Gatineau QC J8Y 3Y5

# NEW SUBSTANCES NOTIFICATION REPORTING FORM

This form is to be used for fulfilling the information requirements prescribed in the *New Substances Notification Regulations (Chemicals and Polymers)* of the *Canadian Environmental Protection Act, 1999.* 

The New Substances Notification (NSN) package must be submitted to:

Mailing Address:

Director, New Substances Division
Department of the Environment
Ottawa ON K1A 0H3

Courier Deliveries:

Director, New Substances Division
Department of the Environment
14th Floor, Place Vincent Massey
351 St. Joseph Blvd.

Total number of pages:

#### INSTRUCTIONS FOR COMPLETING THE NOTIFICATION FORM

The New Substances Notification (NSN) Reporting Form serves as an aid for complying with the *New Substances Notification Regulations (Chemicals and Polymers)* (NSNR) of the *Canadian Environmental Protection Act, 1999.* Notifiers may reproduce this form, or portions thereof, for notification purposes. The form is also available electronically from the New Substances Website (http://www.ec.gc.ca/substances).

Additional explanations necessary for fulfilling prescribed information requirements and completing this notification form are included in the *Guidelines for the Notification and Testing of New Substances: Chemicals and Polymers* (Guidelines). Hard copies of the Guidelines may be obtained, for a fee, from Environment Canada by contacting the Environmental Protection Publications at (800) 734-3232 or for callers outside Canada, (819) 953-5750 or by e-mail at <a href="mailto:epspubs@ec.gc.ca">epspubs@ec.gc.ca</a>. The Guidelines are also available electronically on the New Substances (NS) program website (http://www.ec.gc.ca/substances). This form is divided into four sections: Part A to D. Part A is used for administrative and substance identity information while Part B and Part C are for technical information. Part D is used for any additional information that may be provided with the notification.

Before completing Part B or Part C of the form, you should ensure that you are providing information appropriate for the quantity and category of substance you intend to manufacture or import (refer to Section 3.4 of the Guidelines). Part B contains four sections: (1) Physical and Chemical Information requirements; (2) Ecotoxicity Information requirements; (3) Health Toxicity Information requirements; and (4) Genotoxicity Information requirements. Part C contains one section: Biochemical or Biopolymer Information Requirements. Part B contains five columns and Part C contains four columns of which consist of: Submit with Schedule; Data Codes; Value & Conditions; Attachment Number; and Confidential Information. Explanations of the use of these columns are provided on page 2 of this form. Part D contains two sections: (1) Other Requirements; and (2) Additional Information and Attachments.

Also included are two appendices. Appendix I is for Manufacture, Import, Use, Exposure and Release Information (Known and Anticipated) and Appendix II is the New Substances Fee Payment Form.

Ce formulaire est disponible en français, sur demande, en communiquant avec La ligne d'information de déclarations de substances nouvelles au 1-800-567-1999 (sans frais au Canada) ou au (819) 953-7156 (de l'étranger). Le formulaire est aussi disponible en ligne au <a href="http://www.ec.gc.ca/substances">http://www.ec.gc.ca/substances</a>

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#### **Data Codes, Attachments and Confidential Information**

In addition to the list of information requirements, Part B contains five columns and Part C contains four columns of which consist of: Submit with Schedule; Data Codes; Value & Conditions; Attachment Number; and Confidential Information. The following explains the use for each of these columns.

**Submit with Schedule:** This is a quick reference column that allows you to determine, at a glance, which Schedule requires the information to be provided. Take note of the footnotes for certain exceptions and conditions associated with certain data elements. It is important to note that if lower Schedule notifications are not submitted, the information prescribed in them is still required to be submitted with the higher Schedule notification.

**Data Codes:** A Data Code is a reference to indicate: whether data are provided; the type of data being submitted; or whether a request for waiver of information is being submitted. The Data Codes with explanatory notes are:

**D = test data on notified substance** This code is used when the data provided were generated on the notified substance using protocols consistent with these listed in Tables 8-1 to 8-4 of the Guidelines. This code is to be used even if the information is provided under the Additional Information requirements of the Schedules (refer to section 6.5 of the Guidelines).

A = alternative procedures This code is used when the data provided were generated using: (1) an alternative test protocol; (2) structure-activity relationships (SARs) including surrogate data and quantitative structure-activity relationships (QSARs); or (3) other calculation methods (refer to section 8.4.3 of the Guidelines). This code is to be used even if the information is provided under the Additional Information requirements of the Schedules (refer to section 6.5 of the Guidelines).

#### W = waiver requested

This code is used when the data required is being requested to be waived. Requests for a waiver of prescribed information must be accompanied by justifications that satisfy any of the waiver criteria listed in subsection 81(8) of the Act (refer to section 8.7 of the Guidelines).

#### N/A = not applicable

This code is used if the NSNR specify that the provision of information is not required under certain conditions. For example, the adsorption-desorption screening test data is not required when water solubility is less than 200  $\mu$ g/L. This code cannot be used as an abbreviation for "not available".

#### NR = not required

This code is used when the information has not been provided and is not required for that specific Schedule of the NSNR.

# P = previous New Substances Notification (NSN) reference number / Pre-notification Consultation (PNC) reference number or notice under section 70 of the Act

This code is to be used when the notifier has already provided the information to the NS program in a previous NSN package, a previous PNC request and/or a notice under section 70 of the Act. The applicable NSN, PNC or notice under section 70 reference number must be entered in the Attachment column.

Value and Conditions: Although complete physical-chemical data must be submitted in test reports (physical state and whether the notified substance is formulated for dispersal in water excepted) the notifier must enter the value and conditions in the appropriate space provided. This information will assist the notifier in organizing data for use; in requesting waivers of information; in justifying cases when data are not applicable; and in discussing notifications with NS program officials. Physical-chemical values and corresponding conditions may be expressed in units cited within the laboratory report. In the event that the data are only available in degrees Fahrenheit, the notifier must strike out the °C symbol printed in the entry and replace it with the °F symbol.

