each race, the denomination, type and value of bets made and bets cancelled.
- (ii) for each cashier, for each race, the value of bets cashed and bets refunded,
- (iii) the name or identification number of each seller referred to in subparagraph (i) and each cashier referred to in subparagraph (ii), and
- (iv) for each pool, for each race, the amount of any shortages or overages as determined under subsection 64(1); and
- (b) in the case of a computerized pari-mutuel system with combined selling and cashing terminals,
 - (i) for each teller or terminal, for each race, the value of bets made, bets cancelled, bets cashed and bets refunded.
 - (ii) each teller's name or identification number and the number of each terminal, and
 - (iii) the amount of any shortages or overages as determined under subsection 64(1).
- (2) [Repealed, SOR/2003-218, s. 15]

Daily Double Tickets

- **67.** (1) Where an association offers a daily double, the association shall issue
 - (a) a separate ticket for each race; or
 - (b) one ticket to select race winners in both races.
 - (2) No association that uses an exchange system shall permit a person
 - (a) to increase the amount of the person's bet in the course of exchanging a ticket on a winning horse in the first race of a daily double for a ticket on a horse in the second race of the daily double; and
 - (b) to change a ticket on a horse in the second race of the daily double where the horse is scratched from that race.
 - (3) An association that uses an exchange system shall, whenever two or more horses that are not part of the same entry or of the same mutuel field finish in a dead heat in first place in the official result of the first race of a daily double, for each horse involved in the dead heat,
 - (a) provide separate windows for the exchange of tickets on each winning horse; and
 - (b) record the exchange of tickets separately.

Entries and Mutuel Fields

- **68.** (1) Where the applicable rules of racing provide for the combining of two or more horses as an entry, an association shall consider any entry created under those rules to be an entry for the purposes of pari-mutuel betting.
 - (2) Notwithstanding subsection (1), an officer may combine any two or more horses in a race as an entry where the officer considers it necessary for the integrity of pari-mutuel betting. [SOR/98-242, s. 1]
- **69.** An association shall consider a bet made on one horse of an entry or mutuel field to be a bet on all of the horses in that entry or mutuel field. [SOR/98-242, s. 2]
- **70.** No association shall offer a quinella, exactor or triactor bet that combines horses from the same entry or mutuel field. [SOR/98-242, s. 2]

Commencement of Betting

- **71.** No association shall permit betting to begin more than one hour before the scheduled post time of the first race of a racing card, unless it has first obtained the authorization of an officer. [SOR/93-255, s. 4; SOR/2003-218, s. 16]
- **72.** (**Post Time**) [SOR/2003-218, s. 16]

Close of Betting

- **73.** (1) No association shall accept bets on a race after the start of the race.
 - (2) Where an association uses pre-printed tickets or ticket-issuing machines without a totalizator or, in any other case where an association does not record betting on a race directly into the appropriate pool, the association shall ensure that betting closes at such time before post time as is required to record all bets and calculate and display the final odds.
 - (3) For the purpose of ensuring compliance with subsection (1) or (2), an officer may order an association to close betting on a race.
 - (4) An officer may order an association to close betting or cancel any type of bet where the officer has reasonable grounds to believe that
 - (a) the security or accuracy of the pari-mutuel system is in doubt; or
 - (b) the integrity of the betting in respect of the pool is in doubt because the association does not provide information that is required by these Regulations or provides information that is misleading. [SOR/99-55, s. 4]
- **74.** Where, in respect of a race, ticket-issuing machines have been closed prematurely before the start of the race, an officer may authorize an association to reopen the ticket-issuing machines for the remainder of the period in which betting is permitted, where, in the opinion of the officer, the bets made after the ticket-issuing machines have reopened can be combined with the bets made before the ticket-issuing machines were closed.
- **75.** (**Telephones at Race-courses**) [Repealed, SOR/2003-218, s. 17]

Telephone Account Betting

- **76.** (1) An association that proposes to conduct telephone account betting shall apply in writing to the Executive Director each year for a home market area. The association shall include the recommendation of the appropriate Commission with its application. [SOR/2003-218, s. 18]
 - (2) [SOR/2003-218, s. 18]
 - (3) No association shall conduct telephone account betting unless
 - (a) the association has been issued a permit;
 - (b) the services, facilities and equipment for conducting telephone account betting have been inspected and authorized by an officer; and
 - (c) the association has been assigned a home market area. [SOR/93-255, s. 3]
- 77. (1) No association shall open an account other than in accordance with this section.

- (2) An association authorized to conduct telephone account betting may open an account for any person where an application in writing is made to the association and where the person who will be the account holder resides
 - (a) in a home market area of the association;
 - (b) in a home market area of another association where the association has entered into an agreement with that other association to conduct telephone account betting in that other association's home market area;
 - (c) in the Yukon Territory or the Northwest Territories; or
 - (d) outside Canada.
- (3) No association shall knowingly open an account for any employee of the association who is employed in the telephone account betting system.
- (4) No employee of an association who is employed in the telephone account betting system shall open or hold an account.
- (5) Where an association has opened an account other than in accordance with this section, the association shall forthwith close the account and return the balance of the money on deposit to the account holder.
- **78.** Where an association opens an account for a person, the association shall forthwith
 - (a) assign an account number and an identification code to the account; and
 - (b) inform the account holder of the account number and the identification code assigned to the account.
- **79.** (1) An association that operates a telephone account betting system shall hold any money deposited into an account as a custodian or depository for the account holder.
 - (2) No association shall accept a telephone account bet unless
 - (a) the person making the bet provides the telephone account betting system with the correct account number and identification code and the amount of the bet; and [SOR/2003-128, s. 19(1)]
 - (b) there is sufficient money in the account to cover the bet.
 - (3) Subject to section 118, no association shall permit any money to be withdrawn from an account other than by the account holder or the account holder's legal representative. [SOR/2003-218, s. 19(2)]
- **80.** (1) Where an account holder deposits money into an account, the association shall forthwith credit the account with the amount of the deposit.
 - (2) Where an account holder makes a telephone account bet, the association shall forthwith debit the account by the amount of the bet.
 - (3) Where an account holder has made a winning telephone account bet, the association shall credit the amount won to the holder's account immediately after the posting of the pay-out prices. [SOR/2003-218, s. 20(1)]
 - (4) Where an account holder requests a withdrawal from the holder's account, the association shall give effect to the request within 48 hours.
 - (5) Where an account holder provides the correct telephone betting account number and identification code to a telephone account betting system and requests to be informed of the current balance of the account, an association shall [SOR/2003-218, s. 20(2)]
 - (a) where the request is for an oral statement, provide the requested information forthwith; and
 - (b) where the request is for a written statement of account, issue that written statement of account within 48 hours.

- (6) An association shall ensure that the statement of account referred to in paragraph (5)(b) contains all data respecting telephone account betting conducted by the account holder for the preceding 21 days.
- (7) Where the terms of a telephone account betting system provide that an association shall pay interest on the money in an account, the association shall
 - (a) credit the interest as a deposit to the account; and
 - (b) identify the interest separately on the statements referred to in paragraph (5)(b) and subsection 81(5).
- **81.** (1) A telephone account betting system shall confirm to the account holder the balance in the account before and on completion of each telephone account betting session. [SOR/2003-218, s. 21(1)]
 - (2) A telephone account bet is made when the bet has
 - (a) been specified to the telephone account betting system by the account holder; [SOR/2003-218, s. 21(1)]
 - (b) been recorded in its entirety by automatically activated recording equipment; and [SOR/2003-218, s. 21(1)]
 - (c) when requested by the account holder, been verified to that person by the telephone account betting system confirming the information referred to in paragraph 79(2)(a). [SOR/2003-218, s. 21(1)]
 - (3) [Repealed, SOR/99-55, s. 5]
 - (4) Where a disagreement arises with respect to the making of a telephone account bet, the account holder may request the recording referred to in paragraph (2)(b). [SOR/2003-218, s. 21(2)]
 - (5) An association shall, within 24 hours after the termination of a racing card, provide an officer with a statement of all telephone account bets made in respect of that racing card and the amount of each of those telephone account bets.
 - (6) When an account holder places a telephone account bet orally, it shall be voice-recorded. [SOR/2003-218, s. 21(3)]
- 82. An association shall retain all voice recordings and any written or computer-stored data referred to in subsection 81(2) for no fewer than 35 days and shall make those recordings and data available to an officer on request. [SOR/2003-218, s. 22; Note: The existing(former) subsection 82(2) also contained the reference to "voice" recording. The above wording combines the existing(former) 82(1) and (2) and thereby gets rid of "voice" in both.]
- **83.** (1) A telephone account holder who claims that a statement of account is incorrect shall make the claim to the association within 14 days after the day that the statement is issued.
 - (2) Where a claim is made under subsection (1), no association shall dispose of any recording or any written or computer-stored data related to that account until authorized to do so by an officer. [SOR/2003-218, s. 23]
 - (3) An association shall process any complaint by a telephone account holder respecting telephone account betting pursuant to section 60.
- **84.** The Executive Director may audit any account on a random basis or whenever a complaint is made with respect to the accuracy of a telephone account betting transaction. [SOR/93-255, s. 3]

On-track Account Betting

- **84.1** (1) An association that proposes to conduct on-track account betting shall notify the Executive Director in writing.
 - (2) No association shall conduct on-track account betting unless
 - (a) the association has been issued a permit; and
 - (b) the on-track account betting system has been inspected and authorized by an officer.
- **84.2** (1) No association shall open an account other than in accordance with this section.
 - (2) No association shall knowingly open an account for any employee of the association who is employed in the on-track account betting system.
 - (3) No employee of an association who is employed in the on-track account betting system shall open or hold an account.
 - (4) Where an association has opened an account other than in accordance with this section, the association shall close the account forthwith and return the balance of the money or deposit to the account holder.
- **84.3** Where an association opens an account for a person, the association shall forthwith
 - (a) assign an account number and an identification code to the account; and
 - (b) inform the account holder of the account number and the identification code assigned to the account.
- **84.4** (1) An association that operates an on-track account betting system shall hold any money deposited into an account as a custodian or depository for the account holder.
 - (2) No association shall accept an on-track account bet unless
 - (a) the person making the bet enters into the on-track account betting system the correct account number and identification code and the amount of the bet; and
 - (b) there is sufficient money in the account to cover the bet.
 - (3) Subject to section 118, no association shall permit any money to be withdrawn from an account, other than on presentation by the person making the withdrawal of the correct account number and identification code. [SOR/2003-218, s. 24]
- **84.5** (1) Where an account holder deposits money into an account, the association shall forthwith credit the account with the amount deposited.
 - (2) Where an account holder makes an on-track account bet, the association shall forthwith debit the account with the amount bet.
 - (3) Where an account holder has a winning on-track account bet, the association shall credit the account with the amount won immediately after the posting of the pay-out prices.
 - (4) Where an account holder requests a withdrawal from the holder's account, the association shall give effect to the request within 48 hours.
 - (5) Where the on-track account betting system does not automatically generate a written statement of account and an account holder provides the correct account number and identification code to the association and requests to be informed in writing of the current balance of the account, the association shall issue the written statement within 48 hours.

- (6) An association shall ensure that the statement of account referred to in subsection (5) contains all data respecting the on-track account betting conducted by the account holder for the preceding 21 days.
- (7) Where the terms of the on-track account betting system provide that an association shall pay interest on the money in an account, the association shall
 - (a) credit the interest as a deposit to the account; and
 - (b) identify the interest separately on the statement of account referred to in subsection (5).
- **84.6** (1) An association shall ensure that the on-track account betting system is designed to provide to the account holder a visual display of the balance in the account before and on completion of each on-track account bet.
 - (2) An on-track account bet is made when the bet has been
 - (a) entered into the on-track account betting system by the account holder;
 - (b) recorded in the account of the account holder by means that allow verification at any time by a print-out; and
 - (c) confirmed to the account holder by means of a visual display.
 - (3) An association shall ensure that the on-track account betting system is designed to provide for the automatic turning off of the visual display referred to in paragraph (2)(c).
 - (4) An association shall ensure that the on-track account betting system is designed to discontinue access to the account of an account holder upon the turning off of the visual display referred to in subsection (3).
 - (5) Where a disagreement arises with respect to the making of an on-track account bet, the account holder may request that an association provide the written statement of account referred to in subsection 84.5(5) immediately upon demand.
 - (6) An association shall, within 24 hours after the termination of a racing card, provide an officer with a statement of all on-track account bets made in respect of that racing card and the amount of each of those bets.
- **84.7** (1) An association shall retain all computer-stored and other records relating to on-track account bets for no fewer than 35 days.
 - (2) An association shall make available to an officer on request all records referred to in subsection (1).
- **84.8** (1) An account holder who claims that a statement of account is incorrect shall make the claim to the association within 14 days after the day on which the statement is issued.
 - (2) Where a claim is made under subsection (1), no association shall dispose of any computer-stored or other records relating to that account until authorized to do so by an officer.
 - (3) An association shall process any complaint by an account holder respecting on-track account betting in accordance with section 60.
- **84.9** An officer may audit any account on a random basis or whenever a complaint is made with respect to the accuracy of an on-track account bet. [SOR/99-55, s. 6]

Theatre Betting

- **85.** (1) An association that proposes to conduct theatre betting shall apply in writing to the Executive Director each year for a home market area and for a theatre licence for each betting theatre that the association proposes to operate. The association shall include the recommendation of the appropriate Commission with its application. [SOR/2003-128, s. 25]
 - (2) The Executive Director shall not assign a home market area to an association where the association's permit authorizes it to conduct fewer than 10 days of racing at its race-course. [SOR/2003-218, s. 25]
 - (3) The Executive Director shall not issue a theatre licence to an association that conducted fewer than 50 days of racing at its race-course during the year preceding its application for the licence, unless a reduced number of days was recommended by the appropriate Commission. [SOR/2003-218, s. 25]
 - (4) An association making an application for a theatre licence shall
 - (a) be in possession of a permit that authorizes the association to conduct a minimum of 50 days of racing at its race-course;
 - (b) be in possession of a licence issued by the Lieutenant Governor in Council of the province in which the betting theatre is located, or such other person or authority in the province as may be specified by the Lieutenant Governor in Council, in accordance with paragraph 204(8)(e) of the Act;
 - (c) provide evidence that it owns the betting theatre in respect of which the application is being made, or holds a lease on that betting theatre for the duration of the permit;
 - (d) provide evidence that the betting theatre meets all applicable fire and safety requirements;
 - (e) submit for approval the details of the betting theatre's
 - (i) systems for the transmission and reception of signals from the race-course at which the race takes place,
 - (ii) systems for the transmission of pari-mutuel data from the betting theatre to the race-course at which the race takes place, and
 - (iii) accommodation, furniture, facilities and equipment, including their location in the betting theatre; and
 - (f) at the time the application is made, have executed an agreement with the horsemen under contract to it for the period of the proposed theatre licence, that governs the scheduling of races for, and the sharing of revenues from, the betting at the betting theatre and provide evidence of the agreement.
 - (5) An association that operates a betting theatre shall, at that betting theatre,
 - (a) provide food and beverage services and public washroom facilities;
 - (b) display the information set out in sections 31 to 36 in the manner described therein;
 - (c) display live, on one or more video screens reserved exclusively for that purpose, each race on which theatre betting is conducted, together with
 - (i) the name of the race-course,
 - (ii) the number of the race, and
 - (iii) except in the case of foreign race separate pool betting, the year, month, day, hour, minutes and seconds during which the race is run; and
 - (d) provide the accommodation, furniture, facilities and equipment in the betting theatre set out in its application under subparagraph (4)(e)(iii).
 - (6) Where an association's permit authorizes the association to conduct 10 or more days of racing at its race-course, the Executive Director, on application by the association in writing, shall assign a home market area referred to in paragraph (1)(a) to that association for the year in respect of which the association's permit has been issued.

