



# Financial Institutions Commission of BC

Ministry of Finance  
Financial Institutions Commission  
**Registrar of Mortgage Brokers**  
1200 - 13450 - 102<sup>nd</sup> Avenue  
Surrey BC V3T5X3  
Telephone: 604 953-5300  
Facsimile: 604 953-5301

For Office Use Only	
Approved by:	Date of Approval:
Restrictions:	

## Application for Renewal or Transfer of Registration Form 4 - Personal

Please refer to "Instructions for Completing Form 4" for guidance in completing this form.

- THIS FORM:**       is an application for renewal of registration  
 is an application for transfer of registration

### 1. APPLICANT

- (a) Full Legal Name: \_\_\_\_\_
- (b) Residence Address: \_\_\_\_\_  
Number Street City, Province Postal Code
- (c) Address for Service: \_\_\_\_\_  
Number Street City, Province Postal Code
- (d) Telephone Number: \_\_\_\_\_ (e) Fax Number: \_\_\_\_\_
- (f) Email Address: \_\_\_\_\_ (g) Website Address: \_\_\_\_\_

### 2. INTENDED EMPLOYER

- (a) Full Legal Name: \_\_\_\_\_ (b) Business Address: \_\_\_\_\_
- (c) Telephone Number: \_\_\_\_\_ (d) Fax Number: \_\_\_\_\_

### 3. AMMENDMENTS TO INFORMATION

Since the date of your last application:

- (a) Have you been charged or indicted, or have you been convicted without pardon, under any law of any province, state or country?       YES     NO
- (b) Are you presently subject to a charge or indictment?       YES     NO
- (c) Have you personally, or has any business of which you are or were an officer, director or partner, been subject to bankruptcy proceedings?       YES     NO
- (d) Has any judgment been rendered against you personally or against any business of which you were at the time an officer, director or partner in any civil court in British Columbia or elsewhere, for any reason whatsoever?       YES     NO
- (e) To your knowledge, are you currently the subject of an investigation by any law enforcement or regulatory agency?       YES     NO
- (f) Has any information changed since your last application?       YES     NO

For any of the above questions which you have answered "Yes" to, please attach complete details as an exhibit using the same numbering as on this application.

**WARNING**

**ANY APPLICATION CONTAINING A FALSE STATEMENT MAY RESULT IN THE REFUSAL, SUSPENSION OR CANCELLATION OF ANY REGISTRATION.**

4. I, the undersigned, certify that:

I am the Applicant herein for registration and the statements of fact made by me in this application and in the attachments, if any, are true and complete.

Dated \_\_\_\_\_, 200\_\_\_\_

Signature

Print Name

I, the Designated Individual, certify that I am satisfied through personal knowledge or from inquiries that the applicant herein has:

- (i) a good reputation; and
- (ii) the qualifications required to be a submortgage broker.

Dated \_\_\_\_\_, 200\_\_\_\_

Name of employer or sponsor

Signature of Designated Individual

Print Name

Current Certificate of Registration attached:

Attach Fees in the amount of \$ \_\_\_\_\_

Paid by:

Cheque

Credit Card

Cash

**NOTE THAT ONCE SUBMITTED, THE FEE IS NOT REFUNDABLE.**