

FORM 7
(section 47 of *The Securities Regulations*)

The Securities Act, 1988

**Application for Amendment of Registration
as Dealer, Adviser or Underwriter**

(Note: Statements attached as exhibits should be initialled by the person signing the application and the Commissioner taking the affidavit.)

Name of Registrant _____

Application is made for amendment to our existing registration as _____
in the category of: _____

under the Act and the following statements of fact are made in respect thereof.

1. Attached hereto and marked as an exhibit to the application is a statement of particulars of any change in:
 - (a) the name of the applicant,
 - (b) address for service or any business address,
 - (c) partners, officers or directors and the reason for any such person's resignation, dismissal, severance or termination of employment or office,
 - (d) holders of voting securities of the applicant,
 - (e) salespersons employed and the reason for the termination of any salesperson's employment,
 - (f) branch offices in Saskatchewan, or
 - (g) the person in charge of any branch office on Saskatchewan.
2. Attached hereto and marked as an exhibit is a statement of any significant change in the business of the applicant, other than those changes described in paragraph 1.
3. Attached hereto and marked as an exhibit to the application is:
 - (a) the name of each new partner, officer or director of the applicant,
 - (b) a completed Form 4 for each new partner or officer of the applicant seeking registration, unless the information required by Form 4 has previously been filed by such person and remains unchanged; and
 - (c) for each new partner, officer or director not referred to in clause (b), the information required by Form 4 excluding questions 4, 7 and 10 and Part D thereof unless such information has previously been filed with the Commission and remains unchanged.

4. Attached hereto and marked as an exhibit to the application is a statement of changes which have occurred in the financial structure and control of the applicant which would make the information previously given by the applicant pursuant to this or any previous regulations, false, or misleading.

Dated at _____

in the _____ of _____ (Name of applicant)

this ____ day of _____

19 ____.

By _____

(Signature of applicant,
partner or officer)

(Official capacity)

AFFIDAVIT

IN THE MATTER OF *THE SECURITIES ACT, 1988*

Province of Saskatchewan

I _____

(Name in full)

of _____

in the _____ of _____

MAKE OATH AND SAY:

1. I am the applicant (or a partner or officer of the applicant) for amendment to registration, and I signed the application.
2. The statements of fact made in the application for amendment to the registration are true.

SWORN before me at _____

in the _____ of _____

this ____ day of _____

19 _____

(Signature)

(A Commissioner, etc.)

IT IS AN OFFENCE UNDER *THE SECURITIES ACT, 1988* TO FILE AN APPLICATION CONTAINING A STATEMENT THAT, AT THE TIME AND IN LIGHT OF THE CIRCUMSTANCES IN WHICH IT IS MADE, IS A MISREPRESENTATION.