**Attachment Number:** Notifiers must clearly indicate a reference for accompanying documents (e.g., Attachment 6) so they may be readily located within the NSN package. Attachments include: justifications for waivers of information; reports of experimental procedures; reports of test results; rationale for alternative data; results and validation of modeling studies; rationale for why information is considered "not applicable"; and information supplemental to a request for confidentiality.

**Confidential Information:** Notifiers must check the appropriate box to indicate that the information provided is considered confidential (*i.e.*, check "Y" to indicate that the information is considered confidential or check "N" to indicate that the information is not confidential). If the information provided is considered confidential, the notifier must provide, in the NSN package, the supplementary information detailed in section 7.2 of the Guidelines.

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# Part A - Administrative and Substance Identity Information (refer to sections 6.2.1.1 to 6.2.1.6 of the Guidelines)

A.1. Certification Statement:  I hereby certify to the best of my the form, is accurate and comp as outlined in section 7 of the Gu	lete; and the information for wh	ich confi	identiality is cla	nimed, meets the criter	ia for determining confidentiality
Name and Title of the Person all corporation of block A.2 or A.3	uthorized to act on behalf of the	e	Signature		
					YYYY MM DD
Name and Title of the Person in of the corporation of block A.4	Canada authorized to act on b	behalf	Signature		YYYY MM DD
Preferred Language of Corres	nondence:		English (	Frenci	h ()
Preferred Mode of Communication			Mail O	Facsir	
A.2. Corporate Headquarters (if the importer is not located in	of the Canadian Manufacture	r or Imp	orter (Principa	al Place of Business i	in Canada):
Company Name:			E-Mail:		
Street:			City:		Province:
Postal Code:	Telephone No: ( )	•		Facsimile No: (	)
A.3. Corporate Headquarters of	of the Non-resident Importer (i	if applicabl	le, also complete b	plock A.4):	
Company Name:			E-Mail:		
Street:			City:		State / Country:
Zip / Postal Code:	Telephone No: ( )			Facsimile No: (	)
A.4. Canadian Agent (only needed	d if block A.3 is applicable):				
Company Name:			E-Mail:		
Street:		(	City:		Province:
Postal Code:	Telephone No: ( )			Facsimile No: (	)
A.5. Foreign Supplier (only neede	ed if the technical information in Part B	is provide	ed by a third party)	:	
Person's Name/Title:					
Company Name:			E-Mail:		
Street:			City: State / Co		State / Country:
Zip / Postal Code:	Telephone No: ( )		Facsimile No: ( )		)
A.6. Proposed Site of Manuf	acture in Canada / Proposed	Port of I	Entry into Car	nada / Toll Manufactu	rer Information :
Company Name/Port of Entry:			Toll Manufacturer Contact Name:		
Street:			City:		Province:
Postal Code:	Telephone No: ( )			Facsimile No: (	)
Toll Manufacturer		Toll Man	nufacturer State	ement of Responsibilition	es:
E-Mail:		□ End	closed	Attachment Nu	ımher

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# Part A - Administrative and Substance Identity Information (refer to sections 6.2.1.7 to 6.2.1.18 of the Guidelines)

A.7. Technical Contact (Name of a person who can as	ssist in the resolution of is	ssues pertaining to th	e information provided):		
Person's Name/Title:					
Company Name:		E-Mail:			
Street:		City:		State / Country:	
Zip / Postal Code: Telephone No:	( )		Facsimile No: (	)	
A.8 Previous NSN Reference Number / PNC	Reference Number	:		Date submitted	
A.9 Fee Provided (if applicable): \$	(Ple	ease complete Ar	ppendix II - New Subst	ances Fee Payment Form)	
A.10 Manufacture/Import: Manufacture		Import (	·	re and Import	
Amount (indicate the quantity that triggere			Mariaractar		
A.11 100 Kg 1 000 Kg	,	00 Kg 🔘	50 000 H	⟨g ○	
A.12 Date that the Amount in Block A.11 is E	xpected to be Exce	eded:		YYYY MM DD	
A.13 Substance Information: (check all that ap	ply)			1 1 1	
Present on the NDSL or Confidential NDSL	NDSL Acces	sion Number:	Sol	•	
Chemical	Polymer			d on the DSL or NDSL	
Biochemical	Biopolymer		Meets the RRR polyr	mer criteria	
Special Category: Research & Development	Contained Export Only		ntained Site Limited primediate		
A.14 Schedule Number: Special Category	<i>r</i> : 1 [	2	3 🗆 🗆		
Chemical / Bioch	emical: 2	4 🗆	5 6		
Polymer / Biopolymer: 2 9 10 11 11					
A.15 Anticipated, Historical and Other Likely L (if the space provided below is not sufficient, please prov			ent)		
A.16 Anticipated Annual Quantity to be Manual	factured and/or Imp	oorted:			
A.17 Confidentiality Requests:					
Corporation Y O Manufacture Y O N O	Import 1	Y O	Amount Y O	Substance Identity Y O N O	
A.18 Information Sharing Agreement Authorization:  I hereby grant the Minister of the Environment permission to release the name, address and phone number of the technical contact indicated in block A.7 of this form to any person who has provided the Minister of the Environment with: (1) documentation of intent to manufacture or import the substance described in block A.20 of this form; and, (2) a statement granting the Minister of the Environment permission to release the name, address and phone number of their technical contact.					
Name and Title:	Signature:			Date YYYY MM DD	

# Part A - Administrative and Substance Identity Information (refer to sections 6.2.2.1 to 6.2.2.8 of the Guidelines)

A.19 CAS Registry Number and/or Enzyme Commission Number:	
A.20 Chemical Name of the Substance: Nomenclature: IUPAC CAS IUBMB (if the space provided below is not sufficient, please provide the information as a separate attachment)	
A.21 Proposed Masked Name (If the Chemical Name of the Substance is Claimed Confidential): (if the space provided below is not sufficient, please provide the information as a separate attachment)	
Multiple Masking:  Justification Enclosed Attachment Number:	
A.22 Known Trade Name or Synonyms of the Chemical Name of the Substance: (if the space provided below is not sufficient, please provide the information as a separate attachment)	
A.23 Structural Formula of the Substance: (if the space provided below is not sufficient, please provide the information as a separate attachment)	
RRR Polymer: Reaction Scheme Enclosed Attachment Number:	
A.24 Molecular Formula: (if the space provided below is not sufficient, please provide the information as a separate attachment)	
A.25 Gram Molecular Weight: (if the space provided below is not sufficient, please provide the information as a separate attachment)	
A.26 Monomers and Reactants with their Concentration: (if the space provided below is not sufficient, please provide the information as a separate attachment)	
Substance Name CAS Registry Number % by weight	
100 %	

