



Unified Enterprise Survey - Annual

2000 Survey of Deposit Accepting Intermediaries: Chartered Banks, Trust Companies, Caisses Populaires and Credit Unions

Collected under the authority of the *Statistics Act*,
Revised Statutes of Canada, 1985, Chapter S19.

Completion of this questionnaire is a legal requirement
under this Act.

This document is confidential when completed.

Si vous préférez recevoir ce questionnaire en français,
veuillez nous appeler sans frais au numéro de téléphone
suivant : 1 888 881-3666.

Correct pre-printed information if necessary using the corresponding boxes below:

0001	Legal name	0004	Number and street		
0002	Business name	0005	City	0006	Province or State
0003	C/O	0053	Country	0007	Postal code/Zip code
0008	First name of contact	0028	Last name of contact		
0052	Please report for:	0010	Language preference 1 <input type="radio"/> English 2 <input type="radio"/> French		

A - Introduction

Survey Purpose


This data will be used as inputs to the National Economic Accounts to calculate GDP by industry and for estimates of productivity of the service activities of the Canadian economy.

Confidentiality

Statistics Canada is prohibited by law from publishing any statistics which would divulge information obtained from this survey that relates to any identifiable business. **The data reported on this questionnaire will be treated in strict confidence**, used for statistical purposes and published in aggregate form only. The confidentiality provisions of the *Statistics Act* are not affected by either the *Access to Information Act* or any other legislation.

Please return the completed questionnaire(s) in the enclosed envelope **within 30 days** of receipt. The questionnaire(s) can also be faxed back to Statistics Canada at **1 888 883-7999**. Thank you.

If you need further information or help, please call 1 888 881-3666.

Name of the primary person completing this questionnaire:		0013	First name		
0026 <input type="radio"/> Mr. <input type="radio"/> Mrs. <input type="radio"/> Miss <input type="radio"/> Ms.		0054	Last name		
Title: 0014	E-mail address: 0018	Web site address: 0020			
Telephone number: 0017 ()	Extension: 0027	Fax number: 0016 ()	Date completed: 0015 YYYY MM DD		
Signature: 			I certify that the information contained herein is complete and correct to the best of my knowledge.		

Coverage

Please complete this questionnaire for the business unit(s) described in the pre-printed area on the front page. "Business unit" refers to the operation(s) described in the pre-printed area. Report only for the business units located in Canada.

B - Reporting Period Information

Reporting Period

Please report information for your **most recent available 12-month fiscal period** ending between January 1, 2000 and March 31, 2001. Please indicate below the period covered by this questionnaire.

1. From ⁰⁰¹¹

YYYY	MM	DD

To ⁰⁰¹²

YYYY	MM	DD

2. Do the dates reported above represent a change in your fiscal year?

⁰⁰⁵⁹ Yes No

3. Were any of the operating units of this business unit temporarily or seasonally inactive during the reporting period?

⁰⁰⁶¹ Yes No

4. Has this business unit acquired any operating units during the reporting period?

⁰⁰⁶⁴ Yes No

5. Has this business unit disposed of/sold any operating units during the reporting period?

⁰⁰⁶⁶ Yes No

6. Type of organization (please check **one** only):

⁰⁰²⁴ 1 Unincorporated sole proprietorship 2 Unincorporated partnership 3 Incorporated company 4 Co-operative

5 Joint venture 6 Government business entity 7 Government 8 Non-profit organization

9 Trust 10 Other (please specify): ⁰⁰²⁵

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7. This report covers (please check **one** only):

⁰¹⁶⁸ 1 A single corporation 2 Part of a corporation (e.g., branch, division, head office)

3 Consolidated family of corporations 4 Other (please specify):

⁰¹⁶⁹

B - Reporting Period Information (concluded)

8. Has this business unit purchased or sold business(es) during the current reporting period, which affects both gross assets or revenues by more than 10%?

0172 Yes No

9. Did this reporting entity participate in any joint venture(s) during the reporting period?

A joint venture refers to a specific commercial undertaking entered into by two or more parties, usually with complementary technology, who agree to contribute the necessary capital and share profits or losses of the project in agreed proportions. The association terminates either upon completion of the undertaking or at a specific time.

0170 Yes → If yes, please go to question 10. No → If no, please go to **Section C - Main Business Activity**.

10. Are detailed revenue and expenses for the business unit's share in the joint venture(s) included in this questionnaire?

0171 Yes No

Joint Venture Activity Information

11. Please provide the name of the joint venture.

0180

12. Is this joint venture:

0190 Incorporated? **If incorporated**, please go to question 13.

Unincorporated? **If unincorporated**, please go to question 14.

13. Canada Customs and Revenue Agency Business Number of joint venture (if incorporated)

0179

14. If it is an unincorporated joint venture, please provide the length of time of the joint venture.

From 0191 YYYY MM DD To 0192 YYYY MM DD

15. Venture partner(s) and their Canada Customs and Revenue Agency Business Number(s) (if incorporated)

	Venture partners	Canada Customs and Revenue Agency Business Number(s) (if incorporated)
16.	0181	0182
17.		
18.		

