



Unified Enterprise Survey – Annual  
**2004 Survey of Deposit-accepting Intermediaries: Chartered Banks, Trust Companies, Caisses Populaires and Credit Unions**

Collected under the authority of the *Statistics Act, Revised Statutes of Canada, 1985, Chapter S19.*

Completion of this questionnaire is a legal requirement under this Act. This document is confidential when completed.

Si vous préférez recevoir ce questionnaire en français, veuillez nous téléphoner au numéro sans frais suivant : 1 888 881-3666.

Please correct pre-printed information, **if necessary**, using the corresponding boxes below:



0001	Legal name		0004	Address			
0002	Business name		0005	City		0006	Province/Territory or State
0003	C/O		0053	Country		0007	Postal code/ Zip code
0028	Last name of contact		0008	First name of contact			
0052	Please report for		0010	Language preference	1 <input type="checkbox"/> English      2 <input type="checkbox"/> French		

**A - Introduction**

**Survey Purpose**

These data will be used as inputs to the National Economic Accounts to calculate GDP by industry and for estimates of productivity of the service activities of the Canadian economy. For further details, please consult the enclosed booklet entitled *Statistics Canada Business Surveys*.

**Confidentiality**

Statistics Canada is prohibited by law from publishing any statistics which would divulge information obtained from this survey that relates to any identifiable business. The data reported on this questionnaire will be treated in strict confidence. For further details, please consult the enclosed booklet entitled *Statistics Canada Business Surveys*.

**Coverage**

Please report for the business units identified above. **Include only the operations located in Canada.**

**Return of Questionnaire(s)**

Please return the completed questionnaire(s) to Statistics Canada **within 30 days of receipt** by mail, using the enclosed envelope. You can also fax it at **1 888 883-7999**. Lost the return envelope, need help or would like to register to complete your questionnaire(s) electronically? Call us at **1 888 881-3666**.

**Data-sharing Agreements**

Statistics Canada has entered into agreements with provincial and territorial statistical agencies for the sharing of data. The data are kept confidential and used for statistical purposes only. **Your responses are not shared with Canada Revenue Agency.** For further details, please consult the enclosed booklet entitled *Statistics Canada Business Surveys*.

**Fax or Other Electronic Transmission Disclosure**

Statistics Canada advises you that there could be a risk of disclosure during facsimile or electronic transmission. However, upon receipt, Statistics Canada will provide the guaranteed level of protection afforded to all information collected under the authority of the *Statistics Act*.

0026	Person primarily responsible for completing this questionnaire, if different from above: 1 <input type="checkbox"/> Mr.    2 <input type="checkbox"/> Mrs.    3 <input type="checkbox"/> Miss    4 <input type="checkbox"/> Ms	0017	Telephone number	
0054	Last name	0027	Extension	
0013	First name	0016	Fax number	
0014	Title	0020	Web site address	
		0018	E-mail address	

## B - Reporting Period Information

Please report information for **your 12-month fiscal period** ending between April 1, 2004 and March 31, 2005. Please indicate below the period covered by this questionnaire.

1. **From** <sup>0011</sup>    **To** <sup>0012</sup>

2. If you did not operate this business unit for a full year, please check the reason(s) below:

<sup>0031</sup> 1  Seasonal Operation    2  New Business    3  Change of Fiscal Year    4  Change of Ownership    5  Ceased Operations    6  Temporarily Inactive

3. Please indicate below, any change that may have occurred in the organization of this business unit during this fiscal year:

<sup>0047</sup> 1  Acquired New Business Units    2  Disposed of/Sold Business Units

4. Type of organization (please check **one** only):

<sup>0024</sup> 1  Unincorporated sole proprietorship    2  Unincorporated partnership    3  Incorporated company  
4  Co-operative    5  Joint venture    6  Government business entity  
7  Government    8  Non-profit organization    9  Trust  
10  Other (please specify): <sup>0025</sup> \_\_\_\_\_

5. This report covers (please check **one** only):

<sup>0168</sup> 1  A single corporation    2  Part of a corporation (e.g., branch, division, head office)  
3  Consolidated family of corporations    4  Other (please specify):  
<sup>0169</sup> \_\_\_\_\_

6. Has this business unit purchased or sold business(es) during the current reporting period, which affects either gross assets or revenues by more than 10%?

