



## Governance Self-Assessment Survey 2000

As we stated in the Notes to Stakeholders in *Update 21*, responding to this survey is mandatory. A follow-up survey will be done in two years at which time OSFI will be able to compile data on the progress of voluntary pension plan governance and self-assessment. You may fax your response to (613) 990-7394 or send it by regular mail to the address above within 60 days of receipt. You may submit your response electronically through our website at [www.osfi-bsif.gc.ca](http://www.osfi-bsif.gc.ca), but confidentiality cannot be guaranteed.

Name of Pension Plan: \_\_\_\_\_

Registration or File Number: \_\_\_\_\_

Plan Administrators:

Please answer the following questions as accurately as possible. Your response will be part of the benchmark for a subsequent survey in two years. OSFI will assess the results and publish them in the fall edition of *Update*.

While specific answers to the questions will not affect the current regulatory risk rating of your pension plan, failure to respond will affect the rating.

Questions	Yes	No
1. Are you familiar with OSFI's governance guideline?		
2. Are you familiar with the <i>Recommendations on Pension Plan Governance Self-Assessment</i> , issued by OSFI, ACPM and PIAC?		
3. Have you ever conducted a self-assessment on the governance of your pension plan? (If yes, please answer questions 4 and 5; if no, go to question 6.)		
4. Have you informed the plan members that an assessment was done?		
5. Have you informed the plan members of the results of the assessment?		
6. If you have never done a self-assessment on the governance of your pension plan, do you intend to do one during the next two years?		

### Comments:

Name: \_\_\_\_\_ Signature: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_