

# **SUPERINTENDENT'S LIST OF FINANCIAL INSTITUTIONS OFFERING LOCKED-IN PENSION PRODUCTS**

for the Purposes of

**Sections 38, 39 & 40 of the Alberta Employment Pension Plans Regulation (35/2000) and the Alberta Employment Pension Plans (General 2006) Amendment Regulation Effective August 10, 2006 (Updated January 8, 2008)**

The Financial Institutions that appear on this list, as indicated in bold typeface, have been acknowledged by the Superintendent to accept locked-in funds into the types of contracts indicated, and are indicated in bold typeface. The companies listed in regular typeface have been authorized by the Financial Institutions to accept locked-in funds on their behalf, and payments may be made directly to those listed agents.

There may be institutions that have applied to appear on this list but whose application was still being processed at the time this list was updated. Please contact our office to verify the status of any Financial Institution with respect to this list.

Questions may be directed to:

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Website: [www.finance.alberta.ca/business/pensions/](http://www.finance.alberta.ca/business/pensions/)

<b>BANKS</b>	<b>LIRA</b>	<b>LIF</b>
<b>Canadian Western Bank</b>	1-May-07	
<b>CIBC (Canadian Imperial Bank of Commerce)</b>	1-Feb-07	23-Oct-07
<b>Citizens Bank of Canada</b>	17-Oct-07	
<b>HSBC Bank Canada</b>	14-Sep-07	
<b>ING DIRECT (ING BANK OF CANADA)</b>	13-Nov-07	
<b>National Bank of Canada</b>	11-Dec-07	
<b>Ubiquity Bank of Canada</b>	26-Sep-06	26-Sep-06
<b>CREDIT UNIONS</b>	<b>LIRA</b>	<b>LIF</b>
<b>Battle River Credit Union Ltd.</b>	27-Oct-06	27-Oct-06
<b>Coastal Community Credit Union</b>	11-Dec-06	
<b>Coast Capital Savings Credit Union</b>	31-Aug-06	31-Aug-06
<b>Community Credit Union Ltd.</b>	20-Nov-06	20-Nov-06
<b>Community Savings Credit Union</b>	12-Jul-07	12-Jul-07
<b>Credit Union Central of British Columbia</b>	08-Sep-06	08-Sep-06
§ Aldergrove Credit Union	X	X
§ Arrow Credit Union	X	X
§ Bulkley Valley Credit Union	X	X
§ Castlegar Savings Credit Union	X	X
§ Columbia Valley Credit Union	X	X
§ Compensation Employees Credit Union	X	X
§ Creston and District Credit Union	X	X
§ Cumberland and District Credit Union	X	X
§ East Kootenay Community Credit Union	X	X
§ Enderby and District Credit Union	X	X
§ Grand Forks & District Credit Union	X	X
§ Greater Vancouver Community Credit Union	X	X
§ Greater Victoria Savings Credit Union	X	X
§ Gulf & Fraser Credit Union	X	X
§ Integris Credit Union	X	X
§ Khalsa Credit Union	X	X
§ Ladysmith & District Credit Union	X	X
§ Lake View Credit Union	X	X
§ Mt Lehman Credit Union	X	X
§ Nelson and District Credit Union	X	X
§ North Peace Savings Credit Union	X	X
§ Northern Savings Credit Union	X	X
§ Operating Engineers Credit Union	X	X
§ Osoyoos Credit Union	X	X
§ Quadra Credit Union	X	X
§ Revelstoke Credit Union	X	X
§ Salmon Arm Savings Credit Union	X	X
§ Sharons Credit Union	X	X
§ Spruce Credit Union	X	X
§ Summerland and District Credit Union	X	X
§ Sunshine Coast Credit Union	X	X