Note:

If you participated in more than one joint venture please provide the details in the "Respondent Notes" in this questionnaire.

C - Main Business Activity

Please check the **main** activity, at this business unit, which most accurately describes the **principal** source of operating revenue.

Please check **one** only.

1. 522111 ⁰⁹⁰⁰ **Personal and Commercial Banking Industry**

This Canadian industry comprises establishments primarily engaged in accepting deposits from, and issuing loans to, persons or small and medium-sized businesses.

Inclusions:

- deposit accepting mortgage companies (except co-operatives);
- trust companies providing personal and commercial banking services;
- chartered banks providing personal and commercial banking services.

Exclusions:

- local credit unions and caisses populaires locales (522130, Local Credit Unions and caisses populaires locales);
- provincial government savings establishments that channel deposits to the government rather than lending them to customers.

2. 522112 ⁰⁹⁰¹ **Corporate and Institutional Banking Industry**

This Canadian industry comprises establishments primarily engaged in issuing loans to large businesses, governments or other large institutional clients, using funds primarily obtained from related personal and commercial banking establishments. Corporate and institutional banking establishments provide deposit and other services to their clients. Establishments of chartered banks that are primarily engaged in issuing loans to the above-mentioned clients are included.

Inclusion:

- corporate and institutional banking services.

Exclusion: establishments primarily engaged in:

- investment banking (523110, Investment Banking and Securities Dealing).

3. 522130 ⁰⁹⁰² **Local Credit Unions and caisses populaires locales**

This industry comprises establishments of local credit unions and caisses populaires locales primarily engaged in accepting deposits from, and issuing loans to, members. Local credit unions raise funds from members through the sale of shares and the acceptance of deposits.

Inclusion:

- local credit unions and les caisses populaires locales.

4. 522321 ⁰⁹⁴⁰ **Central Credit Unions**

This Canadian industry comprises establishments of centrals, regionals, leagues and federations primarily engaged in providing financial transaction processing; reserve and overnight advances services; cheque or other financial instrument clearing house services; credit card processing; electronic financial payment services; and in accepting deposits from, and issuing loans, to members.

Exclusions:

- automated clearinghouses;
- cheque validation service;
- electronic funds transfer networks (including switching (a.t.m.'s));

and establishments primarily engaged in:

- data processing;
- cheque clearing and other transaction processing of the central bank.

NOTE: Main Business Activity continues on page 5.

C - Main Business Activity (concluded)

5. 522329 0941 **Other Financial Transactions Processing and Clearing House Activities**

This industry comprises establishments primarily engaged in providing cheque or other financial instrument clearing house services; credit card processing; and electronic financial payment services. Examples of establishments in this industry are automated clearing houses and electronic financial payment services.

- Inclusions:**
- Automated clearing houses, bank or cheque (except central bank);
 - ATM network providers;
 - Cheque validation services;
 - Financial transactions processing (except central bank).

- Exclusions:** establishments primarily engaged in:
- data processing;
 - cheque clearing and other transaction processing of the central bank.

6. 523110 0904 **Investment Banking and Securities Dealing**

This industry comprises establishments primarily engaged in acting as principals (investors who buy or sell on their own account), generally on a spread basis, in originating, underwriting or distributing issues of securities of businesses, governments and institutions. Establishments primarily engaged in making markets (dealing or trading) in securities are included.

- Inclusions:**
- government bond underwriters;
 - security underwriters;
 - investment bankers.

- Exclusion:** establishments primarily engaged in:
- buying or selling securities for others on a commission basis.

7. 523990 0905 **All Other Financial Investment Activities**

This industry comprises establishments, not classified to any other industry, primarily engaged in providing, on a contract or fee basis, miscellaneous financial investment services, such as trust, fiduciary and custody services, and other investment services. Establishments, such as note brokers, oil and gas lease brokers and stock transfer agents, fiduciary agencies, (other than real estate), management of personal investment trusts, trustees, financial are included.

8. 0040 **None of the above**

Please describe briefly the nature of your business activity:

0041

Note: If you have responded “None of the above”, please call 1 888 881-3666 for further instructions.

D - Data-sharing Agreements

To avoid duplicating survey activity, Statistics Canada has entered into agreements with provincial and territorial statistical agencies for the sharing of data. This is done in accordance with the federal *Statistics Act* and corresponding provincial and territorial legislation. The data are kept confidential and used for statistical purposes only. **Your responses are not shared with Canada Customs and Revenue Agency (formerly Revenue Canada).** More details on data-sharing are included in this package.

