



Financial  
Services  
Commission

Securities Division

## Form 33-708 - Annual Delivery of Registration Fees and Documents

Complete and send this form by December 15, 2003.

Date: \_\_\_\_\_

Attention: Bev Hrenyk  
Registration Branch, Securities Division  
Saskatchewan Financial Services Commission  
6<sup>th</sup> Floor – 1919 Saskatchewan Drive  
Regina, SK S4P 3V7

Please complete the following by checking the appropriate boxes:

On behalf of [ \_\_\_\_\_ ] (the **Registrant**), I

- file annual documents to continue the Registrant's registration in Saskatchewan in the category/categories:

\_\_\_\_\_  
\_\_\_\_\_

and on behalf of its registered individuals, continue the registration of their registered individuals, except for the individuals whose names have been crossed off the attached list. [**Attach the list that Commission staff have sent you**].

- file the list of the Registrant's branches in Saskatchewan. [**Attach the list of branches that Commission staff have sent you**].
- advise you that the Registrant does not wish to continue its registration, nor that of its registered individuals, and consents to the suspension of its registration.
- attach a list of the Registrant's non-trading partners, officers and directors.
- understand that the required fees must be available for Electronic Funds Payment via NRD on December 31, 2003 at 12:01 a.m. in order for the Registrant's and its registered individuals' registration to be continued.
- if the Registrant is either:

- a mutual fund dealer, or,
- a scholarship plan dealer,

attach a certified copy of the bond referred to in subsections 20(3) and (4) of *The Securities Regulations*.

if the Registrant is either:

- a mutual fund dealer;
- a scholarship plan dealer;
- an investment counsel;
- a portfolio manager, or
- a securities adviser,

attach a directors' insurance resolution referred to in subsection 20(7) of *The Securities Regulations*. The resolution requires the directors of the Registrant to state that full consideration has been given to the amount of insurance necessary to cover insurable risks in the Registrant's business. The resolution must also indicate that the minimum amount of insurance required by Saskatchewan securities law is sufficient or that it is not sufficient. In the latter case, the resolution must state the amount of coverage that is sufficient.

agree that the annual registration fees will be paid in full through NRD on December 31, 2003. If they are not paid the Registrant's and its registered individuals' registration will be suspended on January 15, 2004.

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Date

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Signature of Authorized Officer

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Print Name and Title