

### GOVERNMENT AND CANADA'S 39<sup>th</sup> Parliament: Questions and Answers

Brian O'Neal Political and Social Affairs Division

Peter Niemczak
James Robertson
Michael Rowland
Tim Schobert
Margaret Young
Law and Government Division

**7 December 2005** 

PARLIAMENTARY INFORMATION AND RESEARCH SERVICE SERVICE D'INFORMATION ET DE RECHERCHE PARLEMENTAIRES The Parliamentary Information and Research Service of the Library of Parliament works exclusively for Parliament, conducting research and providing information for Committees and Members of the Senate and the House of Commons. This service is extended without partisan bias in such forms as Reports, Background Papers and Issue Reviews. Analysts in the Service are also available for personal consultation in their respective fields of expertise.

CE DOCUMENT EST AUSSI PUBLIÉ EN FRANÇAIS

### TABLE OF CONTENTS

	Page
INTRODUCTION	1
ELECTION RESULTS	1
A. When will the results of the 23 January 2006 federal election be known?	1
B. What is the relevance of the date set for the "return of the writs"?	
C. What date is set for the return of the writs following the 23 January 2006 federal election?	2
KEY PEOPLE	2
A. The Governor General	2
1. What are the powers and functions of the Governor General?	2
2. Who is the current Governor General and when was she appointed? When does her term end? Can she be reappointed? Can she stay in office?	3
3. What is the power of the Governor General regarding	3
a dissolution of Parliament and calling an election?	3
4. What power does the Governor General possess to ask a party leader to form a government?	
5. What are the rights or powers of the Governor General to dismiss a Prime Minister/government	,
or to replace a Prime Minister/government?	4
6. How much discretion does the Governor General have to ask a leader to form a government and to interpret election results?	4
7. Must the Governor General accept the advice tendered by the duly appointed Prime Minister	
(regarding dissolution, forming a government, etc.)?	5
8. What options are available to the Governor General in the event that a second dissolution is requested	E
before the House of Commons meets?	
<ul><li>9. What was the King-Byng Affair? Is it relevant today?</li><li>10. What happened in the Ontario provincial election in 1985?</li></ul>	0
What did the Lieutenant Governor do in that case?	7
B. The Prime Minister	8
1. When does a Prime Minister cease to hold office?	8
2. Must a Prime Minister resign?  Can he or she be replaced without submitting	
a resignation (i.e., dismissed)?	8

	Page
3. What happens if a Prime Minister dies or is incapacitated while in office?	. 8
Must the Governor General accept	. 0
a resignation from a Prime Minister?	. 9
5. Who were Canada's shortest-serving Prime Ministers?	. 9
C. Ministers	. 9
1. When do existing Ministers cease to hold office?	
When do new Ministers assume office?	
2. What happens if an existing Minister is defeated?	. 10
3. Do Ministers need to be members of the House of Commons or Senate?	. 10
4. Can Senators be appointed to sit in Cabinet?	
5. What happens if a government has few or	. 10
no MPs from a particular region or province?	. 10
D. Members of Parliament	
When do Members of Parliament cease being Members?	
When do MPs assume office after an election?	
3. When do they begin receiving allowances and services?	
s. When do they begin receiving anowances and services.	
GOVERNMENT	. 11
A. Public Finances	. 11
How does the federal government finance itself     during and after an election period?	. 11
2. What are Governor General's Special Warrants?	
Are there any restrictions or limitations on their use?	. 12
3. What happens if Parliament is dissolved when	
Supplementary Estimates are before the House	12
and have not been adopted?	
B. Forming a Government	. 14
1. If a government in office is re-elected – obtains a majority of seats in the election – does it remain in office, or does the leader	
have to be invited to form a government?	. 14
2. If there is no clear majority, which party is entitled to be asked to	
form a government first – the party with the most seats in	
the House of Commons, or the incumbent party?	. 14
3. If there is no clear majority and the government resigns,	1 4
how is the Prime Minister determined?	. 14
4. What happens if two parties have an equal number of seats in the Commons?	. 15
equal number of seuts in the commons.	. 13

	Page
5. In a case where no party has a majority, what options are there for parties to govern?	15
<ol><li>Does a government (Cabinet) have full and complete authority during an election,</li></ol>	
before a new government is sworn in?	
7. How soon after an election does a new government take office?	
C. Majority Government	18
1. What constitutes a majority government?	18
2. If the Speaker is from the government side, is he/she included in the majority? Can he/she vote?	18
D. Minority Government	19
1. What is a minority government?	19
2. What types of arrangements are possible for political parties to work together? What are the differences between them? What precedents exist?	19
3. What is Canada's experience with minority governments?	20
4. How long can a minority government stay in office?	21
5. When can a minority government request a dissolution of Parliament to seek a new mandate?	21
6. Is it necessary for a minority government to have the formal support of smaller parties in order to govern?	21
7. Are there any special rules regarding a minority government?	21
E. The Confidence Convention	21
1. What is the "confidence convention"?	21
2. What is a vote of confidence?	22
3. Who decides whether a particular vote is one of confidence?	22
4. Can a government be defeated in the House of Commons without losing the confidence of the House?	22
5. What is the status of the government following the loss of a vote in the Senate?	23
6. When can Canadians expect to see the first confidence vote in a new Parliament?	23
PARLIAMENT	23
A. Dissolution	23
1. What is the status of Members of the	
House of Commons following dissolution?	23

### LIBRARY OF PARLIAMENT BIBLIOTHÈQUE DU PARLEMENT

iv

1	Page
2. What salaries, allowances and services are Members of Parliament entitled to during a dissolution?	25
B. Bringing Parliament Back	26
1. Who decides when a new Parliament begins?	26
2. When is Parliament due to come back, following the January 2006 election?	26
3. Could a government (especially a minority government) delay bringing Parliament back, and meeting the House of Commons?	26
4. What constitutional limits are there on when Parliament must meet?	27
5. What practical limits are there on when Parliament must meet?	27
6. Would a delay in the return of the House of Commons affect Members' salaries, allowances or benefits?	27
C. The New House of Commons	27
What Parliament and session will be starting     after the January 2006 election?	27
2. When do committees of the House and the Senate get started? How?	27
3. How is the Official Opposition chosen? What happens if the two opposition parties end up with the same number of seats, as occurred in 1996?	28
D. The Speaker of the House of Commons	29
When is the Speaker of the House of Commons elected?	29
<ul><li>2. Must the Speaker come from the government side?</li><li>3. What happens if the Speaker is not re-elected</li></ul>	29
to the House of Commons?	29
E. The Senate	29
How does a minority government affect the operation of the Senate?	29
2. Must the Opposition in the Senate be the same as the Official Opposition in the House of Commons?	30
3. How is the Leader of the Opposition in the Senate selected?	30
F. The Speaker of the Senate	30
1. When is the Speaker of the Senate appointed?	30
2. Must the Speaker come from the government side?	31



### LIBRARY OF PARLIAMENT BIBLIOTHÈQUE DU PARLEMENT

## GOVERNMENT AND CANADA'S 39<sup>TH</sup> PARLIAMENT: QUESTIONS AND ANSWERS<sup>(1)</sup>

### INTRODUCTION

This document consists of a series of questions and answers on key issues that concern, in general, the transition from one Parliament to the next<sup>(2)</sup> and, in particular, the transition from the 38<sup>th</sup> to the 39<sup>th</sup> Parliament – for example, the matter of when MPs assume office and when a new Parliament begins. It explains how parliamentary and government activities are affected during an election period and describes the situation of various key political players – the Governor General, the Prime Minister, ministers and MPs – between the dissolution of one Parliament and the beginning of the next.

