



Financial  
Services  
Commission  
Securities Division

## STAFF NOTICE 33-712

### **SURETY BOND NOT REQUIRED FOR MUTUAL FUND DEALERS THAT PARTICIPATE IN THE MFDA INVESTOR PROTECTION CORPORATION.**

This gives notice that mutual fund dealers that participate in the MFDA Investor Protection Corporation are not required to maintain a surety bond to be registered in Saskatchewan under *The Securities Act, 1988*

Subsection 20(3.1) of *The Securities Regulations* provides that a mutual fund dealer that is a participant in a contingency fund approved by the Commission is not required to maintain a surety bond required in subsection 20(3).

On May 12, 2005 by General Ruling 11-905 *Approval of the Mutual Fund Dealers Association Investor Protection Corporation* the Commission approved the MFDA Investor Protection Corporation pursuant to subsection 23(1) of *The Securities Regulations*.

Dated November 25, 2005

Contact:

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