- (7) Where an association has complied with the provisions of paragraphs (4)(a) to (d) and (f) and where the Executive Director has approved the details set out in paragraph (4)(e) and an officer has inspected and authorized the services, facilities and equipment referred to in subsection (5), the Executive Director shall issue a theatre licence to that association for the year in respect of which the association's permit has been issued. [SOR/92-628, s. 2; SOR/93-255, s. 3]
- **86.** No association shall operate a betting theatre unless,
 - (a) the association has been issued a theatre licence in respect of that theatre;
 - (b) the services, facilities and equipment of that theatre for conducting theatre betting have been inspected and authorized by an officer; and
 - (c) the association has been assigned a home market area.
- **87.** (1) The Executive Director may attach to a theatre licence any terms and conditions or may amend a theatre licence and, upon issuing or amending the theatre licence, shall inform the association in writing forthwith of the terms and conditions or amendments.
 - (2) The Executive Director may suspend, cancel or refuse to renew a theatre licence where an association has failed to comply with the Act or these Regulations or with any terms and conditions attached to the theatre licence. [SOR/93-255, s. 3]
- **88.** (1) Subject to subsection (2), no association shall conduct theatre betting outside a home market area assigned to it.
 - (2) An association may conduct theatre betting in the home market area assigned to another association where it has entered into an agreement with the other association that authorizes it to conduct theatre betting in the home market area of that other association.
 - (3) Where an association's permit authorizes the association to conduct fewer than 10 days of racing at its race-course, the association may apply in writing to the Executive Director to prohibit theatre betting at any betting theatre located within 30 km of the association's race-course on any two of the days on which that association is authorized to conduct pari-mutuel betting. [SOR/93-255, s. 3]
- **89.** (1) Subject to subsection (2), an association that conducts theatre betting shall combine the money bet at its betting theatre on each pool with and distribute the pay-out prices from, the money bet on the corresponding pool at its race-course.
 - Where in the Executive Director's opinion the recording of bets and the distribution of pools from theatre betting at an association's race-course under subsection (1) is economically prohibitive, the Executive Director may permit the association to pool the money bet and distribute the pay-out prices at its betting theatre. [SOR/93-255, s. 3]

Inter-track and Separate Pool Betting

- **90.** (1) Subject to subsection (1.1), an association that proposes to conduct inter-track betting or separate pool betting at its race-course, whether as a host track or a satellite track, shall
 - (a) be in possession of a permit that authorizes the association to conduct a minimum of 10 days of racing at its race-course;
 - (b) apply in writing to an officer for authorization to conduct inter-track or separate pool betting each year; [SOR/2003-218, s. 38]

- (c) provide evidence of an agreement between the association and another association for the conduct of inter-track betting or separate pool betting, as the case may be, between the race-courses of the two associations, whether as a host track or a satellite track, and include
 - (i) the dates and races that are involved,
 - (ii) the types of bets that are proposed to be offered,
 - (iii) the legal percentages to be deducted from each pool that each association proposes to offer, and
 - (iv) the method of calculation that the associations propose to use for each pool that is combined; and
- (d) at the time the application for authorization to conduct inter-track or separate pool betting under paragraph (b) is made, have executed an agreement with the horsemen under contract to it for the period of the proposed inter-track or separate pool betting, that governs the scheduling of races for, and the sharing of revenues from, the proposed inter-track or separate pool betting and provide evidence of the agreement.
- (e) [Repealed, SOR/2003-218, s. 26(1)]
- (1.1) An association that proposes to conduct inter-track betting or separate pool betting at its race-course as a host track or a satellite track by combining the money bet on pools in a foreign country with the money bet on the corresponding pools at its race-course shall
 - (a) comply with the provisions of paragraphs (1)(a) and (d); [SOR/2003-218, s. 26(2)]
 - (b) apply in writing to an officer for authorization to conduct the inter-track betting and include the name and address of [SOR/2003-218, s. 38]
 - (i) the organization conducting the foreign betting, and
 - (ii) the governing body that regulates the foreign betting; and
 - (c) provide evidence of an agreement between the association and the foreign organization for the conduct of inter-track betting, and include
 - (i) the dates, times and races involved,
 - (ii) the types of bets that are proposed to be offered,
 - (iii) the legal percentages to be deducted from each pool that the association proposes to offer and the percentage to be deducted from each pool that the foreign organization proposes to offer, and
 - (iv) the method of calculation that the association and the foreign organization propose to use for each pool that is combined.
 - (2) No association shall conduct inter-track or separate pool betting unless the association
 - (a) has been authorized in writing by an officer to conduct inter-track or separate pool betting; and [SOR/2003-218, s. 26(3)]
 - (b) has had its services, facilities and equipment for conducting inter-track and separate pool betting inspected and authorized by an officer. [SOR/92-628, s. 3; SOR/93-255, s. 4; SOR/95-262, s. 5]
- **91.** [Repealed, SOR/2003-218, s. 27]
- **92.** (1) An association may, in its agreement with another association, agree to conduct inter-track or separate pool betting on
 - (a) all races of a race-meeting;
 - (b) all races on selected days of a race-meeting;
 - (c) selected races of a racing card; or
 - (d) selected types of bets.
 - (2) An association that operates a race-course as a satellite track shall
 - (a) conduct inter-track betting only in respect of types of bets that are held at the host track;

- (b) conduct inter-track or separate pool betting only during the period in which betting may be conducted at the host track; and
- (c) for the purpose of inter-track or separate pool betting, make available, by purchase or otherwise, before betting begins,
 - (i) the host track's race program as described in section 25, or
 - (ii) where approved pursuant to subsection 27(1), an abbreviated version of the host track's race program.
- **93.** (1) An association that conducts inter-track or separate pool betting at its race-course shall display at that race-course in respect of each race,
 - (a) the value of all bets made;
 - (b) the approximate odds and the final odds; and
 - (c) the pay-out prices.
 - (2) The Executive Director may
 - (a) approve the use of television monitors to display any or all of the data referred to in subsection (1);
 - (b) designate the number of monitors referred to in paragraph (a) that are required at each race-course; and
 - (c) designate the periods during which any of the data referred to in subsection (1) shall be displayed.
 - (3) An association that conducts inter-track betting shall ensure that betting at a satellite track closes in sufficient time to permit the association to record all bets in the appropriate pool prior to the start of the race.
 - (4) An officer may order an association to close inter-track betting at such time as is required to ensure compliance with subsection (3). [SOR/93-255, s. 3]

Foreign Race Inter-track Betting and Foreign Race Separate Pool Betting

- **94.** An association that proposes to conduct foreign race inter-track betting or foreign race separate pool betting shall (a) be in possession of a permit that authorizes the association to conduct a minimum of 10 days of racing at
 - (b) make a written application to the Executive Director in respect of each foreign race on which it proposes to conduct foreign race inter-track betting or foreign race separate pool betting, and include
 - (i) the name and address of

its race-course:

- (A) the race-course at which the foreign race is scheduled to be held,
- (B) the organization holding the foreign race and, in the case of foreign race inter-track betting, the organization conducting the foreign betting, and
- (C) the governing body that regulates the foreign race and, in the case of foreign race inter-track betting, the governing body that regulates the foreign betting,
- (ii) the name of the foreign race, and
- (iii) the time and date when the foreign race is scheduled to be held and, in the case of foreign race inter-track betting, the time and date the betting will be conducted;
- (c) provide the Executive Director with evidence of an agreement between the association and the organization holding the foreign race for the conduct of foreign race inter-track betting or foreign race separate pool betting, as the case may be, and include, in the case of foreign race inter-track betting,
 - (i) the types of bets proposed to be offered,
 - (ii) the legal percentages to be deducted from each pool that the association proposes to offer and the percentage to be deducted from each pool that the organization holding the foreign race proposes to offer, and

- (iii) the method of calculation that the association and the foreign organization propose to use for each pool that is combined;
- (d) provide the Executive Director with details of the communication system to be used to ensure the accurate and timely exchange of race information between the association and the organization holding the foreign race; and
- (e) [Repealed, SOR/2003-218, s. 28]
- (f) at the time the application to the Executive Director under paragraph (b) is made, have executed an agreement with the horsemen under contract to it for the period of the proposed foreign race inter-track betting or foreign race separate pool betting, that governs the scheduling of races for, and the sharing of revenues from, the proposed foreign race inter-track betting or foreign race separate pool betting and provide evidence of the agreement to the Executive Director. [SOR/92-628, s. 4; SOR/93-255, s. 3; SOR/95-262, s. 6]
- 95. (1) No association shall conduct foreign race inter-track betting or foreign race separate pool betting unless (a) the Executive Director has approved the association's application to conduct the foreign race inter-track betting or foreign race separate pool betting; and (b) the services, facilities and equipment for conducting the foreign race inter-track betting or foreign race separate pool betting have been inspected and authorized by an officer.
 - (2) [Repealed, SOR/2003-218, s. 29]
 - (3) [Repealed, SOR/2003-218, s. 29]
- **96.** (1) [Repealed, SOR/2003-218, s. 30]
 - (2) [Repealed, SOR/2003-218, s. 30]
- **97.** An association shall ensure that the numbers that the association assigns to the horses in a foreign race for the purpose of foreign race inter-track betting or foreign race separate pool betting are the same as those assigned to those horses for pari-mutuel betting by the organization that conducts the foreign race, up to the capacity of the association's totalizator, after which a mutuel field shall be used. [SOR/95-262, s. 6]
- **98.** (1) No association that conducts foreign race inter-track betting or foreign race separate pool betting shall accept bets on a race after the start of the race.
 - (2) Where the communication system referred to in paragraph 94(d) fails to operate, the association conducting the foreign race inter-track betting or foreign race separate pool betting shall ensure that betting closes before the start of the race. [SOR/95-262, s. 6]
- **99.** Where foreign race inter-track betting or foreign race separate pool betting is cancelled before betting has begun on a race, the association shall immediately advise an officer of the cancellation. [SOR/93-255, s. 4; SOR/95-262, s. 6; SOR/2003-218, s. 38]

PART IV - CALCULATION AND DISTRIBUTION OF POOLS

Official Result

- **100.** A steward or judge, as the case may be, shall
 - (a) as soon as possible after the finish of a race, notify the pari-mutuel department of
 - (i) the unofficial result,
 - (ii) any objection or inquiry and the name of the horse or horses involved, and
 - (iii) the official result; and
 - (b) at the request of an officer, confirm the official result in writing.

Payment to Receiver General

101. An association shall make the payment to the Receiver General pursuant to subsection 204(4) of the Act within seven days after receipt of an invoice indicating the dates and race cards for which payment is due. [SOR/2003-218, s. 31]

Association's Percentage

- **102.** (1) For the purposes of subsection 204(6) of the Act, the maximum percentage that an association may deduct and retain in respect of any pool is 23 per cent of the total amount of money bet through the agency of its pari-mutual system in respect of that pool.
 - (2) Subject to subsection (2.1), an association may, in respect of any pool, deduct and retain the association's percentage by
 - (a) deducting a single percentage from the total pool in accordance with the gross pricing method as referred to in paragraph 119(1)(a); or
 - (b) deducting a single percentage or a different percentage from the total pool in accordance with the net pricing method as referred to in paragraph 119(1)(b).
 - (2.1) No association shall deduct and retain any percentage from any pool that exceeds the association's percentage for the pool that is set out in its permit.
 - (3) Where an association proposes to change the association's percentage at any time during the year for which its permit has been issued, the association shall send a written notification of the change to the Executive Director.
 - (4) No association shall deduct and retain the revised association's percentage referred to in subsection (3) until 15 days after the notification referred to in that subsection has been sent to the Executive Director. [SOR/93-255, s. 3; SOR/95-262, s. 7]

Record of Calculations

- 103. (1) An association shall, for each pool, set out on a calculator's sheet or computer print-out
 - (a) the amount of money bet;
 - (b) the official result and the winning horses or combinations;
 - (c) the pay-out prices;
 - (d) the amount of money bet on each winning horse or combination;
 - (e) the amount of money paid out for each winning horse or combination;
 - (f) the amount of money to be refunded;
 - (g) the amount of the association's percentage;

- (h) the amount of the deduction from each dollar bet authorized under a provincial enactment;
- (i) the amount of the payment to the Receiver General;
- (j) the amount of any cents and fractions of a cent that are retained by the association pursuant to section 114; and
- (k) the total of the amounts referred to in paragraphs (e) to (j).
- (2) No association shall permit any change to be made on the calculator's sheet or computer print-out unless the change is authorized and initialled by an officer.
- (3) An association shall, after each race, give to an officer every original calculator's sheet or computer print-out referred to in subsection (1). [SOR/96-431, s. 2]

Record of Tickets

- **104.** An association shall maintain an up-to-date record of all outstanding tickets in computer print-out form, or in a book used exclusively for that purpose, called an "outs-book".
- **105.** An association shall retain any ticket that was cashed after the end of the racing card during which it was issued until such time as its destruction is authorized by an officer.

Refunds Generally

- 106. (1) An association shall make available for refund the value of all bets made in respect of a pool where
 - (a) the correct number and value of the bets cannot be determined;
 - (b) the correct number and value of the bets made on a horse or combination of horses cannot be determined;
 - (c) the manner of calculating the pay-out price is not prescribed by this Part or attached to the association's permit; or
 - (d) the bets are cancelled under subsection 73(4).
 - (2) In the case of inter-track betting or separate pool betting, if any of the circumstances described in subsection (1) occur at a satellite track, and a bet has been made, the association shall make available for refund the value of all bets made in respect of the pool at the satellite track. [SOR/2003-218, s. 32]
 - (3) In the case of inter-track betting or separate pool betting, if, at a satellite track, an association is unable to transmit the betting information in respect of a pool, the association shall make available for refund the value of all bets made at the satellite track in respect of that pool. [SOR/2003-218, s. 32]
- **107.** Subject to section 135, an association shall make available for refund
 - (a) the value of all types of bets where
 - (i) a race is cancelled,
 - (ii) a race is declared "no contest" pursuant to the applicable rules of racing,
 - (iii) a race is postponed beyond the racing card for which it was scheduled, or
 - (iv) [Repealed, SOR/99-360, s. 2]
 - (v) the running or the finish of a race cannot properly be judged pursuant to the applicable rules of racing;
 - (b) the bets made on a horse that is scratched, where the horse
 - (i) is not part of an entry or a mutuel field, or
 - (ii) is part of an entry or a mutuel field and there is no other horse remaining in that entry or mutuel field;

- (c) all show bets, where the total number of separate betting entities in a race is less than four; [SOR/2003-218, s. 33]
- (d) all place, quinella or triactor bets, where the total number of separate betting entities in a race is less than three; [SOR/2003-218, s. 33]
- (e) any win or exactor bet, where the total number of separate betting entities in a race is less than two; and [SOR/2003-218, s. 33]
- (f) all win, place, show, daily double, consolation double, quinella, exactor and triactor bets, where no winning bets are determined. [SOR/98-242, s. 3]
- **108.** (1) [Repealed, SOR/2003-218, s. 34]
 - (2) [Repealed, SOR/2003-218, s. 34]
- **109.** Where the first race of a daily double is cancelled, or where the second race of a daily double is cancelled before the official result for the first race of the daily double has been posted, an association shall make available for refund the daily double bets.
- **110.** (1) Subject to subsection (2), where a horse is scratched from the first race of a daily double or from the second race of a daily double before the official result for the first race of the daily double has been posted, an association shall make available for refund the bets made on that horse.
 - (2) Subsection (1) does not apply where the horse that is scratched is part of an entry or mutuel field and at least one horse of that entry or mutuel field starts in the race.

Consolation Double

- 111. Where the second race of a daily double is cancelled after the official result for the first race of the daily double has been posted, an association shall pay out a consolation double, calculated in accordance with section 136, to the holder of a daily double ticket who selected the winning horse in the first race of that daily double.
- 112. (1) Subject to subsection (2), where a horse is scratched from the second race of a daily double after the official result for the first race of the daily double has been posted, an association shall pay out a consolation double, calculated in accordance with subsection 136(1) or 137(4) to the holder of a daily double ticket who selected the winning horse in the first race combined with the horse that is scratched in the second race.
 - (2) Subsection (1) does not apply where a horse that is scratched is part of an entry or a mutuel field and at least one horse of that entry or mutuel field starts in the race.

