



Quarterly Survey of Financial Statements

Financial Corporations and Business Financing Corporations

Confidential document when completed

Please return completed copy within 30 days of quarter end

Si vous préférez ce questionnaire en français, veuillez cocher

Please correct name and address, if necessary

INFORMATION

AUTHORITY

"This survey is conducted under the authority of the Statistics Act, Revised Statutes of Canada, 1985, c. S-19. Completion of this questionnaire is a legal requirement under the Statistics Act."

PURPOSE

The information you provide is used in the preparation of industry financial statements for the Canadian business sector; also, these financial statements are used as inputs to the National Economic Accounts.

CONFIDENTIALITY

Statistics Canada is prohibited by law from publishing any statistics which would divulge information obtained from this survey that relates to any identifiable institution without the previous written consent of that institution. The data reported on this questionnaire will be treated in confidence, used for statistical purposes and published in aggregate form only. The confidentiality provisions of the Statistics Act are not affected by either the Access to Information Act or any other Legislation.

INSTRUCTIONS

- Report amounts in thousands of Canadian Dollars.
- This report should cover Canadian operations only. Please exclude foreign branches, subsidiaries and affiliates.

- If actual financial data is not available, estimates may be used in this report.

DEFINITIONS

The term "parent, subsidiaries and affiliates" used in this questionnaire covers non-consolidated parent, subsidiary and affiliated corporations; head offices, branches and divisions; affiliated joint ventures and partnerships; directors; officers and individual shareholders. Affiliated companies are corporations which are effectively controlled or whose operations are significantly influenced by the reporting entity or a common owner or group of owners.

FURTHER INFORMATION

Please refer to "Financial Statistics Reporting Guide" or contact:

Operations and Integration Division
Statistics Canada,
Ottawa, Canada,
K1A 0T6

Telephone: (877) 898-6686
Fax: (800) 552-4428

REPORTING ENTITY

1. This report covers (please mark one only)

- a single corporation
- part of a corporation (e.g. branch, division, head office)
- consolidated family corporations
- Other (please specify) _____

2. Does this reporting entity have investments in the partnerships or joint ventures?

- Yes
- No

3. Has this reporting entity purchased or sold business(es) during the current reporting period which affects assets or revenue by more than 10%?

- Yes
- No

● Please verify the enclosed profile of the entities covered by this report, correct it if necessary and return the profile document with the completed questionnaire.

● Please explain any significant changes in accounting or basis of reporting affecting the comparison of this return with that of the previous quarter.

This report covers the period from:

month _____ 2 0 | | | to month _____ 2 0 | | |

Company official to contact regarding this form
(Please print)

Position

Signature

Telephone number
()

Facsimile number
()

Date

E-Mail address

FOR STATISTICS CANADA USE ONLY

Rec'd:

Captured:

Analyzed:

Edited:

