

Unified Enterprise Survey - Annual

2004 Survey of Deposit-accepting Intermediaries: Chartered Banks, Trust Companies, Caisses Populaires and Credit Unions

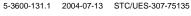
Collected under the authority of the Statistics Act, Revised Statutes of Canada, 1985, Chapter S19.

Completion of this questionnaire is a legal requirement under this Act. This document is confidential when completed.

Si vous préférez recevoir ce questionnaire en français, veuillez nous téléphoner au numéro sans frais suivant : 1 888 881-3666.

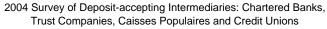


Pleas	se correct pre-p	orinted information, if necessary, using the co	orresp	onding boxes belo	ow:					
0001	Legal name		0004	Address						
0002	Business name		0005	City		0006	Province/Territory or State			
0003	C/O		0053	Country		0007	Postal code/ Zip code			
0028	Last name of contact		0008	First name of contact						
0052	Please report for		0010	Language preference	¹ Engl	ish	² French			
A -	Introduct	tion	_							
Thes Acco produ For f	unts to calculuctivity of the urther details,	used as inputs to the National Economic ate GDP by industry and for estimates of service activities of the Canadian economy please consult the enclosed booklet entitle Business Surveys.		Confidentiality Statistics Canada is prohibited by law from publishing any statistics which would divulge information obtained from this survey that relates to any identifiable business. The data reported on this questionnaire will be treated in strict confidence. For further details, please consult the enclosed booklet entitled Statistics Canada Business Surveys.						
Cove	erage			Return of Questionnaire(s)						
Please report for the business units identified above. Include only the operations located in Canada.				Please return the completed questionnaire(s) to Statistics Canada within 30 days of receipt by mail, using the enclosed envelope. You can also fax it at 1 888 883-7999. Lost the return envelope, need help or would like to register to complete your questionnaire(s) electronically? Call us at 1 888 881-3666.						
Data	-sharing Agr	eements		Fax or Other E	lectronic Transmi	ssior	n Disclosure			
and t data Your Ager	erritorial statis are kept confi responses ancy. For furth	has entered into agreements with provincial agencies for the sharing of data. The idential and used for statistical purposes on the not shared with Canada Revenue er details, please consult the enclosed boo Canada Business Surveys.	ly.	during facsimile Statistics Canad	or electronic transi da will provide the g	missi guara	e could be a risk of dis on. However, upon re nteed level of protecti thority of the <i>Statistics</i>	ceipt, on afforded		
0026		arily responsible for completing this e, if different from above:	0017	Telephone number						
1	Mr. ²	\square Mrs. 3 \square Miss 4 \square Ms	0027	Extension						
0054	Last name		0016	Fax number						
0013	First name		0020	Web site address						
0014	Title		0018	E-mail address						
			_			_				