Overpayments and Underpayments

- 113. (1) For the purpose of this section, an overpayment is the amount of money paid out to the holder of a winning ticket that is in excess of the correct pay-out price, and an underpayment is the amount of money paid out to the holder of a winning ticket that is less than the correct pay-out price.
 - (2) Subject to subsection (3), no association shall offset any overpayment by an underpayment.
 - (3) The Executive Director may approve the offsetting of an overpayment by an underpayment within the same race where the overpayment and the underpayment arise from the same cause.

- (4) An association shall add all money accruing to it from an underpayment, after betting has ended but before they pay-out price is calculated, to the first pool that is conducted on the third day on which pari-mutuel betting is held by that association after the day on which the underpayment occurred. [SOR/93-255, s. 3; SOR/2003-218, s. 35]
- (5) Where underpayments are added to a pool, they shall be added to the net pool. [SOR/2003-218, s. 35]

Calculation of Legal Percentages, Pools and Pay-out Prices Generally

- **114.** (1) [Repealed, SOR/2003-218, s. 36]
 - (2) Where a calculating pool is divided, an association shall retain any cents that remain after the division. [SOR/96-431, s. 3]
- 115. Where a pay-out price is less than \$1.05, an association shall, at its expense, pay out not less than \$1.05 per dollar bet to each holder of a winning ticket.
- 116. (1) Subject to subsection (2), where an association determines that there is a discrepancy between its calculation of the amount of money bet on a pool and the recorded amount of the pool, the association shall use the larger amount as the basis for calculating the legal percentages and pay-out prices.
 - (2) Where a totalizator manager determines and satisfies an officer that the smaller of the amounts referred to in subsection (1) correctly represents the actual amount of money bet on the pool, an association may calculate the legal percentages and pay-out prices on the basis of that smaller amount.
 - (3) An officer may order that an association calculate the legal percentages and pay-out prices from a manual record taken from the ticket-issuing machines where the officer is not satisfied that the legal percentages or pay-out prices have been correctly determined. [SOR/93-255, s. 3(F)]
- **117.** An association shall immediately pay the pay-out price to the holder of a winning ticket at the time that the holder surrenders the ticket to the association for payment.
- **118.** If an association posts an incorrect pay-out price, as soon as it becomes aware that an error has been made, it shall
 - (a) post the correct pay-out price;
 - (b) announce the correct pay-out price over its public-address system; and
 - (c) pay the correct pay-out price, including correcting all account-based bets. [SOR/2003-218, s. 37]
- 119. (1) In calculating the pay-out price of any pool, including any pool that is a combination of corresponding pools, in foreign race inter-track betting, foreign race separate pool betting, inter-track betting or separate pool betting, an association may
 - (a) use the gross pricing method, where a single set of legal percentages is deducted from the total pool to determine the net pool; or
 - (b) use the net pricing method where a single set of legal percentages or different sets of legal percentages are deducted from the total pool to determine the net pool.
 - (2) In calculating the pay-out price of any pool, an association shall
 - (a) add the value of all bets made on that pool to determine the pool;
 - (b) subtract from the result obtained under paragraph (a) the amount of any bets made that the association is required to make available for refund; and

- (c) deduct the legal percentages from the result obtained under paragraph (b) to calculate the net pool in accordance with subsection (1).
- (3) Subject to subsections (5) and (6), calculating the pay-out price of any pool, an association using the gross pricing method shall make the applicable calculations set out in sections 120 to 147.
- (4) Subject to subsections (5) and (6), for the calculation of the pay-out price of any pool, an association using the net pricing method shall make the applicable calculations set out in sections 120 to 147 and
 - (a) the value of the bets made on the winning horse, horses or combination, as the case may be, shall be the net value, determined by multiplying the value of those bets by the association's net factor that is applicable to those bets; and
 - (b) the value of the pay-out price for each bet made on the winning horse shall be determined by multiplying the price that results from the applicable calculation done in accordance with sections 120 to 147, before the price is converted to a multiple of \$.05 pursuant to subsection 204(6) of the Act, by the association's net factor that is applicable to each winning bet.
- (5) Where the calculation of the pay-out price of any pool involves two or more horses of an entry or mutuel field, an association shall, in calculating that pay-out price
 - (a) for the purpose of apportioning the calculating pool, divide the calculating pool among all the horses entitled to it, treating, in the division, the horses of the entry or mutuel field so entitled as if they were separate horses; and
 - (b) for the purpose of determining the share of the calculating pool applicable to the entry or mutuel field, combine the portions of the calculating pool to which the horses of the entry or mutuel field referred to in paragraph (a) are entitled.
- (6) Where the calculation of the pay-out price of a win, place or show pool involves any horse that finishes in a dead heat in any of the first three positions in the official result, an association shall, for the purpose of reflecting the dead heat in the calculation of the applicable pay-out price, divide the portion of the calculating pool applicable to the dead heat equally among the horses that finished in the dead heat on which bets were made. [SOR/95-262, s. 8]

Calculation of Pay-out Price of Win Pools

- **120.** (1) Subject to section 121, an association shall calculate the pay-out price of a win pool by dividing the net pool by the value of the win bets made on the horse that finishes in first place in the official result.
 - (2) Where no win bets have been made on the horse that finishes in first place in the official result, an association shall calculate the pay-out price of a win pool by dividing the net pool by the value of the win bets made on the next horse in the official result on which win bets have been made.
- **121.** Subject to section 122,
 - (a) where two horses finish in a dead heat in first place in the official result, an association shall calculate the pay-out price of a win pool in accordance with section 123; and
 - (b) where three horses finish in a dead heat in first place in the official result, an association shall calculate the pay-out price of a win pool in accordance with section 127.
- **122.** Where two horses of the same entry or mutuel field finish in a dead heat in first place in the official result, an association shall calculate the pay-out price of the win pool in accordance with subsection 120(1).

Calculation of Pay-out Price of Place Pools

- **123.** Subject to sections 124 to 126, an association shall calculate the pay-out price of a place pool by
 - (a) deducting the value of the place bets made on the horses that finish first and second in the official result from the net pool to determine the calculating pool;
 - (b) dividing the calculating pool equally between the horses that finish first and second in the official result;
 - (c) dividing each horse's portion of the calculating pool by the value of the place bets made on that horse; and
 - (d) adding \$1 to the quotients obtained pursuant to paragraph (c).

124. Where

- (a) place bets have been made on all but one of the horses that finish first and second in the official result,
- (b) only one horse finishes a race,
- (c) no place bets have been made on any of the horses that finish first and second in the official result, or
- (d) two or more horses of the same entry or mutuel field finish first and second or in a dead heat in first place in the official result,

an association shall calculate the pay-out price of a place pool in accordance with subsection 120(1), except that the net pool shall be divided by the value of the place bets made on

- (e) the horses referred to in paragraph (a) on which bets have been made,
- (f) the horse referred to in paragraph (b) that finishes the race,
- (g) the next horse in the official result after the horses referred to in paragraph (c), on which place bets have been made, or
- (h) the entry or mutuel field referred to in paragraph (d), as the case may be.

125. Subject to section 126,

- (a) where two horses finish in a dead heat in first place in the official result, an association shall calculate the pay-out price of a place pool in accordance with section 123;
- (b) where three horses finish in a dead heat in first place in the official result, an association shall calculate the pay-out price of a place pool in accordance with section 127; and
- (c) where two or more horses finish in a dead heat in second place in the official result, an association shall calculate the pay-out price of a place pool in accordance with section 123, except that the calculating pool shall be divided in the following manner, namely,
 - (i) one half to the horse that finishes first, and
 - (ii) one half divided equally among the horses that finish in the dead heat in second place.
- **126.** Where one horse of an entry or a mutuel field finishes first in the official result and another horse of the same entry or mutuel field finishes in a dead heat in second place in the official result with one or more other horses, an association shall calculate the pay-out price of a place pool in accordance with section 123, except that the calculating pool shall be divided in the following manner, namely,
 - (a) one half to the horse that finishes first, and
 - (b) one half divided equally among the horses that finish in the dead heat in second place, and the portions allocated in paragraphs (a) and (b) to the two horses of the entry or mutuel field shall be combined.

Calculation of Pay-out Price of Show Pools

- **127.** Subject to sections 128 to 132, an association shall calculate the pay-out price of a show pool by
 - (a) deducting the value of the show bets made on the horses that finish first, second and third in the official result from the net pool to determine the calculating pool;
 - (b) dividing the calculating pool equally among the horses that finish first, second and third in the official result;

- (c) dividing each horse's portion of the calculating pool by the value of the show bets made on that horse; and
- (d) adding \$1 to the quotients obtained pursuant to paragraph (c).

128. Where

- (a) show bets have been made on all but one of the horses that finish first, second and third in the official result, or
- (b) only two horses finish a race,

an association shall calculate the pay-out price of a show pool in accordance with section 123, except that the calculating pool shall be divided equally

- (c) among the horses referred to in paragraph (a) on which show bets have been made, or
- (d) between the two horses referred to in paragraph (b) that finish the race,

and each horse's portion of the calculating pool shall be divided by the value of the show bets made on that horse.

129. Where two horses of the same entry or mutuel field finish

- (a) first and second in the official result,
- (b) first and third in the official result, or
- (c) second and third in the official result,

an association shall calculate the pay-out price of a show pool by dividing the calculating pool in the following manner, namely,

- (d) two thirds to the two horses of the entry or mutuel field that finish first and second, first and third or second and third, and
- (e) one third to the other horse that finishes first, second or third, and the total value of the show bets
 - (f) on the entry or mutuel field shall be divided into the two-thirds portion of the calculating pool referred to in paragraph (d), and
 - (g) on the other horse shall be divided into the one-third portion of the calculating pool referred to in paragraph (e).

130. Where

- (a) show bets have been made on all but two of the horses that finish first, second and third in the official result,
- (b) only one horse finishes a race,
- (c) no show bets have been made on the three horses that finish first, second and third in the official result,
- (d) three or more horses of the same entry or mutuel field finish first, second and third in the official result, or
- (e) two horses of the same entry or mutuel field finish first, second or third in the official result and no show bets have been made on the other horse that finishes first, second or third in the official result, an association shall calculate the pay-out price of a show pool in accordance with subsection 120(1), except that the net pool shall be divided by the value of the show bets made on
 - (f) the horses referred to in paragraph (a) on which bets have been made
 - (g) the horse referred to in paragraph (b) that finishes the race,
 - (h) the next horse in the official result after the horses referred to in paragraph (c), on which show bets have been made, or
- (i) the entry or mutuel field referred to in paragraph (d) or (e), as the case may be.

131. Subject to section 132,

- (a) where
 - (i) two horses finish in a dead heat in first or second place in the official result, or

- (ii) three horses finish in a dead heat in first place in the official result,an association shall calculate the pay-out price of a show pool in accordance with section 127;(b) where three or more horses finish in a dead heat in second place in the official result, an association shall calculate the pay-out price of a show pool in accordance with section 127, except that the calculating pool shall be divided in the following manner, namely,
 - (i) one third to the horse that finishes first, and
- (ii) two thirds divided equally among the horses that finish in a dead heat in second place; and (c) where two or more horses finish in a dead heat in third place in the official result, an association shall calculate the pay-out price of a show pool in accordance with section 127, except that the calculating pool shall be divided in the following manner, namely,
 - (i) one third to each of the horses that finish first and second, and
 - (ii) one third divided equally among the horses that finish in a dead heat in third place.
- 132. (1) Where one horse of an entry or a mutuel field finishes first in the official result and a second horse of the same entry or mutuel field finishes in a dead heat in second place in the official result with another horse, an association shall calculate the pay-out price of a show pool in accordance with paragraphs 129(d) to (g).
 - (2) Where one horse of an entry or a mutual field finishes in a dead heat with another horse in third place in the official result and a second horse of the same entry or mutual field finishes in either first or second place in the official result, an association shall calculate the pay-out price of a show pool in accordance with section 127, except that the calculating pool shall be divided in the following manner, namely,
 - (a) one third to each of the horses that finish first and second, and
 - (b) one third divided equally between the two horses that finish in a dead heat in third place, and the portions allocated in paragraphs (a) and (b) to the two horses of the entry or mutuel field shall be combined.
 - (3) Where two horses of an entry or a mutuel field finish first and second in the official result and a third horse of the same entry or mutuel field finishes in a dead heat with another horse in third place in the official result, an association shall calculate the pay-out price of a show pool in accordance with section 127, except that the calculating pool shall be divided in the following manner, namely,
 - (a) one third to each of the horses that finish first and second, and
 - (b) one third divided equally between the two horses that finish in a dead heat in third place, and the portions allocated in paragraphs (a) and (b) to the three horses of the entry or mutuel field shall be combined.

Calculation of Pay-out Price of Daily Double Pools and Consolation Doubles

- **133.** Subject to subsection 134(2), section 135, subsection 136(2) and section 137, an association shall calculate the pay-out price of a daily double pool by dividing the net pool by the value of the bets made that combine the winning horses in each of the two races of the daily double.
- 134. (1) Where no daily double bets have been made on the winning horse of the first race of a daily double, the next horse in the official result on which daily double bets have been made shall be deemed to be the winning horse in that race, and for the purposes of the daily double pool any daily double bets on that horse shall be deemed to be winning bets on the first race of the daily double.
 - (2) Where, in respect of the second race of a daily double, no daily double bets have been made that combine the winning horse in the first race of a daily double with the winning horse in the second race of the daily double, an association shall calculate the pay-out price of a daily double pool by dividing the net pool by the value of the bets made that combine the winning horse in the first race with the next horse in the official result, on which bets have been made in the second race of the daily double.

135. Where

- (a) an association has posted the official result of the first race of a daily double and the second race of a daily double
 - (i) is cancelled,
 - (ii) is declared "no contest" pursuant to the applicable rules of racing,
 - (iii) is postponed beyond the racing card for which it is scheduled, or
 - (iv) cannot properly be judged pursuant to the applicable rules of racing,
- (b) no daily double bets have been made that combine the winning horse in the first race of a daily double with any horse that finishes the second race of the daily double, or
- (c) no horses finish the second race of a daily double,

an association shall calculate the pay-out price of a daily double pool in accordance with subsection 120(1), except that the net pool shall be divided by the value of the daily double bets made on the horse that finished first in the official result of the first race of the daily double.

- **136.** (1) An association shall calculate a consolation double by dividing the net pool by the value of the daily double bets made on the winning horse in the first race of the daily double.
 - (2) Where, after the official result of the first race of a daily double is posted, a horse, entry or mutuel field in the second race of the daily double is scratched, the association shall calculate the pay-out price of the daily double pool by
 - (a) multiplying the quotient obtained in subsection (1) by the value of the bets made that combine the winning horse in the first race with the horse, entry or mutuel field that was scratched from the second race;
 - (b) deducting the product obtained pursuant to paragraph (a) from the net pool; and
 - (c) dividing the second net pool obtained pursuant to paragraph (b) by the value of the bets made on the winning combination.
- 137. (1) Where two or more horses finish in a dead heat for first place in the official result of one or both of the first or second races of a daily double, the bets made that combine the winning horse or any of the winning horses in the first race of the daily double with the winning horse or any of the winning horses in the second race of the daily double shall be considered bets on the winning combinations.
 - (2) Where a dead heat described in subsection (1) occurs, an association shall calculate the pay-out price of a daily double pool by
 - (a) deducting the value of the bets made on the winning combinations from the net pool to determine the calculating pool;
 - (b) dividing the calculating pool into as many equal portions as there are winning horses in the first race on which bets on winning combinations have been made and allocating those portions to each winning horse;
 - (c) dividing each portion allocated under paragraph (b) into as many equal portions as there are winning horses in the second race on which bets on winning combinations have been made and allocating those portions to each winning combination;
 - (d) dividing each portion allocated pursuant to paragraph (c) by the value of the bets made on the applicable winning combination; and
 - (e) adding \$1 to the quotients obtained pursuant to paragraph(d).
 - (3) Where a dead heat described in subsection (1) occurs and there are no bets made that combine the winning horse or any of the winning horses in the first race of a daily double with the winning horse or any of the winning horses in the second race of the daily double, an association shall calculate the pay-out price of the daily double pool in accordance with subsection (2), except that

- (a) the bets made that combine any of the winning horses in the first race with the horse in the second race that finishes next after the winning horse or horses in the official result shall be considered a winning combination; and
- (b) where no bets have been made that combine the winning horse or horses in the first race with any horse that finishes the second race, the bets that combine the horse in the first race that finishes next after the winning horse or horses in the official result with any of the winning horses in the second race shall be considered a winning combination.
- (4) Where two or more horses finish in a dead heat in first place in the official result of the first race of a daily double, an association shall calculate the respective consolation doubles by
 - (a) deducting the value of the daily double bets made on the horses that finish first in the official result of the first race from the net pool to determine the calculating pool;
 - (b) dividing the calculating pool into as many equal portions as there are winning horses in the first race;
 - (c) allocating the portion obtained under paragraph (b) to each winning horse in the first race;
 - (d) dividing each portion allocated under paragraph (c) by the value of the bets made on each winning horse in the first race; and
 - (e) adding \$1 to the quotients obtained pursuant to paragraph (d).
- (5) Where a dead heat described in subsection (1) occurs and, after the official result of the first race of the daily double is posted, a horse, entry or mutuel field in the second race is scratched, an association shall calculate the pay-out price of a daily double pool in accordance with subsection (2), except that the net pool shall be reduced by the sum of the products obtained from multiplying the amount of money bet on the combination of each winning horse in the first race with the scratched horse, entry or mutuel field in the second race by the respective consolation double calculated pursuant to subsection (4).