E - Profile of Activities

RESPOND IN THOUSANDS OF CANADIAN DOLLARS													
	1. Net interest income \$'000 CDN	2. Non-interest income \$'000 CDN	3. Provision for credit losses \$'000 CDN	Non-interest expense				8. Profit (loss) of segment \$'000 CDN	Assets			12. Number of regular employees (full-time equivalent)	13. Number of contract employees (full-time equivalent)
				4. Salaries and wages of regular employees \$'000 CDN	5. Salaries and wages of contract employees \$'000 CDN	6. Pension contributions and other employee benefits \$'000 CDN	7. Other non-interest expenses \$'000 CDN		9. Average loans \$'000 CDN	10. Other assets \$'000 CDN	11. Average deposits \$'000 CDN		
	0200	0201	0202	0350	0320	0204	0205	0206	0207	0208	0209	0330	0340
A. Retail Banking (personal and commercial)													
B. Corporate and Institutional Finance	0211	0212	0213	0351	0321	0215	0216	0217	0218	0219	0220	0331	0341
C. Electronic Financial Services	0222	0223	0224	0352	0322	0226	0227	0228	0229	0230	0231	0332	0342
D. Treasury and Investment Banking	0233	0234	0235	0353	0323	0237	0238	0239	0240	0241	0242	0333	0343
E. Fiduciary Services	0244	0245	0246	0314	0324	0248	0249	0250	0251	0252	0253	0334	0344
F. Other Services (please specify:)	0255	0256	0257	0315	0325	0259	0260	0261	0262	0263	0264	0335	0345
0310													
0311	0266	0267	0268	0316	0326	0270	0271	0272	0273	0274	0275	0336	0346
0312	0277	0278	0279	0317	0327	0281	0282	0283	0284	0285	0286	0337	0347
0313	0288	0289	0290	0318	0328	0292	0293	0294	0295	0296	0297	0338	0348
14. Total	0299	0300	0301	0319	0329	0303	0304	0305	0306	0307	0308	0339	0349

Reporting Instructions

When precise figures are not available, your best estimates are acceptable.

1. Report all dollar amounts in THOUSANDS OF CANADIAN DOLLARS (\$'000 CDN).
2. All dollar amounts reported should be rounded to whole dollars (e.g., \$55,417 should be reported as \$55).
3. Percentages should be rounded (e.g., 37.3% to 37%, 75.8% to 76%).
4. Please report on a consolidated basis for your operations located in Canada. This includes the activities of your brokerage subsidiaries.
5. A reporting guide is included with the survey for your reference.
6. Please write clearly in ink.
7. This survey questionnaire can be faxed back to Statistics Canada at 1 888 883-7999.

Statistics Canada advises you that there could be a risk of disclosure during the facsimile transmission. However, upon receipt of your facsimile, Statistics Canada will provide the guaranteed level of protection afforded all information collected under the authority of the *Statistics Act*.

F - Events That May Have Affected Your Business Unit

1. Compared to **last fiscal year**, were there any events that may have **significantly affected the reported values** for this business unit?

- 9929 Yes → **If yes**, please go to question 2.
 No → **If no**, please go to **Section G - Comments**.

2. Please check the box(es) that best reflect this change.

- | | |
|---|---|
| 9930 <input type="radio"/> Longer scheduled work week | 9931 <input type="radio"/> Shorter scheduled work week |
| 9932 <input type="radio"/> Increase in business | 9933 <input type="radio"/> Decrease in business |
| 9934 <input type="radio"/> More overtime | 9935 <input type="radio"/> Less overtime |
| 9936 <input type="radio"/> Foreign exchange | 9937 <input type="radio"/> Merger or acquisition |
| 9938 <input type="radio"/> Layoffs | 9939 <input type="radio"/> Increase in hiring |
| 9940 <input type="radio"/> Temporary shutdown | 9941 <input type="radio"/> Permanent shutdown |
| 9942 <input type="radio"/> Strike | 9943 <input type="radio"/> Weather |
| 9944 <input type="radio"/> Change in supplier | 9945 <input type="radio"/> Change in product line |
| 9946 <input type="radio"/> Price changes, goods or services sold (output) | 9947 <input type="radio"/> Price changes, labour or raw materials (input) |
| 9948 <input type="radio"/> Changes in industry regulation | 9949 <input type="radio"/> Changes in government taxes |
| | 9952 <input type="radio"/> Natural disaster |

3. 9950 Other (please specify):

9951

G - Comments

1. How long did you spend collecting the data and completing this form?

9910

hours

2. **Comments?**

We invite your comments on the following topics or any others related to our business survey program. We appreciate your assistance.

- questionnaire content
- new questions of interest to your industry
- questionnaire language
- use of business terminology
- clarity of questions (e.g., definitions, examples of inclusions and exclusions, code sheets, instruction sheets, reporting guides)
- order and flow of questions
- timing of receipt of questionnaire and the period given for response
- other sources of data to further reduce response burden
- potential for electronic data reporting
- general (non-proprietary) business software packages in use

9920

Lost the return envelope?
Please telephone 1 888 881-3666 OR fax the questionnaire back to us at 1 888 883-7999
OR
mail your questionnaire to **Statistics Canada, Operations and Integration Division,**
120 Parkdale Ave., Ottawa, Ontario K1A 0T6



Thank you for your co-operation

Statistics Canada's publications are available for use
in Statistics Canada's regional offices and all major libraries.
As well, please visit our Web site at www.statcan.ca