One issue of particular interest is that of minority government, a scenario that may well transpire again following the general election of 23 January 2006. This document considers the constitutional, conventional, and practical implications of a minority government.

### **ELECTION RESULTS**

### A. When will the results of the 23 January 2006 federal election be known?

- *Informally*: Results are usually available on voting day (or the next day, depending on the hour the polls close in the region). Immediately after the polls close, votes are counted and the deputy returning officer for each poll in the riding announces the total.
- Officially: There are several steps before the election of a candidate is official. The returning officer for each riding validates the results for that riding within 7 days of voting day (subject to adjournments if all the ballot boxes have not been received); the winner is declared on the 7<sup>th</sup> day following the validation when the returning officer fills in the information on the back of the writ. This is called "completing the return of the writ." All of the materials are then sent to the Chief Electoral Officer (CEO).

<sup>(1)</sup> This document draws upon, and updates, a similar publication that was produced for the transition from the 37<sup>th</sup> to the 38<sup>th</sup> Parliament. Michael Dewing, Mollie Dunsmuir and Megan Furi also contributed to that original document.

<sup>(2)</sup> There is also a list of Frequently Asked Questions on the Elections Canada Web site: <a href="http://www.elections.ca/content.asp?section=faq&document=faqfull&lang=e&textonly=false">http://www.elections.ca/content.asp?section=faq&document=faqfull&lang=e&textonly=false</a>.

The election of an MP becomes official once the CEO publishes a notice to that effect in the *Canada Gazette* and sends a letter of confirmation to the Clerk of the House of Commons.

If there is a tie, or if the leaders are separated by less than one one-thousandth (0.1%) of the total votes cast, a judicial recount is mandatory. In addition, any voter may ask a judge to conduct a judicial recount. The voter must post a deposit of \$250 and swear an affidavit that there were improprieties in some aspect of the procedures.

If there is a tie vote after a judicial recount, a by-election is required.

#### B. What is the relevance of the date set for the "return of the writs"?

The date of the return of the writs is the date on which all materials from all returning officers must be received by the CEO. In practice, the date of receipt will vary, so early returns are deemed to be received on the date set. (If a judicial recount has been delayed, a substitute return can be made after that date if the recount changes the result.)

Except in the case of a real or apprehended war, invasion or insurrection, the House of Commons may not continue for five years beyond the return of the writs of a general election (see the *Constitution Act*, 1982). See also the section on Government, part A, question 2, below.

### C. What date is set for the return of the writs following the 23 January 2006 federal election?

By proclamation dated 29 November 2005 (SI/2005-131), the writs are "to be returnable to the Chief Electoral Officer on February 13, 2006."

#### **KEY PEOPLE**

#### A. The Governor General

### 1. What are the powers and functions of the Governor General?

The Governor General represents the Queen, who is Canada's head of state. (The Prime Minister is the head of government.) The Governor General is appointed by the Queen, on the personal recommendation of the Canadian Prime Minister. Since the early 1950s, only Canadians have been appointed to the office. The manner of appointment of the Governor

General is not mentioned in the Constitution, and is made through a commission granted under the Great Seal of Canada. The office of the Governor General is actually constituted by royal Letters Patent, the latest of which date from 1947 and were issued by King George VI.<sup>(3)</sup>

Under the Canadian Constitution, the Governor General possesses enormous powers; but, by convention, these are exercised only on the advice of the Prime Minister and Cabinet. In a constitutional monarchy, except in rare cases – usually associated with election results, the dissolution of Parliament and the formation of a government – the Governor General has no independent discretion, and must follow the advice tendered

In the event of an emergency or catastrophe where the Prime Minister is incapacitated, together with significant numbers of his or her Cabinet and Parliament, the Governor General would be significantly freed of the constraints on his or her discretion and could probably appoint an emergency interim government.

# 2. Who is the current Governor General and when was she appointed? When does her term end? Can she be reappointed? Can she stay in office?

The current Governor General is the Right Honourable Michaëlle Jean. Her appointment was approved by Queen Elizabeth II on 10 September 2005, and M<sup>me</sup> Jean was installed on 27 September 2005. The usual term of a Governor General is five years, and the fifth anniversary of M<sup>me</sup> Jean's installation falls on 27 September 2010. However, the appointment is "at pleasure," meaning that M<sup>me</sup> Jean remains Governor General until the government takes action to replace her. For example, in September 2004, just before the fifth anniversary of the appointment of the previous Governor General, Adrienne Clarkson, Prime Minister Martin announced that M<sup>me</sup> Clarkson would stay in the position for another year.

## 3. What is the power of the Governor General regarding a dissolution of Parliament and calling an election?

If a Prime Minister who enjoys the confidence of the House of Commons asks the Governor General for a dissolution, and a proclamation initiating an election, that request will almost always be granted.

<sup>(3)</sup> Andrew Heard, Canadian Constitutional Conventions: The Marriage of Law and Politics, Oxford University Press, Toronto, 1991, p. 16.

4

If a Prime Minister who has lost a confidence vote asks for a dissolution, the Governor General probably has the discretion to decide whether anyone else is capable of forming a government. (See also question 9 in this section.)

### 4. What power does the Governor General possess to ask a party leader to form a government?

Unless the government already in office continues in office, the Governor General asks the person most likely to enjoy the confidence of the House to form a government. Traditionally, the leader of the party with the most seats in the House is most likely to enjoy the confidence of the House, but this need not always be true.

All constitutional authorities are agreed that a government has the right to remain in office to meet the legislature when an election results in no majority position for any party. (4)

# 5. What are the rights or powers of the Governor General to dismiss a Prime Minister/government or to replace a Prime Minister/government?

Just as a Governor General has the legal power to appoint a government, he or she also has the power to dismiss it. However, this power is stringently limited by conventional rules.

Constitutional authorities generally agree that a Governor General may dismiss a government if it has been defeated on a clear vote of confidence and refuses to resign and call an election, or if another party has won a majority in an election and the existing government refuses to resign.

## 6. How much discretion does the Governor General have to ask a leader to form a government and to interpret election results?

The Governor General clearly cannot appoint a new government until the existing government has resigned or been dismissed (subject to the answer to the preceding question).

If the result of a general election is a plurality (i.e., not a majority for any party), the existing Prime Minister would probably visit the Governor General to indicate whether he or she intends to try to win a vote of confidence when Parliament returns, or to resign.

<sup>(4)</sup> *Ibid.*, p. 23.

It is not clear how long the Prime Minister could wait before being required to notify the Governor General of his or her intentions. Neither is it clear at what point the Governor General could require the Prime Minister to make a decision. According to the written Constitution, a session of Parliament is required at least once a year.

If the proper role for the Governor General were unclear, he or she would likely consult with his or her own advisers and with other constitutional experts.

The traditional view is that the monarch or the monarch's representative can consult as widely as he or she wishes, both inside and outside parliament as to whom should be appointed as the new First Minister. (5)

Her job is always to protect Parliamentary democracy and the Parliament that the people have elected [has to have] a chance to see if it can support a government.<sup>(6)</sup>

7. Must the Governor General accept the advice tendered by the duly appointed Prime Minister (regarding dissolution, forming a government, etc.)?

From the time the Governor General appoints a Prime Minister until that Prime Minister loses the confidence of the House or is defeated in an election, the Governor General must generally follow the advice of the Prime Minister. It has also been argued that the Governor General has the right and duty to use his or her reserve powers to protect fundamental principles of the Constitution, but this is much more contentious.<sup>(7)</sup>

8. What options are available to the Governor General in the event that a second dissolution is requested before the House of Commons meets?

