

**RCMP  
EXTERNAL REVIEW  
COMMITTEE**

**2007-2008  
Estimates**

**Report on Plans and Priorities**

Approved

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The Honourable Stockwell Day, P.C., M.P.  
Minister of Public Safety  
(Public Safety and Emergency Preparedness)

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## **Section I - Overview**

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### **Chair's Message**

The strategic objective of the [RCMP External Review Committee](#) (the "Committee") is to positively influence the manner in which labour relations issues are addressed within the RCMP. The Committee reviews grievance, disciplinary and discharge and demotion cases that are referred to it, and provides findings and recommendations to assist the RCMP Commissioner in making decisions that are fair and well-informed. It also disseminates information on its role and on its findings and recommendations.

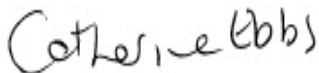
The key priority of the Committee in this planning period is to continue to produce high quality, independent and timely case review, while maintaining efficiency. In conjunction with this, it is important that the backlog of cases is reduced and eliminated over the next two years.

A second priority is to solidify the Committee's increased outreach activity to ensure that its work is known and understood by members of the RCMP. As the Committee approaches its twentieth anniversary in 2008, it will also prepare events and tools to celebrate that landmark.

Other areas of priority over the next three years will be minimizing corporate risks and ensuring accountability and transparency in all our work. To this end, the Committee is exploring various strategies to ensure a solid integration of these corporate initiatives into its entire operational framework.

On behalf of the Committee, I am pleased to be able to present the priorities for this planning period. I welcome the coming challenges and am committed to the continuing progress of these priorities.

Sincerely,



Catherine Ebbs  
Chair

January 29, 2007

## **Management Representation Statement**

I submit, for tabling in Parliament, the 2007-2008 Report on Plans and Priorities (RPP) for the [RCMP External Review Committee](#).

This document has been prepared based on the reporting principles contained in the *Guide to the Preparation of Part III of the 2007-2008 Estimates: Reports on Plans and Priorities and Departmental Performance Reports*:

- It adheres to the specific reporting requirements outlined in the TBS guidance;
- It is based on the Committee's strategic outcome and Program Activity Architecture that were approved by the Treasury Board;
- It presents consistent, comprehensive, balanced and reliable information;
- It provides a basis of accountability for the results achieved with the resources and authorities entrusted to it; and
- It reports finances based on approved planned spending numbers from the Treasury Board Secretariat.



Virginia Adamson  
Executive Director and Senior Counsel (Acting)

January 29, 2007

## Summary Information

### Reason for existence

The [RCMP External Review Committee](#) is an independent and impartial agency that aims to promote fair and equitable labour relations within the RCMP, in accordance with applicable principles of law. To this end the Committee conducts an independent review of appeals in disciplinary and discharge and demotion matters, as well as certain categories of grievances that can be referred to it pursuant to s. 33 of the *RCMP Act* and s. 36 of the *RCMP Regulations*.

### Financial Resources (\$thousands) \*

<b>2007-2008</b>	<b>2008-2009</b>	<b>2009-2010</b>
1,192	1,160	1,060

\* This breakdown of resources has not been adjusted to reflect the Committee's 80% (program activity 1) and 20 % (program activity 2) division for each program activity.

### Human Resources (FTEs)

<b>2007-2008</b>	<b>2008-2009 **</b>	<b>2009-2010</b>
9	9	8

\*\* 1 FTE is for 9/12th of the year.

### The Committee's Priorities

<b>Name</b>	<b>Type</b>
1. Focus on quality of case review and reduction of backlog	ongoing
2. Maintain optimum environment for ERC staff	ongoing
3. Ongoing evaluation of operations	ongoing
4. Solidify outreach and raise awareness of the ERC's work	ongoing
5. Development of a more robust infrastructure to address corporate requirements and integrate these in its operational framework	three years

## **The Committee's Plans and Priorities**

### **Operating Environment**

The primary objective of the [RCMP External Review Committee](#) (the "Committee") is to promote fair and open labour relations with the RCMP, in accordance with applicable principles of law and policy. The Committee is an independent arms-length agency created under the *RCMP Act*. The focus of the Committee's work is the impartial, independent and timely review of RCMP labour relations cases referred to it and the timely issuance recommendations to the Commissioner.

