

**NORTHERN
SCIENTIFIC
TRAINING
PROGRAM**

**PROGRAMME de
FORMATION
SCIENTIFIQUE
dans le NORD**



**GUIDELINES
2000-2001**

August 2000

Strategic Management and Economic Analysis Directorate
Strategic Policy and Devolution Branch
Department of Indian Affairs and Northern Development

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PREFACE

The primary purpose of the **Northern Scientific Training Program (NSTP)** is to encourage and support Canadian university students with an interest in northern studies, by assisting them to gain northern professional experience and training, through the issuance of supplementary funds.

The universities receiving Northern Scientific Training Program support are encouraged to develop a special focus for training in northern studies, to orient students towards northern studies and northern careers, and to foster an exchange of information among scholars of various disciplines with an interest in the Canadian North.

These Guidelines have been prepared to explain:

- 1) the objectives and operation of the Program; and,
- 2) the specific criteria that will be employed in awarding Program funds.

The Guidelines have been revised slightly and those sections which have been modified have been highlighted. A copy of this manual can be found on the NSTP Internet site @ <http://www.inac.gc.ca/nstp>

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THE NORTHERN SCIENTIFIC TRAINING PROGRAM (NSTP)

I *Historical Overview*

During the fifties the federal government recognized the need to "foster, through scientific investigation and technology, knowledge of the Canadian North and the means of dealing with conditions related to its further development". Few universities were active in northern work, and Canadian students had little opportunity to conduct northern work, other than as summer field assistants on government projects. With limited research funds, universities could not afford to carry out studies in the North, which were more expensive than comparable research in southern Canada. As such, it was increasingly difficult to find sufficient numbers of experienced northern scientists and other specialists with northern expertise for positions in the government, the universities and the private sector.

Recognizing that Canadian universities were not producing northern specialists in sufficient numbers to meet national needs, and that interest in scientific research in the North was decreasing because of inadequate financial support, the Northern Scientific Training Program was initiated to help redress the situation.

After almost four decades of operation, the Program's purpose and objectives have remained the same. The Program has, however, expanded and adapted its efforts to meet contemporary needs.¹

II *Program Administration*

The NSTP is managed by the Department of Indian Affairs and Northern Development (DIAND). An intergovernmental committee consisting of northern experts is appointed by the Deputy Minister of DIAND for a three-year period. This Committee is responsible for reviewing, on an annual basis, university funding applications and reports in order to ensure the effective use of funds provided by the Program to universities. It is commonly known as the NSTP Selection Committee and is supported by the NSTP Secretariat at DIAND.

This Committee also sets Program policy. Participating universities are invited to help set direction for the Program at a meeting held at the Annual Conference of the Association of Canadian Universities for Northern Studies (ACUNS), during university visits by members of the NSTP Secretariat, and in feedback letters to the Secretariat.

¹ Individuals interested in a detailed historical account of the Program should consult Dr. Graham Rowley's report entitled Northern Studies: An Historical Perspective of the Northern Scientific Training Program (Ottawa: DIAND, 1988).

III. *Program Objective*

The Program supports Canadian universities in providing scientific training that gives advanced and graduate students professional experience in the Canadian North and encourages them to develop a commitment to northern work.

The objective of the Program is to increase the number of students who have specialized in some aspect of northern studies and who have northern research experience. This objective is attained by encouraging the formation of multi-disciplinary focal points for northern studies within Canadian universities (i.e. Northern Studies Committees) and by providing supplementary financial assistance to universities for students to offset the high cost of northern research (i.e. transportation costs, living costs, shipping costs and interpreter fees).

IV. *Program Criteria*

a) **Research**

It is the current policy of the NSTP Committee to encourage universities to undertake northern research in a wide range of disciplines and to undertake multi-disciplinary projects where appropriate. Bearing in mind that the purpose of the Program is to develop northern scientists with an awareness of northern issues, supervisors and students should ensure that their research is relevant to current northern concerns.