	<b>LIRA</b>	<b>LIF</b>
§ Union Bay Credit Union	X	X
§ V.P. Credit Union	X	X
§ Vancouver Firefighters Credit Union	X	X
§ Vernon Credit Union	X	X
<b>Envision Credit Union</b>	30-Aug-06	30-Aug-06
<b>First Calgary Savings</b>	18-Oct-07	
<b>Interior Savings Credit Union</b>	24-Oct-06	24-Oct-06
<b>Island Savings Credit Union</b>	14-Sep-07	14-Sep-07
<b>North Shore Credit Union</b>	29-Jun-07	29-Jun-07
<b>Prospera Credit Union</b>	26-Sep-06	26-Sep-06
<b>Servus Credit Union Ltd.</b>	1-Nov-06	1-Nov-06
<b>Valley First Credit Union</b>	12-Dec-06	12-Dec-06
<b>Vancouver City Savings Credit Union</b>	6-Nov-06	6-Nov-06
<b>Westminster Savings Credit Union</b>	12-Oct-07	12-Oct-07
<b>FRATERNAL BENEFIT SOCIETIES</b>		
	<b>LIRA</b>	<b>LIF</b>
<b>Independent Order of Foresters, The (IOF Foresters)</b>	8-Mar-07	
<b>Knights Of Columbus</b>	29-Oct-07	
<b>Lutheran Life Insurance Society of Canada</b>	4-Jul-07	4-Jul-07
§ Faith Life Financial	X	X
<b>INSURANCE COMPANIES</b>		
	<b>LIRA</b>	<b>LIF</b>
<b>Allianz Life Insurance Company of North America</b>	21-Feb-07	21-Feb-07
<b>Canada Life Assurance Company, The</b>	20-Nov-07	20-Nov-07
<b>Co-operators Life Insurance Company</b>	7-Dec-06	7-Dec-06
<b>Desjardins Financial Security Life Assurance Company</b>	6-Dec-06	9-Nov-07
<b>Empire Life Insurance Company, The</b>	29-Aug-07	29-Aug-07
<b>Equitable Life Insurance Company of Canada, The</b>	15-Nov-07	15-Nov-07
<b>Great-West Life Assurance Company, The</b>	18-Oct-07	18-Oct-07
<b>Industrial-Alliance Life Insurance and Financial Services Inc.</b>	2-Feb-07	2-Feb-07
<b>Industrial-Alliance Pacific Life Insurance Company</b>	2-Feb-07	2-Feb-07
<b>London Life Insurance Company</b>	18-Oct-07	18-Oct-07
<b>Manufacturers Life Insurance Company, The</b>	1-Jan-07	1-Jan-07
<b>National Bank Life Insurance Company</b>	11-Dec-07	11-Dec-07
§ National Bank of Canada	X	X
<b>Primerica Life Insurance Company of Canada</b>	30-Nov-07	
<b>RBC Life Insurance Company</b>	26-Mar-07	26-Mar-07
<b>SSQ Life Insurance Company Inc.</b>	27-Jun-07	27-Jun-07
<b>Standard Life Assurance Company, The</b>	18-Oct-06	18-Oct-06
<b>State Farm International Life Insurance Company Ltd.</b>	15-Nov-07	
<b>Sun Life Assurance Company of Canada, The</b>	1-Jul-07	30-Aug-07
§ CI Investments Inc.	X	X
<b>Transamerica Life Canada</b>	12-Dec-06	12-Dec-06
<b>Wawanesa Life Insurance Company, The</b>	22-Oct-07	