The Committee's case review process starts only when the Commissioner refers a case to it. By law, the Committee itself cannot initiate a review without the referral from the Commissioner. The cases that must be referred are described in the *RCMP Act* and include disciplinary appeals, discharge and demotion appeals and certain categories of grievances. When the Committee conducts a review of a case, it monitors the entire record before it: the original documents, the decision made, and the submissions of the parties. Where the review involves the appeal of a disciplinary or discharge and demotion decision, the transcript of the Board hearing is also before the Committee, as well as any exhibits entered at the hearing. The Chair reviews all the evidence, legal issues, relevant legislation and judicial decisions in making a recommendation.

After consideration of all the issues, the Chair of the Committee provides findings and recommendations to the RCMP Commissioner, who is the final decision-maker. If the RCMP Commissioner decides not to follow the Committee recommendations, the law requires that an explanation be given for not doing so in the reasons.

The Committee is a very small agency. It has one member who is both the Chair and Chief Executive Officer. She was appointed by the Governor in Council, and assumed her position on November 1, 2005. Under the *Act*, anyone who sits on the Committee cannot be a member or former member of the RCMP. Up to this year, the Committee also had a total of six FTEs. Recent funding for three additional temporary FTEs has been received.

It is never possible to predict the number of cases that will be referred to the Committee in any one year. With the exception of this current fiscal year, there has been an increase in cases referred. At the present time, the Committee has a backlog of cases. It has responded to this through implementing several internal measures to ensure a faster review of cases. As well, the Committee requested and received additional funds for its operations. At this time the funding is not of a long term nature and the Committee will explore the possibility of securing these funds on an indeterminate basis. Also, the Committee monitors its case management practices to ensure effectiveness and to maintain a high quality of findings and recommendations.

The Committee also performs an important outreach function. Its quarterly *Communiqué* and Web site provide summaries on all new recommendations issued, as well as discussion papers on relevant legal and policy issues. The Committee recently undertook an informal survey of the effectiveness of its *Communiqué* and Web site with members of the RCMP Staff Relations Representatives Program and with the Professional Standards and External Review Branch of the RCMP, both of whom address labour relations issues. This survey indicated that the *Communiqué* is considered a very helpful reference tool for the membership. As well, staff meet with stakeholders throughout the year, and these exchanges are extremely helpful as part of its ongoing understanding of labour relations issues within the RCMP

In the corporate sphere, the Committee has taken many steps to meet federal wide objectives, and become an organization that is robust. It has been committed to meeting these demands and thereby provide greater accountability and transparency in its entire operational framework. This has been challenging however, because as a very small agency, it has been funded primarily for operational purposes only. Nevertheless, the Committee considers the implementation of federal wide objectives as key to meeting the government objective of accountability. While this is important, the Committee must deal with added reporting pressures that are equivalent to those of a large department or agency.

Below are described in more detail the priorities for the Committee's program activities in this planning period. These include timely and high quality case review and the reduction of its backlog; maintaining an optimum work environment for staff of the Committee; ensuring the ongoing evaluation of its operations to maximize its efficiency; ensuring outreach to its stakeholders; and the integration of a corporate framework into the entire business framework of the Committee.

### Plans and Priorities

#### **1. Focus on quality of case review and reduction of backlog (*ongoing*)**

An ongoing priority of the Committee will continue to be the effectiveness and efficiency in its case review functions. It will focus on ensuring that it issues case recommendations of high quality through an independent, arms length and expeditious process.

Linked to this priority is the reduction of the case backlog. The Committee has already implemented a number of changes to its process to this end. The Committee will continue to monitor the quality and efficiency of its case review and integrate innovative practices that make this process even more effective.

The Committee has received funds of a limited duration to facilitate case review and reduce the backlog and will be staffing these positions as soon as possible. These

positions should assist in relieving some of the demands on staff as well as allowing greater time to be devoted to case review. The Committee will also explore avenues toward securing resources on a longer term basis and integrating case management information technology in its operational environment.

The Committee continues to ensure that its research tools are up to date and that staff have the resources needed to conduct quality case reviews.

## **2. Maintain optimum environment for ERC staff (ongoing)**

Both the *Public Service Modernization Act* (PSMA) and the federal government wide Training Policy invite workplaces to implement policies and practices that maintain a positive working environment for everyone. The Committee will ensure that indeterminate positions at the Committee are filled. It will continue to hold staff meetings on a regular basis to encourage knowledge sharing and positive and collegial communication and consultation on both operational and corporate matters. The Committee is also committed to ensuring staff receive the training they need both for the work they do, as well as training toward longer term career goals.