For the purpose of these Guidelines, the term "**research**" includes all forms of scholarly and professional inquiry and related training activities (subject to the limitations outlined herein).

The term "**North**" is normally understood for purposes of the Program to include that part of Canada which lies north of the southern limit of the discontinuous permafrost zone, with the addition of all of the Southern Yukon in the West; that section of Labrador south to and including Red Bay in the East, (see map p. 13); and the other seven Arctic countries (Finland, Greenland (Denmark), Iceland, Norway, Russia, Sweden, and the United States - Alaska).

Please note that the NSTP Committee encourages student use of existing northern field stations. To this end, you may wish to visit the NSTP Internet site @ www.inac.gc.ca/nstp for links to a list of Northern Field Stations and other interesting sites. If field stations not listed there are to be used, their location and sponsorship should be indicated.

b) Funding

Program funds are offered in support of specific projects as outlined in the application forms. They are intended to assist in defraying the *additional costs* attributable to northern research projects (i.e. transportation costs, living expenses, freight costs and interpreter fees), and are therefore supplementary in nature. NSTP funds are not intended as a source of primary support for students, or as an on-going support in the form of general scholarships. This is why candidates are asked to clearly indicate other sources of funding on the application for funds. It should also be noted that while the per diem allotment is left to the universities' discretion, cost efficiency is highly advised.

In general, NSTP funds **cannot be** used for the following:

- to provide employment for students;
- for the overall administrative, research or teaching program of a Northern Studies Institute, Program or Centre, nor as a subsidy for university faculty members;
- for administrative or indirect costs associated with administering the funds;
- for research carried on outside the NSTP's geographical boundary (see map p. 13);
- for research which does not necessitate a sojourn in the Canadian North (e.g. archival research, data collection, laboratory analysis, production of publication, conference presentation, etc.);
- to support students going on field courses, or for the purpose of a practicum;
- for the purchase of equipment; and finally
- for payment of salaries other than interpreter fees in the field.

As well, a candidate cannot be allocated NSTP funds through two universities at the same time.

Graduate students applying for NSTP funds should enrol as researchers and not field-assistants, since the NSTP aims at providing scientific training that gives students professional experience in the Canadian North.

Finally, students cannot be allocated NSTP funds if they are going to be employed or remunerated for their research while in the field.

c) Student Eligibility

The student must be enrolled in a degree program at a Canadian university and must be a Canadian citizen or a permanent resident. Funds are available in support of graduate students; however, senior undergraduate students entering their final year and intending to undertake an honours thesis based on northern fieldwork or research, which will be

continued in subsequent graduate studies, are also eligible. Post-doctoral studies are **not supported**. Applications can be renewed.

If the student is applying from a Community College, he/she must have completed two full years of an academic college program and also demonstrate an intention to go on to a university education.

It is the university's responsibility to ensure that students meet these criteria of eligibility.

d) Application Assessment

Each university's Northern Studies Committee is responsible for developing their own method of application assessment. The criteria listed below are those employed by the NSTP Selection Committee once all universities have submitted their requests for funds. These are provided here as a guide for all involved in preparing an Application for Funds.

The NSTP Selection Committee's assessment is based on:

- ! the extent to which the reports and the applications comply with the Program's Guidelines;
- ! the completeness and the clarity of the information provided;
- ! the evaluation of past performance and future research;
- ! the linkage between current northern issues and the experience and scientific knowledge/training gained by the students;
- ! the development of northern studies and northern specialists at the university;
- ! the number of students supported by training funds in the previous year and proposed for the upcoming year; and
- ! the cost efficiency of individual projects as well as the application in a whole.

The NSTP Selection Committee requests that comments from the supervisor emphasize the student's past experience and the project's relevance to current northern concerns. As such, the supervisor should:

- ! provide, if possible, a synopsis of the student's previous training experience;
- ! indicate how the fieldwork relates to the student's future studies, as well as how it relates to present and future northern research issues; and,

! reflect how the student has benefited from the research conducted.

V *Application Procedures*

At the beginning of the academic year, the NSTP call for application material is distributed to participating universities' Northern Studies Committees. This is a Committee which has been officially recognized by the President of the university.