There have been occasional suggestions in Canada that after an inconclusive election the Prime Minister would be justified in requesting a dissolution and therefore a second election without even waiting for the Parliament to meet. This view is almost certainly wrong. The House of Commons has been elected, and it should surely be allowed to meet and see if it can transact public business. If it turned out that the House could

<sup>(5)</sup> Ronald I. Cheffins, "The Royal Prerogative and the Office of Lieutenant Governor," *Canadian Parliamentary Review*, Vol. 23, No. 1, Spring 2000, pp. 14-19 (p. 18).

<sup>(6)</sup> Peter Russell, radio interview on CFRA AM, Ottawa, 2 June 2004.

<sup>(7)</sup> Heard (1991), p. 47.

6

not even elect a speaker, or if it turned out that neither the Prime Minister nor the leader of any other party could command the support of a majority of members, then there would be no alternative to dissolution; but to dissolve the House before it had even met would be an abuse of the electoral system, and one which the Governor General would surely be entitled to refuse.<sup>(8)</sup>

However, the situation might be different if the leaders of parties holding a majority of seats in the House of Commons unanimously asked the Governor General to call a new election before the new House returned, stating that none of them was in a position to achieve the confidence of the House on a Throne Speech.

Andrew Heard gives two examples of new elections being called before provincial assemblies formally met after an election: Prince Edward Island in 1859 and Newfoundland in 1909. In each case, the legislature was unable even to choose a speaker. (9)

### 9. What was the King-Byng Affair? Is it relevant today?

After the October 1925 general election, the incumbent Liberals (led by Mackenzie King) had 101 Members of Parliament, while the Conservatives (led by Arthur Meighen) had 116 Members. However, the Liberals had the support of the 24 Progressive Party Members, as well as the 4 Labour and Independent Members, and Prime Minister King governed successfully for almost a year.

In June 1926, Prime Minister King lost the support of some Members of the smaller parties. Facing the almost certain loss of a motion of censure, since the government had already been defeated on motions to amend and adjourn, he asked the Governor General, Lord Byng, for a dissolution and a new election.

Lord Byng refused the dissolution on a number of grounds: there appeared to be an alternative government capable of governing Canada, as he was assured by the Progressives that they would support a Meighen government through the period of supply; it was less than a year since the previous election; and there was a pending vote of censure which the government was almost certain to lose. In the face of Lord Byng's refusal, King's government resigned and the Governor General asked Meighen to form a government.

<sup>(8)</sup> Peter Hogg, *Constitutional Law of Canada*, 4<sup>th</sup> edition, Carswell, Toronto, 1997, 9.6(d), referencing Eugene Forsey, *Freedom and Order*, McClelland & Stewart, Toronto, 1974, p. 40.

<sup>(9)</sup> Heard (1991), p. 23.

7

At the time, it was mandatory that newly appointed Ministers vacate their seats and run in a by-election (the requirement was repealed in 1931). Since Prime Minister Meighen could not afford even the temporary loss of so many Members, he employed technicalities, such as a "temporary ministry" and "acting Ministers," to avoid the required by-elections. A motion was brought in the House condemning such devices, and the government lost by one vote. Lord Byng then granted a dissolution to Prime Minister Meighen.

King made the issue a significant factor in the ensuing elections, claiming that it interfered with Canadian independence from the British Empire, and won a majority of the seats.

The matter is still relevant because, even now, senior constitutional experts cannot agree on whether Lord Byng acted properly or prudently. His best-known defender is the late Eugene Forsey. Peter Hogg considers the refusal to dissolve Parliament "at least unwise," given the requirement that Ministers vacate their seats. (10)

### 10. What happened in the Ontario provincial election in 1985? What did the Lieutenant Governor do in that case?

In the Ontario election of May 1985, the incumbent Progressive Conservatives (led by Frank Miller) won 52 seats, the Liberals (David Peterson) won 48 seats, and the NDP (Bob Rae) 25 seats. The Progressive Conservative leader selected a Cabinet, which was sworn in. The other two parties then entered into a written agreement that, should the Liberals form a government, the NDP would not try to defeat them for two years, and the Liberals would not call an election for the same period.

The Miller government was defeated on 18 June 1985 after the debate on the Throne Speech. Although Mr. Miller threatened to ask for a dissolution, his letter of resignation suggested that Mr. Peterson would be able to gain the confidence of the House and that he should be asked to form a government. The Lieutenant Governor followed this advice, and the Peterson government took over on 26 June 1985. However, the Lieutenant Governor made clear in his official statement that the written agreement had no legal force or effect and did not affect the powers of the Lieutenant Governor or of Members of the Legislative Assembly.

<sup>(10)</sup> Hogg (1997), 9.6(d); Cheffins (2000).

#### **B.** The Prime Minister

#### 1. When does a Prime Minister cease to hold office?

A Prime Minister ceases to hold office when the Governor General accepts his or her resignation or when a Prime Minister is dismissed by the Governor General. Following an electoral defeat, a Prime Minister resigns when his or her successor is ready to form a government.<sup>(11)</sup>

# 2. Must a Prime Minister resign? Can he or she be replaced without submitting a resignation (i.e., dismissed)?

Although no Prime Minister since Confederation has been dismissed, this is not to say that it could not happen. (12) It would presumably be required if a Prime Minister became incapacitated and could not tender a resignation.

### 3. What happens if a Prime Minister dies or is incapacitated while in office?

There are few procedural implications if the Prime Minister dies in office. If it happens while the House of Commons is sitting, the House may adjourn for an extended period. Only two Prime Ministers have died in office: Sir John A. Macdonald on 6 June 1891 (during a session) and Sir John Sparrow David Thompson on 12 December 1894 (while Parliament was prorogued). Macdonald was succeeded by John Abbott, a Senator, and Thompson was replaced by Mackenzie Bowell.

The incapacity of a Prime Minister would be more problematic; no precedents exist for this situation.

When a new ministry is being formed following the death, resignation, or dismissal of a Prime Minister, it is appropriate for the House to adjourn from day to day and transact only routine business on the days when it meets. (13)

<sup>(11)</sup> Privy Council Office, *Guide to Canadian Ministries Since Confederation*, available on-line at: http://www.pco-bcp.gc.ca/default.asp?Language=E&Page=Publications&doc=min/intro\_e.htm.

<sup>(12)</sup> Robert Marleau and Camille Montpetit, *House of Commons Procedure and Practice*, House of Commons, Ottawa, 2000, p. 46.

<sup>(13)</sup> *Ibid.*, p. 47.

## 4. Must the Governor General accept a resignation from a Prime Minister?

The Governor General must generally follow the advice of the Prime Minister.

### 5. Who were Canada's shortest-serving Prime Ministers?

Prime Minister	Party	Days	Duration
MEIGHEN, Arthur	National Liberal and Conservative Party, Conservative	623	1 year, 8 months and 14 days
ABBOTT, John Joseph Caldwell	Liberal-Conservative	527	1 year, 5 months and 9 days
BOWELL, Mackenzie	Conservative	493	1 year, 4 months and 6 days
CLARK, Charles Joseph (Joe)	Progressive Conservative	272	8 months and 28 days
CAMPBELL, A. Kim	Progressive Conservative	131	4 months and 9 days
TURNER, John Napier	Liberal	78	2 months and 17 days
TUPPER, Charles	Conservative	68	2 months and 7 days

### C. Ministers

### 1. When do existing Ministers cease to hold office? When do new Ministers assume office?

Ministers are chosen by the Prime Minister and can be removed by the Prime Minister, although they are formally sworn in by the Governor General.