In addition, the Committee will monitor and evaluate the implementation of its human resource plan, and monitor the key initiatives coming out of public service modernization, such as the informal conflict management system, co-development and labour management consultation.

## **3. Ongoing evaluation of operations (ongoing)**

The Committee regularly evaluates its operational performance through the delivery of findings and recommendations and other measurable factors. In addition, in the 2006-07 fiscal year, the Committee embarked on its Management Accountability Framework (MAF) self assessment. The Committee will develop an Action Plan coming out of its assessment as well as the Treasury Board Secretariat assessment of the organization.

Other matters also provide an opportunity for review of the Committee's work. The Committee began its operations in 1988 and will celebrate its twentieth anniversary during fiscal year 2008-2009. This important juncture offers an opportunity to review its role in a more comprehensive manner. The Committee will plan and prepare tools and events to celebrate this landmark.

In addition, implementation of the recommendations in the reports issued by Justice O'Connor in the *Commission of Inquiry into the Actions of Canadian Officials in Relation to Maher Arar* may lead to input from the Committee. The Committee will provide observations to inform that process, should it occur.



**4. Solidify outreach and raise awareness of the ERC's work (ongoing)**

The Committee will maintain its commitment to communication of its findings and recommendations, and will also ensure they are available through its Web site, and through the quarterly publication of its *Communiqué*.

The Committee will continue to build on the momentum it has gained in outreach over the last two years and explore other opportunities for outreach. It will continue to meet with its stakeholders, such as the RCMP Staff Relations Representatives and the Professional Standards and External Review Branch of the RCMP, on general labour relations matters. The Committee will also seek other possibilities for outreach, which could include knowledge sharing and capacity building with management and employees of the RCMP about the Committee and its role and mandate under the *RCMP Act*.

In addition, the Committee will continue to maintain a high commitment to answering requests for information as quickly as possible.

Finally, the Committee will be commemorating its twentieth anniversary in the 2008-2009 fiscal year and will be planning an outreach event.

**5. Development of a more robust infrastructure to address corporate requirements and integrate these in its operational framework (three years)**

Over the last several years, the Committee has observed an increasing number of reporting and corporate requirements coming from central agencies of the federal government. These have arisen due to important federal government initiatives that focus on results, adopt a risk management approach, ensure sound stewardship, address both the letter and the spirit of the new PSMA, and integrate requirements to ensure accountability and transparency in the public service.

While many of these initiatives may be categorized as corporate in nature, the requirement to implement them affects the operations of the Committee. To ensure that such initiatives have a constructive and meaningful effect and that they are integrated into the organizational framework requires resources. Therefore, over the next three years, the Committee will explore methods for ensuring that these issues are addressed, both on a transactional level, as well as on a strategic level. Using tools such as the Management Accountability Framework and the Risk Management Framework the Committee will examine service delivery models, funding sources, and will also work with other stakeholders toward addressing these important issues.

## Section II - Analysis of Program Activities by Strategic Outcome

### Logic Model

In 2004, the entire staff of the Committee participated in an exercise to develop a performance measurement strategy and adopted for its guidance the following logic model:

Inputs	Financial Resources (Budget: \$1,044,000) People (9 FTEs)
Activity	1. Independent, timely, fair and impartial case review leading to the provision of quality findings and recommendations in all cases referred to the Committee.
	2. Dissemination of information on the role of the Committee and its findings and recommendations, as well as on relevant legal principles.
Outputs	<u>Activity 1</u> Findings and Recommendations reports for each case reviewed that are independent, timely, fair and impartial. (The recipients of the Committee's reports include the Commissioner of the RCMP (through the Professional Standards and External Review Directorate) and the parties; the RCMP community at large and the public have access to summaries of each report.)
	<u>Activity 2</u> Communication Tools ( <i>Communiqué</i> ), a quarterly publication; Annual Report; Web site; presentations and information sessions; responses to information requests; TBS reports)
Immediate Outcomes	<u>Activity 1</u> The recipients of the Committee's reports and report summaries have a full understanding of the Committee's rationale for its findings and recommendations in all cases.
	<u>Activity 2</u> The RCMP community at large, and the public in general, are informed about the Committee's role and its decisions, and learn more about relevant legal principles.
Intermediate Outcomes	<u>Activity 1</u> All recipients of the Committee's reports of findings and recommendations and the summaries of such reports are better informed because of the independent recommendations received from the Committee, and use this knowledge in making decisions in labour relations matters.
	<u>Activity 2</u> RCMP Users of the Committee's communication tools are more informed when participating in the RCMP labour relations procedures and more aware of applicable law, regulations and policies. Non-RCMP users of the Committee's communication tools increase their understanding of the RCMP internal management process in particular, and relevant legal principles in general.
Ultimate Outcome (Strategic Outcome)	<b>The RCMP External Review Committee aims to positively influence the manner in which labour relations issues are addressed within the RCMP.</b>