Applications for NSTP funds must be made by the Chairperson of a Northern Studies Committee at a Canadian university. **Applications from individuals are not accepted**

Students interested in the Program should contact the university's Northern Studies Committee. In the case where the student's university does not have a recognized Northern Studies Committee, the candidate may apply through a participating university.

If a student is enrolled at one university but is conducting research through another, the student should apply for funding through the university in which he or she is enrolled.

Universities which have not participated in the Program in the past, but wish to do so, may make an application to the NSTP Secretariat at the address on page ii.

VI *Application Process*

In September of each year, the following NSTP material is sent to the universities' Northern Studies Committee. Please note that the intended audience is in italics.

- ! a call letter (*Northern Studies Committee*)
- ! University Information Sheet (*Northern Studies Committee*)
- ! Northern Studies Committee Information Sheet (*Northern Studies Committee*)
- ! Research Report Spreadsheet (*Northern Studies Committee*)
- ! Application for Funds Spreadsheet (*Northern Studies Committee*)
- ! Research Reports: General Overview (*Northern Studies Committee*)
- ! Student Research Report (*students and supervisors*)
- ! Application for Funds: General Overview (*Northern Studies Committee*)
- ! Student Application for Funds (*students and supervisors*)

- ! *Chairperson's Manual (Northern Studies Committee)*
- ! *The NSTP Guidelines (Northern Studies Committee and for reference by students and supervisors)*
- ! *Student's Manual (students)*

- ! *Doing Research in the Northwest Territories: A Guide for Researchers* - Aurora Research Institute, *Scientific Research Licensing Guidelines and Appendices*- Nunavut Research Institute and *Guidebook on Scientific Research in the Yukon* (**these booklets are for reference by students and supervisors**)
- ! *Negotiating Research Partnerships: A Guide for Communities*; and *A Guide to Making Presentations in Northern Communities for the Northern Contaminants Program* (**these booklets are for reference by students and supervisors**)
- ! *Ethical Principles for the Conduct of Research in the North* (**for reference by students and supervisors**)

Students are required to complete the necessary report and/or application forms ensuring that they follow the instructions outlined in the Student's Manual. Once the forms have been completed, they are to be submitted to the universities' Northern Studies Committee. **If the web-based form is chosen, the student must submit a hard copy of the form duly signed. Students should be aware that an incomplete application may result in a rejection of funding.**

After verifying all students' forms, the Northern Studies Committee is responsible for ranking the applications for funds in order of priority and preparing the necessary cover forms. The ranking of applications is indicated by the University on the Application for Funds spreadsheet. The Committee is required to ensure that the following six forms are duly completed, and accompany the **original** of each Research Report or Application for Funds form:

1. University Information Sheet
2. Northern Studies Committee Information Sheet
3. Research Reports: General Overview
4. Research Report Spreadsheet
5. Application for Funds: General Overview
6. Application for Funds Spreadsheet

The submission is signed off by the University President then forwarded to the NSTP Secretariat. It is the responsibility of each university's Northern Studies Committee to ensure that all required report and application information is provided in the appropriate form by December 1st. **Any application post-marked past this date will be rejected. The NSTP Secretariat also reserves the right to reject any application which it may find to be incomplete.**

The NSTP Secretariat at DIAND reviews all report/application packages and enters the information into a database. Copies of the complete package for each university are distributed to the NSTP Selection Committee members in January for their review. In February, both an Allocation Meeting and a Policy Meeting are held. It is at the Allocation Meeting that the NSTP

election Committee members come to a decision with regard to the amount of funding awarded to each university. Funding is not decided on an individual student basis, although individual Research Reports and Application for Funds forms may be reviewed and commented upon by Committee members. The NSTP Selection

Committee members look at the proposed projects and the number of students and allocate funds accordingly. Ineligible project applications are also identified at that time.