In the event that a government is defeated in a general election, the government may still remain in office to face the new House. Only when the Prime Minister – and not any individual or group of Ministers – submits his resignation to the Governor General, does the government cease to exist. At that point, the existing Ministers cease to hold office.

New Ministers assume office after they are sworn in as Privy Councillors by the Clerk of the Privy Council at a ceremony presided over by the Governor General. In this ceremony, Ministers swear the oath of allegiance, the Privy Councillor's oath, and the oath of office for their respective portfolio.

#### 2. What happens if an existing Minister is defeated?

The practice is to replace defeated Ministers when new Ministers are sworn in, which happens soon after the election.

### 3. Do Ministers need to be members of the House of Commons or Senate?

No. The Prime Minister can chose individuals from outside Parliament to become Ministers, but it is customary for those individuals to seek election to the House or be appointed to the Senate as soon as possible afterwards.

### 4. Can Senators be appointed to sit in Cabinet?

Yes. This has been the case since Confederation; the first Cabinet under Sir John A. Macdonald had 13 Ministers, 5 of whom were Senators. In recent years, the practice has generally been to have only one Senator in the Cabinet.

## 5. What happens if a government has few or no MPs from a particular region or province?

In the past, Prime Ministers have turned to the Senate to make up for lack of regional/provincial representation in their caucuses. This was done, for example, in 1979 by former Prime Minister Joe Clark to make up for lack of Quebec representation on the Conservative benches in the House of Commons, and by the Liberal government in 1980-1984 to compensate for lack of seats in the West. In 1997, former Prime Minister Jean Chrétien appointed Senator Alasdair Graham Government Leader in the Senate to make up for lack of Liberal representation in Nova Scotia. Since 1969, the Government Leader in the Senate has held the status of a Minister, although there is no constitutional or legal requirement that the two offices be combined.

### D. Members of Parliament

#### 1. When do Members of Parliament cease being Members?

See the section on Parliament, part A, question 1, below.

#### 2. When do MPs assume office after an election?

Section 128 of the *Constitution Act*, 1867 requires MPs to swear or affirm allegiance to the Queen before taking their seats. The swearing in is normally done by the Clerk of the House of Commons prior to the opening of Parliament. It occurs on an individual basis at the convenience of the MP.

All MPs (not just the newly elected) must be sworn in.

### 3. When do they begin receiving allowances and services?

Newly elected Members receive a sessional allowance – or salary – starting on the date of the Member's election as certified in the appropriate writ issued by the Chief Electoral Officer. In the case of Members who are re-elected, most of their personal entitlements and privileges are unaffected and most services are reinstated.

All MPs receive a prorated Member's office budget that is based on the number of days from the date of the election to the end of the fiscal year (31 March).

#### **GOVERNMENT**

#### A. Public Finances

### 1. How does the federal government finance itself during and after an election period?

There are several ways in which sufficient funding can be allocated so that the federal government can continue to function during an election period. The means used to do this may depend upon when the election takes place.

If an election occurs after the Main Estimates and subsequent Appropriations bills have been adopted, then funding has already been approved by Parliament and is available for use.

If, on the other hand, an election has been called prior to adoption of the Main Estimates and Appropriations bills, there are two avenues open to ensure that funding is available when needed. The first involves the use of Interim Supply, a mechanism that is commonly used to provide continued funding while the House considers the Main Estimates, which are not adopted until several months after the beginning of a new fiscal year. Marleau and Montpetit describe Interim Supply as follows:

Since the fiscal year begins on April 1 and the normal Supply cycle only provides for the House to decide on Main Estimates in June, the government would appear to be without funds for the interim three months. For this reason, the House authorizes an advance on the funds requested in the Main Estimates to cover the needs of the public service from the start of the new fiscal year to the date on which the Appropriation Act based on the Main Estimates of that year is passed. This is known as "Interim Supply," a spending authority made available to the government pending approval of the Main Estimates.

The government gives notice of a motion setting out in detail the sums of money it will require, expressed in twelfths of the amounts to be voted in the Main Estimates. Most are three-twelfths of the total amount, corresponding to the three-month hiatus between the beginning of the new fiscal year and the final passage of the Main Estimates, but the government may request more. The motion for Interim Supply is considered on the last allotted day of the period ending March 26. Concurrence in the motion is followed by the consideration and passage at all stages of an appropriation bill based on Interim Supply and authorizing the prescribed withdrawals from the Consolidated Revenue Fund. The granting of Interim Supply does not necessarily constitute immediate House approval for the programs to which it applies in the Main Estimates. (14)

The use of Governor General's Special Warrants offers another alternative. (See the next question.)

### 2. What are Governor General's Special Warrants? Are there any restrictions or limitations on their use?

Marleau and Montpetit describe these Special Warrants as follows:

<sup>(14)</sup> Marleau and Montpetit (2000), pp. 731-732 (footnotes omitted).

In a very special circumstance, the *Financial Administration Act* allows the Governor in Council to ask the Governor General to issue a Special Warrant permitting the government to make charges not otherwise authorized by Parliament on the Consolidated Revenue Fund, provided that the following conditions are met:

- Parliament is dissolved;
- A Minister has reported that an expenditure is urgently required for the public good; and
- The President of the Treasury Board has reported that there is no appropriation for the payment. (15)

Special Warrants may be used only from the date of dissolution until 60 days following the date fixed for the return of the writs after the election. They may not be used during that period if Parliament is brought back and then prorogued. Marleau and Monpetit add that:

The *Financial Administration Act* requires that every Special Warrant be published in the *Canada Gazette* within 30 days of its issue. Notification of the amount authorized under such a Warrant must also be tabled in the House within 15 days of the commencement of the next Session of Parliament and authorization must be included retroactively in the first Appropriation Act passed in that Session. (16)

# 3. What happens if Parliament is dissolved when Supplementary Estimates are before the House and have not been adopted?

Supplementary Estimates "A" for the fiscal year ending 31 March 2006 were tabled in the House of Commons on 27 October 2005. In these estimates, the government was seeking Parliament's approval for \$7 billion in expenditures that were not reflected in the Main Estimates tabled on 25 February 2005. This was not new spending since it was within total forecast expenditures for the 2005-2006 fiscal year, as set out in the 2005 Budget; but Parliament's approval was still required. However, Parliament was dissolved on 29 November 2005, before that approval could be given. This situation raises the question of how departments and agencies will fund certain activities in the absence of approved funding.

<sup>(15)</sup> Ibid., p. 747 (footnote omitted).

<sup>(16)</sup> *Ibid.*, pp. 747-748.

Under such circumstances, departments and agencies are first expected to reallocate resources from within their own budgets to cover their needs. If they require additional funds, they may then draw upon the Treasury Board Secretariat's Contingencies Vote 5 and Collective Agreements Vote 14. Once these appropriations have been exhausted, Governor General's Special Warrants can be used to pay for the balance of requirements. This last occurred when Parliament was dissolved on 22 October 2000 for a general election and Supplementary Estimates could not be tabled in time for review and approval. (17)

### **B.** Forming a Government

1. If a government in office is re-elected – obtains a majority of seats in the election – does it remain in office, or does the leader have to be invited to form a government?

The government stays in office until the Prime Minister resigns or is dismissed by the Governor General.

2. If there is no clear majority, which party is entitled to be asked to form a government first – the party with the most seats in the House of Commons, or the incumbent party?

