

CONFIDENTIAL

(Interim form) Monthly Report - United Nations Suppression of Terrorism Regulations

(All provincially regulated entities dealing in securities, including portfolio management and investment counselling, must file this report)

(See More 1)	(see	Note	1)
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Instructions: The initial report must be filed no later than October 25, 2001. Subsequent monthly reports must be filed no later than the fifteenth (15th) day of each calendar month. All Registrants with their head office in Saskatchewan must file this report with the Saskatchewan Securities Commission by fax: (306) 787-5899.

NAME OF REGISTRANT:			
Type of Registration: (check all applicable categories)	 Security Issuer Investment Dealer Mutual Fund Dealer 	 Investment Counsel/Portfolio Manager Securities Adviser 	
Are you in possession of, or in control of, any property as defined in the Regulations that is owned or controlled by a Listed Person?	Yes No		
If the response to the above question is No, con	mplete the certificate at the end o	f this form.	li -
If the response to the above question is Yes, co	omplete the table below and the c	ertificate at the end of this form.	

SUMMARY OF PROPERTY

	Number of Accounts (see note 2)	Property Value (\$'s) (see note 2)
Cash and term deposits		
Securities (bonds, debentures, commercial paper, common and preferred shares and derivatives)		
Loans (including overdrafts, credit card balances, term loans and lines of credit)		
Annuities (cash surrender value)		
Life insurance policies (face value)		
Property & casualty insurance policies (policy limit)		
Other property, including real estate		
Totals		

Have you reported the details of these holdings to the RCMP and		
CSIS as required under Section 8 of the Regulations?	□ Yes	□ No
Have you frozen the property as required under Section 4 of the Regulations?	🛛 Yes	🗖 No

Certificate

The Undersigned certifies that, to the best of his/her knowledge, and after having made reasonable enquiries, the information contained in this Report is correct.

Name	Signature	Title	Telephone	Date of this Report:

Note:

1. The information required in this report is required pursuant to the United Nations Suppression of Terrorism Regulations, SOR/2001-360, made under the United Nations Act.

2. Include information from any branches located outside Canada.

Definitions:

• "Number of accounts" means the number of persons, accounts, policies or contracts in whose name(s) the property is held.

- "Listed Person" has the meaning assigned to it in the Regulations, and refers to the persons listed as of the end of the month prior to the date of the report. For purposes of the initial report and subsequent reports this refers to the compilation of Listed Persons as posted at <u>www.osfi.gc.ca</u>.
- "Property" includes assets held in trust, both discretionary and non-discretionary
- "Entity" means a body corporate, trust, partnership or fund, or an incorporated association or organization.