

Appendix C

Additional Notice Requirements: Saskatchewan

Authority for the proposed instrument

The proposed instrument is being proposed for implementation in Saskatchewan as Commission regulations. In Saskatchewan, the following provisions of *The Securities Act, 1988* (Saskatchewan) (the Saskatchewan Act) provide the Saskatchewan Financial Services Commission (the Saskatchewan Commission) with authority to adopt the proposed instrument as Commission regulations:

- Clause 154(1)(h) of the Saskatchewan Act authorizes the Saskatchewan Commission to prescribe requirements in respect of the books, records and other documents required to be kept by market participants.
- Clause 154(1)(i) of the Saskatchewan Act authorizes the Saskatchewan Commission to make regulations regulating the listing or trading of publicly traded securities including requiring reporting of trades and quotations.
- Clause 154(1)(ii) of the Saskatchewan Act authorizes the Commission to make regulations, among other things, respecting the media, format, preparation, form, content, execution and certification of documents required under the Saskatchewan Act.
- Clause 154(1)(oo) of the Saskatchewan Act authorizes the Saskatchewan Commission to make regulations exempting any person, company, trade or security from all or any provision of the Act or the regulations, including prescribing any terms or limitations on an exemption and requiring compliance with those terms or limitations.