SECTION A - BALANCE SHEET

Balance Sheet Date
01
Year Month Day

		Thousands of dollars	
ASSETS (Please report receivables net of unearned finance and service charges)			
1.	Cash and demand deposits (report term deposits which can be cashed on demand in item 2)		
1.1	Cash and Canadian currency demand deposits in chartered bank branches in Canada	11110	
1.8	Other Canadian currency demand deposits	11180	
1.9	Foreign currency demand deposits	11200	
2.	Term deposits (including bearer term notes, swapped deposits and deposit receipts, certificates, debentures and like instruments)		
2.1	Canadian currency		
2.1.1	Chartered bank branches in Canada	11631	
2.1.9	Other institutions	11638	
2.2	Foreign currency	11680	
3.	Accounts receivable and accrued revenue (before deducting allowance for doubtful accounts; report amounts due from parent, subsidiaries and affiliates in item 5.3)	12100	
3.9	Less: Allowance for doubtful accounts	12800	()
4.	Receivables under lease contracts		
4.1	Receivables net unearned finance and service charges	12210	
4.2	Residual value	12220	
5.	Investments in and claims on parent, subsidiaries and affiliates (before deducting provision for losses - item 19)		
5.1	Cost of shares		
5.1.1	Canadian corporations	14110	
5.1.2	Foreign corporations	14120	
5.2	Accumulated earnings less dividends received (to be used only by corporations employing the equity method of accounting for unconsolidated subsidiaries and affiliates)	14200	
5.3	Loans, advances, mortgages, notes, bonds and other claims		
5.3.1	Canadian companies, officers and shareholders	14310	
5.3.2	Foreign companies, officers and shareholders	14320	
6.	Canadian investments - non-affiliates, at amortized cost (before deducting provisions or allowances for losses - item 19; exclude mortgage loans - item 8, other loans - item 9)		
6.1	Government of Canada treasury bills	15110	
6.2	Bankers' acceptances and finance and other short-term paper (with an original term to maturity of less than one year; including provincial and municipal government short-term bills and notes)	15120	
6.3	Government of Canada debt	15130	
6.4	Provincial and municipal government debt (exclude short-term bills and notes -item 6.2)	15140	
6.5	Corporate bonds and debentures (including mortgage bonds, excluding short-term paper - item 6.2)	15150	
6.6	Corporate shares (including share warrants and options)	15160	
6.9	Other Canadian investments (include precious metals, gold and silver certificates, commodity options, etc.)	15180	
7.	Foreign investments - non-affiliates, at amortized cost (before deducting provisions or allowances for losses - item 19; exclude term deposits - item 2, mortgage loans - item 8, other loans - item 9)	15200	
8.	Mortgage loans to non-affiliates (before deducting provisions or allowances for losses - item 19)		
8.1	Secured by property in Canada		
8.1.1	Residential	16110	
8.1.2	Non-residential	16120	
8.2	Secured by property outside Canada	16200	
9.	Non-mortgage loans to non-affiliates (before deducting provisions or allowances for losses - item 19; net of unearned finance and service charges)		
9.1	To individuals, unincorporated businesses and non-profit institutions		
9.1.1	To individuals to purchase consumer goods	17110	
9.1.2	Other	17180	
9.2	Wholesale financing	17610	
9.3	Retail sales financing of industrial and commercial goods (including farm vehicles and equipment)	17620	
9.9	Other types of commercial loans	17680	
10.	Repossessed property, equipment, vehicles and other assets held for sale	18100	
11.	Real estate held for income (before deduction of accumulated depreciation and encumbrances)	18320	
12.	Land and depreciable assets - gross (including real estate and ground rents, machinery, equipment, buildings, leasehold improvements, etc. for own use and vehicles and equipment in the hands of lessees under operating leases; before deduction of accumulated depreciation and amortization, and encumbrances)	18310	
13.	Less: Accumulated depreciation on real estate held for income and fixed assets	18350	
14.	Intangible assets (net of accumulated amortization)	19100	
18.	Other assets	19880	
19.	Less: Accumulated provisions and allowances for losses on investments, loans and other assets, charged to expenses	19810	
20.	TOTAL ASSETS	10000	

SECTION A - BALANCE SHEET - Continued		Balance Sheet Date		
		01	Year	Month
		Thousands of dollars		
21.	LIABILITIES Accounts payable and accrued liabilities (report amounts due to parent, subsidiaries and affiliates in item 23)	22100		
22.	Income taxes payable (report income taxes recoverable in item 3)	23000		
23.	Amounts owing to parent, subsidiaries and affiliates (including accounts payable, notes, loans, mortgages and bonds)			
23.1	In Canada	24100		
23.2	Outside Canada	24200		
24.	Borrowing - non-affiliates			
24.1	Loans and overdrafts (report mortgage loans in item 24.4)			
24.1.1	With chartered bank branches in Canada	25110		
24.1.9	With others	25180		
24.2	Bankers' acceptances and short-term financial paper (original term to maturity of less than one year) . . .	25200		
24.3	Bonds and debentures (include only debt securities offered to the public, and private placements; including mortgage bonds)	25400		
24.4	Mortgage loans	25500		
28.	Deferred income taxes	27000		
30.	Minority interest in subsidiaries consolidated in this report	28000		
39.	Other liabilities (including unrealized gains and losses on the translation of foreign currency)	29000		
40.	TOTAL LIABILITIES	20000		
SHAREHOLDERS' EQUITY				
41.	Share capital			
41.1	Preferred	31100		
41.2	Common	31200		
42.	Contributed surplus	33000		
43.	Appraisal increase credits (debits)	34000		
49.	Retained earnings	35000		
50.	TOTAL SHAREHOLDERS' EQUITY	30000		
60.	TOTAL LIABILITIES AND SHAREHOLDERS' EQUITY	39000		