## **Analysis by Program Activity**

In order to work towards achieving its strategic outcome, the Committee carries on two primary activities.

**Program Activity 1:** Independent, timely, fair and impartial case review leading to the provision of quality findings and recommendations in all cases referred to the Committee

Expected Result	All recipients of the Committee's reports of findings and recommendations and the summaries of such reports are better informed because of the independent recommendations received from the Committee, and use this knowledge in making decisions in labour relations matters
Indicator	Extent to which the Committee recommendations are utilized, as measured by information about issues raised by the Committee that have led to changes in RCMP labour relations practices, policies or procedures.
Data Source	Report on interviews/internal file review
Frequency	Annually
Actual	tbd
Effective Date for Actual Value	tbd
Target	tbd

The Committee Chair can dispose of matters referred to the Committee by the RCMP either on the basis of the material in the record or following a hearing. In conducting its review of matters referred to it, the Committee attempts to achieve a balance amongst the many complex and different interests involved while ensuring that the principles of administrative and labour law are respected and the remedial approach indicated by the *RCMP Act* is followed. In each case, the Committee must consider the public interest and ensure that members of the RCMP are treated in a fair and equitable manner.

The Committee's focus on effectiveness and efficiency in both its case review process and management functions will assist it to achieve this result.

Financial Resources for Program Activity 1 (\$thousands) \*

2007-2008	2008-2009	2009-2010
905	880	800

\* This breakdown of resources has not been adjusted to reflect the Committee's 80% (program activity 1) and 20 % (program activity 2) division for each program activity.

Human Resources for Program Activity 1 (FTEs)

2007-2008	2008-2009 **	2009-2010
7	7	6

\*\* 1 FTE is for 9/12th of the year.

**Program Activity 2:**                   Dissemination of information on the role of the Committee and its findings and recommendations, as well as on relevant legal principles

Expected Result	RCMP users of the Committee's communication tools are more informed when participating in the RCMP labour relations procedures and more aware of applicable law, regulations and policies. Non-RCMP users of the Committee's communication tools increase their understanding of the RCMP internal management process in particular, and relevant legal principles in general.
Indicator	Total number of people reached through the RCMP ERC Communication tools; number of hits on Web site
Data Source	RCMP ERC Web site; distribution lists; internal tracking system for information requests
Frequency	Annually
Actual	tbd
Effective Date for Actual Value	tbd
Target	tbd

The Committee ensures that its findings and recommendations in each case are clearly explained for the parties and the RCMP Commissioner. Summaries of the findings and recommendations in each case, as well as articles of interest and information on related issues, are distributed widely through a quarterly publication (*Communiqué*), as well as through timely inclusion on the ERC Web site and preparation of the annual report and other documents of government accountability.

The Committee strives to make information available in a timely and accessible way, again with the overriding objective of influencing in a positive way the RCMP labour relations environment, and contributing knowledge and ideas to the disciplines of administrative and labour law.

Financial Resources for Program Activity 2 (\$thousands) \*

<b>2007-2008</b>	<b>2008-2009</b>	<b>2009-2010</b>
287	280	260

\* This breakdown of resources has not been adjusted to reflect the Committee's 80% (program activity 1) and 20 % (program activity 2) division for each program activity.