Within a couple of days after the Allocation Meeting, the NSTP Secretariat advises the Universities' Northern Studies Committee, by fax, of the amount of money being awarded to their university and of any projects not deemed eligible for funding. Several weeks later, a detailed letter providing an evaluation of the research reports and the proposed projects, as well as general feedback with regard to the university's overall submission, is sent to each Northern Studies Committee.

In April, cheques are issued to the universities' Northern Studies Committees via the President of the University. Once the funds are released from DIAND, the NSTP Secretariat plays no role in the distribution of the money. It is entirely the responsibility of the Northern Studies Committee to decide how much money is allocated to participating students.

The NSTP Selection Committee recognizes the necessity of winter research, and as such encourages and supports these winter projects. The Committee requests that the final report and supervisor's comments be provided by April 30th to the NSTP Secretariat. However, at the time of the December 1st submission, universities are requested to provide as much detail as possible with regard to winter projects. The names of the students along with estimates of costs and days in the field, as well as any other pertinent information should be included in the Research Report portion on the package submitted in December.

VII *Administration of Research Supported by the Program*

Universities submitting applications are responsible for the expenditure of Program funds. Therefore, applicants must authorize all expenditures made against their funds. Administrative and accounting procedures must conform to the standards and practices set by each university receiving Program funds.

The Northern Scientific Training Program does not require the submission of a formal audited statement covering funding made to each university, but does require annual statements of expenditures as outlined on the forms which are submitted along with the application for funds, in December of each year.

NSTP funds are granted for the specific purposes and priorities outlined in the application forms. If, during the year, the university wishes to change its original plan submission (e.g. different

candidate, modifications to the original project, relocation, redistribution of funds), the Northern Studies Committee does not have to consult the NSTP Selection Committee in advance. In the event of a change to an original submission, it is recommended that the Northern Studies Committee contact the NSTP Secretariat in order to inform it of the change and therefore, ensure replacement or changes guarantees project eligibility. However, **if more than 25 percent of the original projects submitted in the university application are to be changed, the university Northern Studies Committee must consult the NSTP Selection Committee in advance.**

VIII *Publications*

The NSTP Committee requests that a copy or an abstract of scientific papers, seminar or conference presentations, thesis, etc., based on NSTP supported projects be referenced on the report form and a copy be provided to the NSTP Secretariat. A detailed explanation of what such a submission entails is outlined in the Students Manual. Any publications or conference presentations resulting from work supported by the NSTP should acknowledge the Program's assistance. The purpose of providing this information is so that NSTP funded research receives the widest possible dissemination. The NSTP Secretariat works with the Arctic Institute of North America to incorporate all published NSTP research into its Arctic Science and Technology Information System (ASTIS) database.

IX *Conduct of Research Supported by the Program*

It is expected that the [Ethical Principles For The Conduct of Research in the North](#), developed by the Association of Canadian Universities for Northern Studies, will be adhered to by all individuals funded under the Program. Individuals must indicate in writing their intent to comply with this requirement. Students must also consult the Aurora Research Institute's [Doing Research in the Western Northwest Territories: A Guide for Researchers](#), the [Guidebook of Scientific Research in the Yukon](#) and Nunavut Research Institute's [Scientific Research Licensing Guidelines and Appendices](#) to ensure their research will be carried out under the appropriate licence/permit.²

Moreover, the NSTP Selection Committee requests that universities ensure students comply with the following:

- a) Consultation with the communities and individuals most likely to be affected by the research/fieldwork. These groups should be made aware of the study and objectives and approve of the project in advance;

² Copies of these publications are included in the application package.

- b) Consultation with local, regional and territorial authorities about the study; and
- c) Obtaining all necessary permits and licenses.
- d) Providing a relevant report or presentation to the issuer of a permit and/or a license once the field research is complete.

It should be pointed out that this last requirement has been designed not only to satisfy territorial, provincial and/or other regulations, but also to facilitate the exchange of information among northern researchers and to ensure effective communication with northern communities.