<b>OTHER</b>	<b>LIRA</b>	<b>LIF</b>
<b>Alberta Treasury Branches</b>	15-Jan-07	15-Jan-07
§ ATB Securities Inc.	X	X
§ ATB Investment Services Inc.	X	X
<b>Concentra Financial Services Association</b>	20-Sep-06	20-Sep-06
<b>TRUST COMPANIES</b>	<b>LIRA</b>	<b>LIF</b>
<b>AGF Trust Company</b>	23-Oct-06	23-Oct-06
§ AGF Funds Inc.	X	X
<b>B2B Trust</b>	17-Oct-07	17-Oct-07
<b>BMO Trust Company</b>	1-Jan-07	1-Jan-07
§ BMO Bank of Montreal	X	X
§ BMO Harris Private Banking	X	X
§ BMO Investments Inc.	X	X
§ BMO InvestorLine Inc.	X	X
§ BMO Nesbitt Burns Inc.	X	X
§ Guardian Group of Funds Inc.	X	X
<b>Bank of Nova Scotia Trust Company, The (Scotiast)</b>	2-Oct-07	2-Oct-07
§ The Bank of Nova Scotia	X	X
§ Scotia Capital Inc.	X	X
§ Scotia Securities Inc.	X	X
§ Scotia Mortgage Corporation	X	
§ Montreal Trust Company of Canada	X	
§ Maple Trust Company	X	
§ National Trust Company	X	
<b>Canadian Western Trust</b>	21-Aug-06	21-Aug-06
§ ATB Securities Inc.	X	X
§ BenFlex Services Inc.	X	X
§ Haywood Securities Inc.	X	X
§ Leede Financial Markets Ltd.	X	X
§ Odlum Brown Limited	X	X
§ Qtrade Asset Management Inc.	X	X
§ Qtrade Fund Management Inc.	X	X
§ Qtrade Investor Inc.	X	X
§ Steadyhand Investment Funds Inc.	X	X
§ The Canadian Conference of the Mennonite Brethren Church of North America	X	X
§ The Recordkeeper Limited	X	X
§ Trinity Western University	X	X
<b>Canada Trust Company, The</b>	27-Nov-06	27-Nov-06
§ AIC Limited	X	X
§ Arrow Hedge Partners Inc.	X	X
§ Assante Capital Management Ltd.	X	X
§ Assante Financial Management Ltd.	X	X
§ Berkshire Investment Group Ltd.	X	X
§ Berkshire Securities Inc.	X	X

	<b>LIRA</b>	<b>LIF</b>
§ BluMont Capital Corporation	X	X
§ Canadian ShareOwner Investments Inc.	X	
§ CI Investments Inc.	X	X
§ Cougar Global Investments Limited	X	X
§ Dundee Securities Corporation	X	X
§ Dundee Structured Notes	X	
§ Dynamic Mutual Funds	X	X
§ Fidelity Investments Canada ULC	X	X
§ Fidelity Investments Life Insurance Company	X	
§ Fidelity Retirement Services Company of Canada Limited	X	X
§ First Ontario Fund	X	
§ FirstEnergy Capital Corp.	X	X
§ Global Maxfin Investments Inc.	X	X
§ Harbour Capital	X	X
§ Integral Wealth Securities	X	X
§ Integral Wealth Financial	X	X
§ Man Investments Canada Corp.	X	X
§ Manulife Bank of Canada	X	
§ Manulife Securities International Ltd.	X	X
§ PEAK Investment Services Inc.	X	X
§ PEAK Securities Inc.	X	X
§ Rice Financial Group Inc.	X	X
§ TD Asset Management Inc.	X	X
§ TD Asset Management USA	X	X
§ TD Harbour Capital	X	X
§ The Toronto Dominion Bank (TD Canada Trust)	X	
§ TD Canada Trust Flexi-RIF		X
§ TD Future Builder Group RRSP	X	
§ TD Waterhouse Private Investment Counsel	X	X
§ TD Waterhouse Canada Inc.	X	X
§ TD Waterhouse Institutional Services Canada Inc.	X	X
§ TD Waterhouse Private Trust	X	X
§ Tradex Management Inc.	X	X
§ Tricycle Capital Corporation	X	X
§ Worldsource Financial Management Inc.	X	X
<b>CIBC Mellon Trust Company</b>	6-Dec-07	6-Dec-07
§ Foyston, Gordon & Payne Inc.	X	X
§ Galileo Equity Management Inc.	X	X
§ Integra Capital Financial Corporation	X	X
§ Integra Capital Management Corporation	X	X
§ Leith Wheeler Investment Management Ltd.	X	X
§ Manufacturers Life Insurance Company, The	X	
<b>CIBC Trust Corporation</b>	7-Feb-07	23-Oct-07
§ CIBC Wood Gundy	X	X
§ CIBC Securities Inc.	X	X