Calculation of Pay-out Prices of Exactor, Quinella and Triactor Pools

- **138.** For the purposes of sections 139 to 142,
 - (a) the order of positions in which horses finish a race is based on the official result;
 - (b) the number of a position that is not preceded by any other position is one;
 - (c) the number of any position that immediately follows another position is the number of that other position plus one;
 - (d) the first position is considered to be the highest of all positions;
 - (e) where horses finish a race in a dead heat in any position, all of them are considered to finish the race in that position;
 - (f) where two or more horses from the same entry or mutuel field finish a race, each of those horses is considered to finish the race in a dead heat in the highest position in which any horse from that entry or mutuel field finishes the race:
 - (g) in the situation described in paragraph (f), no position may be recognized for the purposes of determining the order of positions if no horse is considered to finish a race in that position; and
 - (h) for the purpose of determining the number of horses that finish a race in a dead heat in any position and of determining any winning bet, horses from the same entry or mutuel field are considered to be one horse. [SOR/98-242, s. 4]
- **139.** An association shall calculate the pay-out price in respect of winning quinella, exactor and triactor bets determined under sections 140 to 142 as follows:
 - (a) where there is one combination of horses, by dividing the net pool by the value of the winning quinella, exactor or triactor bets in which that combination is selected; and
 - (b) where there are two or more combinations of horses, by

- (i) deducting the value of the winning quinella, exactor or triactor bets in which those combinations are selected from the net pool to determine a calculating pool,
- (ii) dividing the calculating pool equally between or among those combinations,
- (iii) dividing each combination's portion of the calculating pool by the sum of the winning quinella, exactor or triactor bets in which the combination is selected, and
- (iv) adding \$1 to each quotient obtained under subparagraph (iii). [SOR/98-242, s. 4]
- **140.** (1) Where two or more horses finish a race in a dead heat in first position, a winning quinella bet is one in which any two of those horses are selected in either order.
 - (2) Where one horse finishes a race in first position and one or more horses finish the race in second position, a winning quinella bet is one in which the horse that finishes the race in first position and any horse that finishes the race in second position are selected, in either order.
 - (3) In the situation described in subsection (1), where a winning quinella bet cannot be determined because no bet is made on the horses referred to in that subsection, a winning quinella bet is one in which any horse that finishes the race in a dead heat in first position is selected as first or second.
 - (4) In the situation described in subsection (2), where a winning quinella bet cannot be determined because no bet is made on the horses referred to in that subsection, a winning quinella bet is one in which the horse that finishes a race in first position or any horse that finishes the race in second position is selected as first or second
 - (5) Where one horse finishes a race, a winning quinella bet is one in which that horse is selected as first or second.
 - (6) For the purpose of calculating the pay-out price under section 139,
 - (a) combinations of the same horses in the winning quinella bets determined under subsection (1) or
 - (2) are considered to be one combination notwithstanding the order in which those horses are selected in each bet; and
 - (b) combinations of horses in the winning quinella bets determined under subsection (3), (4) or (5) in which the same horse is selected as first or second are considered to be one combination. [SOR/98-242, s. 4]
- **141.** (1) Where two or more horses finish a race in a dead heat in first position, a winning exactor bet is one in which any two of those horses are selected in either order.
 - (2) Where one horse finishes a race in first position and one or more horses finish the race in second position, a winning exactor bet is one in which the horse that finishes the race in first position and any horse that finishes the race in second position are selected in the order of finish.
 - (3) In the situation described in subsection (1) or (2), where a winning exactor bet cannot be determined because no bet is made on the horses referred to in that subsection, a winning exactor bet is one in which a horse that finishes the race in first position is selected as first.
 - (4) Where one horse finishes a race, a winning exactor bet is one in which that horse is selected as first.
 - (5) For the purpose of calculating the pay-out price under section 139,
 - (a) combinations of the same horses in the winning exactor bets determined under subsection (1) are considered to be different combinations unless those horses are selected in the same order in each bet; and

- (b) combinations of horses in the winning exactor bets determined under subsection (3) or (4) in which the same horse is selected as first are considered to be one combination. [SOR/98-242, s. 4]
- **142.** (1) Where three or more horses finish a race in a dead heat in first position, a winning triactor bet is one in which three of those horses are selected in any order.
 - (2) Where two horses finish a race in a dead heat in first position and one or more horses finish the race in second position, a winning triactor bet is one in which
 - (a) the horses that finish the race in a dead heat in first position are selected as first and second, in either order; and
 - (b) any horse that finishes the race in second position is selected as third.
 - (3) Where one horse finishes a race in first position and two or more horses finish the race in second position, a winning triactor bet is one in which
 - (a) the horse that finishes the race in first position is selected as first; and
 - (b) two horses that finish the race in second position are selected as second and third, in either order.
 - (4) Where three or more horses finish a race, and one horse finishes the race in first position and one horse finishes the race in second position, a winning triactor bet is one in which the horse that finishes the race in first position, the horse that finishes the race in second position and any horse that finishes the race in third position are selected in the order of finish.
 - (5) In the situation described in subsection (1) or (2), where a winning triactor bet cannot be determined because no bet is made on the horses referred to in that subsection, a winning triactor bet is one in which two horses that finish the race in a dead heat in first position are selected as first and second, in either order.
 - (6) In the situation described in subsection (3) or (4), where a winning triactor bet cannot be determined because no bet is made on the horses referred to in that subsection, a winning triactor bet is one in which the horse that finishes the race in first position and any horse that finishes the race in second position are selected in the order of finish.
 - (7) In the situation described in subsection (5) or (6), where a winning triactor bet cannot be determined because no bet is made on the horses referred to in that subsection, a winning triactor bet is one in which any horse that finishes the race in first position is selected as first.
 - (8) Where two horses finish a race in a dead heat, a winning triactor bet is one in which those two horses are selected as first and second, in either order.
 - (9) Where two horses finish a race other than in a dead heat, a winning triactor bet is one in which those two horses are selected as first and second, in the order of finish.
 - (10) Where one horse finishes a race, a winning triactor bet is one in which that horse is selected as first.
 - (11) For the purpose of calculating the pay-out price under section 139,
 - (a) combinations of the same horses in the winning triactor bets, determined under subsection (1), (2) or (3), are considered to be different combinations unless those horses are selected in the same order in each bet:
 - (b) combinations of horses in the winning triactor bets, determined under subsection (5), (6), (8) or (9), in which the same horses are selected as first and second
 - (i) in the same order, are considered to be one combination, or

(ii) not in the same order, are considered to be different combinations; and (c) combinations of horses in the winning triactor bets, determined under subsection (7) or (10), in which the same horse is selected as first, are considered to be one combination. [SOR/98-242, s. 4]

143. to 147. [Repealed, SOR/98-242, s. 4]

PART V - CONTROL OF DRUGS

- **148.** [Repealed, SOR/2000-163, s. 6]
- **149.** No person who undertakes activities relating to a drug control surveillance program shall own or operate a race-course or own or manage a race horse. [SOR/93-255, ss. 3,4; SOR/2000-163, s. 7]

Retention Area

- **150.** While a retention area is being used by persons undertaking activities relating to a drug control surveillance program, an association shall limit entry to the area to [SOR/2000-163, s. 8(1)]
 - (a) those persons; [SOR/2000-163, s. 8(1)]
 - (b) officers, and officials of a Commission or the association, in the performance of their duties;
 - (c) the owner or trainer of a horse chosen to undergo a test pursuant to subsection 161(1); and
 - (d) any persons that are authorized by a test inspector. [SOR/2000-163, s. 8(2)]
- **151, 152, 153 and 154. (Official Laboratories)** [Repealed, SOR/2000-163, s. 9]
- **155.** (**Official Chemist**) [Repealed, SOR/2000-163, s. 9]

Official Veterinarian

- **156.** (1) Where, in respect of a race-course, a Commission has not designated a veterinarian to be an official veterinarian, the Executive Director shall require the association to employ a licensed veterinarian. [SOR/2000-163, s. 10]
 - (2) A veterinarian who is employed pursuant to subsection (1) is deemed to be the official veterinarian of the race-course. [SOR/93-255, s. 3]

157 and 158. (Test Inspectors) [Repealed, SOR/2000-163, s. 11]

Owners and Trainers

- **159.** (1) Where a horse is chosen to undergo a test pursuant to subsection 161(1) and the owner or trainer of the horse has been notified pursuant to paragraph 161(2)(a), the owner or trainer shall immediately take the horse to a test inspector in the retention area. [SOR/2000-163, s. 12(1)]
 - (2) For the purposes of the Part, the owner or trainer of a horse may designate a representative. [SOR/2000-163, s. 12(1)]
 - (3) A representative designated under subsection (2) is deemed, for the purposes of this Part, to be the owner or trainer of the horse.
 - (4) The owner or trainer of a horse chosen to undergo a test may
 - (a) witness the collection of the official sample;
 - (b) witness the sealing and identification of the official sample container; and
 - (c) sign the documentation that accompanies the official sample. [SOR/2000-163, s. 12(2)]
 - (5) [Repealed, SOR/2000-163, s. 12(3)]

Official Samples

- **160.** An official sample collected pursuant to these Regulations is the property of Her Majesty in right of Canada. [SOR/93-255, s. 2]
- **161.** (1) A steward or judge, as the case may be, an officer or an official veterinarian may choose any horse that is entered in a race to undergo a test
 - (a) where the horse is not on an EIPH list, within two hours before the post time of the race;
 - (b) where the horse is on an EIPH list, within five hours before the post time of the race; or
 - (c) after the race has been run and before the horse leaves the racing strip.
 - (2) A person who chooses a horse pursuant to subsection (1) shall immediately
 - (a) notify the owner or trainer of the horse that the horse has been chosen to undergo a test; and
 - (b) inform a test inspector of the name of the horse. [SOR/91-518, s. 3; SOR/2000-163, s. 13(1)]
 - (3) An association shall have available the means to notify the owner or trainer of a horse that his or her horse has been chosen to undergo a test after the race has been run and before the horse leaves the racing strip. [SOR/2000-163, s. 13(2)]
- **162.** (1) Where a person other than a test inspector collects an official sample, the person shall, under the supervision of a test inspector, [SOR/2000-163, s. 14]
 - (a) use approved paraphernalia provided by a test inspector; [SOR/2000-163, s. 14]
 - (b) ensure that the official sample container is visible to the test inspector at all times; and [SOR/2000-163, s. 14]
 - (c) sign the documentation that accompanies the official sample. [SOR/2000-163, s. 14]
 - (2) No person shall remove a horse that has been chosen to undergo a test from a retention area unless authorized to do so by the test inspector supervising the collection. [SOR/2000-163, s. 14]

163 and 164. [Repealed, SOR/2000-163, s. 15]

- **165.** On completion of an analysis of an official sample, an official chemist shall classify the official sample as positive and issue a certificate of positive analysis if he or she has determined that
 - (a) with respect to a drug set out in section 1 of the schedule,
 - (i) for any drug other than furosemide in respect of any horse, or for furosemide in respect of a horse that is not on an EIPH list, the drug is present in the official sample, or
 - (ii) for furosemide in respect of a horse that is on an EIPH list, furosemide is not present in the official sample of urine or it is present in the official sample of blood in a quantity indicating that furosemide was administered to the horse otherwise than in the manner set out in paragraph 170.1(1)(e);
 - (b) with respect to a drug set out in section 2 of the schedule, the drug is present in the official sample in a concentration that exceeds the quantitative limit set out for the drug in that section; and
 - (c) with respect to a drug set out in section 3 of the schedule,
 - (i) if the owner or trainer complies with section 170, the drug is present in the official sample in a concentration that exceeds the quantitative limit set out for the drug in section 3 of the schedule, or (ii) if the owner or trainer does not comply with section 170, the drug is present in the official sample. [SOR/91-518, s. 4; SOR/2000-163, s. 16]
- **166.** [Repealed, SOR/2000-163, s. 17]

- **167.** Unless otherwise authorized by the Executive Director, an official chemist shall not disclose the details of any analysis to anyone other than the Executive Director, an officer or an official of the appropriate Commission. [SOR/93-255, s. 3]
- **168 and 169.** [Repealed, SOR/2000-163, s. 18]

Statement and Sample

- **170.** With respect to a drug set out in section 3 of the schedule and administered to a horse entered in a race at a race-course, the owner or trainer of the horse may provide a test inspector
 - (a) at the race-course, not later than one-half hour before the post time of the race in which the horse is entered, with a statement signed by the horse's veterinarian or trainer that identifies the horse and the race in which it is entered and indicates the brand name, generic name, route of administration, dosage and time of the last administration of the drug to the horse; and
 - (b) immediately after the race, with an official sample of blood collected in accordance with section 162. [SOR/2000-163, s. 19]

EIPH Program

- **170.1** (1) Where an exercise-induced pulmonary hemorrhage (EIPH) program for horses, established by the appropriate Commission, is implemented at a race-course of an association, the Executive Director shall, subject to the other requirements of these Regulations, approve pari-mutuel betting at that race-course where the Commission, at that race-course,
 - (a) establishes and maintains an up-to-date EIPH list and provides the test inspector with a copy of it at the beginning of every racing card; [SOR/2000-163, s. 20(1)]
 - (b) ensures that any horse on the EIPH list remains on that list for a period of at least 100 days;
 - (c) maintains an accurate medication record in respect of every horse on the EIPH list;
 - (d) prohibits any horse on the EIPH list from racing after a recurrence of bleeding during or after a race that is confirmed by an endoscopic examination, performed by, or in the presence of, an official veterinarian, for a minimum period of
 - (i) 14 consecutive days after that recurrence,
 - (ii) 90 consecutive days after the second recurrence, and
 - (iii) 365 consecutive days after the third recurrence;
 - (e) verifies that any horse on the EIPH list receives the drug furosemide, in an amount not less than 150 mg and not more than 250 mg, intravenously, four hours plus or minus fifteen minutes before the post time of the race in which the horse is entered;
 - (f) ensures that any horse on the EIPH list that did not receive the drug furosemide in accordance with paragraph (e) is scratched;
 - (g) where any horse on the EIPH list did not receive the drug furosemide, as evidenced by the absence of that drug in an analysis of an official sample of urine collected from that horse, prohibits the horse from racing for a minimum period of 14 consecutive days; and
 - (h) ensures that an officer is given, on request, access to records maintained for the EIPH list.
 - (2) Where a horse on an EIPH list is chosen to undergo a test pursuant to subsection 161(1), the owner or trainer of the horse shall provide a test inspector, immediately after the race, with an official sample of blood collected in accordance with section 162. [SOR/91-518, s. 5; SOR/93-143, s. 1; SOR/93-255, s. 3; SOR/95-475, s. 3(F); SOR/2000-163, s. 20(2)]
- **170.2** In addition to the information required by sections 25 and 26, every association shall, in its race program, (a) designate the horses on the EIPH list by an appropriate symbol;

