#### FORM 33-109F1

### NOTICE OF TERMINATION

Enter the following information using the online version of this submission at the NRD web site (<u>www.nrd.ca</u>). If the NRD filer is relying on the temporary hardship exemption in MI 31-102 this form is required to be delivered to the regulator in paper format.

1.	Individual				
Name of individual:					
NRD number of individual:					
2.	Business location				
Address	s of business location:				
NRD nu	mber of business location:				
3.	Termination				
Effective	fective date of termination:				
Indicate	dicate whether the individual:				
•	was dismissed for cause				
•	was dismissed in good standing				
•	resigned in good standing				
•	is deceased				
Include details regarding any:					
•	unresolved client complaints:				
•	internal discipline matters:				
•	restrictions for violation of regulatory requirem	ients:			

• financial obligations the individual has to clients:

### Notice of Collection and Use of Personal Information

The personal information required under this form is collected on behalf of and used by the securities regulatory authorities set out below for the administration and enforcement of certain provisions of the securities legislation in British Columbia, Alberta, Saskatchewan, Manitoba, Ontario, Nova Scotia, New Brunswick, Prince Edward Island, Newfoundland, Northwest Territories, Yukon Territory and Nunavut.

If you have any questions about the collection and use of this information, you may contact the securities regulatory authority in any jurisdiction in which the required information is filed, at the address or telephone number provided in Schedule "A".

# WARNING:

It is an offence to submit information that, in a material respect and at the time and in the light of the circumstances in which it is submitted, is misleading or untrue.

# CERTIFICATION

The following certification is to be used when submitting this form in NRD format:

? I am making this submission as agent for the NRD filer. By checking this box I certify that all statements of fact in this submission were provided to me by the NRD filer.

# The following certification is to be used when submitting this form in paper format:

I, the undersigned, certify that I have read and that I understand the questions in the notice and the Warning set out above. I also certify that all statements of fact made in the answers to the questions are true.

Signature of authorized officer or partner

Date

Firm name

SCHEDULE "A" Notice and collection and use of personal information act Information				
Alberta Securities Commission,	British Columbia Securities Commission			
4th Floor, 300 B 5th Avenue S.W.	P.O. Box 10142, Pacific Centre			
Calgary, AB T2P 3C4				
Attention: Information Officer	701 West Georgia Street Vancouver, BC V7Y 1L2			
Telephone: (403) 297-6454	Attention: Freedom of Information Officer Telephone: (604) 899-6500 or (800) 373-6393 (in BC)			
Manitoba	New Brunswick			
The Manitoba Securities Commission	Securities Administration Branch			
1130-405 Broadway	PO Box 5001			
Winnipeg, MB R3C 3L6	606, 133 Prince William Street			
Attention: Director - Legal	Saint John, NB E2L 4Y9			
Telephone: (204) 945-4508	Attention: Deputy Administrator, Capital Markets			
Newfoundland and Labrador	Telephone: (506) 658-3021  Nova Scotia			
Securities Commission of Newfoundland and Labrador	Nova Scotia Securities Commission			
P.O. Box 8700, 2nd Floor, West Block	2 <sup>nd</sup> Floor, Joseph Howe Building			
Confederation Building	1690 Hollis Street			
St. John's, NF A1B 4J6	P.O. Box 458			
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Attention: Director of Securities	Halifax, NS_B3J 3J9			
Tel: (709) 729-4189	Attention: FOI Officer			
Northwest Territories	Telephone: (902) 424-7768 Nunavut			
Government of the Northwest Territories	Legal Registries Division			
P.O. Box 1320	Department of Justice			
Yellowknife, NWT X1A 2L9	Government of Nunavut			
Attention: Deputy Registrar of Securities	P.O. Box 1000 Station 570			
Telephone: (867) 920-8984	Iqaluit, NU X0A 0H0			
Telephone. (007) 520-030+				
	Attention: Deputy Registrar of Securities Telephone: (867) 975-6190			
Ontario	Prince Edward Island			
Ontario Securities Commission	Securities Registry			
Suite 1903, Box 55	Office of the Attorney General B Consumer, Corporate			
20 Queen Street West	Insurance Services Division			
Toronto, ON M5H 3S8	P.O. Box 2000			
Attention: FOI Coordinator	Charlottetown, PE C1A 7N8			
Telephone: (416) 593-8314	Attention: Deputy Registrar of Securities			
	Telephone: (902) 368-4569			
Québec	Saskatchewan			
Commission des valeurs mobilières du Québec	Saskatchewan Securities Commission			
Stock Exchange Tower	800 - 1920 Broad Street			
P.O. Box 246, 22nd Floor	Regina, SK S4P 3V7			
800 Victoria Square	Attention: Director			
Montréal, PQ H4Z 1G3	Telephone: (306) 787-5842			
Attention: Responsable de l'accès à l'information				
Telephone: (514) 940-2150 or				
(800) 361-5072 (in Québec)				
Yukon	•			
Deportment of Community Services Values				
Department of Community Services Yukon P.O. Box 2703				
Whitehorse, YU Y1A 2C6				
Attention: Registrar of Securities Telephone: (867) 667-5225				