If there is no clear majority, the incumbent Prime Minister is given the choice of resigning or meeting the House to see if his or her party has the confidence of the House. (18)

### 3. If there is no clear majority and the government resigns, how is the Prime Minister determined?

Should the incumbent Prime Minister and Cabinet resign in the event there is no clear majority, the Governor General would probably ask the leader of the opposition party most likely to enjoy the confidence of the House to form a government. The confidence of the House might be evidenced through an informal agreement or a coalition between parties.

In almost every case, the Governor General has chosen as the Prime Minister the leader of the party that has received the largest number of seats in the House of Commons, even if it is not the majority. (19)

<sup>(17)</sup> Treasury Board Secretariat, *Statement on Governor General Special Warrants for the Fiscal year ending March 31*, 2001, available on-line at: <a href="http://www.tbs-sct.gc.ca/est-pre/w0001e.asp">http://www.tbs-sct.gc.ca/est-pre/w0001e.asp</a>.

<sup>(18)</sup> Eugene Forsey, *How Canadians Govern Themselves*, 5<sup>th</sup> edition, 2003, available on-line at: <a href="http://lpintrabp.parl.gc.ca/lopimages2/bibparlcat/3000/Ba373601.pdf">http://lpintrabp.parl.gc.ca/lopimages2/bibparlcat/3000/Ba373601.pdf</a>.

<sup>(19)</sup> Robert Jackson and Doreen Jackson, *Politics in Canada: Culture, Institutions, Behaviour and Public Policy*, 6<sup>th</sup> edition, Pearson Prentice-Hall, Toronto, 2006, p. 258.

### 4. What happens if two parties have an equal number of seats in the Commons?

If two parties were tied after an election, the Prime Minister would have to make a decision. The Prime Minister could try to gain the support of other parties – either formally or informally (a coalition government, or an entente; see the next question). If this proved impossible, the Prime Minister could still try to form a government and seek the support of the House. An incumbent Prime Minister appears to be entitled to try to form a government first. If it were clear that no other parties were willing to support the Prime Minister, a difficult constitutional question could arise for the Governor General, although he or she would probably let the Prime Minister attempt to form a government.

If the Prime Minister did try to form a government, he or she would need to have new Ministers sworn in. The Prime Minister would then advise the Governor General to recall Parliament and would have a Speech from the Throne. Inevitably, a vote of confidence would arise.

If the Prime Minister were defeated in that vote, he or she would have to tender his (her) resignation to the Governor General. The Prime Minister could advise dissolution and a general election, or suggest that the leader of the other party be asked to try to form a government. (The former advice would raise many of the same issues as the King-Byng Affair; see question 9 under "The Governor General.") Many factors would be relevant to the decision of the Governor General: the numbers of seats of the two parties (and other parties); whether there were formal or informal offers of support to the other party; etc. Likely, if such a situation occurred shortly after an election, and there was a reasonable chance that the other party could form a government and obtain the confidence of the House, the Governor General would invite the leader of that party to form the government.

### 5. In a case where no party has a majority, what options are there for parties to govern?

Two (or more) parties can form a coalition. In a *coalition government*, members of different political parties are brought into Cabinet and together contribute to policies that become part of the government's legislative program. There has been only one coalition government at the federal level in Canada's history, and it was not as a result of a minority situation. In 1917, as a way of broadening support for conscription during World War I,

Conservative Prime Minister Sir Robert Borden invited individual Liberals and independents to join a coalition known as the Union Government; it lasted until Borden's retirement in 1920. Coalition governments are more common in countries with proportional representation electoral systems. According to C. E. S. Franks, "a minority government is more likely to make concessions over policy and legislation with a third party than to enter into a coalition." Franks' observation is borne out by the events of the 38<sup>th</sup> Parliament when the Liberal government reached an accommodation with the opposition New Democratic Party (NDP); changes were made to the 2005 Budget in exchange for NDP support.

Two (or more) parties may choose to have a *formal pact* whereby a smaller party does not have membership in Cabinet, but has publicly agreed in writing to support another party in government for a limited period in exchange for specific policy concessions from the government. The 1985-1987 minority government in Ontario was an example of this type of arrangement.<sup>(21)</sup>

Another option is to form an *informal alliance* or an *agreement* with another party, without any written commitment. This is not as formal as a coalition and does not result in members of another party joining Cabinet. According to Peter Dobell, the 1972 informal alliance between the federal Liberals and the NDP meant that "every policy proposal, all legislation, was discussed between representatives of the two parties ... only when agreement had been reached did the government proceed to introduce a bill." (22)

Minority governments can also deal with the situation by governing on a case-bycase basis, "where the government makes a separate accommodation with the opposition parties on each bill." (23)

<sup>(20)</sup> C. E. S. Franks, *The Parliament of Canada*, University of Toronto Press, Toronto, 1987, p. 49; see also Peter Dobell, "What Could Canadians Expect from a Minority Government?" *Policy Matters*, Vol. 1, No. 6, 2000, p. 9, available on-line at: http://www.irpp.org/pm/archive/pmvol1no6.pdf.

<sup>(21)</sup> Stewart Hyson, "Nova Scotia and the 'Problem' of Minority Government," *Canadian Parliamentary Review*, Vol. 21, No. 4, Winter 1998-1999, p. 13.

<sup>(22)</sup> Dobell (2000), p. 5.

<sup>(23)</sup> Hyson (1998-1999), p. 13.

17

# 6. Does a government (Cabinet) have full and complete authority during an election, before a new government is sworn in?

If a government held a majority and went to an election at a time of its own choosing (subject to constitutional requirements that a general election be held at a minimum every five years), then it and its ministers continue to hold full and complete authority until a new government is sworn in.

However, such a government might wish to exercise caution during an electoral campaign and the period leading up to the swearing in of a new government. Unless prompted by urgency, controversial decisions, appointments to important positions, or policy decisions that might unreasonably bind future governments should be postponed until a new government is sworn in. If a government were to disregard these restrictions, there is no penalty in law that could be exercised against it. However, the possibility of political sanction in the form of a defeat at the polls would have to be taken into account.

Were a government to be defeated in a clear vote of confidence in the House of Commons, then restrictions on its ability to act prior to the swearing in of a new government would be more intense, even though no legal proscription would apply. Similarly, if a government had lost the confidence of the House yet acted in the areas mentioned above during an election campaign (and thus when the House is not sitting), the chances of it being punished at the polls would be heightened.

The case of a government that has been defeated at the polls and is waiting for a new government to be sworn in is much clearer. As the late political scientist Dr. J. R. Mallory has written, there is "a sound body of constitutional precedent that a government which has been defeated at the polls should refrain from consequential policy decisions and major appointments." (24) Mallory has summarized the variety of circumstances above in the following way:

When a government has been defeated at the polls or in the House of Commons, it becomes an obligation of all party leaders to assist in the formation of a new government. Until a new government can be formed, it is the duty of the old one to remain in office. While in office it still has the duty and the authority to govern, though a government that has lost the confidence of the people or of the House can only make routine decisions until a government which has the support of the House can be formed. (25)

<sup>(24)</sup> J. R. Mallory, letter to the editor of the *Ottawa Journal*, 3 July 1953, cited in Senate Committee on Pearson Airport Agreements, *Proceedings*, 35<sup>th</sup> Parliament, 1<sup>st</sup> Session, Issue No. 24, p. 6.

<sup>(25)</sup> J. R. Mallory, *The Structure of Canadian Government*, revised edition, Gage Publishing, Toronto, 1984, p. 82.