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A.27 Additives, Stabilizers and Solve (if the space provided below is not sufficient,	ents with their Concentration: please provide the information as a separate attach	ment)
Substance Name	CAS Registry Number	% by weight
A.28 Impurities with their Concentrat (if the space provided below is not sufficient,	tion: please provide the information as a separate attach	ment)
Substance Name	CAS Registry Number	% by weight
A.29 Degree of Purity in its Technica	al Grade Composition:	
A.30 Material Safety Data Sheet (MS	DS): Enclosed	AttachmentNumber:

Part B - Technical Information (refer to sections 6.3.1.1 to 6.3.1.15 of the Guidelines)

B.1 Physical & Chemical Information Requirements	Submit with Schedule	Data Code	Value		Attachment Number	Confidential Information
Melting Point	5, 6			°C		Y () N ()
Boiling Point	5, 6			°C		$A \cup B \cup$
Density	5, 6		g/cm @	°C		$A \cup N \cup$
Vapour Pressure	5, 6		@	°C		Y () N ()
Water Solubility	5, 6		g/L @	°C		$A \cup A \cup$
Octanol/Water Partition Coefficient	5, 6, 10, 11					Y () N ()
Ready Biodegradation	5, 6, 11					$A \cup N \cup$
Spectroscopy IR C UV C NMR C Mass C	6					Y () N ()
Adsorption-Desorption <sup>2</sup>	6					YONO
Hydrolysis as a Function of pH <sup>2</sup>	6, 10, 11					$Y \bigcirc N \bigcirc$
Physical State	3, 10, 11					Y () N ()
Formulated for Dispersal in Water	3, 10, 11		Yes No	0		$A \cup N \cup$
Water Extractability	10, 11			%		$A \cup N \cup$
Number average Molecular Weight (Mn)	3, 9, 10, 11					$A \cup N \cup$
Weight Percent < 1 000 Daltons	3ª, 9, 10, 11					Y O N O
Weight Percent < 500 Daltons	3ª, 9, 10, 11					Y () N ()

Please review subsection 7(2) of the NSNR to determine if these test data are required prior to exceeding 50 000 kg per year.

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<sup>&</sup>lt;sup>a</sup> Not required for Research and Development polymers.

Part B - Technical Information (refer to sections 6.3.2 to 6.3.4.3 of the Guidelines)

B.2 Ecotoxicity Information Requirements <sup>3</sup>	Submit with Schedule	Data Code	Value	Attachment Number	Confidential Information
Acute Toxicity Fish Daphnia Algal	5, 10				Y () N ()
Other Acute Toxicity (check two that apply)  Fish Daphnia Algal	6, 11				Y () N ()
B.3 Health Toxicity Information Requirements	Submit with Schedule	Data Code	Value	Attachment Number	Confidential Information
Acute Mammalian Toxicity Oral O Dermal O Inhalation O	5, 10, 11				Y () N ()
Other Acute Mammalian Toxicity Oral O Dermal Inhalation	6				Y () N ()
Information Sufficient to Assess Skin Irritation	6, 11				Y () N ()
Skin Sensitization	6, 11				$A \cup A \cup$
Repeated Dose Mammalian Toxicity <sup>4</sup> Oral Dermal Inhalation	6, 11				Y () N ()
B.4 Genotoxicity Information Requirements	Submit with Schedule	Data Code	Value	Attachment Number	Confidential Information
In Vitro Test for Gene Mutations <sup>4</sup>	5, 6, 11				$A \cup A \cup$
In Vitro Mammalian Test for Chromosomal Aberrations <sup>4</sup>	6, 11				Y () N ()
In Vivo Mammalian Test for Chromosomal Aberration <b>OR</b> Gene Mutations <sup>4</sup>	6, 11				Y () N ()

#### Part C - Biochemical or Biopolymer Information Requirements (refer to sections 6.4.1 to 6.4.4 of the Guidelines)

C.1 Additional Information Required for Biochemicals or Biopolymers	Submit with Schedule	Data Code	Attachment Number	Confidential Information
Identification	1, 3, 4, 5, 6, 9, 10, 11			Y 🔿 N 🔿
Source and History	1, 3, 4, 5, 6, 9, 10, 11			Y 🔿 N 🔿
Adverse Environmental or Human Health Effects	1, 3, 4, 5, 6, 9, 10, 11			Y 🔿 N 🔿
Concentration of the Viable Production Organism	1 <sup>b</sup> , 3 <sup>b</sup> , 4, 5, 6, 9, 10, 11			Y () N ()
Methods Used to Separate the Production Organism	1 <sup>b</sup> , 3 <sup>b</sup> , 5, 6, 10, 11			Y () N ()

<sup>3</sup> Please review section 6.3.2 of the Guidelines to determine the most appropriate test to provide at each Schedule.

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<sup>4</sup> Please review subsections 7(2), 7(3), 11(2) and 11(3) of the NSNR to determine if these test data are required prior to exceeding 50 000 kg per year.

b Not required for Research and Development substances; and Contained Site-Limited Intermediate substances that are manufactured and consumed at the site of manufacture.

c Not required for Research and Development substances.

