

**BIBLIOGRAPHY ON
STRATEGIC ENVIRONMENTAL ASSESSMENT**

**BIBLIOGRAPHIE SUR
L'ÉVALUATION ENVIRONNEMENTALE
STRATÉGIQUE**

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PREFACE

Since environmental assessment (EA) was first introduced as a formal process, it has become widely adopted as a means of integrating environmental considerations in project decision-making. Recently, there has been increasing interest to move the main focus of EA from development projects and apply it to the strategic levels of planning and **decision-making**, i.e., policies, programs and plans. This has become known as strategic environmental assessment (SEA).

While SEA is relatively new, there is a growing interest about its application, especially given its potential to make a significant contribution to the sustainability agenda. As a result, there is a growing amount of literature being produced about the theory and concept of SEA. Some experience is being gained through the application of SEA in a number of countries, including Canada, the United Kingdom, The Netherlands, New Zealand and Denmark, to name a few. These countries have during the last few years, introduced SEA as a formal requirement for identifying, evaluating and managing the potential environmental effects of policies, programs and plans.

SEA has also been identified as one of the key themes of the International Association of Impact Assessment's International Study of the Effectiveness of Environmental Assessment. As part of the research program, the need to "take stock" of existing documentation on a number of themes, including SEA was identified. As part of the study, the Canadian Environmental Assessment Agency (CEAA) and the North American Treaty Organization (NATO)

PRÉFACE

Depuis que l'**évaluation** environnementale est reconnue comme processus **officiel**, plusieurs l'ont **adoptée** comme moyen d'intégrer les éléments environnementaux à la prise de décision. Récemment, l'**intérêt** pour l'évaluation environnementale a pris une autre **forme**, passant des **projets** individuels de développement au niveau stratégique de la planification et de la prise de décision, **c'est-à-dire** des politiques, des programmes et des plans. On l'appelle aujourd'hui l'évaluation environnementale stratégique.

Bien que le concept d'**évaluation** environnementale stratégique soit **assez** récent, il existe un **intérêt sans cesse** grandissant concernant son application, particulièrement en raison de l'importante contribution qu'elle peut apporter au développement durable. Par conséquent, la **théorie** et les **principes** de l'évaluation environnementale stratégique ont vu **naître** un nombre croissant d'écrits sur le sujet. L'**expérience grandit à mesure** que ce concept est mis en pratique dans divers pays, y compris le Canada, le Royaume-Uni, l'Irlande, la Nouvelle-Zélande et le Danemark, pour n'en nommer que quelques-uns. Au **cours** des dernières **années**, ces pays ont **exigé** l'évaluation environnementale stratégique au moment de l'identification, l'évaluation et la gestion des effets environnementaux possibles des politiques, des programmes et des plans.

Ce concept a **également été** reconnu comme l'un des principaux thèmes de l'**Étude** internationale sur l'**efficacité** de l'évaluation environnementale menée par l'**International Association of Impact Assessment**. Dans le cadre du programme de recherche, on a **jugé** utile de faire l'inventaire de la documentation actuelle sur plusieurs sujets, y compris l'évaluation environnementale stratégique. L'**Agence** et l'**Organisation du traité** nord-américain ont appuyé la professeure Maria

provided support to Professor Maria do Rosário Partidario of the New University of Lisbon, Portugal to collect and organize the available literature and reports on SEA. It is hoped that this report will contribute to advancing the practice of this important area of EA.

How to use this document

The references that are available in both English and French are indicated within the same citation. When available in both languages, the translation is provided as second title.

The “Source of Documents” provides contacts where the listed documents can be requested.

Any comments or suggestions related to this report should be directed to the attention of

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do Rosário Partidario de la Nouvelle Université de Lisbonne, au Portugal, pour la cueillette et l'organisation de la bibliographie et des rapports disponibles sur l'évaluation environnementale stratégique. Nous souhaitons que ce document contribue à l'avancement de ce domaine important qu'est la pratique de l'évaluation environnementale.

Comment utiliser ce document

Les références à la fois disponibles en français et en anglais sont indiquées dans la même citation, le deuxième titre étant la traduction du premier.