- (b) designate the chart lines of the horses on the EIPH list by the symbol referred to in paragraph (a); and
- (c) include an explanation of the symbol referred to in paragraph (a). [SOR/91-518, s. 5]

Prohibitions

171. No person shall

- (a) administer or permit the administration of a drug to a horse that is entered in a race in such a manner that a certificate of positive analysis would be issued under section 165 with respect to that horse;
- (b) tamper with a horse before, during or after a race in such a manner as to interfere with the collection or analysis of an official sample;
- (c) unless otherwise permitted by a test inspector or an official veterinarian, administer, after a race, anything except drinking water to a horse that has been chosen to undergo a test pursuant to subsection 161(1), until the horse is discharged;
- (d) interfere with the work of any person who is undertaking activities relating to a drug control surveillance program;
- (e) interfere with the collection or analysis of an official sample;
- (f) substitute another horse for any horse that has been chosen to undergo a test pursuant to subsection 161(1); or
- (g) substitute or misrepresent the contents of an official sample container. [SOR/2000-163, s. 21]

SCHEDULE

(Sections 2, 165 and 170) [SOR/2000-163, s. 22]

List of Drugs

- 1. Any substance and any preparation, metabolite, derivative, isomer and salt of the substance,
 - (a) that is labelled for veterinary use under the *Food and Drug Regulations* during a period of 240 days, calculated from the day on which the drug was assigned a drug identification number (D.I.N.) under those Regulations; [SOR/2000-163, s. 23(1)]
 - (b) that is not labelled for veterinary use under the *Food and Drug Regulations*; [SOR/2000-163, s. 23(1)]
 - (c) that interferes with an analysis for any drug included in this schedule; or
 - (d) that is included in the following list:

Acepromazine (Acépromazine)

Acetanilide (Acétanilide)

Acetylsalicylic acid (Acide acétylsalicylique)

Aconite (Aconit)

Aconitine (*Aconitine*)

Alphadolone (Alphadolone)

Alphaxalone (Alphaxalone)

Aminophylline (Aminophylline)

Antipyrine (Antipyrine)

Arecoline (*Arécoline*)

Atipamezole (Atipamézole)

Atropine (Atropine)

Azaperone (Azapérone)

Belladonna (Belladone)

Benazepril (Benazépril)

Benzocaine (Benzocaine)

Betamethasone (Bétaméthasone)

Boldenone (Boldénone)

Butacaine (Butacaine)

Butorphanol (Butorphanol)

Caffeine (Caféine)

Camphor (Camphre)

Carbachol (Carbachol)

Carfentanil (Carfentanil)

Carprofen (Carprofène)

Chloral Hydrate (Hydrate de chloral)

Chlorpheniramine (Chlorphéniramine)

Clenbuterol (Clenbutérol)

Clomipramine (Clomipramine)

Cromoglycate (Cromoglycate)

Dembrexine (Dembrexine)

Desoxycorticosterone (Désoxycorticostérone)

Detomidine (Détomidine)

Dexamethasone (Dexaméthasone)

Dibucaine (Dibucaine)

Diethylstilbestrol (Diéthylstilbestrol)

Digitalis (Digitaline)

Digitoxin (Digitoxine)

Digoxin (Digoxin)

Dimethylsulfoxide (Diméthylsulfoxyde)

Diphemanil (Diphémanil)

Diprenorphine (Diprénorphine)

Dipyrone (Dipyrone)

Doxapram (Doxapram)

Droperidol (*Dropéridol*)

Enalapril (Énalapril)

Ephedrine (Éphédrine)

Epinephrine (Épinéphrine)

Ergonovine (Ergonovine)

Etorphine (Étorphine)

Fentanyl (Fentanyl)

Flumethasone (Fluméthasone)

Flunixin (Flunixine)

Fluocinolone acetonide (Acétonide de fluocinolone)

Furosemide (Furosémide)

Guaifenesin (Guaifénésine)

Hydrochlorothiazide (Hydrochlorothiazide)

Hyoscine (Hyoscine)

Hyoscyamine (Hyoscyamine)

Isoflupredone (Isofluprédone)

Isopropamide (Isopropamide)

Ketamine (*Kétamine*)

Ketanserine (Kétanserine) [SOR/2001-99, s. 1]

Ketoprofen (Kétoprofène)

Lidocaine (*Lidocaine*)

Lobelia (Lobelia)

Lobeline (Lobéline)

Mebezonium (Mébézonium)

Meclofenamic acid (Acide méclofénamique)

Medetomidine (Médetomidine)

Meloxicam (Méloxicam)

Mepivacaine (Mépivacaïne)

Methapyrilene (Méthapyrilène)

Methotrimeprazine (Méthotriméprazine)

Methscopolamine (Methscopolamine)

Methylprednisolone (Méthylprednisolone)

Methyl Salicylate (Salicylate de méthyle)

Methyltestosterone (Méthyltestostérone)

Metomidate (Métomidate)

Naloxone (Naloxone)

Nandrolone (Nandrolone)

Neostigmine (Néostigmine)

Nicotine (Nicotine)

Nikethamide (Nikéthamide)

Nux Vomica (Nux Vomica)

Penicillin G Procaine (Pénicilline G Procaïne)

Pentazocine (Pentazocine)

Pentobarbital (Pentobarbital)

Pentoxifylline (Pentoxifylline)

Phenacaine (*Phénacaine*)

Pheniramine (*Phéniramine*)

Phenothiazine (*Phénothiazine*)

Phenylbutazone (*Phénylbutazone*)

Phenylephrine (Phényléphrine)

Prednisolone (Prednisolone)

Prednisone (*Prednisone*)

Primidone (*Primidone*)

Prochlorperazine (Prochlorpérazine)

Propionylpromazine (Propionylpromazine)

Propofol (Propofol)

Pyrilamine (Pyrilamine)

Quinine (Quinine)

Romifidine (Romifidine)

Salicylamide (Salicylamide)

Scopolamine (Scopolamine)

Secobarbital (Sécobarbital)

Selegiline (Sélégiline)

Sputolysin (Sputolysine)

Stanozolol (Stanozolol)

Strychnine (Strychnine)

Tetracaine (*Tétracaïne*)

Theophylline (Théophylline)

Thiamylal (Thiamylal)

Thiopental (Thiopental)

Tobacco (Tabac)

Tolazoline (*Tolazoline*)

Tolfenamic acid (Acide tolfénamique)

Trenbolone (Trenbolone)
Triamcinolone (Triamcinolone)
Tricaine (Tricaïne)
Trichlormethiazide (Trichlorméthiazide)
Trimeprazine (Triméprazine)
Tripelennamine (Tripélennamine)
Vedaprofen (Védaprofène)
Xylazine (Xylazine)
Yohimbine (Yohimbine)
Zeranol (Zéranol)

2. <u>Drug</u> <u>Quantitative Limit</u>

Salicylic acid (Acide salicylique)

 $750 \mu g/mL$ in urine $6.5 \mu g/mL$ in blood

3. <u>Drug</u> <u>Quantitative Limit</u>

Procaine (*Procaïne*) 0.025 μg/mL in blood

[SOR/91-656, s. 1; SOR/92-225, s. 1; SOR/93-218, s. 1; SOR/93-497, s. 1; SOR/94-520, s. 1; SOR/95-51, s. 1; SOR/97-475, s. 1; SOR/99-160, s. 1; SOR/99-196, s. 1; SOR/99-343, s. 1; SOR/2000-163, s. 23(2); SOR/2000-164, s. 1; SOR/2001-99, s. 1]

Criminal Code of Canada (C-46)

Sections 201 to 203 of the *Criminal Code* pertain to betting and gaming activities that constitute an offence. Section 204 of the *Criminal Code* provides certain exemptions to the gaming and betting prohibitions of the preceding sections.

- 204. (1) Sections 201 and 202 do not apply to
 - (a) any person or association by reason of his or their becoming the custodian or depository of any money, property or valuable thing staked, to be paid to
 - (i) the winner of a lawful race, sport, game or exercise,
 - (ii) the owner of a horse engaged in a lawful race, or
 - (iii) the winner of any bets between not more than ten individuals;
 - (b) a private bet between individuals not engaged in any way in the business of betting;
 - (c) bets made or records of bets made through the agency of a pari-mutuel system on running, trotting or pacing horse-races if
 - (i) the bets or records of bets are made on the race-course of an association in respect of races conducted at that race-course or another race-course in or out of Canada, and, in the case of a race conducted on a race-course situated outside Canada, the governing body that regulates the race has been certified as acceptable by the Minister of Agriculture and Agri-Food or a person designated by that Minister pursuant to subsection (8.1) and that Minister or person has permitted pari-mutuel betting in Canada on the race pursuant to that subsection, and
 - (ii) the provisions of this section and the regulations are complied with.

Exception

(1.1) For greater certainty, a person may, in accordance with the regulations, do anything described in section 201 or 202, if the person does it for the purposes of legal pari-mutuel betting.

Presumption

(2) For the purposes of paragraph 1(c), bets made, in accordance with the regulations, in a betting theatre referred to in paragraph (8)(e), or by telephone calls to the race-course of an association or to such a betting theatre, are deemed to be made on the race-course of the association.

Operation of pari-mutuel system

(3) No person or association shall use a pari-mutuel system of betting in respect of a horse-race unless the system has been approved by and its operation is carried on under the supervision of an officer appointed by the Minister of Agriculture and Agri-Food.

Supervision of pari-mutuel system

(4) Every person or association operating a pari-mutuel system of betting in accordance with this section in respect of a horse-race, whether or not the person or association is conducting the race-meeting at which the race is run, shall pay to the Receiver General in respect of each individual pool of the race and each individual feature pool one-half of one per

cent, or such greater fraction not exceeding one per cent as may be fixed by the Governor in Council, of the total amount of money that is bet through the agency of the pari-mutuel system of betting.

Percentage that may be deducted and retained

(5) Where any person or association becomes a custodian or depository of any money, bet or stakes under a pari-mutuel system in respect of a horse-race, that person or association shall not deduct or retain any amount from the total amount of money, bets or stakes unless it does so pursuant to subsection (6).

Idem

(6) An association operating a pari-mutuel system of betting in accordance with this section in respect of a horse-race, or any other association or person acting on its behalf, may deduct and retain from the total amount of money that is bet through the agency of the pari-mutuel system, in respect of each individual pool of each race or each individual feature pool, a percentage not exceeding the percentage prescribed by the regulations plus any odd cents over any multiple of five cents in the amount calculated in accordance with the regulations to be payable in respect of each dollar bet.

Stopping of betting

(7) Where an officer appointed by the Minister of Agriculture and Agri-Food is not satisfied that the provisions of this section and the regulations are being carried out in good faith by any person or association in relation to a race meeting, he may, at any time, order any betting in relation to the race meeting to be stopped for any period that he considers proper.

Regulations

- (8) The Minister of Agriculture and Agri-Food may make regulations
 - (a) prescribing the maximum number of races for each race-course on which a race meeting is conducted, in respect of which a pari-mutuel system of betting may be used for the race meeting or on any one calendar day during the race meeting, and the circumstances in which the Minister of Agriculture and Agri-Food or a person designated by him for that purpose may approve of the use of that system in respect of additional races on any race-course for a particular race meeting or on a particular day during the race meeting;
 - (b) prohibiting any person or association from using a pari-mutuel system of betting for any race-course on which a race meeting is conducted in respect of more than the maximum number of races prescribed pursuant to paragraph (a) and the additional races, if any, in respect of which the use of a pari-mutuel system of betting has been approved pursuant to that paragraph;
 - (c) prescribing the maximum percentage that may be deducted and retained pursuant to subsection (6) by or on behalf of a person or association operating a pari-mutuel system of betting in respect of a horse-race in accordance with this section and providing for the determination of the percentage that each such person or association may deduct and retain;
 - (d) respecting pari-mutuel betting in Canada on horse-races conducted on a race-course situated outside Canada; and
 - (e) authorizing pari-mutuel betting and governing the conditions for pari-mutuel betting, including the granting of licences therefor, that is conducted by an association in a betting theatre owned or leased by the association in a province in which the Lieutenant Governor in Council, or such other person or authority in the province as may be specified by the Lieutenant Governor in Council thereof, has issued a licence to that association for the betting theatre.

Approvals

- (8.1) The Minister of Agriculture and Agri-Food or a person designated by that Minister may, with respect to a horse-race conducted on a race-course situated outside Canada,
 - (a) certify as acceptable, for the purposes of this section, the governing body that regulates the race; and
 - (b) permit pari-mutuel betting in Canada on the race.

Idem

- (9) The Minister of Agriculture and Agri-Food may make regulations respecting
 - (a) the supervision and operation of pari-mutuel systems related to race meetings, and the fixing of the dates on which and the places at which an association may conduct those meetings;
 - (b) the method of calculating the amount payable in respect of each dollar bet;
 - (c) the conduct of race-meetings in relation to the supervision and operation of pari-mutuel systems, including photo-finishes, video patrol and the testing of bodily substances taken from horses entered in a race at such meetings, including, in the case of a horse that dies while engaged in racing or immediately before or after the race, the testing of any tissue taken from its body;
 - (d) the prohibition, restriction or regulation of
 - (i) the possession of drugs or medicaments or of equipment used in the administering of drugs or medicaments at or near race-courses, or
 - (ii) the administering of drugs or medicaments to horses participating in races run at a race meeting during which a pari-mutuel system of betting is used; and
 - (e) the provision, equipment and maintenance of accommodation, services or other facilities for the proper supervision and operation of pari-mutuel systems related to race meetings, by associations conducting those meetings or by other associations.

900 metre zone

- (9.1) For the purposes of this section, the Minister of Agriculture and Agri-Food may designate, with respect to any race-course, a zone that shall be deemed to be part of the race-course, if
 - (a) the zone is immediately adjacent to the race-course;
 - (b) the farthest point of that zone is not more than 900 metres from the nearest point on the race track of the race-course; and
 - (c) all real property situated in that zone is owned or leased by the person or association that owns or leases the race-course.

Contravention

- (10) Every person who contravenes or fails to comply with any of the provisions of this section or of any regulations made under this section is guilty of
 - (a) an indictable offence and is liable to imprisonment for a term not exceeding two years; or
 - (b) an offence punishable on summary conviction.

Definition of "association"

(11) For the purposes of this section, "association" means an association incorporated by or pursuant to an Act of Parliament or of the legislature of a province that owns or leases a race-course and conducts horse-races in the ordinary course of its business and, to the extent that the applicable legislation requires that the purposes of the association be expressly stated in its constating instrument, having as one of its purposes the conduct of horse-races.

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Our file / Notre référence 3930-5-15

July 17, 2001

Le 17 juillet 2001

MEMORANDUM TO:

The Canadian Pari-Mutuel Industry

NOTE AUX:

Membres de l'industrie canadienne du pari mutuel

SUBJECT: PICK "N" POOL DIRECTIVE

Attached is a copy of the amended Pick "N" Pool Directive, dated July 2001. This Directive completely replaces the Pick "N" Pool Directive, Appendix C.1 iii, dated October 1996.

The following amendments have been made:

- section 6(2)(f), under the title Methods of Distribution, has been added,
- section 8(a), under the title Mandatory Pay-Out Day, has been revised to state "not later than the **second** last racing day...",
- section 12(3), under the title Determining the Value of Bets on Winning Combinations: Dead heats, Scratches, Cancelled Races, Most Winners, has been added, and
- section 16(e), under the title Calculation of Pay-Out Prices, has been added, renumbering the previous (e) as (f).

OBJET : <u>DIRECTIVE SUR LE PARI</u> SPÉCIAL LOTO « N »

Vous trouverez ci-joint une copie de la version modifiée, en date de juillet 2001, de la Directive sur le pari spécial LOTO « N ». Elle remplace entièrement la Directive sur le pari spécial LOTO « N », annexe C.1 iii, en date d'octobre 1996.