Researchers should seek community approval well in advance of their scheduled research. Applicants must show respect for the guidelines being setup under the present and future land claim settlements in the North. Various committees have been formed that ensure research will be culturally relevant to community needs. To these ends, the consultation and review process may seem long and cumbersome, but it is a necessary and required procedure in the licensing process. It is suggested that applicants begin the community consultation process at least four months in advance. Acquiring community consent is the responsibility of the researcher. It is the right of the community to deny consent. Researchers must be prepared to accept those decisions.

As indicated in the Students Manual: Failure to complete Section D of an Application for Funds form will result in a rejection of that application.

X *Research Projects in Northern Regions of Other Circumpolar Countries*

The NSTP considers applications from students interested in undertaking research in other circumpolar countries (i.e. Greenland, Norway, Sweden, Finland, Russia and Alaska). These projects should meet all the criteria that presently apply to projects in the Canadian North. In addition, the projects must clearly demonstrate that they meet the following criteria **(to be provided on a separate sheet)**:

- ! relevancy of foreign study to Northern Studies in Canada - - a direct linkage needs to be seen on how the research will benefit Northern Studies in Canada;
- ! relevancy of the foreign study to previous and planned work by the student on the Canadian North;
- ! organizational and financial support provided in the host country; and,

- ! reference to any authorizations and licences required to conduct research in the host country.

As indicated in the Students Manual: Failure to provide information in all four areas will result in a rejection of the application.

Note that this justification must be provided each time that a student plans to conduct international research. Therefore, even if the research is a continuation of previous years, the justification must be provided again.

Preference will be given to projects that represent an extension of previous work or planned work by the applicant in the Canadian North. **University Northern Studies Committees submitting such proposals should bear in mind that there are no additional funds for foreign projects and that successful applications will be funded from each university's general allocation.**

In addition to the criteria listed above, the following geographic location directives should be applied:

- ! The research should be carried out in one of the seven Arctic countries as identified in the proposed Arctic Council.
- ! The southern limit of discontinuous permafrost (Brown Line) serves as the general boundary.
- ! Research projects carried out in all of Alaska, except for the "panhandle" are eligible.
- ! Consideration will be given for research carried out in all areas of Greenland (Denmark).
- ! For Iceland, eligibility will be determined on a case by case examination.
- ! For determination of eligibility of research carried out in Russia, the southern limit of discontinuous permafrost, north of the 58E latitude, will be the effective parameter. In addition, the entire territory of the Kamchatka Oblast, the Magadan Oblast and Republic of Sakha (Yakutia) will be considered eligible. Areas outside of the discontinuous permafrost zone but adjacent to the Arctic Ocean will be considered on a case by case basis.
- ! Applications for support for research in Norway, Sweden and Finland will be considered on a case by case basis ensuring that there is strong relevance to northern studies in Canada.

XI *Applications from a Community College*

In addition to the previously mentioned criteria, submissions from a Community College must include the following information:

- a) The student must have completed two full years of an academic college program (*NSTP is designed for senior university students i.e. finished 3 academic years - **Student Application Form***); and,
- b) The student must demonstrate an intention to go on to a university education (*in the university competition undergraduate awards are viewed as a means of easing the transition into graduate studies - **separate sheet accompanying application form***).

XII *Polar Research Stations in Canada*

In order to access all the pertinent information on the individual research stations, we suggest that you access internet site @ http://polar.nrcan.gc.ca/NorthernFacilities_e.html or follow the *Interesting Links* on the NSTP website @ www.inac.gc.ca/nstp (all circumpolar research stations can be found there).

By “clicking” on the territory/province you desire and then on “drop-down menu” where the research facilities are listed, you can receive the following information:

- Name
- Location (latitude and longitude)
- Description
- Availability
- Rules and Regulations
- Cost to use the facility
- Communications at the facility
- Access to the facility
- Aircraft fuel available at the facility
- Vehicle fuel available at the facility
- Equipment available at the facility
- Nearest town - distance
- Nearest hospital - distance
- Nearest health centre - distance
- Nearest airport - distance
- Licence/permit/applications
- Courtesy
- Primary contact