Part C - Biochemical or Biopolymer Information Requirements (refer to sections 6.4.5 to 6.4.13 of the Guidelines)

C.1 Additional Information Required for Biochemicals or Biopolymers	Submit with Schedule	Data Code	Attachment Number	Confidential Information
Identification of Encoded Products <sup>5</sup>	1 <sup>d</sup> , 3 <sup>d</sup> , 5, 6, 10,			Y () N ()
Description of Biological Activity <sup>5</sup>	1 <sup>d</sup> , 3 <sup>d</sup> , 5, 6, 10,			Y 🔾 N 🔾
Catalytic Functions <sup>6</sup>	1d,5, 6			Y () N ()
Substrate Specificity <sup>6</sup>	1¢,5, 6			Y () N ()
Optimum pH and Temperature <sup>6</sup>	1 <sup>d</sup> , 5, 6			Y O N O
Catalytic Constants K <sub>M</sub> and K <sub>cat</sub> <sup>6</sup>	1d,5, 6			Y () N ()
Cofactors 6	1 <sup>d</sup> ,5, 6			Y () N ()
Enzymatic Activity <sup>6</sup>	1 <sup>d</sup> , 5, 6			Y () N ()

#### Part D - Additional Information Requirements (refer to sections 6.5.1.1 to 6.5.2.1 of the Guidelines)

D.1 Other Requirements	Submit with Schedule	Attachment Numbe	r
Other agencies notified, the agency's file number and the outcome	1, 3, 4, 5, 6, 9, 10, 11		
Other information and test data in the possession of the manufacturer or importer	1, 3, 4, 5, 6, 9, 10, 11		
D.2 Additional Information and Attachmer	nts		
Attachment Name	9	Attachment Number	Confidential Information
			Y () N ()
			Y () N ()
			Y () N ()
			Y () N ()
			Y () N ()
			Y () N ()
			Y () N ()
			Y () N ()
			Y () N ()
			Y () N ()

This information is only required for a substance that is a nucleic acid.

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This information is only required for a biochemical that possesses enzymatic capability.

Not required for Research and Development substances; and Contained Site-Limited Intermediate substances that are manufactured and consumed at the site of manufacture.

Part D - Additional Information Requirements (refer to section 6.5.2 of the Guidelines)

Attachment Name	Attachment Number	Confidential Information
		YONO
		Y () N ()
		YONO
		YONO
		YONO
		Y O N O
		Y O N O
		YONO
		Y O N O
		YONO
		Y O N O
		Y O N O
		YONO
		Y O N O
		YONO
		Y () N ()
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		Y () N ()
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		Y () N ()
		Y O N O
		Y O N O
		Y () N ()
		Y O N O
		YONO
		Y () N ()
		Y () N ()
		Y O N O
		Y () N ()