### 7. How soon after an election does a new government take office?

Since 1957, in the case of elections that result in a new government, that government has been sworn in between 10 and 14 days following the election. Since 1920, it has been the tradition that the new ministry is sworn in when it is ready to form a government. (26)

The following table shows the elections since 1957 in which the governing party lost the election, the date the new government was sworn in, and the number of days that elapsed before it was sworn in.

<b>Election Date</b>	<b>Government Sworn In</b>	Delay
25 October 1993	4 November 1993	10 days
4 September 1984	17 September 1984	13 days
18 February 1980	3 March 1980	14 days
22 May 1979	4 June 1979	13 days
8 April 1963	22 April 1963	14 days
10 June 1957	21 June 1957	11 days

### C. Majority Government

### 1. What constitutes a majority government?

The general election of 23 January 2006 will result in a House of Commons with 308 Members (an increase from 301 in 2000). In order to form a majority government, a party must have 50% of the seats – i.e., 154 – plus one. In other words, a party would need to have at least 155 seats in order to form a majority government (but see the next question).

## 2. If the Speaker is from the government side, is he/she included in the majority? Can he/she vote?

The Speaker is included. The election of the Speaker is the first order of business for the House when it re-convenes. The Speaker does not vote except to break a tie. This is called a "casting vote" and is not partisan. That is, the Speaker traditionally votes so as to permit matters to be brought back later, to defer them so that a majority vote would be possible, or to preserve the *status quo*. Therefore, if a government had a bare majority – 155 seats – it would be in difficulty if the Speaker were elected from the government side.

<sup>(26)</sup> Privy Council Office, Guide to Canadian Ministries Since Confederation.

### **D.** Minority Government

### 1. What is a minority government?

"A minority government is one that does not have a majority of MPs attending its caucus." In the 39<sup>th</sup> Parliament, a minority government will be one that has fewer than 155 seats in the House of Commons.

As explained in the answer to the previous question, a bare majority of 155 would no longer suffice if the Speaker is elected from the government side, because the Speaker does not vote except to break a tie.

2. What types of arrangements are possible for political parties to work together? What are the differences between them? What precedents exist?

Political scientist Linda Geller-Schwartz has identified five modes of cooperation for minority governments. They range from a coalition through a formal pact, an informal understanding, *ad hoc* majorities on each issue, and opposition party restraint while the government acts as though it had a majority. (28)

At the federal level in Canada, there have been no coalition governments as a result of a minority situation. Neither have there been formal agreements on cooperation between parties.

There was an informal understanding between the Liberal Party and the NDP between 1972 and 1974.

The looser form of cooperation that Geller-Schwartz refers to as "ad hoc majorities" was evident during the minority governments of Mackenzie King during the 1920s, as well as during parts of the Pearson minority governments of the 1960s.

The mode in which parties cooperate the least sees opposition parties acting with restraint for fear of precipitating an election in which they expect to lose seats, and the government acting as though it had a majority. This mode was evident during the Diefenbaker governments of the 1950s and 1960s, during the early days of the Pearson governments, and during the Clark government of 1979.

(See also question 5 under "Forming a Government," above.)

<sup>(27)</sup> D. Kwavnick, "Minority Government," The Canadian Encyclopedia, 2005.

<sup>(28)</sup> Linda Geller-Schwartz, "Minority Government Reconsidered," *Journal of Canadian Studies*, Vol. 14, No. 2, Summer 1979, p. 68.

### 3. What is Canada's experience with minority governments?

### Minority Parliaments: Ministries, Dates of General Election, Term of Parliament, Number of House of Commons Sitting Days, Size of Minority

Ministry	General Election	Term of Parliament	House of Commons Sitting Days	Minority*
William Lyon Mackenzie King	12 June 1921	8 March 1922 to 27 June 1925	366	Government – 116 Opposition – 119 Minority – 3
William Lyon Mackenzie King (to 28 June 1926) Arthur Meighen (29 June 1926 to 24 September 1926)	29 October 1925	7 January 1926 to 2 July 1926	111 (Meighen met the House for 3 of those days)	Government – 99 Opposition – 146 Minority – 47
John George Diefenbaker	10 June 1957	14 October 1957 to 1 February 1958	78	Government – 112 Opposition – 153 Minority – 41
John George Diefenbaker	18 June 1962	27 September 1962 to 6 February 1963	72	Government – 116 Opposition – 149 Minority – 33
Lester Bowles Pearson	8 April 1963	16 May 1963 to 8 September 1965	418	Government – 129 Opposition – 136 Minority – 7
Lester Bowles Pearson	8 November 1965	18 January 1966 to 23 April 1968	405	Government – 131 Opposition – 134 Minority – 3
Pierre Elliott Trudeau	30 October 1972	4 January 1973 to 9 May 1974	256	Government – 109 Opposition – 155 Minority – 46
Charles Joseph (Joe) Clark	22 May 1979	9 October 1979 to 14 December 1979	49	Government – 136 Opposition – 146 Minority – 10
Paul Martin	28 June 2004	4 October 2004 to 29 November 2005	160	Government – 135 Opposition – 173 Minority – 38

<sup>\*</sup> At the general election.

Source: Library of Parliament, PARLINFO.

### 4. How long can a minority government stay in office?

A minority government can stay in office for the same length of time as a majority government – which is to say, five years – as long as it is not defeated in the House on a matter of confidence. Such a long tenure, however, would be highly unusual. Minority governments do not generally last very long, either because they are defeated on a major policy issue, or because the governing or opposition parties believe that they have a good chance of winning an election and therefore precipitate one.

### 5. When can a minority government request a dissolution of Parliament to seek a new mandate?

The Prime Minister of a minority government can request a dissolution at any time. (See also question 9 in the section on the Governor General, above.)

### 6. Is it necessary for a minority government to have the formal support of smaller parties in order to govern?

No. A minority government can survive without the explicit support of smaller parties. To do so, it hopes that individual Members or the smaller parties will support it, or at least avoid defeating the government because they fear the consequences of an election.

### 7. Are there any special rules regarding a minority government?

No.

#### E. The Confidence Convention

#### 1. What is the "confidence convention"?

The confidence convention is a matter of parliamentary practice and tradition that is not written into any statute or Standing Order of the House. The convention provides that if the government is defeated in the House on a question of confidence, then the government is expected to resign or seek the dissolution of Parliament in order that a general election may be held.

22

### 2. What is a vote of confidence?

According to Marleau and Montpetit:

What constitutes a question of confidence in the government varies with the circumstances. Confidence is not a matter of parliamentary procedure, nor is it something on which the Speaker can be asked to rule. It is generally acknowledged, however, that confidence motions may be:

- explicitly worded motions which state, in express terms, that the House has, or has not, confidence in the government;
- motions expressly declared by the government to be questions of confidence; [and]
- implicit motions of confidence, that is, motions traditionally deemed to be questions of confidence, such as motions for the granting of Supply (although not necessarily an individual item of Supply), motions concerning the budgetary policy of the government and motions respecting the Address in Reply to the Speech from the Throne. (29)

#### 3. Who decides whether a particular vote is one of confidence?

The government decides. It could announce, prior to a vote, that it does not consider that the vote involves confidence, or it could call for a specific vote of confidence following the loss of a vote on an issue traditionally deemed to be a question of confidence.

### 4. Can a government be defeated in the House of Commons without losing the confidence of the House?

Yes (see above). During the minority Trudeau Liberal government in 1972-1974, the government lost 8 of 81 recorded votes and asked for a dissolution only when it had been defeated on an important issue relating to the budget. Prime Minister Pearson's minority Liberal government lost 3 votes without resigning or asking for an election. One of these losses involved a budget matter, but the government then asked for – and won – an explicit vote of confidence.