	<b>LIRA</b>	<b>LIF</b>
§ CIBC President's Choice Financial	X	
§ CIBC Investor's Services	X	X
§ CIBC Asset Management	X	X
§ Talvest	X	X
<b>Computershare Trust Company of Canada</b>	22-Aug-06	22-Aug-06
§ Blackmont Capital Inc.	X	X
§ Caldwell Securities Ltd.	X	X
§ Canaccord Capital Corporation	X	X
§ Credential Securities Inc	X	X
§ E*TRADE Canada Securities Corporation	X	X
§ Global Securities Corporation	X	X
§ GMP Securities L.P.	X	X
§ Jory Capital Inc.	X	X
§ Keybase Financial Group Inc.	X	
§ NBF Emissary Turnkey Solution LP	X	X
§ Northern Securities Inc. (Georgia Pacific)	X	X
§ Open Access	X	X
§ PI Financial Corp.	X	X
§ Penson Financial Services Canada Inc.	X	X
§ Peters & Co. Limited	X	X
§ Questrade Inc.	X	X
§ Raymond James Ltd.	X	X
§ Salman Partners Inc.	X	
§ Sprott Assest Management Inc.	X	X
§ Cormark Securities Inc.	X	X
§ Stone & Co. Limited	X	X
§ Union Securities Ltd.	X	X
§ Wolverton Securities Ltd.	X	X
<b>Concentra Trust</b>	20-Sep-06	20-Sep-06
§ Advantage Credit Union.	X	
§ Apex Savings Credit Union	X	
§ Assiniboine Credit Union	X	
§ Astra Credit Union	X	
§ Agassiz Credit Union	X	X
§ Asset Logics	X	
§ Belgian Credit Union	X	
§ Beaumont Credit Union	X	
§ Bow Valley Credit Union Ltd.	X	
§ Brook Street Credit Union	X	
§ Caisse Horizon Credit Union	X	
§ Calgary Police Credit Union	X	
§ Calgary Hospital Employees Credit Union Ltd.	X	
§ Cambrian Credit Union	X	X
§ Canada Safeway Limited Employees Savings & Credit Union	X	
§ Canora Credit Union Ltd.		X

	<b>LIRA</b>	<b>LIF</b>
§ Carpathian Credit Union Ltd.	X	
§ Casera Credit Union	X	
§ CCEC Credit Union	X	
§ Chinook Credit Union	X	X
§ Christian Credit Union	X	
§ City Plus	X	
§ Crosstown Credit Union	X	
§ Common Wealth Credit Union	X	X
§ Community Credit Union - MB	X	X
§ Concentra Financial Self-Directed	X	X
§ Conexus Credit Union	X	
§ Cornerstone Credit Union	X	
§ Credential Asset Management Inc.	X	X
§ Crocus Credit Union	X	
§ Crocus Investment Fund	X	
§ CS Alterna Bank	X	X
§ Crown Ventures Fund Inc.	X	
§ Cut Knife Credit Union Ltd.	X	
§ Cypress Credit Union	X	
§ Dauphin Plains Credit Union	X	
§ Debden Credit Union Ltd.	X	
§ Easternedge Credit Union	X	
§ Eckville District Savings & Credit Union	X	X
§ Edson Credit Union	X	X
§ Eriksdale Credit Union	X	
§ Ethical Funds	X	X
§ 1 <sup>st</sup> Choice Savings & Credit Union Ltd.	X	
§ First Island Financial Investment Inc.	X	
§ Fisgard Capital Corporation	X	X
§ Gateway Credit Union Ltd.	X	
§ Goodsoil Credit Union Ltd.	X	
§ Growth Works WOF Ltd.	X	
§ ING Direct Funds	X	X
§ Inglewood Savings & Credit Union Ltd.	X	
§ Innovation Credit Union	X	
§ Inhance Investment Management Inc.	X	X
§ Key Savings & Credit Union	X	X
§ Kindersley Credit Union	X	
§ Kings University College	X	
§ La Salle Credit Union	X	
§ Lakeland Credit Union	X	X
§ Langham Credit Union	X	
§ Legacy Savings and Credit Union	X	X
§ Lloydminster Credit Union	X	X
§ Lutheran Church - Canada, Alberta, British Columbia District Investments Ltd.	X	X