Les modifications suivantes ont étéapportées :

- l'alinéa 6(2) (f), sous le titre Méthodes d'attribution, a été ajouté,
- l'alinéa 8(a), sous le titre Jour de rapport obligatoire, a été revisé pour énoncer « pas plus tard que **l'avant-dernière** journée du programme de courses... »,
- l'alinéa 12(3), sous le titre Détermination de la valeur de mises sur des combinaisons gagnantes : course à égalité, retrait, annulation de course et nombre maximal de gagnants, a été ajouté, et
- l'alinéa 16(e), sous le titre Calcul du montant du rapport, a été ajouté; l'alinéa 16(e) précédent devient ainsi 16(f).

The changes have been made to allow for the addition of an accumulated major and a daily minor split. The percentage of split must be determined by the association and approved by the Executive Director.

Please contact the respective Regional Office with any questions you may have.

Les changements ont été apportés afin de permettre l'ajout d'une part majoritaire accumulée et d'une part minoritaire quotidienne. Le pourcentage du partage doit être fixé par l'association et être approuvé par la directrice exécutive.

Pour de plus amples renseignements, veuillez communiquer avec votre bureau régional respectif.

La directrice exécutive

Elizabeth Massey Executive Director

Attachment Pièce jointe

c.c. Regional Managers c.c. Gestionnaires régionaux

DIRECTIVE

The Pick "N" Feature Pool Directive or approval process for a method of calculating a pool, is based on the provisions of the *Pari-Mutuel Betting Supervision Regulations* and specifically paragraphs 5(1)(e) and 6(2)(b).

La Directive sur le pari spécial LOTO « N », ou le processus d'autorisation d'une méthode de calcul d'un pari spécial, se fonde sur les dispositions du *Règlement sur la surveillance du pari mutuel*, plus particulièrement sur ses alinéas 5(1)e) et 6(2)b).

PICK "N" FEATURE POOL

- In this Directive,
 - (a) "N" equals the number of races on which the pool will be held;
 - (b) "Pick "N" feature pool" means the pool corresponding to a betting transaction in which a bettor undertakes to select the winner of designated races held on one betting card, the number of which is determined by the association and approved by the Executive Director, such number to be substituted for "N" in the association's program, and each such race hereinafter referred to as a leg;
 - (c) "Regulations" means the Pari-Mutuel Betting Supervision Regulations; and
 - (d) definitions in the <u>Criminal Code</u> and the Regulations shall apply to this Directive.

PARI SPÉCIAL LOTO « N »

- 1. Dans la présente directive,
 - (a) « N » correspond au nombre de courses sur lequel le pari spécial sera tenu;
 - (b) « Pari spécial LOTO « N » » désigne le pari spécial qui correspond qu'à une transaction pour pari par laquelle un parieur s'engage à choisir les gagnants de courses, qui ont lieu durant un programme de courses, et dont le nombre, déterminé par l'association et approuvé par le Directeur exécutif, remplace le « N » dans le programme de l'association, chacune d'elles étant désignée ci-après comme une tranche du pari spécial;
 - (c) « Règlement » désigne le Règlement sur la surveillance du pari mutuel; et
 - (d) les définitions du <u>Code criminel</u> et du Règlement s'appliquent.

- 2. (1) An association is authorized to operate a Pick "N" feature pool provided:
 - (a) a request is made pursuant to paragraph 5(1) (e) of the Regulations to operate the Pick "N" feature pool on a specified number of races and a corresponding permit to that effect has been issued pursuant to subsection 6(2) of the Regulations;
 - (b) a computerized pari-mutuel system of betting approved by the Executive Director and tested by an officer is used; and
 - (c) there is compliance with this Directive.
 - (2) Every request to operate a Pick "N" feature pool pursuant to paragraph 5 (1) (e) of the Regulations shall include
 - (a) the schedule of days on which the association plans to operate the Pick "N" feature pool;
 - (b) the days the association plans to carry out a mandatory distribution of the pool; and
 - (c) the method of calculation chosen from subsection 6(2) of this Directive, including the percentage of any split from the day's net pool applicable to the chosen method of calculation.

- 2. (1) L'association est autorisée à tenir un pari spécial LOTO « N » pourvu :
 - (a) qu'une demande soit soumise, au titre de l'alinéa 5(1)e) du Règlement, en vue de la tenue d'un pari spécial LOTO « N » visant un nombre précis de courses et qu'un permis approprié ait été délivré à cet égard au titre du paragraphe 6(2) du Règlement;
 - (b) que soit utilisé un système de pari mutuel informatisé, approuvé par le Directeur exécutif et vérifié par un agent; et
 - (c) que la présente directive soit respectée.
 - (2) Toute demande présentée en vue de la tenue d'un pari spécial LOTO « N » au titre de l'alinéa 5(1)e) du Règlement doit comprendre
 - (a) un calendrier précisant les jours où l'association prévoit tenir un pari spécial LOTO « N »;
 - (b) les jours où l'association prévoit procéder à une attribution obligatoire de la poule de pari; et
 - (c) la méthode de calcul utilisée conformément au paragraphe 6(2) de la présente directive, y compris le pourcentage d'une part de la poule nette de la journée, qui s'applique à la méthode de calcul.

- 3. (1) An association shall only operate a Pick "N" feature pool in accordance with the schedule and conditions approved by the Executive Director.
 - (2) An association shall
 - (a) publish the schedule in the association's daily race program for each racing card of the race meeting in respect of which the feature pool is operated; and
 - (b) obtain the approval of the Executive Director before altering the schedule.
- 4. No association shall, after betting has opened or has closed on a Pick "N" feature pool, allow "also eligible" horses to be entered in any race on which the feature pool is being held.
- 5. In addition to the requirements of section 16 of the Regulations, every Pick "N" feature pool ticket shall have printed on it the numbers of the races on which the feature pool is held.

METHODS OF DISTRIBUTION

6. (1) An association shall specify the method of distribution, chosen from subsection (2), for each Pick "N" feature pool applied for under section 2 of this Directive.

- 3. (1) L'association doit organiser des paris spéciaux LOTO « N » conformément au calendrier et aux conditions approuvées par le Directeur exécutif.
 - (2) L'association doit
 - (a) publier le calendrier dans son programme quotidien de course chaque fois que le pari spécial est tenu; et
 - (b) obtenir l'approbation du Directeur exécutif quant aux modifications qu'elle apporte au calendrier.
- 4. Après le début ou la fin d'un engagement de mises sur un pari spécial LOTO « N », l'association ne doit pas permettre à des chevaux « également admissibles » d'être inscrits à une course comprise dans le pari spécial.
- Outre les exigences de l'article 16 du Règlement, tout ticket de pari spécial LOTO « N » doit indiquer les numéros des courses sur lesquelles le pari spécial est tenu.

MÉTHODES D'ATTRIBUTION

6. (1) L'association doit choisir, parmi les méthodes définies dans le paragraphe (2), une méthode d'attribution pour chaque pari spécial LOTO « N » pour lequel elle présente une demande au titre de l'article 2 de la présente directive.

- (2) An association shall choose one of the following methods of distribution for each Pick "N" pool operated:
 - (a) the daily mandatory method, whereby the Pick "N" feature pool is distributed every day it is operated; or
 - (b) the accumulated method, whereby the Pick "N" feature pool is distributed, including any previous day's net pool that has been accumulated and carried over, whenever
 - (i) bets are sold coupling the horse finishing first in every leg of the pool; or
 - (ii) a mandatory day is scheduled; otherwise the day's net pool is added to any previously accumulated net pool and carried over to the next Pick "N" pool in the approved schedule; or
 - (c) the accumulated method with a split, whereby the Pick "N" feature pool is distributed according to paragraph (b) except that on a non-mandatory pay-out day, when bets are sold coupling the horse finishing first in every leg of the pool, a

- (2) L'association doit choisir l'une ou l'autre des méthodes d'attribution suivantes pour chaque pari spécial LOTO « N » :
 - (a) la méthode fondée sur l'attribution obligatoire quotidienne, selon laquelle on attribue le montant de la mise totale pour chaque pari spécial LOTO « N » qui a lieu; ou
 - (b) la méthode cumulative, selon laquelle on attribue le montant misé sur le pari spécial LOTO « N », y compris les poules nettes de jours précédents accumulés et reportés, lorsque
 - (i) on vend des tickets donnant le cheval gagnant de chaque course correspondant à une tranche du pari spécial; ou
 - (ii) on prévoit une journée où l'attribution est obligatoire; autrement, la poule nette de la journée est ajoutée aux poules nettes accumulées antérieurement, et le montant ainsi obtenu est reporté au pari spécial LOTO « N » suivant prévu dans le calendrier approuvé; ou
 - (c) la méthode cumulative assortie du partage du montant, selon laquelle le montant misé sur le pari spécial LOTO « N » est attribué conformément à la disposition contenue à l'alinéa b), sauf que cette mesure est prise durant une journée où le rapport n'est pas obligatoire;

percentage of that day's net pool, as determined by the association and approved by the Executive Director, is carried over and accumulated with the net pool of the next Pick "N" pool in the approved schedule; or

(d) the accumulated method with a daily split, whereby the Pick "N" feature pool is distributed according to paragraph (b) except that on a non-mandatory pay-out day when no bets have been sold coupling the horses finishing first in every leg of that day's pool, a percentage of that day's net pool, as determined by the association and approved by the Executive Director, shall be distributed among bets that couple the horses finishing first in the most number of legs of the pool, and the remaining part of that day's net pool accumulated and carried over to the next Pick "N" feature pool on the approved schedule: or

- lorsqu'on mise un montant sur le cheval gagnant de chaque course correspondant à une tranche du pari spécial, un pourcentage de la poule nette de la journée, déterminé par l'association et approuvée par le Directeur exécutif, est reporté et ajouté à la poule nette pour le pari spécial LOTO « N » suivant prévu dans le calendrier approuvé; ou
- (d) la méthode cumulative combinée au partage de la mise quotidienne, selon laquelle le montant misé sur le pari spécial LOTO « N » est attributé conformément de la disposition contenue à l'alinéa b); toutefois, les jours où le rapport n'est pas obligatoire, lorsqu'on ne mise pas de montant sur le cheval gagnant de chaque course correspondant à une tranche du pari spécial de la journée, un pourcentage de la poule nette, déterminé par l'association et approuvé par le Directeur exécutif, est réparti entre les mises qui touchent le plus grand nombre de chevaux gagnants pour le plus grand nombre de tranches du pari spécial, et le reste de la poule nette de la journée est reporté au pari spécial LOTO « N » suivant prévu dans le calendrier approuvé; ou

- (e) the daily mandatory method, whereby the Pick "N" pool has a major share and a minor share. The percentage of split must be determined by the association and approved by the Executive Director.
 - (i) the major share will be distributed among holders of Pick "N" tickets which correctly designate the official winner in each leg of the races comprising the Pick "N" pool;
 - (ii) the minor share will be distributed among holders of Pick "N" tickets which correctly designate the next greatest number of official winners of the legs comprising the Pick "N" pool;
 - (iii) in the event there are no tickets sold which designate the official winner in each leg of the races of the Pick "N", then the entire net pool shall be distributed among ticket holders which correctly designate the most official winners of the Pick "N" pool; or

- (e) la méthode fondée sur l'attribution obligatoire quotidienne, selon laquelle le montant de la mise totale pour chaque pari spécial LOTO « N » comporte une part minoritaire. Le pourcentage du partage doit être fixé par l'association et approuvé par le Directeur exécutif.
 - (i) la part majoritaire sera répartie entre les détenteurs de tickets de pari spécial LOTO « N » qui désignent correctement le gagnant officiel de chaque tranche du pari spécial LOTO « N »;
 - (ii) la part minoritaire sera répartie entre les détenteurs de tickets de pari spécial LOTO « N » qui désignent le prochain nombre le plus élevé de gagnants officiels pour les tranches du pari spécial LOTO « N »;
 - (iii) si aucun ticket ne désigne le gagnant officiel de chaque tranche du pari spécial LOTO « N », le montant total de la poule nette sera réparti entre les détenteurs de tickets qui désignent correctement le plus grand nombre de gagnants officiels du pari spécial LOTO « N »; ou

- (f) the accumulated method with a daily split, whereby the Pick "N" pool has a major share and a daily minor share. The percentage of split must be determined by the association and approved by the Executive Director.
 - in the event bets are sold correctly designating the official winner in each leg of the races comprising the Pick "N" pool, the major share, a percentage of that day's net pool, will be distributed including any previous day's net pool that has been accumulated and carried over. On a nonmandatory day, in the event that no bets are sold correctly designating the official winner in each leg of the races comprising the Pick "N" pool, the major share is accumulated and carried over to the next Pick "N" feature pool on the approved schedule.
 - (ii) the daily minor share, a percentage of that day's net pool, will be distributed each day among holders of Pick "N" tickets which correctly designate the next greatest number of official winners of the legs comprising the Pick "N" pool; or

- (f) la méthode cumulative combinée au partage de la mise quotidienne, selon laquelle la mise totale pour chaque pari spécial LOTO « N » comporte une part majoritaire et une part minoritaire. Le pourcentage du partage doit être fixé par l'association et être approuvé par le directeur exécutif.
 - (i) si des tickets désignent correctement le gagnant officiel de chaque tranche du pari spécial LOTO « N », la part majoritaire, pourcentage de la poule nette de la journée sera répartie, y compris le montant accumulé et reporté de la poule nette de la veille. Si, dans la journée pour laquelle on n'a pas prévu d'attribution obligatoire, aucun ticket ne désigne le gagnant officiel de chaque tranche du pari spécial LOTO « N », la part majoritaire s'accumule et est reportée au pari spécial LOTO « N » suivant prévu dans le calendrier approuvé.
 - (ii) la part minoritaire de la journée, pourcentage de la poule nette de cette journée, sera répartie chaque jour entre les détenteurs de tickets du pari spécial LOTO « N » qui désignent le prochain nombre le plus élevé de gagnants officiels pour les tranches du pari; ou

(3) Where a net pool is split in accordance with paragraphs (2)(c), (d) or (f) any odd cents remaining shall be added to the part of the net pool that will be added to any previously accumulated net pool.

REFUNDS

- 7. The association shall make available for refund the value of all bets made in respect of a Pick "N" feature pool where, for any leg of the Pick "N" feature pool,
 - (a) any of the events listed in section 106 of the Regulations occur; or
 - (b) any of the events listed in paragraph 107 (a) of the Regulations occur before the first leg of the Pick "N" feature pool is made official; and shall carryover any previously accumulated net pool to the next Pick "N" feature pool on the approved schedule.

(3) Lorsqu'une poule nette est partagée conformément aux dispositions de l'alinéa (2)c), (d) ou (f), les cents qui restent sont intégrés à la partie de la poule nette qui est ajoutée à la poule nette accumulée antérieurement.

REMBOURSEMENT

- 7. L'association doit accepter de rembourser les montants équivalant aux montants misés pour un pari spécial LOTO « N » lorsque, dans le cadre d'une tranche du pari spécial,
 - (a) l'une ou l'autre des circonstances énoncées dans l'article 106 du Règlement se produit; ou
 - (b) l'une ou l'autre des circonstances énoncées à l'alinéa 107a) du Règlement survient avant l'annonce officielle de la première course correspondant à une tranche du pari spécial LOTO « N »; et reporter toute poule nette accumulée antérieurement au pari spécial LOTO « N » suivant prévu dans le calendrier approuvé.

MANDATORY PAY-OUT DAY

- 8. Every association that operates a Pick "N" feature pool according to a method of distribution described at paragraphs 6(2)(b), (c), (d) or (f) of this Directive shall schedule a mandatory pay-out day
 - (a) not later than the second last racing day of the race meeting during which the Pick "N" feature pool was approved; and
 - (b) at least once every year.
- 9. (1) An association shall, on a mandatory pay-out day for any Pick "N" feature pool, pay out that day's net pool added to any previous net pool accumulated and carried over.
 - (2) Where, on the scheduled mandatory payout day for a Pick "N" pool, an association refunds the day's pool in accordance with section 7 of this Directive, the association shall schedule the Pick "N" feature pool with a mandatory pay-out for the next racing card.
 - (3) The Executive Director may order an association to make a mandatory pay-out of a Pick "N" feature pool at any time if, in the Executive Director's opinion, it would be in the public interest to do so.

