## FORM 33-109F5

## CHANGE OF REGISTRATION INFORMATION

## GENERAL INSTRUCTIONS

- 1. This notice must be submitted when notifying a regulator of changes to Form 3 or Form 4 information in accordance with MI 33-109.
- 2. If the NRD filer is relying on the temporary hardship exemption in MI 31-102, this form is required to be delivered to the regulator in paper format when notifying a regulator of changes to Form 33-109F4.
- 3. If this form is being submitting in respect of a change to a Form 3, Form 4 or Form 33-109F4 an authorized partner or officer of the firm must sign the form.

### 1. Type of form

Identify the part of the Form 3, Form 4, or Form 33-109F4 for which this notice is being provided. If this notice is being provided to update an individual's Form 4 or Form 33-109F4, provide the name of the individual.

Form 3, Item(s)\_\_\_\_\_\_,
Form 4, Item(s) \_\_\_\_\_\_, name of individual \_\_\_\_\_\_, or
Form 33-109F4, Item(s) \_\_\_\_\_\_, name of individual \_\_\_\_\_\_

## 2. Details of Change

Provide the details of the change for each item identified above:

#### Notice of Collection and Use of Personal Information

The personal information required under this form is collected on behalf of and used by the securities regulatory authorities set out below for the administration and enforcement of certain provisions of the securities legislation in British Columbia, Alberta, Saskatchewan, Manitoba, Ontario, Nova Scotia, New Brunswick, Prince Edward Island, Newfoundland and Labrador, Northwest Territories, Yukon Territory, and Nunavut.

By submitting this information you consent to the collection by the securities regulatory authority of the personal information provided above, police records, records from other government or non-governmental regulatory authorities or self-regulatory organizations, credit records and employment records about you as may be necessary for the securities regulatory authority to complete its review of your continued fitness for registration, if applicable, in accordance with the legal authority of the securities regulatory authority. The sources the securities regulatory authority may contact include government and private bodies or agencies, individuals, corporations and other organizations.

If you have any questions about the collection and use of this information, you may contact the securities regulatory authority in any jurisdiction in which the required information is filed, at the address or telephone number provided in Schedule "A".

**WARNING:** It is an offence to submit information that, in a material respect and at the time and in the light of the circumstances in which it is submitted, is misleading or untrue.

# CERTIFICATION

I, the undersigned, certify that I have read and that I understand the questions in this notice and the Warning set out above. I also certify that all statements of fact made in the answers to the questions are true.

Signature of registered or non-registered individual Date (No signature is required here if this form is being submitted in respect of a change to Form 3 information.)

If this form is being submitted in respect of a change to Form 3, I, the undersigned, certify that I understand the requirements and the Warning in this notice and that all statements of fact provided in this notice are true.

Signature of authorized officer or partner

Date

Firm name

SCHEDULE "A"		
Notice and collection and use of personal information	n	

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Alberta	British Columbia	
Alberta Securities Commission, 4th Floor, 300 B 5th Avenue S.W.	British Columbia Securities Commission P.O. Box 10142, Pacific Centre	
Calgary, AB T2P 3C4	701 West Georgia Street	
Attention: Information Officer Telephone: (403) 297-6454	Vancouver, BC V7Y 1L2 Attention: Freedom of Information Officer	
	Telephone: (604) 899-6500 or (800) 373-6393 (in BC)	
Manitoba	New Brunswick	
The Manitoba Securities Commission 1130-405 Broadway	Securities Administration Branch PO Box 5001	
Winnipeg, MB R3C 3L6	606, 133 Prince William Street	
Attention: Director - Legal	Saint John, NB E2L 4Y9	
Telephone: (204) 945-4508	Attention: Deputy Administrator, Capital Markets Telephone: (506) 658-3021	
Newfoundland and Labrador	Nova Scotia	
Securities Commission of Newfoundland and Labrador	Nova Scotia Securities Commission	
P.O. Box 8700, 2nd Floor, West Block Confederation Building	2 <sup>nd</sup> Floor, Joseph Howe Building 1690 Hollis Street	
St. John's, NF A1B 4J6	P.O. Box 458	
Attention: Director of Securities	Halifax, NS B3J 3J9	
Tel: (709) 729-4189	Attention: FOI Officer	
	Telephone: (902) 424-7768	
Northwest Territories	Nunavut	
Government of the Northwest Territories	Legal Registries Division	
P.O. Box 1320	Department of Justice	
Yellowknife, NWT X1A 2L9 Attention: Deputy Registrar of Securities	Government of Nunavut P.O. Box 1000 Station 570	
Telephone: (867) 920-8984	Iqaluit, NU X0A 0H0	
	Attention: Deputy Registrar of Securities	
	Telephone: (867) 975-6190	
Ontario	Prince Edward Island	
Ontario Securities Commission	Securities Registry	
Suite 1903, Box 55	Office of the Attorney General B Consumer, Corporate and	
20 Queen Street West	Insurance Services Division	
Toronto, ON M5H 3S8	P.O. Box 2000	
Attention: FOI Coordinator Telephone: (416) 593-8314	Charlottetown, PE C1A 7N8 Attention: Deputy Registrar of Securities	
	Telephone: (902) 368-4569	
Québec	Saskatchewan	
Commission des valeurs mobilières du Québec	Saskatchewan Securities Commission	
Stock Exchange Tower	800 B1920 Broad Street	
P.O. Box 246, 22nd Floor	Regina, SK S4P 3V7	
800 Victoria Square	Attention: Director	
Montréal, PQ H4Z 1G3	Telephone: (306) 787-5842	
Attention: Responsable de l'accès à l'information Telephone: (514) 940-2150 or		
(800) 361-5072 (in Québec)		
Yukon		
Department of Community Services Yukon		
P.O. Box 2703		
Whitehorse, YU Y1A 2C6		
Attention: Registrar of Securities		
Telephone: (867) 667-5225		