	<b>LIRA</b>	<b>LIF</b>
§ Macklin Credit Union Ltd.	X	
§ MacLeod Savings & Credit Union Ltd.	X	X
§ Meadow Lake Credit Union Ltd.	X	
§ Mendham-Burstall Credit Union	X	
§ Mennonite Savings & Credit Union	X	X
§ Meritas Funds	X	X
§ Mountain View Credit Union	X	
§ Newfoundland and Labrador Credit Union	X	
§ North Winnipeg Credit Union	X	
§ ONE Financial Corporation	X	
§ Ottawa Women's Credit Union	X	
§ Portus Alternative Management Ltd.	X	X
§ Pegasus Savings & Credit Union Ltd.	X	
§ Pierceland Credit Union	X	
§ Pincher Creek Credit Union	X	X
§ Porcupine Credit Union Ltd.	X	
§ Portfolio Strategies Corporation	X	
§ Powell River Credit Union	X	
§ Prairie Centre Credit Union	X	
§ Pro-Financial Asset Management Inc.	X	
§ Prosperity (T.E. Investment Counsel Inc.)	X	
§ River City Credit Union	X	X
§ Rocky Credit Union	X	X
§ Rorketon & District Credit Union	X	
§ Royglenor Savings & Credit Union	X	
§ Sandhills Credit Union	X	
§ Saskatoon Credit Union	X	
§ Shell Employees' Credit Union	X	X
§ South Calgary Savings & Credit Union Ltd.	X	
§ South Interlake Credit Union	X	
§ Steinbach Credit Union	X	X
§ Sterling Mutuals Inc.	X	X
§ Swan Valley Credit Union	X	
§ Transcanada Credit Union Ltd.	X	
§ Vanguard Credit Union	X	
§ Vermilion Credit Union Ltd.	X	X
§ Wainwright Credit Union	X	X
§ Westoba Credit Union	X	
§ Wetaskiwin Credit Union Ltd.	X	
§ White Fox Savings & Credit Union	X	
<b>Desjardins Trust Inc.</b>	11-May-07	11-May-07
§ Desjardins Securities Inc.	X	X
§ Desjardins Financial Security Investments	X	X
§ Letko Brosseau	X	X
§ Northwest Mutual Funds	X	X
§ PFSL Investments Canada Ltd.	X	X



	<b>LIRA</b>	<b>LIF</b>
§ SFL Investments	X	X
<b>HSBC Trust Company (Canada)</b>	14-Sep-07	14-Sep-07
§ HSBC Securities (Canada) Inc.	X	X
§ HSBC Investments (Canada) Limited	X	
§ HSBC Investment Funds (Canada) Inc.	X	
<b>Industrial Alliance Trust Inc.</b>	11-Dec-07	11-Dec-07
§ Industrial Alliance Securities Inc.	X	X
§ IA Clarington Investments Inc.	X	X
<b>Investors Group Trust Co. Ltd.</b>	7-Dec-06	7-Dec-06
§ London Life Insurance Company	X	
<b>LBC Trust</b>	26-Jan-07	26-Jan-07
§ Elliot & Page	X	X
§ Front Steet Captial	X	
§ Investia Financial Services Inc.	X	X
§ Laurentian Bank of Canada	X	X
§ Manulife Conductor Notes	X	
§ Return on Innnovation Fund Inc.	X	X
§ Laurentian Bank Securities	X	X
<b>Maple Trust Company</b>	23-Aug-06	23-Aug-06
§ W.H. Stuart Mutuals Ltd. Self Directed	X	X
<b>M.R.S. Trust Company</b>	3-Jul-07	3-Jul-07
§ GRS Securities Inc.	X	
§ IPC Investment Corporation Registered Plan	X	X
§ Mackenzie Financial Corporation	X	X
§ MRS Inc.	X	X
§ MRS Securities Services Inc.	X	X
§ Quadrus Investment Services Ltd.	X	X
§ The Counsel Group of Funds	X	X
<b>Natcan Trust Company</b>	8-Sep-06	8-Sep-06
§ Altamira Investment Services Inc.	X	X
§ Edward Jones	X	X
§ Meritage Retirement Savings Plan	X	X
§ National Bank Financial Ltd.	X	X
§ National Bank Securities Inc.	X	X
§ National Bank Discount Brokerage Inc.	X	X
§ National Bank Trust Inc.	X	X
§ National Bank Correspondent Network (NBCN)	X	X
<b>Northern Trust Company Canada, The</b>	12-Dec-07	12-Dec-07
§ Genus Capital Management Inc.	X	X
<b>Olympia Trust Company</b>	5-Sep-06	5-Sep-06
<b>Peace Hills Trust Company</b>	25-Sep-07	
<b>Royal Trust Company, The</b>	5-Nov-07	5-Nov-07
§ ABC Funds	X	X
§ Acuity Funds Ltd.	X	X
§ Adroit Group Ltd.	X	X
§ AEGON Fund Management Inc.	X	X