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Flow diagram of process (provide attachment):  Enclosed Attachment Number:  *This information is required for substances manufactured in Canada that are subject to any of the Schedules prescribed in the NSNR.  A2. Anticipated Annual Production/Import Quantities of Notified Substance* (section 6.6.1.2)  Confidential? Yes No (if the space provided below is not sufficient, please provide the information as a separate attachment)  Quantity during first 12 months (kg/yr)  Amount manufactured within Canada (if any):  Amount imported into Canada (if any):  Amount for export (if any):  List the three sites in Canada where the greatest quantity of the substance to be manufactured or imported by the notifier, is anticipated to be used or processed, if known*:  *This information is required for substances subject to any of the Schedules prescribed in the NSNR.* This information is required for chemicals subject to Schedule 5 or 6 or non-RRR polymers subject to Schedule 9, 10 or 11 of the NSNR.  B. Uses of the Substance (See section 6.6.2 of the Guidelines)	Manufacturing process description:		
*This information is required for substances manufactured in Canada that are subject to any of the Schedules prescribed in the NSNR.  A2. Anticipated Annual Production/Import Quantities of Notified Substance* (section 6.6.1.2)  Confidential? Yes No (if the space provided below is not sufficient, please provide the information as a separate attachment)  Quantity during first 12 months (kglyr)  Amount manufactured within Canada (if any):  Amount imported into Canada (if any):  Amount for export (if any):  List the three sites in Canada where the greatest quantity of the substance to be manufactured or imported by the notifier, is anticipated to be used or processed, if known*:  This information is required for substances subject to any of the Schedules prescribed in the NSNR. This information is required for chemicals subject to Schedule 5 or 6 or non-RRR polymers subject to Schedule 9, 10 or 11 of the NSNR.  B. Uses of the Substance (See section 6.6.2 of the Guidelines)  B1. Anticipated*, Historical* and Other Likely Uses* for the Substance (section 6.6.2.1)  Confidential? Yes No (if the space provided below is not sufficient, please provide the information as a separate attachment)  Provide anticipated, historical or any other likely use of the notified substance or type of product containing the notified substance ()  And to the degree known: a) The function/application for this use:  b) The industrial / commercial / consumer application for this use:  c) If the use is highly dispersive, non-dispersive, contained, consumed or other:			
*This information is required for substances manufactured in Canada that are subject to any of the Schedules prescribed in the NSNR.  A2. Anticipated Annual Production/Import Quantities of Notified Substance* (section 6.6.1.2)  Confidential? Yes  No (if the space provided below is not sufficient, please provide the information as a separate attachment)  Quantity during first 12 months (kglyr)  Amount manufactured within Canada (if any):  Amount imported into Canada (if any):  Amount for export (if any):  List the three sites in Canada where the greatest quantity of the substance to be manufactured or imported by the notifier, is anticipated to be used or processed, if known*:  *This information is required for substances subject to any of the Schedules prescribed in the NSNR. *This information is required for chemicals subject to Schedule 5 or 6 or non-RRR polymers subject to Schedule 9, 10 or 11 of the NSNR.  B. Uses of the Substance (See section 6.6.2 of the Guidelines)  B1. Anticipated*, Historical* and Other Likely Uses* for the Substance (section 6.6.2.1)  Confidential? Yes  No (if the space provided below is not sufficient, please provide the information as a separate attachment)  Provide anticipated, historical or any other likely use of the notified substance or type of product containing the notified substance of the degree known: a) The function/application for this use:  b) The industrial / commercial / consumer application for this use:  c) If the use is highly dispersive, non-dispersive, contained, consumed or other:	Flow diagram of process (provide attachment):	Enclosed Attachment Number	 :
A2. Anticipated Annual Production/Import Quantities of Notified Substance* (section 6.6.1.2)  Confidential? Yes  No (if the space provided below is not sufficient, please provide the information as a separate attachment)  Quantity during first 12	Steps in operations:		
If the space provided below is not sufficient, please provide the information as a separate attachment)    Quantity during first 12 months (kg/yr)   Maximum quantity in any 12 months (kg/yr)	*This information is required for substances manufactured in Canada th	nat are subject to any of the Sch	hedules prescribed in the NSNR.
Amount manufactured within Canada (if any):  Amount imported into Canada (if any):  Amount for export (if any):  List the three sites in Canada where the greatest quantity of the substance to be manufactured or imported by the notifier, is anticipated to be used or processed, if known*:  This information is required for substances subject to any of the Schedules prescribed in the NSNR.* This information is required for chemicals subject to Schedule 5 or 6 or non-RRR polymers subject to Schedule 9, 10 or 11 of the NSNR.  B. Uses of the Substance (See section 6.6.2 of the Guidelines)  B1. Anticipated*, Historical* and Other Likely Uses* for the Substance (section 6.6.2.1)  Confidential? Yes \( \) No (if the space provided below is not sufficient, please provide the information as a separate attachment)  Provide anticipated, historical or any other likely use of the notified substance or type of product containing the notified substance (1)  And to the degree known: a) The function/application for this use:  c) If the use is highly dispersive, non-dispersive, contained, consumed or other:	A2. Anticipated Annual Production/Import Quantities of Notified S	ubstance* (section 6.6.1.2)	Confidential? Yes O No (
Amount manufactured within Canada (if any):  Amount imported into Canada (if any):  Amount for export (if any):  List the three sites in Canada where the greatest quantity of the substance to be manufactured or imported by the notifier, is anticipated to be used or processed, if known*:  *This information is required for substances subject to any of the Schedules prescribed in the NSNR.* This information is required for chemicals subject to Schedule 5 or 6 or non-RRR polymers subject to Schedule 9, 10 or 11 of the NSNR.  B. Uses of the Substance (See section 6.6.2 of the Guidelines)  B1. Anticipated*, Historical* and Other Likely Uses* for the Substance (section 6.6.2.1)  Confidential? Yes O No (if the space provided below is not sufficient, please provide the information as a separate attachment)  Provide anticipated, historical or any other likely use of the notified substance or type of product containing the notified substance (1)  And to the degree known: a) The function/application for this use:  b) The industrial / commercial / consumer application for this use:	(if the space provided below is not sufficient, please provide the information as a	separate attachment)	
Amount imported into Canada (if any):  Amount for export (if any):  List the three sites in Canada where the greatest quantity of the substance to be manufactured or imported by the notifier, is anticipated to be used or processed, if known*:  *This information is required for substances subject to any of the Schedules prescribed in the NSNR.* This information is required for chemicals subject to Schedule 5 or 6 or non-RRR polymers subject to Schedule 9, 10 or 11 of the NSNR.  B. Uses of the Substance (See section 6.6.2 of the Guidelines)  B1. Anticipated*, Historical* and Other Likely Uses* for the Substance (section 6.6.2.1)  Confidential? Yes  No (if the space provided below is not sufficient, please provide the information as a separate attachment)  Provide anticipated, historical or any other likely use of the notified substance or type of product containing the notified substance ()  And to the degree known: a) The function/application for this use:  b) The industrial / commercial / consumer application for this use:			Maximum quantity in any 12 month period during first 3 years (kg/yr)
Amount for export (if any):  List the three sites in Canada where the greatest quantity of the substance to be manufactured or imported by the notifier, is anticipated to be used or processed, if known*:  *This information is required for substances subject to any of the Schedules prescribed in the NSNR.* This information is required for chemicals subject to Schedule 5 or 6 or non-RRR polymers subject to Schedule 9, 10 or 11 of the NSNR.  B. Uses of the Substance (See section 6.6.2 of the Guidelines)  B1. Anticipated*, Historical* and Other Likely Uses* for the Substance (section 6.6.2.1)  Confidential? Yes No (if the space provided below is not sufficient, please provide the information as a separate attachment)  Provide anticipated, historical or any other likely use of the notified substance or type of product containing the notified substance 1)  And to the degree known: a) The function/application for this use:  b) The industrial / commercial / consumer application for this use:	Amount manufactured within Canada (if any):		
List the three sites in Canada where the greatest quantity of the substance to be manufactured or imported by the notifier, is anticipated to be used or processed, if known*:  *This information is required for substances subject to any of the Schedules prescribed in the NSNR.* This information is required for chemicals subject to Schedule 5 or 6 or non-RRR polymers subject to Schedule 9, 10 or 11 of the NSNR.  B. Uses of the Substance (See section 6.6.2 of the Guidelines)  B1. Anticipated*, Historical* and Other Likely Uses* for the Substance (section 6.6.2.1)  Confidential? Yes No (if the space provided below is not sufficient, please provide the information as a separate attachment)  Provide anticipated, historical or any other likely use of the notified substance or type of product containing the notified substance 1)  And to the degree known: a) The function/application for this use:  b) The industrial / commercial / consumer application for this use:  c) If the use is highly dispersive, non-dispersive, contained, consumed or other:	Amount imported into Canada (if any):		
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chemicals subject to Schedule 5 or 6 or non-RRR polymers subject to Schedule 9, 10 or 11 of the NSNR.  B. Uses of the Substance (See section 6.6.2 of the Guidelines)  B1. Anticipated*, Historical* and Other Likely Uses* for the Substance (section 6.6.2.1)  Confidential? Yes No (if the space provided below is not sufficient, please provide the information as a separate attachment)  Provide anticipated, historical or any other likely use of the notified substance or type of product containing the notified substance 1)  And to the degree known: a) The function/application for this use:  b) The industrial / commercial / consumer application for this use:  c) If the use is highly dispersive, non-dispersive, contained, consumed or other:	substance to be manufactured or imported by the notifier, is	Estimated	quantity for each site
chemicals subject to Schedule 5 or 6 or non-RRR polymers subject to Schedule 9, 10 or 11 of the NSNR.  B. Uses of the Substance (See section 6.6.2 of the Guidelines)  B1. Anticipated*, Historical* and Other Likely Uses* for the Substance (section 6.6.2.1)  Confidential? Yes No (if the space provided below is not sufficient, please provide the information as a separate attachment)  Provide anticipated, historical or any other likely use of the notified substance or type of product containing the notified substance 1)  And to the degree known: a) The function/application for this use:  b) The industrial / commercial / consumer application for this use:  c) If the use is highly dispersive, non-dispersive, contained, consumed or other:			
chemicals subject to Schedule 5 or 6 or non-RRR polymers subject to Schedule 9, 10 or 11 of the NSNR.  B. Uses of the Substance (See section 6.6.2 of the Guidelines)  B1. Anticipated*, Historical* and Other Likely Uses* for the Substance (section 6.6.2.1)  Confidential? Yes No (if the space provided below is not sufficient, please provide the information as a separate attachment)  Provide anticipated, historical or any other likely use of the notified substance or type of product containing the notified substance 1)  And to the degree known: a) The function/application for this use:  b) The industrial / commercial / consumer application for this use:  c) If the use is highly dispersive, non-dispersive, contained, consumed or other:			
chemicals subject to Schedule 5 or 6 or non-RRR polymers subject to Schedule 9, 10 or 11 of the NSNR.  B. Uses of the Substance (See section 6.6.2 of the Guidelines)  B1. Anticipated*, Historical* and Other Likely Uses* for the Substance (section 6.6.2.1)  Confidential? Yes No (if the space provided below is not sufficient, please provide the information as a separate attachment)  Provide anticipated, historical or any other likely use of the notified substance or type of product containing the notified substance 1)  And to the degree known: a) The function/application for this use:  b) The industrial / commercial / consumer application for this use:  c) If the use is highly dispersive, non-dispersive, contained, consumed or other:			
B. Uses of the Substance (See section 6.6.2 of the Guidelines)  B1. Anticipated*, Historical* and Other Likely Uses* for the Substance (section 6.6.2.1)  Confidential? Yes No (if the space provided below is not sufficient, please provide the information as a separate attachment)  Provide anticipated, historical or any other likely use of the notified substance or type of product containing the notified substance 1)  And to the degree known: a) The function/application for this use:  b) The industrial / commercial / consumer application for this use:  c) If the use is highly dispersive, non-dispersive, contained, consumed or other:	•	•	•
(if the space provided below is not sufficient, please provide the information as a separate attachment)  Provide anticipated, historical or any other likely use of the notified substance or type of product containing the notified substance  1)  And to the degree known: a) The function/application for this use:  b) The industrial / commercial / consumer application for this use:  c) If the use is highly dispersive, non-dispersive, contained, consumed or other:		001104410 0, 12 21 1 2 2 2	
Provide anticipated, historical or any other likely use of the notified substance or type of product containing the notified substance  1)  And to the degree known: a) The function/application for this use:  b) The industrial / commercial / consumer application for this use:  c) If the use is highly dispersive, non-dispersive, contained, consumed or other:	B1. Anticipated*, Historical* and Other Likely Uses* for the Substa	Ince (section 6.6.2.1)	Confidential? Yes O No (
And to the degree known: a) The function/application for this use:  b) The industrial / commercial / consumer application for this use:  c) If the use is highly dispersive, non-dispersive, contained, consumed or other:			
And to the degree known: a) The function/application for this use: b) The industrial / commercial / consumer application for this use: c) If the use is highly dispersive, non-dispersive, contained, consumed or other:	• • • • • • • • • • • • • • • • • • • •	ed substance or type of produ	uct containing the notified substance:
b) The industrial / commercial / consumer application for this use:  c) If the use is highly dispersive, non-dispersive, contained, consumed or other:	1)		
c) If the use is highly dispersive, non-dispersive, contained, consumed or other:	And to the degree known : a) The function/application for this use	<b>)</b> :	
	b) The industrial / commercial / consumer application for this use	:	
d) The maximum annual quantity of notified substance for this use (kg/yr):	c) If the use is highly dispersive, non-dispersive, contained, cons	umed or other:	
	d) The maximum annual quantity of notified substance for this us	e (kg/yr):	
e) For contained site-limited intermediate substances the location of use <sup>b</sup> :		h	