SUPPLEMENTARY QUESTION

Amounts owing to parent, subsidiaries and affiliates (related to item 23)			
(i)	Interest bearing debt	61405	
(ii)	non-interest bearing debt	61407	

SECTION B - STATEMENT OF RETAINED EARNINGS

02 Period covered
From
03 To
 Year Month Day

		Thousands of dollars				
61.	Retained earnings, opening balance	38100				
ADD (DEDUCT):						
62.	Net income (loss) for the current period (transfer from item 105)	38200				
69.	Other additions (deduction) - please specify major items	38700				
DEDUCT:						
70.	Dividends declared					
70.1.	Cash - Preferred Shares <table border="1" style="display: inline-table; vertical-align: middle;"><tr><td>38804</td><td></td></tr></table>	38804		38810		
38804						
	Common Shares <table border="1" style="display: inline-table; vertical-align: middle;"><tr><td>38806</td><td></td></tr></table>	38806				
38806						
70.2.	Other	38880				
80.	RETAINED EARNINGS, CLOSING BALANCE (SHOULD EQUAL ITEM 49)	38000				

SECTION C - INCOME STATEMENT

Period covered
02 From
03 To
Year Month Day

REVENUE (net of goods and services tax)		Thousands of dollars	
81.	Rental revenue (from leasing of vehicles, equipment, real estate, etc. - operating leases; exclude financing leases - item 83.4)	43000	
82.	Commissions and fees (from factoring; commitment, stand-by, finders and extension fees	44000	
83.	Interest revenue from Canadian sources (including amortization of discounts and premiums on investments; report interest from parent, subsidiaries and affiliates in item 83.4)		
83.1	Bonds and debentures	45100	
83.2	Mortgages	45200	
83.3	Consumer loans (including retail sales financing for consumer goods)	45300	
83.4	Other	45800	
84.	Interest revenue from foreign sources (including amortization of discounts and premiums on investments)	47200	
85.	Dividends (exclude dividends from corporations accounted for on the equity basis)		
85.1	From Canadian corporations	46000	
85.2	From foreign corporations	47100	
86.	Gains (losses) on the sale of assets (before income taxes; report extraordinary items under item 104.3; also complete Supplementary Schedule A)	48000	
87.	Other revenue	49000	
88.	TOTAL REVENUE	40000	
EXPENSES			
89.	Purchased goods, materials and services	55001	
90.	Wages and Salaries	55210	
91.	Employer portion of employee benefits	55220	
92.	Indirect taxes	55002	
93.	Depreciation		
93.1	Construction, buildings, structures and leasehold improvements	52120	
93.2	Machinery and equipment	52130	
94.	Amortization of		
94.1	Intangible assets	52310	
94.2	Deferred charges	52320	
94.3	Other	52380	
95.	Interest expense (report interest amounts paid to parent, subsidiaries and affiliates in item 95.4)		
95.1	Bonds and debentures	53110	
95.2	Mortgages	53120	
95.3	Finance and other short-term paper	53150	
95.4	Other	53130	
96.	Other expenses		
96.1	Provision for losses on loans and accounts (related to items 4, 8 and 9)	55870	
96.2	Other expenses (Include only: bad debt expense; write-down of accounts receivable and inventory; charitable donations; resource royalties)	55003	
97.	TOTAL EXPENSES	50000	
98.	Gains - (losses) on the translation of foreign currency (including amortization of foreign exchange gains (losses) on monetary assets and liabilities; exclude unrealized gains and losses on the translation of financial statements of self-sustaining foreign operations - item 39; also complete Supplementary Schedule A)	56100	
99.	Write-offs and valuation adjustments, not classified as extraordinary (report extraordinary items under item 106.3; also complete Supplementary Schedule A)	56200	
100.	Income (loss) before income taxes	58460	
101.	Canadian income taxes		
101.1	Current	57100	
101.2	Deferred	57200	
102.	Income (loss) after income taxes	58470	
103.	Other gains (losses)		
103.1.	Equity in net income of unconsolidated subsidiaries, affiliates, joint ventures and partnerships (to be used only if investment carried on the equity basis)	58100	
103.2	Minority shareholders' portion of net income of subsidiaries and affiliates consolidated in this report	58200	
103.3	Extraordinary gains (net of income taxes; also complete Supplementary Schedule A)	58300	
104.	NET INCOME (LOSS) (TRANSFER TO ITEM 62)	58480	

