

FORM 8
(section 45 of *The Securities Regulations*)
The Securities Act, 1988

Application for Withdrawal From Registration

(Note: Any statements or reports attached to this Form should be marked as exhibits and initialed by the person signing the application and the Commissioner taking the affidavit).

Application is made for a withdrawal from registration pursuant to subsection 29(4) of the Act and the following statements of fact are made in respect of this application:

1. (a) Name of dealer: _____
(b) Name under which business is conducted, if different: _____

(c) Dealer's main address: _____
(d) Mailing address, of different: _____

(e) Telephone number, including area code: _____
2. Date dealer ceased business in Saskatchewan: _____
3. Does the dealer owe any money or securities to any customer or other dealer?
Yes _____ No _____
 - (a) Number of customers owed funds or securities: _____
 - (b) Amount of money owed to: customers \$ _____ registrants \$ _____
 - (c) Market value of securities owed to: _____ customers \$ _____
_____ registrants \$ _____
 - (d) Arrangements made for payment: _____

 - (e) Investment dealers, brokers, securities dealers and underwriters shall attach a copy of Form 10, financial questionnaire and report. Advisors, mutual fund dealers, scholarship plan dealers and real estate securities dealers shall file financial statements prepared in accordance with section 50 of *The Securities Regulations*. Both Form 10 and the financial statements should reflect the finances of the dealer no earlier than 10 days before this application is filed.

4. Is the dealer now the subject of any:
- (a) proceedings not reported to the Commission, or any compliant or investigation?
Yes _____ No _____
 - (b) unsatisfied judgments or leins not reported to the Commission?
Yes _____ No _____
 - (c) unsatisfied customer claims for funds or securities not reported under Item (b)?
Yes _____ No _____
 - (d) Furnish full details for all "yes" answers on an attached statement. For any court or regulatory action, give:
 - 1) the dealer and individual's names,
 - 2) the title and date of the action,
 - 3) the court or body taking the action, and
 - 4) a description of the action.

5. (a) Name, address and telephone number of the person who will have custody of books and records: _____

(b) Address where books and records will be located, if different: _____

_____ DATED at _____ (Name of dealer)

this ____ day of _____
 19 ____ . By _____
 (Signature of applicant,
 partner or officer)

 (Type or print name)

 (Official capacity)

AFFIDAVIT

IN THE MATTER OF *THE SECURITIES ACT, 1988*

Province of Saskatchewan

I _____

(Name in full)

of _____

in the _____ of _____

MAKE OATH AND SAY:

1. I am the applicant (or a partner or officer of the applicant) for registration and I signed the application.
2. The statements of fact made in the application for withdrawal from registration are true.

SWORN before me at _____

in the _____ of _____

this _____ day of _____

19 _____

(Signature)

(A Commissioner, etc.)

IT IS AN OFFENCE UNDER *THE SECURITIES ACT, 1988* TO FILE AN APPLICATION CONTAINING A STATEMENT THAT, AT THE TIME AND IN LIGHT OF THE CIRCUMSTANCES IN WHICH IT IS MADE, IS A MISREPRESENTATION.