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Provide anticipated, historical or any other likely use of the notified substance or type of product containing the notified substance:
2)
And to the degree known : a) The function/application for this use:
b) The industrial / commercial / consumer application for this use:
c) If the use is highly dispersive, non-dispersive, contained, consumed or other:
d) The maximum annual quantity of notified substance for this use (kg/yr):
e) For contained site-limited intermediate substances the location of use <sup>b</sup> :
Provide anticipated, historical or any other likely use of the notified substance or type of product containing the notified substance:
3)
And to the degree known : a) The function/application for this use:
b) The industrial / commercial / consumer application for this use:
c) If the use is highly dispersive, non-dispersive, contained, consumed or other:
d) The maximum annual quantity of notified substance for this use (kg/yr):
e) For contained site-limited intermediate substances the location of use <sup>b</sup> :
*This information is required for substances subject to any of the Schedules prescribed in the NSNR. a This information is required for substances subject to Schedule 6, 10 or 11 or NDSL chemicals subject to Schedule 5 of the NSNR. b This information is required for site-limited intermediate substances subject to Schedules 1 or 3 of the NSNR.
B2. Concentration in Products (section 6.6.2.2)  Confidential? Yes No
(if the space provided below is not sufficient, please provide the information as a separate attachment)
Anticipated concentration of notified substance in notifier's product (specify units)*:
Concentration (or range of concentrations) of notified substance as imported, if known:
Anticipated concentration of notified substance in end-use products, if known (specify units)*:

\*This information is required for substances subject to Schedule 1, 3, 4, 5, 6, 10 or 11 or non-RRR polymers subject to Schedule 9 of the NSNR.