Les “Sources d'information” recense les personnes-ressources auxquelles on peut s'adresser pour obtenir les documents repertoriés

Veillez adresser tout commentaire ou suggestion lié au présent rapport à:

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INTRODUCTION

This report contains the results of a systematic effort to **identify** and collect key bibliographic references on the application of environmental assessment to policies, programs and plans, referred to as strategic environmental assessment (SEA). A bibliography was compiled from an inventory of references and documents obtained from a variety of sources. The bibliography is organized under theory and concepts, processes, and case applications.

The bibliography contains the references which could be identified and collected within the available time. The approach adopted in compiling and organizing bibliographic material on SEA consisted of the collection, screening and organizing of documents. A literature search was undertaken to **identify** and obtain documents related to SEA using three different sources:

- the information holdings of the Canadian Environmental Assessment Agency;
- the departmental library of Environment Canada; and
- Canadian and international organizations, and individuals known to be involved in SEA.

Specifically, documents written after 1986 and available in English or French were screened to determine their relevance to SEA and resulted in the references found in the Bibliography on Strategic Environmental Assessment. The bibliographic references that follow are listed in alphabetical order under three categories:

I **Theory and concepts:** This category includes documents, mostly papers from the scientific literature, that address general or specific issues related to the theory and

INTRODUCTION

Ce rapport est le fruit d'efforts **communs** et soutenus **menant à** la cueillette des **principales** références bibliographiques sur l'application de l'évaluation environnementale aux politiques, aux programmes et aux plans, **décrite** ici comme l'évaluation environnementale stratégique. La bibliographie a **été compilée à partir d'un** inventaire de références et de documents obtenus de diverses sources. Elle se **répartit** comme suit : théorie et principes, processus, exemples de cas.

Cette bibliographie **n'est pas exhaustive** : elle **contient** les références qui ont pu **être** obtenues à l'intérieur du **déla** convenu. La **méthode** utilisée aux fins de compilation et d'organisation du matériel consistait en la cueillette, au tri et à l'organisation des documents. Trois sources ont servi à cette recherche

- les fonds de renseignements de l'**Agence** canadienne **d'évaluation** environnementale;
- la bibliothèque **ministérielle d'Environnement** Canada;
- les organismes **canadiens** et internationaux et les individus connus pour leur participation à l'évaluation environnementale stratégique.

De **manière plus précise**, **tous** les documents **écrits après 1986**, en anglais ou en **français**, ont **été retenus afin d'évaluer** leurs pertinences à l'évaluation environnementale stratégique. Les documents choisis font **partie** de la *Bibliographie sur l'évaluation environnementale stratégique*. Les références bibliographiques sont **classées** en ordre alphabétique **sous l'une** des catégories suivantes

I **Théorie et principes:** Documents, particulièrement des articles scientifiques, qui traitent des questions **générales** et **précises liées à** la théorie et aux principes

concept of SEA.

de l'évaluation environnementale
stratégique.

- | | |
|---|--|
| <p>II Process: This category includes documents reporting on the processes established by various countries and organizations. This includes legislation, guidelines and other documents that address specific aspects of the process.</p> | <p>II Processus: Documents qui portent sur les processus mis de l'avant par divers pays et organismes. Cela comprend la législation, les directives et autres documents qui traitent des aspects précis du processus d'évaluation environnementale stratégique.</p> |
| <p>III Case applications: Documents that describe the actual application of SEA to sectoral and cross-sectoral policies, plans and programmes developed at national, state/provincial, regional, local and urban scales in a range of sectors such as forestry, agriculture, fisheries, trade, industry, infrastructures, transports, tourism, coastal management, energy, minerals, waste management and urban and regional planning.</p> | <p>III Exemples de cas: Documents qui décrivent l'application actuelle de l'évaluation environnementale stratégique aux politiques, aux programmes et aux plans sectoriels et intersectoriels, tant aux paliers national, provincial ou d'État, régional, local et urbain. Cette application peut s'effectuer dans une variété de secteurs tels que les forêts, l'agriculture, les pêches, le commerce, l'industrie, les infrastructures, le transport, le tourisme, la gestion des côtes, l'énergie, les mines, la gestion des déchets et la planification régionale et urbaine.</p> |

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