

## NOTICE

### **Commission regulations adopting Local Instruments 31,501, 31,502 and 51,501 effective March 15, 2001**

The Saskatchewan Securities Commission (Local Instruments) Amendment Regulations, 2001 became effective March 15, 2001. The Commission regulations adopt the following Local Instruments:

- Saskatchewan Local Instrument 31-501 Self-Regulatory Organization Membership for Brokers and Securities Dealers. Local Instrument 31-501 requires brokers and securities dealers to apply to the IDA for membership by May 31, 2001 and to become members by July 2, 2002. It is substantially similar to rules adopted by the British Columbia Alberta and Ontario Securities Commissions.
- Saskatchewan Local Instrument 31-502 Self-Regulatory Organization Membership for Mutual Fund Dealers. Local Instrument 31-502 requires mutual fund dealers to apply for membership with the MFDA by May 31, 2001 and to become members by July 2, 2002.
- Saskatchewan Local Instrument 51-501 Annual Information Forms and Management's Discussion and Analysis. Local Instrument 51-501 requires certain reporting issuers to file and deliver to their security holders an annual information form. It also requires certain reporting issuers to file and deliver to their security holders Management's Discussion and Analysis with their annual and interim financial statements.

The Commission also adopted Companion Policy 51-501CP to Local Instrument 51-501. The Companion Policy provides additional guidance to assist reporting issuers in complying with Local Instrument 51-501.

On the same date the Commission repealed the following Local Policy Statements:

- 4.6 Securities Dealers - Participation in the Canadian Investor Protection Fund; and
  - 6.2 Annual Information Form and Management's Discussion and Analysis of Financial Conditions and Results of Operations
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