Human Resources for Program Activity 2 (FTEs)

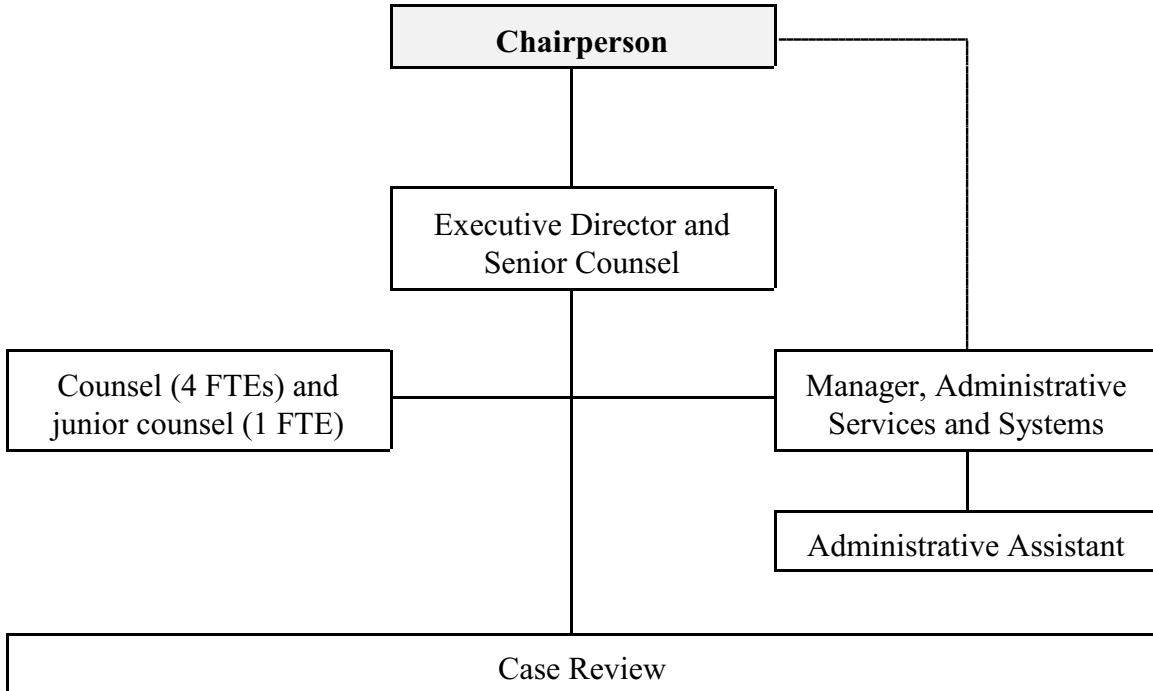
<b>2007-2008</b>	<b>2008-2009 **</b>	<b>2009-2010</b>
2	2	2

\*\* 1 FTE is for 9/12th of the year.

## Section III - Supplementary Information

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### Organizational Information



## Departmental links to the Government of Canada Outcomes

Strategic Outcome	Government of Canada Outcomes
<p>The RCMP External Review Committee aims to positively influence the manner in which labour relations issues are addressed within the RCMP.</p> <p><i>Total Planned Spending (\$thousands): \$1,192 *</i></p>	<p>The Strategic Outcome of the RCMP External Review Committee supports all government outcomes:</p> <ol style="list-style-type: none"> <li>1. <u>Economic Affairs</u> <ul style="list-style-type: none"> <li>· income security and employment for Canadians;</li> <li>· strong economic growth;</li> <li>· an innovative and knowledge-based economy;</li> <li>· a clean and healthy environment; and</li> <li>· a fair and secure marketplace.</li> </ul> </li> <li>2. <u>Social Affairs</u> <ul style="list-style-type: none"> <li>· healthy Canadians;</li> <li>· safe and secure communities;</li> <li>· a diverse society that promotes linguistic duality and social inclusion; and</li> <li>· a vibrant Canadian culture and heritage.</li> </ul> </li> <li>3. <u>International Affairs</u> <ul style="list-style-type: none"> <li>· a safe and secure world through international cooperation;</li> <li>· global poverty reduction through sustainable development;</li> <li>· a strong and mutually beneficial North American partnership; and</li> <li>· a prosperous Canada through global commerce.</li> </ul> </li> <li>4. <u>Government Affairs</u></li> </ol>
<p><u>Program Activity 1:</u></p> <p>Independent, timely, fair and impartial case review leading to the provision of quality findings and recommendations in all cases referred to the Committee</p> <p><i>Total Planned Spending (\$thousands): \$905 *</i></p>	
<p><u>Program Activity 2:</u></p> <p>Dissemination of information on the role of the Committee and its findings and recommendations, as well as on relevant legal principles</p> <p><i>Total Planned Spending (\$thousands): \$287 *</i></p>	

\* This breakdown of resources has not been adjusted to reflect the Committee's 80% (program activity 1) and 20 % (program activity 2) division for each program activity.