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Appendix I - Manufacture, Import, Use, Exposure and Release Information (Kno	own and Anticipated)
B3. Anticipated to be Used in Products Intended for Use by or for Children* (section 6.6.2.3)	Confidential? Yes O No
(if the space provided below is not sufficient, please provide the information as a separate attachment)	
Check one: Yes (See below) No	
If yes, describe what types of products these may be:	
* This information is required for chemicals subject to Schedule 5 or 6 or non-RRR polymers subject to Schedule	dule 9, 10, or 11 of the NSNR.
C. Human Exposure Information Requirements (See section 6.6.3 of the Guidelines)	
C1. Whether the Public is Anticipated to be Significantly Exposed to the Substance* (section 6.6.3.1)	Confidential? Yes No
(if the space provided below is not sufficient, please provide the information as a separate attachment)	
If yes, describe whether the substance is present in products to which the public is anticipated to be substance taking into consideration factors including the use, duration, frequency of use, concentrat product and circumstances of exposure that may limit direct human exposure:	
If not, provide below, the information substantiating that the public is not anticipated to be significan	tly exposed:
* This information is required for substances subject to Schedule 1, 3 or 10 or NDSL chemicals subject to Schreview subsections 7(2), 7(3), 11(2) and 11(3) of the NSNR to determine if additional test data are required pri	
C2. Anticipated Degree of Direct Human Exposure* (section 6.6.3.2)	Confidential? Yes No
(if the space provided below is not sufficient, please provide the information as a separate attachment)	
Describe anticipated degree of direct human exposure to the notified substance, especially for the ge concentration, duration, frequency, and circumstances of exposure. Describe conditions of use that exposure:	
Routes of exposure at each stage, if known:	
Estimates of number of persons that may be exposed, if known:	

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<sup>\*</sup> This information is required for chemicals subject to Schedule 5 or 6 or non-RRR polymers subject to Schedule 9, 10 or 11 of the NSNR.

# D. Environmental Exposure Information (See section 6.6.4 of the Guidelines) Confidential? Yes No D1. Components of the Environment into which Release is Anticipated\* (section 6.6.4.1) (if the space provided below is not sufficient, please provide the information as a separate attachment) Provide an identification of the components of the environment into which the substance is anticipated to be released: Stages in the import process where emissions or discharges to the environment may occur, if **Quantities and Concentration** applicable: of Release: Physical form of the substance for each location into which the substance will be released and the anticipated frequency, duration and rate of release, if applicable: Estimate of the fugitive emissions, if known: Description of the waste management practices designed to prevent or minimize the release of the substance in effluents and emissions, if applicable: Amount of substance, in effluents and emissions, expected to be released to the environment, including average and peak concentrations, if applicable: Describe the contingency plan to deal with unintended releases from the manufacturing processes; if applicable:

domestic customers, if known:

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Provide information on any potential releases from commercial or consumer products or potential releases during processing by

<sup>\*</sup> This information is required for substances subject to Schedule 1, 3, 5, 6 or 11 of the NSNR.

Appendix I - Manufacture, Import, Use, Exposure and Release	Information	on (Known and Anticipated	)
D2. Anticipated Releases of the Substance into Municipal Wastewater Systems*	(section 6.6.4	4.2) Confidential? Yes	No 🔘
(if the space provided below is not sufficient, please provide the information as a separate attachme	nt)		
Check one: Oirect to the Municipal Wastewater Treatment Facility	OR	O Go directly into surface water	ers.
Total amount (kg/day) anticipated to be discharged:			
Name of municipal treatment facility:			
Address of municipal wastewater treatment facility, if applicable:			
Name of receiving water/location of discharge, if applicable:			
* This information is required for substances subject to Schedule 1, 3, 5, 6, 10 or 11 of	the NSNR.		
D3. Factors that may Limit Environmental Exposure (section 6.6.4.3)		Confidential? Yes	) No ()
(if the space provided below is not sufficient, please provide the information as a separate attachment	ent)		
* This information is required for substances subject to Schedule 6, 10 or 11 or for NE	OSL chemical	lls subject to Schedule 5 of the NSNI	R.
D4. Releases of the Substance to the Aquatic Environment* (section 6.6.4.4)		Confidential? Yes	
(if the space provided below is not sufficient, please provide the information as a separate attachm	ent)		,
Whether the substance is anticipated to be released to the aquatic environment site, the data substantiating the quantity released is required:	in a quantity	y less than or equal to 3 kg per day	/, per
Total amount (kg/day) of releases or anticipated release directly to surface water	:		
Amount (kg/year) of discharges, if any, from on-site treatment and data substant	iating the qu	uantity of such releases:	
Name of receiving water/location of discharge:			
Description of any on-site treatment system(s), including percentage of notified	substance re	emoved, if known:	

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<sup>\*</sup> This information is required for polymers subject to Schedule 10 or NDSL chemicals subject to Schedule 5 of the NSNR. Review subsections 7(2), 7(3), 11(2) and 11(3) of the NSNR to determine if this test data are required prior to exceeding 50 000 kg/yr.

E. Transportation, Storage and Disposal Information Requirements (See section 6.6.5 of the Guidelines)

E1. Transport and Storage Containers* (section 6.6.5.1)	Confidential? Yes O No O
(if the space provided below is not sufficient, please provide the information as a separate attachment)	
Description of the expected modes for its transportation and storage:	UN Number, if known:
Description of the size and type of container(s) used for transportation and storage of the notified substance and/or product containing the notified substance:	Amount (kg/year) of substance shipped in each type of container, if known:
* This information is required for substances subject to Schedule 1, 3, 5, 6, 10 or 11 of the NSNR.	
E2. Anticipated Disposal of the Substance * (section 6.6.5.2)	Confidential? Yes O No O
(if the space provided below is not sufficient, please provide the information as a separate attachment)	
Description of the methods recommended for its destruction or disposal for industrial, commercial a	nd consumer applications:
Total amount (kg/year) of the substance disposed of by each method, if known:	
Describe types and expected amounts (kg/year) of wastes from the substance for each type of waste,	if known:
Treatment and disposal method of containers including those off-site, if known:	
Provincial waste classification(s), if known:	
• •	
Site(s) of disposal:	
(-)	

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<sup>\*</sup> This information is required for substances subject to Schedule 1, 3, 5, 6, 10 or 11 of the NSNR.