Statistics Statistique Canada Canada





В-	s - Reporting Period Information						
			12-month fiscal per elow the period cove		veen April 1, 2004 ai stionnaire.	nd	
		YYYY	MM	DD	YYYY	MM	DD
1.	From 001	11		То	0012		
2.	If you did not	t operate this bu	siness unit for a full y	ear, please che	eck the reason(s) bel	ow:	
				ange of 4 cal Year	Change of ⁵ Ownership	Ceased ⁶ Operations	Temporarily Inactive
3.	Please indicathis fiscal year	-	hange that may have	e occurred in the	e organization of this	business unit duri	ng
	0047 1	Acquired New	Business Units		² Disposed of/S	old Business Units	i
4.	Type of orga	nization (please	check <u>one</u> only):				
	⁰⁰²⁴ ¹ Un	incorporated sol	e proprietorship	² Uninco	rporated partnership	³ Incorpora	ated company
	⁴	o-operative		⁵ Joint ve	enture	⁶ ☐ Governn	nent business entity
	⁷	overnment		⁸ Non-pr	ofit organization	⁹ Trust	
	10 Otl	her (please spec	oify): 0025				
5.	This report c	overs (please ch	eck <u>one</u> only):				
	⁰¹⁶⁸ ¹ A s	single corporatio	n	² Part of	a corporation (e.g., b	oranch, division, he	ead office)
	³ ☐ Co	nsolidated famil	y of corporations	⁴ ☐ Other (please specify):		
			0169	FOI			
6.		iness unit purcharence		s(es) during the	current reporting pe	riod, which affects	either gross
	⁰¹⁷² 1 Y	es ³□	No E	RM		N	
7.	Did this busin	ness unit particip	oate in any joint vent	ure(s) during the	e reporting period?		
	complements undertaking or at a specif	ary technology, vin agreed propor fic time.	who agree to contrib	ute the necessa	ed into by two or mor ry capital and share ther upon completion – Please go to Se	profits or losses of n of the undertaking	the
_		- 116436	go to question o.		- Thease go to Ge	ction o.	
8.	Are detailed	revenue and exp	penses for the busine	ess unit's share	in the joint venture(s	s) included in this q	uestionnaire?
	⁰¹⁷¹ 1 Y	es 3	No				
C -	Main Busi	iness Activi	ty				
Plea	se check one	main activity, at	this business unit, w	hich most accu	rately describes the	principal source of	of operating revenue.
1.	522111 0900	Personal and	Commercial Banki	ing Industry			
			comprises establishn to, persons or small		engaged in accepting zed businesses.	g deposits from, an	d
		Includes:	trust companies p	roviding person	panies (except co-op al and commercial ba al and commercial b	anking services;	
		Excludes:		·-	pulaires locales (522	2130, Local Credit	Unions and caisses
			populaires locales provincial governr than lending them	nent savings es	tablishments that cha	annel deposits to tl	ne government rather
					NOTE: Main E	Business Activity	continues on page 3.

C - Main Business Activity (continued) **2.** 522112 0901 **Corporate and Institutional Banking Industry** This industry comprises establishments primarily engaged in issuing loans to large businesses, governments or other large institutional clients, using funds primarily obtained from related personal and commercial banking establishments. Corporate and institutional banking establishments provide deposit and other services to their clients. Establishments of chartered banks that are primarily engaged in issuing loans to the above-mentioned clients are included. Includes: corporate and institutional banking services. **Excludes:** establishments primarily engaged in investment banking (523110, Investment Banking and Securities Dealing). **3.** 522130 0902 Local Credit Unions and caisses populaires locales This industry comprises the establishments of local credit unions and caisses populaires locales primarily engaged in accepting deposits from, and issuing loans to, members. Local credit unions raise funds from members through the sale of shares and the acceptance of deposits. Includes: local credit unions and caisses populaires locales. **4.** 522321 0940 [**Central Credit Unions** This industry comprises the establishments of credit union centrals, regionals, leagues and federations primarily engaged in providing financial transaction processing; reserve and overnight advance services; cheque or other financial instrument clearing house services; credit card processing; electronic financial payment services; and in accepting deposits from, and issuing loans, to members. Excludes: automated clearing houses; cheque validation service; electronic funds transfer networks (including switching (a.t.m.'s)); establishments primarily engaged in data processing or in cheque clearing and other transaction processing of the central bank. **5**. 522329 0941 Other Financial Transactions Processing and Clearing House Activities This industry comprises establishments primarily engaged in providing cheque or other financial instrument clearing house services; credit card processing; and electronic financial payment services. Examples of establishments in this industry are automated clearing houses and electronic financial payment services. Includes: automated clearing houses (bank or cheque), except central banks; ATM network providers; cheque validation services financial transactions processing, except central banks. establishments primarily engaged in data processing or in cheque clearing and other **Excludes:** transaction processing of the central bank. **6.** 523110 0904 □ **Investment Banking and Securities Dealing** This industry comprises establishments primarily engaged in acting as principals (investors who buy or sell on their own account), generally on a spread basis, in originating, underwriting or distributing issues of securities of businesses, governments and institutions. Establishments primarily engaged in making markets (dealing or trading) in securities are included. Includes: government bond underwriters; security underwriters; investment bankers. Excludes: establishments primarily engaged in buying or selling securities for others on a commission basis. **7.** 523990 0905 **All Other Financial Investment Activities** This industry comprises establishments, not classified to any other industry, primarily engaged in providing, on a contract or fee basis, miscellaneous financial investment services, such as trust, fiduciary and custody services, and other investment services. Establishments, such as note brokers, oil and gas lease brokers and stock transfer agents, fiduciary agencies, (other than real estate), management of personal investment trusts and financial trustees are included. 0040 None of the above 8. Please list the main activities of this business unit and indicate the estimated percentage of total operating revenue associated with each one: 0041 If you have responded "None of the above", please call 1 888 881-3666 for further instructions. Note:

D - Profile of activities

					RESPOND IN TH	OUSANDS OF CAN	NADIAN DOLLARS	3					
					Non-int	erest expense			A	ssets			
	1.	2.	3.	4.	5.	6.	7.	8.	9.	10.	11.	12.	13.
Business Segment	Net interest income	Non-interest income	Provision for credit losses	Salaries and wages of regular employees	Salaries and wages of contract employees	Pension contributions and other employed benefits	expenses	Profit (loss) of segment \$'000 CDN	Average loans	Other assets	Average deposits	Number of regular employees (full-time equivalent)	Number of contract employees (full-time equivalent)
	00200	00201	00202	00350	00320	00204	00205	00206	00207	00208	00209	00330	00340
A. Retail Banking (personal and commercial)													
	00211	00212	00213	00351	00321	00215	00216	00217	00218	00219	00220	00331	00341
B. Corporate and Institutional Finance													
	00222	00223	00224	00352	00322	00226	00227	00228	00229	00230	00231	00332	00342
C. Electronic Financial Services													
	00233	00234	00235	00353	00323	00237	00238	00239	00240	00241	00242	00333	00343
D. Treasury and Investment Banking													
-	00244	00245	00246	00314	00324	00248	00249	00250	00251	00252	00253	00334	00344
E. Fiduciary Services					l d	R							
	00255	00256	00257	00315	00325	00259	00260	00261	00262	00263	00264	00335	00345
F. Other Services (please specify):				IN	JFOR	ATI	ON						
	00266	00267	00268	00316	00326	00270	00271	00272	00273	00274	00275	00336	00346
00311						Y							
	00277	00278	00279	00317	00327	00281	00282	00283	00284	00285	00286	00337	00347
00312													
	00288	00289	00290	00318	00328	00292	00293	00294	00295	00296	00297	00338	00348
00313													
	00299	00300	00301	00319	00329	00303	00304	00305	00306	00307	00308	00339	00349
14. Total													

Reporting Instructions

- Report all dollar amounts in THOUSANDS OF CANADIAN DOLLARS (\$'000 CDN).
- Dollar amounts and percentages should be rounded to whole numbers.
- Please report on a consolidated basis for your operations located in Canada only. This includes the activities of your brokerage subsidiaries.
- When precise figures are not available, please provide your best estimates.
- Please print in ink.
- A reporting guide is included with the survey for your reference.
- This survey questionnaire can be faxed back to Statistics Canada at 1 888 883-7999.

Statistics Canada advises you that there could be a risk of disclosure during the facsimile or other electronic transmission. However, upon receipt, Statistics Canada will provide the guaranteed level of protection afforded all information collected under the authority of the Statistics Act.

E -	Events that may have affected your Business Unit
1.	Compared to last fiscal year , were there any events that may have significantly affected the reported values for this business unit? Please specify:
	9965
	9968
	9969
F-	Comments
1.	How long did you spend collecting the data and completing this questionnaire? Hour(s) Minutes
2.	We invite your comments below. If necessary, please attach a separate page. Please be assured that we review all comments with the intent of improving the survey.
	9920
	9913
	FOR FOR
	9915 INFORMATION
Sign	ONLY NATURE: ONLY YYYY MM DD O015
Sigi	I certify that the information contained herein is complete and correct to the best of my knowledge.
	Thank you for your co-operation.

9919	
	FOR
	INFORMATION
	ONLY

Respondent Notes	
	FOD
	FOR
	INFORMATION
	ONLY