JOUR DE RAPPORT OBLIGATOIRE

- 8. Toute association qui organise un pari spécial LOTO « N » selon une méthode d'attribution définie à l'alinéa 6(2)b), c) d) ou f) de la présente directive doit prévoir une journée de rapport obligatoire
 - (a) pas plus tard que l'avant-dernière journée du programme de courses pour lequel le pari spécial LOTO « N » est approuvé; et
 - (b) au moins une fois l'an.
- 9. (1) Les jours où l'association procèdent à l'attribution obligatoire du montant misé sur un pari spécial LOTO « N », elle doit payer la poule nette de la journée ainsi que toute poule nette reportée de jours précédents.
 - (2) Lorsqu'une association rembourse la poule de la journée durant une journée où elle a prévu l'attribution obligatoire du montant misé sur un pari spécial LOTO « N », conformément à l'article 7 de la présente directive, elle doit déterminer le jour où elle procédera à l'attribution obligatoire du montant misé sur un pari spécial LOTO « N » dans le cadre du programme de course suivant.
 - (3) Le Directeur exécutif peut obliger l'asso-ciation à attribuer le montant misé sur un pari spécial LOTO « N » lorsqu'à son avis il est dans l'intérêt public de le faire.

DETERMINING THE VALUE OF BETS ON WINNING COMBINATIONS: DEAD HEATS, SCRATCHES, CANCELLED RACES, MOST WINNERS

- 10. Whenever, in any leg of a Pick "N" feature pool, the official order of finish includes a dead heat between two or more horses and those horses are included in the winning combinations, the association shall add together the value of the bets on those combinations to form a single value for the winning combination for the purposes of section 16 of this Directive.
- 11. (1) Whenever, in any leg of a Pick "N" pool, a horse is scratched, the association shall, for all purposes, substitute for the scratched horse, the horse that is the favourite in the Win pool operated on the leg from which the horse is scratched, as evidenced by the amount bet on each horse in that Win pool when the pay-out prices for the official result of the leg are calculated. If there is a late scratch which results in the scratch of the entire betting interest, the association shall permit such ticket to be exchanged (cancelled and re-issued) prior to stop betting of the first leg of the Pick "N" pool. If, however, such ticket is not exchanged prior to stop betting of the first leg of the Pick "N" pool, the substitution of the horse that is favourite shall apply.

DÉTERMINATION DE LA VALEUR DE MISES SUR DES COMBINAISONS GAGNANTES : COURSE A ÉGALITÉ, RETRAIT, ANNULATION DE COURSE ET NOMBRE MAXIMAL DE GAGNANTS.

- 10. Lorsque, dans le cadre d'une course correspondant à une tranche d'un pari spécial LOTO « N », l'ordre d'arrivée officiel entraîne une course à égalité entre deux ou plusieurs chevaux et que les chevaux en question font partie des combinaisons gagnantes, l'association doit additionner les sommes misées sur ces combinaisons : elle obtient ainsi une somme globale pour la combinaison gagnante aux fins de l'article 16 de la présente directive.
- 11. (1) Lorsque, dans le cadre d'une course correspondant à une tranche du pari spécial LOTO « N », un cheval est retiré, l'association doit, à toutes fins utiles, y substituer le cheval favori pour la tranche dont le cheval a été retiré, comme en témoigne le montant parié sur chaque cheval lors du calcul de prix du montant du rapport à l'égard du résultat officiel de la tranche. Lorsqu'un cheval est retiré tardivement et qu'il en résulte le retrait d'une mise complète, l'association doit permettre qu'un tel ticket soit échangé (annulé et émis de nouveau) avant l'heure limite de dépôt des mises à l'égard de la première tranche du pari spécial LOTO « N ». Si, toutefois, un tel ticket n'est pas échangé avant l'heure limite de dépôt des mises à l'égard de la première tranche du pari spécial LOTO N, le cheval retiré sera remplacé par le favori.

- (2) In the event that the amount bet on two or more horses in the Win pool is identical and makes those horses the favourite, the horse with the lowest program number shall be substituted for any scratched horse in that leg.
- (3) In the event that the association is operating the Pick "N" feature pool as a satellite track through inter-track betting, the Win pool at the host track shall be used to determine the substitution for any leg in which a scratch occurs.
- (4) In the event that the association is operating the Pick "N" feature pool as a satellite track through separate pool betting or foreign race separate pool betting and is not operating a Win pool on every leg or is combining its Pick "N" feature pool with that of one or more other satellite tracks, the association shall, in the application to operate the pool, designate the Win pool to be used to determine the substitution in the event a horse is scratched from any leg.
- (5) Subsection (1) does not apply where the horse that is scratched is part of an entry or mutuel field and at least one horse of that entry or mutuel field competes in the leg.

- (2) S'il arrive que le montant misé sur deux ou plusieurs favoris est identique, le cheval dont le numéro de programme est le plus faible est substitué au cheval retiré de la tranche.
- (3) Si l'association organise le pari spécial LOTO « N » dans une piste satellite par l'entremise d'un système de pari inter-piste, la poule de première place constituée à la piste où se déroule la course sert à déterminer le remplaçant d'un cheval retiré dans le cadre d'une tranche.
- (4) Si l'association organise le pari spécial LOTO « N » dans une piste satellite par l'intermédiaire d'un système de pari distinct ou d'un système de pari d'un autre hippodrome, et qu'elle constitue une poule de première place pour certaines tranches seulement ou encore qu'elle combine son pari spécial LOTO « N » avec celui d'une ou de plusieurs pistes satellites, elle doit désigner, dans la demande qu'elle présente en vue du pari spécial, la poule de première place qui servira à déterminer un remplaçant advenant qu'un cheval soit retiré d'une course correspondant à une tranche.
- (5) Le paragraphe (1) ne s'applique pas lorsque le cheval retiré fait partie d'une sélection ou participe à un pari mutuel et qu'au moins un cheval de la sélection ou du pari mutuel en question participe à la course.

- 12. (1) Whenever any of the events listed in paragraph 107 (a) of the Regulations occur in a leg of a Pick "N" feature pool, after the first leg of the pool is declared official, all horses in that leg shall be considered the official winner of that leg and the association shall add the value of the bets made on those horses in combination with the horses that won each of the other legs to form a single value for the winning combination for the purposes of section 16 of this Directive.
 - (2) Whenever a Pick "N" feature pool is operated on a non-mandatory pay-out day in accordance with an accumulated method of distribution described at paragraphs 6(2) (b), (c), or (d) of this Directive and subsection (1) applies to half or more of the legs, the association shall only distribute that day's net pool to winning combinations and shall carryover any previously accumulated net pool to the next Pick "N" feature pool on the approved schedule; or
 - (3) Whenever a Pick "N" feature pool is operated on a non-mandatory pay-out day in accordance with an accumulated method of distribution described at paragraph 6(2) (f) of this Directive and subsection (1) applies to at least one leg after the first leg has been declared official, the association shall distribute, as a

- 12. (1) Lorsque l'une ou l'autre des circonstances énoncées à l'alinéa 107 (a) du Règlement survient dans le cadre d'une tranche d'un pari spécial LOTO « N » après l'annonce officielle des résultats de la première tranche, tous les chevaux inscrits à la tranche en question sont réputés gagnants officiels de la tranche et l'association ajoute la somme misée sur chaque cheval à celle misée sur le cheval gagnant de chacune des autres tranches afin de constituer une somme globale pour la combinaison gagnante aux fins de l'article 16 de la présente directive.
 - (2) Lorsqu'un pari spécial LOTO « N » a lieu durant une journée pour laquelle on n'a pas prévu d'attribution obligatoire conformément à une méthode d'attribution cumulative définie à l'alinéa 6(2) (b), (c) ou (d) de la présente directive et que le paragraphe (1) s'applique à au moins la moitié des tranches, l'association attribue seulement la poule nette de la journée aux parieurs qui ont misé sur la combinaison gagnante et reporte la poule nette accumulée au pari spécial LOTO « N » suivant prévu dans le calendrier approuvé; ou
- (3) Si un pari spécial LOTO « N » est organisé dans une journée pour laquelle on n'a pas prévu de rapport obligatoire conformément à une méthode d'attribution cumulative décrite à l'alinéa 6(2)f) de la présente directive, et que le paragraphe (1) s'applique à au moins une tranche après que la première tranche a été déclarée officielle, l'association attribue, comme seul prix, uniquement la poule nette

single price, only that day's net pool to the next greatest number of official winners of the legs and shall carryover any previously accumulated net pool to the next Pick "N" feature pool on the approved schedule.

- 13. Whenever, on the mandatory pay-out day for a Pick "N" feature pool, no bets have been sold that couple the winner of every leg, the association shall form a single value for the winning combination by adding together the value of the bets sold that
 - (a) couple the winners of the most legs; or if there are no such bets,
 - (b) couple the second place finishers of the most legs; or if there are no such bets,
 - (c) couple the finishers sequentially thereafter.
- 14. Whenever, on a nonmandatory pay-out day for a Pick "N" feature pool, operated in accordance with the distribution method described at paragraph 6(2) (d) or (f) of this Directive, no bets have been sold selecting the winning horse of any leg, the association shall form a single value for the winning combination for the split portion of the pool designated for the winners of the most legs by adding together the value of the bets sold that

de la journée aux parieurs ayant misé sur le plus élevé de gagnants officiels pour les tranches et elle reporte la poule nette accumulée au pari spécial LOTO « N » suivant prévu dans le calendrier approuvé.

- 13. Lorsqu'on n'a pas vendu de ticket donnant le gagnant de chaque tranche d'un pari spécial LOTO « N », le jour où l'on procède à une attribution obligatoire, l'asso-ciation constitue un montant global pour la combinaison gagnante en additionnant le montant des tickets vendus qui
 - (a) donnent le gagnant du plus grand nombre de tranches; ou, lorsqu'on n'a pas vendu ce type de ticket,
 - (b) donnent le cheval qui arrive en deuxième place dans le plus grand nombre de tranches; ou, lorsqu'on n'a pas vendu ce type de ticket,
 - (c) donnent l'ordre d'arrivée à partir de la troisième place.
- 14. Lorsqu'on n'a pas vendu de ticket donnant le gagnant d'une tranche dans le cadre d'un pari spécial LOTO « N », fondé sur la méthode d'attri-bution définie à l'alinéa 6(2)(d) ou (f) de la présente directive et que l'on n'a pas prévu d'attribution obligatoire pour la journée, l'association constitue un montant global pour la combi-naison gagnante afin de déterminer la part de la poule destinée aux gagnants du plus grand nombre de tranches, en additionnant le montant des tickets vendus qui

- (a) couple the second place finishers of the most legs; or if there are no such bets,
- (b) couple the third place finishers of the most legs; or if there are no such bets,
- (c) couple the finishers sequentially thereafter.

- (a) donnent le cheval qui arrive en deuxième place pour le plus grand nombre de tranches; ou, lorsqu'on n'a pas vendu ce type de ticket,
- (b) donnent le cheval qui arrive en troisième place dans le plus grand nombre de tranches, ou, lorsqu'on n'a pas vendu ce type de ticket,
- (c) donnent l'ordre d'arrivée à partir de la troisième place.

CALCULATION OF PAY-OUT PRICES

- 15. For any Pick "N" feature pool, the day's net pool shall be determined in accordance with paragraphs 119(1) (a) and (b) of the Regulations.
- 16. The pay-out price for a Pick "N" feature pool shall be calculated in the following manner:
 - (a) if the association has chosen the method of distribution described at paragraph 6(2)(a) or (e) of this Directive, the day's net pool shall be divided by the value of the bets made on the winning combination; or
 - (b) if the association has chosen the method of distribution described at paragraph 6(2)(b) of this Directive, the day's net pool shall be added to all previously accumulated net pools to determine the total net pool and the total net pool shall be divided by the value of the bets made on the winning combination; or

CALCUL DU MONTANT DU RAPPORT

- 15. Dans le cas de paris spéciaux LOTO « N », la poule nette quotidienne est déterminée conformément aux alinéas 119(1)a) et b) du Règlement.
- 16. Le montant du rapport pour un pari spécial LOTO « N » est calculé de la façon suivante :
 - (a) lorsque l'asso-ciation a choisi la méthode d'attribution définie à l'alinéa 6(2) (a) ou (e) de la présente directive, la poule nette de la journée est divisée par la somme misée sur la combinaison gagnante; ou
 - (b) lorsque l'asso-ciation a choisi la méthode d'attribution définie à l'alinéa 6(2)b) de la présente directive, la poule nette de la journée est ajoutée aux poules nettes antérieures afin de déterminer la poule nette totale, qui est ensuite divisée par le montant misé sur la combinaison gagnante; ou

- (c) if the association has chosen the method of distribution described at paragraph 6(2)(c) of this Directive, the day's net pool shall be split according to the approved percentages, the portion of the net pool to be paid out shall be added to all previously accumulated net pools to determine the total net pool and the total net pool shall be divided by the value of the bets made on the winning combination; or
- (c) lorsque l'asso-ciation a choisi la méthode d'attribution définie à l'alinéa 6(2)c) de la présente directive, la poule nette de la journée est partagée en vertu des pourcentages approuvés, la part de la poule nette destinée à être payée est ajoutée aux poules nettes antérieures afin de déterminer la poule nette totale, et celle-ci est divisée par le montant misé sur la combinaison gagnante; ou
- (d) if the association has chosen the method of distribution described at paragraph 6(2)(d) of this Directive,
- (d) lorsque l'asso-ciation a choisi la méthode d'attribution définie à l'alinéa 6(2)d) de la présente directive,
- (i) the day's net pool shall be split according to the approved percentages and the portion of the net pool to be distributed among bets that couple the horses finishing first in the most number of legs of the pool shall be divided by the value of the bets made on those winning combinations; or
- (i) la poule nette de la journée est partagée en fonction du pourcentage attribuée en fonction des tickets qui donnent le gagnant du plus grand nombre de tranches du pari spécial est divisé par le montant misé sur les combinaisons gagnantes; ou
- (ii) the day's net pool shall be added to all previously accumulated net pools to determine the total net pool and the total net pool shall be divided by the value of the bets made on the winning combination; or
- (ii) la poule nette de la journée est ajoutée aux poules nettes antérieures afin de déterminer la poule nette totale, et celle-ci est divisée par le montant misé sur la combinaison gagnante; ou
- (e) if the association has chosen the method of distribution described at paragraph 6(2)(f) of this Directive, the day's net pool shall be split according to the approved percentages; and
- (e) si l'association a choisi la méthode d'attribution décrite dans l'alinéa 6(2)f) de la présente directive, la poule nette de la journée est partagée selon les pourcentages approuvés; et

- (i) the major share of the day's net pool shall be added to all previously accumulated net pools to determine the total net pool and the total net pool shall be divided by the value of the bets made on the next greatest number of official winners of the legs; and
- (ii) the minor share of the day's net pool shall be divided by the value of the bets made on the next greatest number of official winners of the legs; or
- (iii) in the event of a mandatory day and there are no tickets sold correctly designating the official winner in each leg of the races comprising the Pick "N" pool or there are one or more legs cancelled after the first leg has been declared official, the total day's net pool prior to being split will be added to all previously accumulated net pools to determine the total net pool and the total net pool shall be divided by the value of the bets made on the next greatest number of official winners of the legs; to form a single price;

as the case may be; and

(f) the quotient obtained pursuant to paragraphs (a), (b), (c), (d) or (e) as the case may be, shall be multiplied by the purchase price of each winning bet.

