

SASKATCHEWAN STAFF NOTICE 13-702
ACCESS TO COMMISSION RECORDS

On June 6, 1990 the Saskatchewan Securities Commission adopted Local Policy 13-601 Public Availability of Material in Commission Records ("LP 13-601") which sets out which documents of the Commission are available for public inspection.

The Freedom of Information and Privacy Act (the "FOIP Act") came into force on April 1, 1992, and Commission staff must rewrite LP 13-601 to reflect the main provisions of the FOIP Act which are:

- everyone has access to all Commission records unless an exception exists, and
- we cannot release a record which contains any personal information.

An example of how LP 13-601 does not reflect FOIP provisions is that clause 2.8(e) provides that a report of trade in Form 19 will be made public. Form 19s include the name and address of purchasers together with the number of securities they purchased. This is personal information, no exemption exists to permit us to release it, and we will not be releasing Form 19s filed.

The Commission has rescinded LP 13-601. While staff prepares a replacement policy we will deal with requests for records on a case by case basis under the provisions of the FOIP Act and the provisions of *The Securities Act, 1988*.

June 29, 2000

Contact:

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