#### **Appendix II - New Substances Fee Payment Form**

This form is to be used for fulfilling the information requirements prescribed in the *New Substances Fees Regulations* of the *Canadian Environmental Protection Act, 1999*.

This form must be submitted to:

#### Mailing Address:

Director, New Substances Division Department of the Environment Ottawa ON K1A 0H3

#### **Courier Deliveries:**

Director, New Substances Division Department of the Environment 14th Floor, Place Vincent Massey 351 St. Joseph Blvd. Gatineau QC J8Y 3Y5

Department Use Only
Mail Log No.
NSN Reference No.
Date Received
Amount paid
Reference No.

Please refer to Appendix 3 of the Guidelines for instructions for completing this Fee Form. A separate Fee Form must be submitted for each New Substances Notification (NSN) package except for consolidated notifications.

#### Assessment Fees (Schedule 1 of Fees)

Please check the appropriate fee and report in box A below

	Company's Annual Sales in Canada (million) in Canadian dollars			
NSNR Schedule	≤\$13	>\$13 - ≤\$26	>\$26 - ≤\$40	>\$40
Schedule 1 (except R&D)	\$500	\$1 000	\$1 500 🔘	\$2 000 🔘
Schedule 3 (except R&D)	\$500	\$1 000	\$1 500	\$2 000 🔘
Schedule 4	\$50 🔘	\$100	\$150	\$200 🔘
Schedule 5	\$500	\$1 000	\$1 500	\$2 000 🔘
Schedule 6	\$875	\$1 750	\$2 625	\$3 500 🔘
Schedule 9	\$125 🔘	\$250	\$375	\$500 🔘
Schedule 10	\$875	\$1 750	\$2 625	\$3 500 🔘
Schedule 11	\$875	\$1 750	\$2 625	\$3 500

#### Assessment Fees (Schedule 2 of Fees)

Please circle the appropriate fee and report in box A below

		Company's Annual Sales in Canada (million) in Canadian dollars				
NSNR Schedule ≤\$13 >\$13 - ≤\$20			>\$13 - ≤\$26	>\$26 - ≤\$40	>\$40	
Schedule 5 fina	<b>]</b> 1	\$750 🔘	\$1 500	\$2 250 🔘	\$3 000 🔘	
Schedule 9 fina	<b> </b> 2	\$375	\$750	\$1 125 🔘	\$1 500	
Fee required for any Schedule indicated above						
Less any amount paid for the assessment of that substance as referenced below:						
Schedule:	NSN No:		Assessment Fee Pa	id <b>B</b>		
Schedule:	NSN No:		Assessment Fee Pa	id <b>C</b>		
	Sub-total D (A - B - C, enter 0 if negative)					

- 1 Chemical listed on the NDSL.
- Polymer that meets the Reduced Regulatory Requirement polymer criteria.

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#### **Appendix II - New Substances Fee Payment Form**

#### **Assessment Fees for Matched Notifications or Consolidated Notifications**

Please check appropriate box and enter appropriate information. Report in Sub-total E.

Type of Notification	Fee (CDN \$)
Matched <sup>3</sup> with NSN No	\$200
Consolidated <sup>4</sup> (please indicate number of notification, up to 5)  Please reference master notification below:	
Schedule: Trade Name:	X 250
Sub-total E	

- 3 A matched notification takes place when a notifier requests to use information that has been previously provided by another notifier for the same substance (see section 5.1 and Appendix 3 of the Guidelines).
- 4 A consolidated notification takes place when a notifier provides two to six substances of the same class at one time (see section 5.3 and Appendix 3 of the Guidelines).

#### Fees for Other Services (Schedule 3 of Fees)

Please circle the appropriate fee and report in Sub-total F. If fee has been previously paid for the masked name application, please leave this section blank, and reference 3b below

	Company's Annual Sales in Canada (million) in Canadian dollars				
Services	≤\$13	>\$13 - ≤\$26	>\$40 - ≤\$40	>\$40	
Confidential search of DSL and NDSL	\$62.50	\$125	\$187.50	\$250	
Masked name application₅	\$150	\$300	\$450	\$600 🔘	
Application under Four Corners Arrangement	\$500 🔘	\$1 000 🔘	\$1 500 O	\$2 000 🔘	
			Sub-total F		

**3b** If fee has been previously paid for the masked name application, please leave above section blank, and reference below

Schedule:	NSN No:	Service Fee Paid for Masked Name Application	
		Sub-total D	
		Sub-total E	
		Sub-total F	
		Total Fees Payable (D + E + F)	

**Note**: When fees are based on your company's annual Canadian sales of less than C\$40 million, you must provide sales reports for your company's most recent fiscal period with all notifications.

Payment must be made by certified cheque or money order (payable to the Receiver General for Canada), Visa, MasterCard or American Express (complete page 3 of Appendix II of this form) at the time the service is requested. If the payment is not provided with the service request, the documentation will be returned and the service will not be rendered.

**Disclaimer:** Although care has been taken to ensure that the information accurately reflects the requirements prescribed, you are advised that, should any inconsistencies be found, the legal documents, printed in the Canada Gazette, will prevail.

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Deposit Receipt No.

Health Canada

Santé Canada

#### **CREDIT CARD AUTHORIZATION FORM**

This form is protected when completed

I authorize payment of the New Substances fee to the Receiver General for Canada in C\$ the amount of: Please indicate your method of payment: ○ Visa ○ MasterCard ○ American Express Card No.: \_\_\_\_\_ Expiry Date (Month and Year): Card holder name: (Please print) Company Name: \_ (As found in Box A.1 of the Notification form or Service Requester) Telephone Number: Signature: \_\_\_\_\_ **Department Use Only** Mail Log No. NSN Reference No. Date Received Amount debited Authorization No.

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