<sup>(29)</sup> Marleau and Montpetit (2000), p. 37 (footnotes omitted).

23

### 5. What is the status of the government following the loss of a vote in the Senate?

The measure is defeated but the status of the government is not affected; the Senate is not a confidence chamber. The government is responsible only to the elected chamber.

### 6. When can Canadians expect to see the first confidence vote in a new Parliament?

The first confidence vote is likely to occur during the debate on the Address in Reply to the Speech from the Throne, which occurs when the new Parliament is convened.

#### **PARLIAMENT**

#### A. Dissolution

### 1. What is the status of Members of the House of Commons following dissolution?

After dissolution, and the issue of writs for a general election, there are legally no Members of the House of Commons.

There is no clear authority for the preceding statement; however, the *Parliament of Canada Act* provides in section 69:

For the purposes of the allowances payable under sections 55 and 63, a person who, immediately before a dissolution of the House of Commons, was a member thereof shall be deemed to continue to be a member of the House until the date of the next following general election.

The above "deeming provision" in the *Parliament of Canada Act* is solely for the purposes of salaries, some additional allowances and benefits, and certain other services.<sup>(30)</sup> It does not imply that a former Member retains that status until the date of the next general election.

The conclusion that dissolution terminates the office of Member of the House of Commons is supported by J. P. Joseph Maingot. He describes the circumstances in which a person ceases to be a Member of the House of Commons, and states that these include a warrant for the issue of a writ for the election of a new Member.<sup>(31)</sup>

<sup>(30)</sup> The salaries of Members used to be paid on a sessional basis (hence, they are still known as "sessional allowances"). In practice, these allowances are now paid on annual basis, and to prevent the immediate halt of such payments on dissolution, section 69 was required.

<sup>(31)</sup> Parliamentary Privilege in Canada, 2<sup>nd</sup> edition, McGill-Queen's University Press, Montréal, 1997, pp. 22-23.

The implications of a person's legally ceasing to be a Member of the House of Commons can be seen outside of Parliament. One significant implication involves the *Privacy Act*, section 8(2) of which provides the following exemption from the provisions of the Act:

8(2) Subject to any other Act of Parliament, personal information under the control of a government institution may be disclosed

. . .

(g) to a member of Parliament for the purpose of assisting the individual to whom the information relates in resolving a problem;

In other words, personal information may be disclosed to a Member of Parliament. This exemption makes sense in terms of the constituency work that is an inherent part of a Member's job. The Treasury Board Secretariat has addressed the question of what happens after dissolution. Its information notice entitled "Scope of Paragraph 8(2)(g) of the Privacy Act upon Dissolution of Parliament" states:

Upon dissolution of Parliament, members of the House of Commons lose their status and title. Consequently, personal information under the control of a government institution can no longer be provided to them pursuant to paragraph 8(2)(g) of the Act. Furthermore, this paragraph cannot be invoked following the election until the new members of the House of Commons have been sworn in.

Former Members of Parliament may obtain information about an individual who has requested their assistance with the consent of the individual. In special circumstances, institutions may consider disclosing personal information to former Members pursuant to subparagraph 8(2)(m)(ii) of the *Privacy Act* which permits disclosure "where in the opinion of the head of the institution... disclosure would clearly benefit the individual to whom the information relates." Note that subsection 8(5) requires that institutions notify the Privacy Commissioner of the disclosures made under paragraph 8(2)(m). (32)

The information notice goes on to state that "Senators continue to hold office after the dissolution of Parliament. Therefore, paragraph 8(2)(g) may still be applied following dissolution to provide personal information to a Senator."

<sup>(32)</sup> Treasury Board Secretariat, "Scope of Paragraph 8(2)(g) of the Privacy Act upon Dissolution of Parliament," 25 May 2004, available on-line at <a href="http://www.tbs-sct.gc.ca/gos-sog/atip-aiprp/in-ai/in-ai2004/2004-16\_e.asp">http://www.tbs-sct.gc.ca/gos-sog/atip-aiprp/in-ai/in-ai2004/2004-16\_e.asp</a>.

## 2. What salaries, allowances and services are Members of Parliament entitled to during a dissolution? (33)

- Salaries and Allowances: During a dissolution of Parliament, Members of the House of Commons continue to receive their sessional allowances (salary), make pension contributions and are entitled to certain other benefits. Members who receive additional salaries for the positions of Speaker, Deputy Speaker, Leaders of opposition parties, House Leaders of the opposition parties, and Chief Whips of all parties continue to be eligible for these allowances.
- Insurance Benefits: Health and dental care coverage for Members continue during dissolution.
- Budgets: Members may continue to use their Member's Office Budget and petty cash
  account during dissolution, subject to some limitations. Resources provided to Members to
  support their parliamentary functions may not be used for election purposes.

To ensure continuity in the administration of the House of Commons during dissolution, the budget of the Speaker and the Deputy Speaker continue until a new Speaker and Deputy Speaker are elected.

The budgets of Party Research Offices, Offices of the Leaders of opposition parties, House Leaders of opposition parties and Chief Whips of all parties may be used during dissolution, but these offices and House Officers should not spend more than 1/12th of their annual budgets for each full month between the date of dissolution and election day. The budgets of National Caucus Chairs and of the Deputy Chair and Assistant Deputy Chair of the Committees of the Whole are suspended upon dissolution.

If any of the resources provided to Members or House Officers to support their parliamentary functions are used for election purposes, the House of Commons must be reimbursed the equivalent dollar value.

• *Travel*: As of the date of dissolution Members may no longer claim travel expenses for travel within their constituencies or the province or territory in which their constituency is located. However, during dissolution Members are entitled to the equivalent of one return trip per week between Ottawa and their constituency. They may claim accommodation and per diem expenses as well. The free VIA Rail Canada travel privileges provided to Members cease as of the date of dissolution.

<sup>(33)</sup> For complete details, see House of Commons, *Members' Allowances and Services* Web site, "Dissolution of Parliament," 2005.

- *Mailing Privileges*: Members are provided with limited free mailing privileges and a special bulk mailing rate during the life of a Parliament. These privileges end 10 calendar days after Parliament is dissolved.
- Library of Parliament: Members, including those Members who have decided not to run again, continue to have access to the services of the Library of Parliament and may request information and documentation. During dissolution, research and analysis services for Members of the House of Commons are limited to the following: completing research requested before the election call; assisting members with technical questions for the benefit of constituents; providing copies of previously prepared research papers; and providing copies of Library publications. The Library's research and analysis services for Senators on non-partisan matters related to parliamentary duties continue.
- Members' Offices: Members' Ottawa offices remain open to provide services to constituents, and constituency offices may do so as well. It should be noted that employees of Members of the House of Commons are hired to support their parliamentary functions and, therefore, during dissolution, any election-related work performed by these employees must be undertaken outside of normal working hours and not in the Ottawa or constituency offices.

### **B.** Bringing Parliament Back

### 1. Who decides when a new Parliament begins?

The Governor General, on the advice of the prime minister, sets the date on which a new Parliament begins. (34)

## 2. When is Parliament due to come back, following the January 2006 election?

A proclamation issued on 29 November 2005, at the same time as the proclamation dissolving Parliament, summoned Parliament to meet on 20 February 2006. According to the parliamentary calendar established under Standing Orders 24, 27, and 28, the House of Commons was due to come back on 6 February 2006. This calendar, however, applies only if the House is in session. The Senate was scheduled to return on 31 January 2006.