- (i) la part majoritaire de la poule nette de la journée s'ajoute à la poule nette accumulée antérieurement pour déterminer la poule nette totale, et on divise ce montant par la somme misée sur le plus élevé de gagnants officiels pour les tranches;
- (ii) la part minoritaire de la poule nette de la journée est divisée par la somme misée sur le plus élevé de gagnants officiels pour les tranches; ou
- (iii) si, une journée où l'attribution est obligatoire, aucun détenteur de ticket n'a désigné correctement le gagnant officiel de chaque tranche du pari spécial LOTO « N » ou si au moins une tranche a été annulée après que la première a été déclarée officielle, on ajoute la poule nette totale de la journée, avant de la partager, à toutes les poules nettes accumulées pour déterminer la poule nette totale, puis on divise ce montant par la somme misée sur le plus élevé de gagnants officiels pour les tranches pour ne former qu'un seul prix;

selon le cas; et

(f) le quotient obtenu à la suite de la procédure définie à l'alinéa (a), (b), (c),
(d) ou (e), selon le cas, est multiplié par le prix de chaque ticket gagnant.

REPORTS AND RECORDS

- 17. Every association that operates a Pick "N" feature pool shall produce the reports and records for the pool as specified by sections 40 and 103 of the Regulations.
- 18. Every association that operates a Pick "N" feature pool shall, before betting opens for any day, produce a report showing
 - (a) the races on the card for which the pool will be offered;
 - (b) the amount of any previous net pools accumulated and carried over:
 - (c) the method of distribution chosen by the association including the split percentages that may apply to that day's net pool; and
 - (d) a notation indicating if the day is a mandatory or non-mandatory pay-out day.
- 19. Every association that operates a Pick "N" feature pool shall, after betting on the pool has been closed for the day, produce a report showing:
 - (a) if the day's net pool has been split, the amount of each portion;
 - (b) the amount of any previous net pools accumulated and carried over;

RAPPORTS ET RENSEIGNEMENTS

- 17. Toute association qui organise un pari spécial LOTO « N » doit fournir les rapports et les renseignements relatifs au pari, conformément aux dispositions des articles 40 et 103 du Règlement.
- 18. Toute association qui organise un pari spécial LOTO « N » doit présenter, avant l'engagement des mises, un rapport contenant les renseignements suivants
 - (a) les courses qui figurent au programme auquel s'applique le pari spécial;
 - (b) le montant de la poule nette antérieure reportée, s'il y a lieu;
 - (c) les méthodes d'attribution choisies par l'association, y compris, s'il y a lieu, les pourcentages qui s'appliquent à la poule nette de la journée; et
 - (d) une notion selon laquelle une attribution obligatoire est prévue pour la journée.
- 19. Toute association qui organise un pari spécial LOTO « N » doit fournir, à la clôture de l'engagement des mises pour la journée, un rapport contenant les renseignements suivants:
 - (a) le montant des portions de la poule nette de la journée, lorsque celle-ci est partagée;
 - (b) le montant de la poule nette antérieure reportée, s'il y a lieu;

- (c) the total amounts available to be distributed among winning bets that day;
- (d) if a horse has been scratched in any leg, the value of the bets made on combinations that included the scratched horse and the value that the substitution made pursuant to section 11 of this Directive adds to the winning combination;
- (e) as the order of finish of each leg is made official, the value of bets made on the winner of that leg coupled with the winners of previous legs in combination with all horses in the following legs;
- (f) after the order of finish of the last leg is made official, the winning combination including any applicable scratched horse;
- (g) where no tickets have been sold coupling the winner of every leg, a statement to that effect;
- (h) where the pool or part thereof is to be distributed to a winning combination determined according to sections 13 or 14 of this Directive, a statement describing how the winning combination was determined; and

- (c) le montant total à distribuer en fonction des mises gagnantes de la journée;
- (d) lorsqu'un cheval est retiré d'une course correspondant à une tranche, le montant misé sur des combi-naisons qui comportent le cheval en question, ainsi que la valeur ajoutée à la combi-naison gagnante à la suite du remplacement effectué au titre de l'article 11 de la présente directive;
- (e) une fois les résultats officiels de chaque course correspondant à une tranche annoncée pour les résultats, le montant misé sur le gagnant de la course, ainsi que les gagnants de course correspondant à des tranches antérieures et tous les chevaux inscrits aux courses qui correspondent aux tranches ultérieures;
- (f) une fois l'ordre d'arrivée de la course correspondant à la dernière tranche déterminée pour l'ordre officiel, la combinaison gagnante, y compris, le cas échéant, les chevaux retirés;
- (g) lorsqu'aucun ticket n'a été vendu donnant le gagnant de chaque tranche, une déclaration à ce sujet;
- (h) lorsque la poule doit être attribuée, en tout ou en partie, en fonction d'une combinaison gagnante déterminée conformément aux dispositions de l'article 13 ou 14 de la présente directive, un énoncé de la méthode utilisée pour déter-miner la combinaison gagnante; et

- (i) the amount bet on each combination.
- 20. The association shall, when requested by an officer, deliver the reports and records described in sections 17, 18 and 19 of this Directive, to the officer, as computer printouts or through an approved electronic data interface.
- 21. (1) No association shall publicly disclose the number of tickets sold on any combination of horses in a Pick "N" feature pool prior to the posting of the official order of finish for all legs.
 - (2) An association may publicly display the amount bet or the approximate payout amounts for any combination of horses in a Pick "N" feature pool where "N" is less than or equal to 4.

- (i) le montant misé sur chaque combinaison.
- 20. Sur demande d'un agent, l'association doit fournir à ce dernier les rapports et les renseignements définis dans les articles 17, 18 et 19 de la présente directive au moyen d'un imprimé mécanographique ou par un mode approuvé de transmission électronique.
- 21. (1) Il est interdit à une association de divulguer le nombre de tickets vendus sur toute combinaison de chevaux inscrits à un pari spécial LOTO « N » avant l'annonce des résultats officiels de toutes les tranches.
 - (2) Lorsque le pari spécial LOTO « N » comprend 4 courses ou moins, l'association peut afficher le montant misé sur les combinaisons de chevaux ou le montant du rapport que ces dernières peuvent entraîner.

POLICY DIRECTIVE 96-001 DATE ISSUED: FEB. 27, 1996

P.O. Box 5904, Stn. "F" Ottawa, Ontario, K2C 3X7

C.P. 5904, Succursale "F"
Ottawa (Ontario) K2C 3X7

February 27, 1996

Le 27 février 1996

3840-4-1

3840-4-1

MEMORANDUM TO:

NOTE À:

Canadian Racing Industry

Secteur canadien des courses

SUBJECT:

OBJET :

EIPH Program - Injections in horses' stalls

Programme IHPE - Injections administrées dans les stalles des chevaux

Arrangements have been made to permit administration of furosemide, as part of an approved EIPH program, to an EIPH-listed horse in its own stall. As with the current EIPH program, this option will be entirely user-paid.

Des dispositions ont été prises pour permettre l'administration de furosémide, dans le cadre d'un programme approuvé d'Induction d'hémorragie pulmonaire pour l'exercice (IHPE), dans la stalle d'un cheval dont le nom est inscrit sur la liste de l'IHPE. Tous les frais continueront à être assumés par l'utilisateur, comme c'est actuellement le cas.

With the approval of the applicable racing commission, this option will be available as of March 1, 1996, for an initial trial period of 3 months. If no unforeseen problems arise, it will become a permanent option to the current protocol.

Cette option sera offerte à partir du 1^{er} mars 1996, sous réserve de l'approbation de la Commission de courses appropriée, pour une période initiale d'essai de 3 mois. Si aucun problème imprévu ne survient, elle deviendra une option permanente du protocole en vigueur.

All existing policies and procedures will remain the same, with the exception of the following:

receiving a furosemide

injection.

The horse will <u>not</u> be presented at the Retention Area for the purpose of

A veterinarian or technician licensed by the Commission will attend the horse in its stall to administer the regulated dose of furosemide. The trainer's veterinarian, where licensed by the Commission and authorized by the trainer, may administer the regulated dose of furosemide to the horse.

A test inspector will attend the horse in its stall to witness the administration of furosemide to the horse.

The trainer-of-record or the trainer's designated representative **must** be present to witness, and assist if required, the administration of furosemide to the horse between 4 hours and 15 minutes and 3 hours and 45 minutes before the scheduled post-time of the race in which the horse is entered.

Seules les politiques et les méthodes qui suivent seront modifiées:

Le cheval <u>ne</u> sera pas amené à l'enclos pour y recevoir une injection de furosémide.

Un vétérinaire ou un technicien agréé par la Commission administrera la dose réglementée de furosémide au cheval dans sa stalle. Si le vétérinaire de l'entraîneur est agréé par la Commission et autorisé par l'entraîneur, il pourra administrer la dose réglementée de furosémide au cheval.

Un inspecteur des analyses sera présent dans la stalle du cheval lorsqu'on injectera la furosémide à l'animal.

L'entraîneur dont le nom est inscrit dans les régistres ou le représentant désigné de l'entraîneur doit être personnellement témoin, et assister si requis, à l'administration de la furosémide au cheval entre 4 heures et 15 minutes et 3 heures et 45 minutes avant l'heure prévue du début de la première course à laquelle le cheval est inscrit.

If the trainer or designated representative is not present when the test inspector arrives, or if the trainer's veterinarian is not available when the test inspector arrives, the test inspector will skip the horse and the horse will be scratched.

This new process will be monitored daily to ensure that regulatory requirements are maintained. If, for any reason, regulatory requirements are compromised or administrative details become onerous, this option to the existing protocol will be withdrawn.

A final decision on the feasibility of this protocol as a permanent option will be made at the end of the trial period.

Si l'entraîneur ou son représentant désigné n'est pas présent lorsque l'inspecteur des analyses arrive, ou si le vétérinaire de l'entraîneur n'est pas disponible lorsque l'inspecteur des analyses arrive, ce dernier ne traitera pas le cheval et l'animal ne sera pas partant.

Ce nouveau processus sera vérifié chaque jour pour veiller au respect des exigences réglementaires. Si, pour une raison quelconque, il porte atteinte aux exigences réglementaires ou si les procédures administratives deviennent astreignantes, l'option sera retranchée du protocole en vigueur.

La décision finale à l'égard de la faisabilité de l'ajout permanente de l'option au protocole sera prise à la fin de la période d'essais.

La directrice exécutive,

Elizabeth Massey Executive Director

APPENDIX – 4

P.O. Box 5914, LCD Merivale Ottawa, Ontario K2C 3X7

June 11, 1999

3930-5-16

MEMORANDUM TO:

The Canadian Pari-Mutuel Industry

SUBJECT:

Superfecta (Quadactor) Directive

The attached Superfecta Directive sets out the conditions upon which an association may offer a Superfecta and the calculation of pay-out prices. It is based on the *Pari-Mutuel Betting Supervision Regulations* governing the Exactor and Triactor.

This directive comes into force June 18, 1999.

Please contact the Field Operations Supervisor in your region if you have any questions.

Elizabeth Massey
Executive Director

Attachment

SUPERFECTA DIRECTIVE

Superfecta is a CPMA Directive to permit the placing of a bet on a race to select, in the correct order, the first four horses in the official result. This directive, or approval process for a method of calculating a pool, is based on the provisions of the *Pari-Mutuel Betting Supervision Regulations* and specifically paragraphs 5(1)(e) and 6(2)(b).

1. In this Directive:

- (1) "Superfecta" means a type of bet on a race to select, in the correct order, the first four horses in the official result;
- (2) "Regulations" means the *Pari-Mutuel Betting Supervision Regulations*; and
- (3) definitions in the *Criminal Code* and the Regulations shall apply to this Directive.
- 2. An association is authorized to offer a Superfecta provided:
 - (1) a request is made pursuant to paragraph 5(1)(e) of the Regulations to offer the Superfecta on a specified number of races and a corresponding permit to that effect has been issued pursuant to subsection 6(2) of the Regulations;
 - (2) a computerized pari-mutuel system of betting approved by the Executive Director and tested by an officer is used; and
 - (3) there is compliance with this Directive.
- 3. (1) An association shall only offer a Superfecta in accordance with the schedule and conditions approved by the Executive Director.
 - (2) An association shall publish the schedule in the association's daily race program for each racing card of the race meeting in respect of which the Superfecta is offered.
- 4. Pursuant to section 70 of the Regulations, no association shall offer a Superfecta bet that combines horses from the same entry or mutuel field.
- 5. No association shall, after betting has opened or has closed on a Superfecta, allow "also eligible" horses to be entered in any race on which the Superfecta is being offered.
- 6. The order of finish shall be determined pursuant to section 138 of the Regulations, and

- (1) Where four or more horses finish a race, and one horse finishes the race in first position, one horse finishes the race in second and one horse finishes the race in third, a winning Superfecta bet is one in which the horse that finishes the race in first position, the horse that finishes the race in second, the horse that finishes the race in third and any horse that finishes the race in fourth position are selected in the order of finish.
- (2) If there is a dead heat for first position involving:
 - (a) Four or more horses -- a winning Superfecta bet is one in which four of those horses are selected in any order;
 - (b) Three horses -- a winning Superfecta bet is one in which those three horses are selected as first, second and third, in any order, **and** the horse that finishes the race in second position is selected as fourth;
 - (c) Two horses -- a winning Superfecta bet is one in which those two horses are selected as first and second, in either order, **and** the horses that finish the race in second and third position are selected as third and fourth.
- (3) If there is a dead heat for second position involving:
 - (a) Three or more horses a winning Superfecta bet is one in which the horse that finishes the race in first position is selected as first **and** those horses that finish the race in a dead heat for second are selected as second, third and fourth, in any order;
 - (b) Two horses -- a winning Superfecta bet is one in which the horse that finishes the race first is selected as first **and** the horses that finish the race in second are selected as second and third, in either order, **and** the horse that finishes the race in third is selected as fourth.
- (4) If there is a dead heat for third, a winning Superfecta bet is one in which the horses that finish the race in first and second are selected in that order **and** those horses that finish the race in third are selected as third and fourth, in any order.
- (5) If there is a dead heat for fourth, a winning Superfecta bet is one in which the horses that finish the race in first, second and third are selected in that order **and** those horses that finish the race in fourth are selected as fourth.
- (6) In the situation where only three horses finish the race, a winning Superfecta bet shall be determined based on the appropriate conditions described in subparagraphs (1), (2)(b), (2)(c) and (3)(b).

- (7) In the situation where only two horses finish the race, a winning Superfecta bet shall be determined based on the appropriate conditions described in subparagraphs (1) and (2)(c).
- (8) In the situation where only one horse finishes the race, a winning Superfecta bet is one in which that horse is selected as first.
- 7. Every association that offers Superfecta bets shall produce the reports and records for the pool as specified by sections 40 and 103 of the Regulations.
- 8. The pay-out rules for the Superfecta are based on the Triactor, as stated in the Regulations.
- 9. Associations must be capable of displaying all winning combinations for the Superfecta pool.
- 10. The CPMA will provide the information to be contained in the public notification by associations (similar to the attached). This information must be prominently displayed in each association's Official Daily Race Program and posted on notice boards at each betting location. Associations operating TAB shall prepare and distribute an approved statement for notification of each account holder.

Examples of winning combinations under the Regulations and the Superfecta Directive

Order of Finish	Quinella	Exactor	Triactor	Superfecta			
1,2,3,4	1\2 & 2\1 (1 price)	1\2	1\2\3	1\2\3\4			
If no tickets sold selecting the above combinations, then:							
1,2,3,4	1\all & all\1 2\all & all\2 (2 prices), or refund if no winners on these previous combinations	1\all, or refund (if no 1\all bets)	1\2\all (if no 1\2\3 bets), or 1\all\all (if no 1\2\all bets), or refund (if no 1\all\all bets)	1\2\3\all (if no 1\2\3\4 bets), or 1\2\all\all (if no 1\2\3\all bets), or 1\all\all\all (if no 1\2\all\all bets) or refund (if no 1\all\all\all bets)			
Finishes involving entries and mutuel fields:							
1,1A,2,2B,3,4	1\2 & 2\1 (1 price)	1\2	1\2\3	1\2\3\4			
1,2B,1A,3,4	1\2 & 2\1 (1 price)	1\2	1\2\3	1\2\3\4			

Canadian Pari-Mutuel Agency
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