SUPPLEMENTARY QUESTIONS - INCOME STATEMENT		02	From	Period covered		
		03	To	Year	Month	Day
		Thousands of dollars				
A.	Dividends received from corporations accounted for on the equity method and credited to the investment account					
	Canadian	75100				
	Foreign	75200				
B.	Unusual revenues, expenses, gains/losses from continuing business operations (reported in income statement)	74700				
C.	Interest expense on debt owing to parent, subsidiaries and affiliates (reported in item 95.4)	74100				
D.	Average number of people employed during the reporting period	80003				
E.	Does your enterprise have revenue between divisions or companies that are eliminated upon the consolidation of your accounts? <input type="checkbox"/> No <input type="checkbox"/> Yes (If yes specify) ▶	40012	Total amount of these eliminations (in thousands of dollars)			

SUPPLEMENTARY SCHEDULE A: DISTRIBUTION OF REALIZED GAINS (LOSSES) AND WRITE-UPS (WRITE-DOWNS)
-item numbers refer to corresponding balance sheet items

4.	Receivable under lease contracts	81100			
5.	Investments in and claims on parent, subsidiaries and affiliates				
5.1	Cost of shares				
5.1.1	Canadian corporations	81211			
5.1.2	Foreign corporations	81212			
5.3	Loans, advances, mortgages, notes, bonds and other claims				
5.3.1	Canadian companies, directors, officers and shareholders	81221			
5.3.2	Foreign companies, directors, officers and shareholders	81222			
6.	Canadian investments				
6.3	Government of Canada debt	81310			
6.4	Provincial and municipal government debt	81320			
6.5	Corporate bonds and debentures	81330			
6.6	Corporate shares	81340			
6.9	Other Canadian investments	81380			
7.	Foreign investments	81400			
8.	Mortgage loans				
8.1	Secured by property in Canada				
8.1.1	Residential	81511			
8.1.2	Non-residential	81516			
8.2	Secured by property outside Canada	81520			
9.	Non-mortgage loans				
9.1	Loans to individuals to purchase consumer goods	81611			
	Other loans (all of item 9 except 9.1)	81680			
10.	Repossessed assets	81710			
11.	Real estate held for income	81720			
12.	Land and depreciable assets	81730			
	Other assets and liabilities (please specify)	81800			
				
				
	Total (should equal sum of items 86, 98, 99 and 103.3)	81000			

SUPPLEMENTARY SCHEDULE B: YEAR-END AND PRIOR YEAR'S ADJUSTMENTS

If this report covers your fourth fiscal quarter and the reported revenues, expenses and capital expenditures include year-end adjustments (e.g. adjustments from preliminary estimates to actual, or year-end accruals not made in the interim financial statements)

OR

If this report includes adjustments through retained earnings pertaining to the prior year's operations (e.g. year-end adjustments of the prior year made after the prior year's fourth quarter report was prepared):

SPECIFY BELOW THE REVENUE, EXPENSE, OR CAPITAL EXPENDITURE ACCOUNTS AFFECTED BY THE ADJUSTMENTS, AND THE AMOUNT OF INCREASE OR DECREASE. Report only adjustments in excess of \$500 thousand.

ITEM DESCRIPTION	ITEM NUMBER	INCREASE	DECREASE
		Thousands of dollars	

Approximately how many hours did you spend collecting the data and completing this questionnaire?

80005		hours
-------	--	-------

For information only