## 3. Could a government (especially a minority government) delay bringing Parliament back, and meeting the House of Commons?

Yes. The proclamation setting the date for which Parliament is summoned can be subsequently changed.

<sup>(34)</sup> Section 38 of the *Constitution Act, 1867* states that "The Governor General shall from Time to Time, in the Queen's Name, by Instrument under the Great Seal of Canada, summon and call together the House of Commons," available on-line at <a href="http://laws.justice.gc.ca/en/const/c1867\_e.html#legislative">http://laws.justice.gc.ca/en/const/c1867\_e.html#legislative</a>.

### 4. What constitutional limits are there on when Parliament must meet?

Section 5 of the *Canadian Charter of Rights and Freedoms* (replacing a provision in the *Constitution Act, 1867*) specifies that Parliament must sit at least once every 12 months.

### 5. What practical limits are there on when Parliament must meet?

Parliament must vote to provide money for the operation of the government. See the section on Government, part A, "Public Finances," above.

### 6. Would a delay in the return of the House of Commons affect Members' salaries, allowances or benefits?

No.

#### C. The New House of Commons

### 1. What Parliament and session will be starting after the January 2006 election?

The 39<sup>th</sup> Parliament, 1<sup>st</sup> Session.

## 2. When do committees of the House and the Senate get started? How?

At the beginning of the first session of a new Parliament, the House of Commons appoints the membership of its Standing Committee on Procedure and House Affairs (the membership of which continues from session to session within a Parliament). Within 10 sitting days of its appointment, the Procedure and House Affairs Committee must prepare and report to the House the proposed membership of each House of Commons committee. Within 10 days following the adoption of the report on committee memberships, the Clerk of the House convenes meetings of all the standing committees for the purpose of electing a chair of each committee. After a chair and two vice-chairs have been elected, each committee will adopt routine motions to organize its work, such as the creation of a "Steering Committee."

In the Senate, at the beginning of each session, a Committee of Selection, consisting of nine Senators, is appointed. The Committee nominates a Senator to preside as

Speaker, and Senators to serve on most select committees. Once the membership of committees has been established, each committee holds an organization meeting at which it elects the chair and deputy chair and adopts certain procedural motions that allow it to function.

# 3. How is the Official Opposition chosen? What happens if the two opposition parties end up with the same number of seats, as occurred in 1996?

By convention, the party that holds the second-highest number of seats in the House is designated the Official Opposition, although this practice is not set out in any Canadian rule or statute. The only exception to this convention occurred in 1922, when the Progressive Party declined to assume the role of the Official Opposition because it was supporting the government.

Should two opposition parties end up with the same number of seats, the Speaker may be called upon to decide which party should be designated as the Official Opposition. This occurred in 1996, when circumstances resulted in the equality of seats between the two largest opposition parties – the Reform Party and the Bloc. After examining the precedents from other Canadian jurisdictions, the Speaker concluded that, in the case of a tie, parliamentary incumbency (resulting in maintaining the *status quo*) should be the determining factor.

In his ruling, Speaker Parent cited two examples in other Canadian jurisdictions where, for one reason or another, the choice for Official Opposition was not obvious. The first example occurred in 1983 in the Alberta Legislature. Following a general election, the opposition was composed of two New Democrats and two independent Members. The Speaker of the Legislature based his decision to grant the Official Opposition status to the New Democratic Party, in part, on the popular vote received by the party.

The second example occurred in 1994, when the Speaker of the New Brunswick Legislature was faced with a situation where two opposition parties had the same number of seats. Speaker Parent concurred with the Speaker of the New Brunswick Legislature, who had applied the principle of incumbency, the *status quo*, and who had cautioned that "basing a decision on factors outside Parliament opens the door or invites future decisions with no basis in parliamentary precedents or practice."

<sup>(35)</sup> There is a separate procedure for the Committee on Conflict of Interest for Senators (see *Rules of the Senate of Canada*, Rule 85(2.1)).

In Great Britain in 1918, in very complicated circumstances, the leaders of the Liberal Party and the Labour Party agreed to divide the leadership of the Official Opposition between the two of them on alternate weeks. (36)

### D. The Speaker of the House of Commons

#### 1. When is the Speaker of the House of Commons elected?

The *Constitution Act*, 1867 (s. 44) requires that the election of the Speaker shall be the first order of business at the opening of the House following an election.

#### 2. Must the Speaker come from the government side?

No. For example, Speaker Lucien Lamoureux was a Liberal Member when he became Speaker in 1966, but in the 1968 and 1972 elections he ran as an independent. He won both times and became Speaker again after each of these elections. His (Liberal) successor, James Jerome, remained Speaker through the Progressive Conservative government led by Joe Clark (1979-1980). (37)

### 3. What happens if the Speaker is not re-elected to the House of Commons?

There is an election for Speaker of the House of Commons whether or not the former Speaker is re-elected to the House. The election of the Speaker at the opening of a Parliament is presided over by the Member who has the longest period of unbroken service and who is not a member of the Cabinet, nor holds any office within the House. (38)

#### E. The Senate

## 1. How does a minority government affect the operation of the Senate?

A minority government does not affect the operation of the Senate, although one might reasonably expect increased political manoeuvring.

<sup>(36)</sup> Marleau and Montpetit (2000), p. 33; House of Commons, *Debates*, 27 February 1996.

<sup>(37)</sup> John Fraser, The House of Commons at Work, Éditions de la Chenelière, Montréal, 1993.

<sup>(38)</sup> House of Commons, *Précis of Procedure*, November 2003, available on-line at <a href="http://www.parl.gc.ca/information/about/process/house/precis/titpg-e.htm">http://www.parl.gc.ca/information/about/process/house/precis/titpg-e.htm</a>.

## 2. Must the Opposition in the Senate be the same as the Official Opposition in the House of Commons?

No. The Opposition in the Senate is the opposition party with the greatest number of Senators. If the Opposition in the Senate has more seats than the government party in the Senate, it may be considerably more difficult to pass government legislation.

Until recently, only two official parties were represented in the Senate, and these were usually the same two parties that formed the government and the Official Opposition in the House of Commons. This meant that, in practice, the Opposition in the Senate was the same party as the Opposition in the House of Commons. This led to some confusion as to whether the Opposition had to be the same party in both Houses; but this is clearly not the case.

### 3. How is the Leader of the Opposition in the Senate selected?

The Leader of the Opposition in the Senate is chosen by the party itself, although the mechanism is not clear. It appears that until at least the 1960s, party leaders in the Senate were elected by the caucuses of the Senators belonging to the party.

From anecdotal evidence, it appears that, starting in the 1970s, party leaders assumed a greater role in determining the leadership of the opposition party in the Senate. This development may have been due to the personality or popularity of the leader, or a consolidation of control by the party leadership, or it may have been part of the general trend toward leader-oriented party politics.

It is also possible to argue that the appointment of Senate leaders by party leaders is made with the concurrence of the party's Senate caucus, and that the Senators have in no way abdicated their right to make such appointments in the future.

### F. The Speaker of the Senate

### 1. When is the Speaker of the Senate appointed?

There is no specific rule or law governing the timing of appointments. The Prime Minister has traditionally appointed a new Speaker before a new Parliament is scheduled to meet. In recent years, however, Speakers of the Senate have routinely served two Parliaments.

### 2. Must the Speaker come from the government side?

There is no law requiring that the Speaker come from the government side, but traditionally the Prime Minister appoints Senate Speakers from the government party. In the past, such appointments have been an asset to the party in power, particularly where the government has had a minority in the Senate.