<sup>0172</sup> 1  **Yes**    3  **No**

7. Did this business unit participate in any joint venture(s) during the reporting period?

A joint venture refers to a specific commercial undertaking entered into by two or more parties, usually with complementary technology, who agree to contribute the necessary capital and share profits or losses of the undertaking in agreed proportions. The association terminates either upon completion of the undertaking or at a specific time.

<sup>0170</sup> 1  **Yes** - Please go to question 8.    3  **No** - Please go to **Section C**.

8. Are detailed revenue and expenses for the business unit's share in the joint venture(s) included in this questionnaire?

<sup>0171</sup> 1  **Yes**    3  **No**

## C - Main Business Activity

Please check one **main** activity, at this business unit, which most accurately describes the **principal** source of operating revenue.

1. 522111 <sup>0900</sup> **Personal and Commercial Banking Industry**

This industry comprises establishments primarily engaged in accepting deposits from, and issuing loans to, persons or small and medium-sized businesses.

**Includes:** deposit-accepting mortgage companies (except co-operatives);  
trust companies providing personal and commercial banking services;  
chartered banks providing personal and commercial banking services.

**Excludes:** local credit unions and caisses populaires locales (522130, Local Credit Unions and caisses populaires locales);  
provincial government savings establishments that channel deposits to the government rather than lending them to customers.

**NOTE: Main Business Activity continues on page 3.**

## C - Main Business Activity (continued)

2. 522112 <sup>0901</sup>  **Corporate and Institutional Banking Industry**  
This industry comprises establishments primarily engaged in issuing loans to large businesses, governments or other large institutional clients, using funds primarily obtained from related personal and commercial banking establishments. Corporate and institutional banking establishments provide deposit and other services to their clients. Establishments of chartered banks that are primarily engaged in issuing loans to the above-mentioned clients are included.  
**Includes:** corporate and institutional banking services.  
**Excludes:** establishments primarily engaged in investment banking (523110, Investment Banking and Securities Dealing).
3. 522130 <sup>0902</sup>  **Local Credit Unions and caisses populaires locales**  
This industry comprises the establishments of local credit unions and caisses populaires locales primarily engaged in accepting deposits from, and issuing loans to, members. Local credit unions raise funds from members through the sale of shares and the acceptance of deposits.  
**Includes:** local credit unions and caisses populaires locales.
4. 522321 <sup>0940</sup>  **Central Credit Unions**  
This industry comprises the establishments of credit union centrals, regionals, leagues and federations primarily engaged in providing financial transaction processing; reserve and overnight advance services; cheque or other financial instrument clearing house services; credit card processing; electronic financial payment services; and in accepting deposits from, and issuing loans, to members.  
**Excludes:** automated clearing houses;  
cheque validation service;  
electronic funds transfer networks (including switching (a.t.m.'s));  
establishments primarily engaged in data processing or in cheque clearing and other transaction processing of the central bank.
5. 522329 <sup>0941</sup>  **Other Financial Transactions Processing and Clearing House Activities**  
This industry comprises establishments primarily engaged in providing cheque or other financial instrument clearing house services; credit card processing; and electronic financial payment services. Examples of establishments in this industry are automated clearing houses and electronic financial payment services.  
**Includes:** automated clearing houses (bank or cheque), except central banks;  
ATM network providers;  
cheque validation services;  
financial transactions processing, except central banks.  
**Excludes:** establishments primarily engaged in data processing or in cheque clearing and other transaction processing of the central bank.
6. 523110 <sup>0904</sup>  **Investment Banking and Securities Dealing**  
This industry comprises establishments primarily engaged in acting as principals (investors who buy or sell on their own account), generally on a spread basis, in originating, underwriting or distributing issues of securities of businesses, governments and institutions. Establishments primarily engaged in making markets (dealing or trading) in securities are included.  
**Includes:** government bond underwriters;  
security underwriters;  
investment bankers.  
**Excludes:** establishments primarily engaged in buying or selling securities for others on a commission basis.
7. 523990 <sup>0905</sup>  **All Other Financial Investment Activities**  
This industry comprises establishments, not classified to any other industry, primarily engaged in providing, on a contract or fee basis, miscellaneous financial investment services, such as trust, fiduciary and custody services, and other investment services. Establishments, such as note brokers, oil and gas lease brokers and stock transfer agents, fiduciary agencies, (other than real estate), management of personal investment trusts and financial trustees are included.
8. <sup>0040</sup>  **None of the above**  
Please list the main activities of this business unit and indicate the estimated percentage of total operating revenue associated with each one:  
<sub>0041</sub> \_\_\_\_\_