	<b>LIRA</b>	<b>LIF</b>
§ AIM Funds Management Inc.	X	X
§ Beutel Goodman Managed Funds Inc.	X	X
§ Brownstone Investment Planning Inc.	X	X
§ Bullion Management Services Inc.	X	X
§ Burgundy Asset Management	X	X
§ Caldwell Investment Management Ltd.	X	
§ Canadian Medical Association / MD Management Ltd.	X	X
§ Connor Clark & Lunn Managed Portfolio's	X	X
§ Connor Clark & Lunn Private Capital	X	X
§ Criterion Investment Ltd. Vengrowth Capital Partners Inc.		
§ Duncan Ross Associates Ltd.	X	X
§ Excel Funds Management	X	X
§ Franklin Templeton Investments Corp.	X	X
§ Front Street Capital	X	
§ FrontierAlt Oasis Funds Management	X	X
§ GBC Asset Management Inc.	X	X
§ Global Wealth Builders Limited	X	X
§ Gluskin Sheff + Associates Inc.	X	X
§ Highstreet Asset Management Inc.	X	X
§ Janssen-Ortho Inc.	X	
§ Jarislowsky Fraser Limited	X	X
§ Jeffrey D. Stacey & Associates Ltd.	X	X
§ Jones Collombin Investment Counsel Inc.	X	X
§ JovFunds Management Inc.	X	
§ Lawrence Asset Management Inc.	X	X
§ MacDougall, MacDougall & MacTier Inc.	X	X
§ Manitou Investment Management Ltd	X	X
§ Marquest Investment Counsel Inc.	X	X
§ Mavrix Funds Management Inc.	X	X
§ Mawer Investment Management Ltd	X	X
§ McLean Budden Funds Inc.	X	X
§ MD Private Trust Company	X	X
§ Montrusco Bolton Investments Inc.	X	X
§ Nexus Investment Management Inc.	X	X
§ Octagon Capital Corporation	X	X
§ OTG Financial Services Inc.	X	X
§ Perennial Asset Management Corp.	X	X
§ QV Investors Inc.	X	X
§ RBC Asset Management Inc.	X	X
§ RBC Dexia Investor Services Trust	X	X
§ RBC Direct Investing.	X	X
§ RBC Dominion Securities Inc.	X	X
§ RBC Royal Bank (Royal Bank of Canada)	X	X
§ RBC Structured Notes.	X	X
§ Research Capital Corporation	X	X
§ Royal Trust Personal Trust Services	X	X

SUPERINTENDENT'S LIST OF FINANCIAL INSTITUTIONS OFFERING LOCKED-IN PENSION PRODUCTS

	<b>LIRA</b>	<b>LIF</b>
§ Saxon Funds Management Ltd.	X	X
§ Sceptre Investments Counsel Limited	X	X
§ SEI Investments Canada Company	X	X
§ Stylus Asset Management Inc.	X	X
§ Thornmark Asset Management Inc.	X	
§ Titan Funds Incorporated	X	X
§ UBS Bank (Canada)	X	X
§ Value Partners Investments Inc.	X	X
<b>Royal Trust Corporation of Canada</b>	5-Nov-07	5-Nov-07
§ Royal Trust Personal Trust Services	X	X
<b>Standard Life Trust Company</b>	18-Oct-06	18-Oct-06
§ Standard Life Mutual Funds Ltd.	X	X
<b>State Street Trust Company Canada</b>	11-Oct-07	11-Oct-07
§ Phillips, Hagar and North Investment Funds Limited	X	X
§ Hartford Canada	X	X
§ Russell Investments	X	X
§ Sarbit Asset Management Inc.	X	X
§ Sentry Select	X	X
<b>Sun Life Financial Trust Inc.</b>	1-Jul-07	1-Jan-07
<b>Western Pacific Trust Company</b>	11-Sep-07	11-Sep-07