**Table 1: Departmental Planned Spending and Full Time Equivalents**

(thousands of dollars)	Forecast Spending 2006-2007	<b>Planned Spending 2007-2008</b>	Planned Spending 2008-2009	Planned Spending 2009-2010
<b>Program Activity</b>				
<b>1. Independent, timely, fair and impartial case review leading to the provision of quality findings and recommendations in all cases referred to the Committee</b>	669	<b>905 *</b>	880	800
<b>2. Dissemination of information on the role of the Committee and its findings and recommendations, as well as on relevant legal principles</b>	226	<b>287 *</b>	280	260
Budgetary Main Estimates (gross)	895	<b>1,192</b>	1,160	1,060
Less: Respendable revenue	0	<b>0</b>	0	0
<b>Total Main Estimates</b>	895	<b>1,192</b>	1,160	1,060
<i>Adjustments:</i>				
Supplementary Estimates:				
- TB submission	69	<b>0</b>	0	0
- Carry forward	16	<b>0</b>	0	0
<i>Other:</i>				
- TB Vote 15	5	<b>0</b>	0	0
<i>Total Adjustments</i>	<i>90</i>	<i>0</i>	<i>0</i>	<i>0</i>
<b>Total Planned Spending</b>	985	<b>1,192</b>	1,160	1,060
Less: Non respendable revenue	0	<b>0</b>	0	0
Plus: Cost of services received without charge	138	<b>151</b>	151	151
<b>Net Cost of Program</b>	1,123	<b>1,343</b>	1,311	1,211
<b>Full Time Equivalents</b>	6	<b>9</b>	9 *	8

\*\* This breakdown of resources has not been adjusted to reflect the Committee's 80% (program activity 1) and 20 % (program activity 2) division for each program activity.

\* 1 FTE is for 9/12th of the year.



**Table 2: Voted and Statutory Items listed in Main Estimates**

Vote or Statutory Item	Truncated Vote or Statutory Wording	2007-2008 Main Estimates (\$thousands)	2006-2007 Main Estimates (\$thousands)
60	Program expenditures	1,044	790
(S)	Contributions to employee benefit plans	148	105
	<b>Total for the Committee</b>	<b>1,192</b>	<b>895</b>

**Table 3: Services Received Without Charge**

(thousands of dollars)	2007-2008
Accommodation provided by Public Works and Government Services Canada (PWGSC)	95
Contributions covering employers' share of employees' insurance premiums and expenditures paid by Treasury Board of Canada Secretariat (excluding revolving funds)	56
Salary and associated expenditures of legal services provided by the Department of Justice Canada	0
<b>Total 2007-2008 Services received without charge</b>	<b>151</b>

**Table 4: Internal Audits and Evaluations**

Name of Internal Audit/Evaluation	Audit Type/Evaluation Type	Status	Expected Completion Date	Electronic Link to Report
1. Participating in a One Pass Planning exercise with the Office of the Auditor General pertaining to an overall One Pass Planning for Public Safety and Emergency Preparedness Canada	audit	<i>Start date:</i> January 2006	unknown	n/a
2. The Committee is in the process of an audit by the Office of the Auditor General as part of the ongoing Small Agencies audit	audit	<i>Start date:</i> January 2006	unknown	n/a
3. The Office of the Comptroller General conducted a horizontal audit of a number of small agencies including the RCMP External Review Committee	audit	<i>Start date:</i> October 2006	unknown	n/a

**Table 5: References**

<p>RCMP External Review Committee  P.O. Box 1159, Station B  Ottawa, ON K1P 5R2</p> <p>Tel: (613) 998-2134  Fax: (613) 990-8969  Web site: <a href="http://www.erc-cee.gc.ca">www.erc-cee.gc.ca</a>  E-mail: <a href="mailto:org@erc-cee.gc.ca">org@erc-cee.gc.ca</a></p>	<p><a href="#">RCMP External Review Committee Annual Report</a></p> <p><a href="#">RCMP External Review Committee Communiqué</a></p>
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