**Note:** If you have responded “None of the above”, please call **1 888 881-3666** for further instructions.

**D - Profile of activities**

Business Segment	RESPOND IN THOUSANDS OF CANADIAN DOLLARS													12. Number of regular employees (full-time equivalent)	13. Number of contract employees (full-time equivalent)
	1. Net interest income \$'000 CDN	2. Non-interest income \$'000 CDN	3. Provision for credit losses \$'000 CDN	Non-interest expense			8. Profit (loss) of segment \$'000 CDN	Assets		11. Average deposits \$'000 CDN					
				4. Salaries and wages of regular employees \$'000 CDN	5. Salaries and wages of contract employees \$'000 CDN	6. Pension contributions and other employee benefits \$'000 CDN		7. Other non-interest expenses \$'000 CDN	9. Average loans \$'000 CDN		10. Other assets \$'000 CDN				
A. Retail Banking (personal and commercial)	00200	00201	00202	00350	00320		00204	00205	00206	00207	00208	00209	00330	00340	
B. Corporate and Institutional Finance	00211	00212	00213	00351	00321		00215	00216	00217	00218	00219	00220	00331	00341	
C. Electronic Financial Services	00222	00223	00224	00352	00322		00226	00227	00228	00229	00230	00231	00332	00342	
D. Treasury and Investment Banking	00233	00234	00235	00353	00323		00237	00238	00239	00240	00241	00242	00333	00343	
E. Fiduciary Services	00244	00245	00246	00314	00324		00248	00249	00250	00251	00252	00253	00334	00344	
F. Other Services (please specify):	00255	00256	00257	00315	00325		00259	00260	00261	00262	00263	00264	00335	00345	
00310															
00311	00266	00267	00268	00316	00326		00270	00271	00272	00273	00274	00275	00336	00346	
00312	00277	00278	00279	00317	00327		00281	00282	00283	00284	00285	00286	00337	00347	
00313	00288	00289	00290	00318	00328		00292	00293	00294	00295	00296	00297	00338	00348	
14. Total	00299	00300	00301	00319	00329		00303	00304	00305	00306	00307	00308	00339	00349	

FOR INFORMATION ONLY

**Reporting Instructions**

- Report all dollar amounts in THOUSANDS OF CANADIAN DOLLARS (\$'000 CDN).
- Dollar amounts and percentages should be rounded to whole numbers.
- Please report on a consolidated basis for your operations located in Canada only. This includes the activities of your brokerage subsidiaries.
- **When precise figures are not available, please provide your best estimates.**
- Please print in ink.
- A reporting guide is included with the survey for your reference.
- This survey questionnaire can be faxed back to Statistics Canada at **1 888 883-7999**.

Statistics Canada advises you that there could be a risk of disclosure during the facsimile or other electronic transmission. However, upon receipt, Statistics Canada will provide the guaranteed level of protection afforded all information collected under the authority of the *Statistics Act*.

**E - Events that may have affected your Business Unit**

1. Compared to **last fiscal year**, were there any events that may have **significantly affected the reported values** for this business unit? Please specify:

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**F - Comments**

1. How long did you spend collecting the data and completing this questionnaire? Hour(s)  Minutes   
9910  9909

2. We invite your comments below. If necessary, please attach a separate page. Please be assured that we review all comments with the intent of improving the survey.

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FOR  
INFORMATION  
ONLY

Signature: \_\_\_\_\_

I certify that the information contained herein is complete and correct to the best of my knowledge.

0015  YYYY  MM  DD

**Thank you for your co-operation.**



