NOTICE TO ALL APPLICANTS

Applicants are responsible for reading carefully the Ticket Lottery Regulations, a copy of which is available for your convenience at the time of filing the application. The entire set of Regulations apply to every Ticket Lottery and application. The applicant's attention is drawn particularly to the following:

- 1. All net receipts must be allocated and utilized by the charitable and religious organization for which the licence is granted. If required by the Authority, certification and confirmation of the disbursement of funds to the charitable/religious organization must be forwarded to the office of the Authority before any further application for licences on behalf of the same organization can be considered.
- 2. Regulation 9 of the Ticket Lottery Regulations, made pursuant to the Gaming Control Act states as follows:
 - 9 The Authority may prescribe such terms and conditions on a ticket lottery licence or permit as it considers necessary or desirable for the public interest and for the proper administration of these regulations.
- 3. Regulation 10 of the Ticket Lottery Regulations, made pursuant to the Gaming Control Act states as follows:
 - 10 The Authority may suspend or cancel a licence or permit where
 - (a) a licensee contravenes any requirement of the application or breaches any of the terms or conditions of the licence or any provision of the Act or these regulations: or
 - (b) the Authority determines that it is in the public interest to suspend or cancel the licence or permit.
- 4. Regulation 13 of the Ticket Lottery Regulations, made pursuant to the Gaming Control Act states as follows:
 - 13 (1) No licensee shall in any way engage in advertising, or permit anyone with whom the licensee contracts to engage in advertising that
 - (a) implies ticket lotteries promote or are required for social acceptance, personal or financial success or the resolution of any economic, social or personal problems;
 - (b) contains endorsements by well-known personalities that suggest playing ticket lotteries contributed to their success; or
 - (c) compares playing ticket lotteries to other forms of gaming in Nova Scotia.
 - (2) All ticket lottery advertisements shall state, in size and form approved by the Authority,
 - (a) the name of the licensee:
 - (b) the date, time and place of the ticket draw; and
 - (c) the current lottery licence or permit number and the year issued by the Authority, and shall clearly state the total cash value of prizes to be awarded.
 - (3) A licensee shall provide the Authority, at its request, with samples of advertising and promotional materials to be used in connection with a ticket lottery for approval by the Authority.
 - (4) A licensee shall not insert an advertisement in any publication which reserves a space or spaces for a ticket purchaser to place any name, address or telephone number that can be extracted from the advertisement and forwarded to the licensee in the original or duplicated form to be used as an entry in a licensed ticket lottery draw.
 - (5) Ticket lotteries licensed by the Authority shall not be advertised outside the Province nor shall tickets be sold outside the Province.
 - (6) No lottery ticket originating outside the Province or primarily for the benefit of charitable, religious or community objects or purposes outside the Province shall be sold in the Province.
- 5. Regulation 4(4) of the Ticket Lottery Regulations, made pursuant to the Gaming Control Act states as follows:
 - (4) A licensee shall forward to the Authority 4
 - (a) respecting a 1-day licence, within 30 days after the date on which the licence expires; and

- (b) respecting a licence valid for a period greater than 1 day, within 30 calendar days after the last business day of each calendar month during such period, commencing with the month in which the licence is issued, a specimen ticket together with a report showing
 - (i) the number of tickets printed,
 - (ii) the number of tickets sold,
 - (iii) the number of tickets unsold,
 - (iv) the price per ticket or group of tickets,
 - (v) the gross receipts from ticket sales,
 - (vi) the total expenses,
 - (vii)the total value of a prize awarded, and
 - (viii) a complete list of all prizes awarded and the names, addresses and telephone numbers of the winners.

The ticket lottery regulations provide for rules of play those regulations dealing with the rules of play are included in Regulation 14, 15, 16, 17, 18, 19, and 20 which are set forth.

6. Regulation 14 of the Ticket Lottery Regulations, made pursuant to the Gaming Control Act states as follows:

Rules

- 14 (1) A licensee may establish house rules, not inconsistent with these regulations or the terms and conditions of the licensee or permit issued to the licensee, governing the conduct and management of ticket lotteries.
 - (2) A licensee shall
 - (a) make available to any purchaser on request, all house rules established pursuant to subsection
 - (1); and
 - (b) read the house rules aloud immediately prior to the draw.
 - (3) House rules governing the operation of a draw must include, but are not restricted to, a description of
 - (a) the exact location of the draw;
 - (b) the procedures respecting how the draw is to be conducted and how winners are to be determined;
 - (c) restrictions, if any, regarding the purchase of tickets by members of any sponsoring organization and their families;
 - (d) the minimum number of tickets which must be sold before either
 - (I) a draw date extension will be requested, or
 - (ii) the draw will be cancelled;
 - (e) the order in which the prizes will be awarded;
 - (f) the circumstances in which cash in lieu of prizes will be offered; and
 - (g) the procedure to be followed if the winner cannot be located.
- 7. Regulation 15 of the Ticket Lottery Regulations, made pursuant to the Gaming Control Act states as follows:
 - 15 (1) Every draw shall be conducted by the licensee in public, in the presence of at least 3 persons at the time and place indicated on the application.
 - (2) In the case of a sport pool, entries must be opened, recorded and witnessed by a panel established by the licensee consisting of at least 3 persons for the purpose of verifying participation in and winners of the ticket lottery.
 - (3) Only entries received by the deadline date established by the licensee shall be eligible to participate in a ticket lottery.
 - (4) Where the identity of a prize winner is known upon completion of a draw, the licensee shall contact the prize winner immediately in person or by telephone and inform the prize winner of the result of the draw.
 - (5) Where the holder of a ticket is required to be present at a ticket lottery draw, this requirement must be printed on the ticket and must be contained in the house rules.

- (6) A second draw must be made for prizes unclaimed after 90 days following the original draw date and this requirement must be printed on the ticket and must be contained in the house rules.
- 8. Regulation 16 of the Ticket Lottery Regulations, made pursuant to the Gaming Control Act states as follows:
 - 16 A licence or permit may limit the geographical area and time period within which lottery tickets may be sold.
- 9. Regulation 17 of the Ticket Lottery Regulations, made pursuant to the Gaming Control Act states as follows:
 - 17 No licensee shall permit the dispensing of lottery tickets by means of a machine unless authorized by the Authority.
- 10. Regulation 18 of the Ticket Lottery Regulations, made pursuant to the Gaming Control Act states as follows:
 - 18 (1) Prizes shall be awarded by the licensee at the time, place and in the manner stated in the application filed by the licensee pursuant to subsection 4(1).
 - (2) No licensee shall reschedule any draw date or cancel a ticket lottery without the prior written approval of the Authority.
 - (3) The Authority may require an applicant to deposit with the Authority, a bond or other security satisfactory to the Authority in such amount as may be determined by the Authority which shall not in any case be greater than 6 times the value of the prizes to be awarded to ensure that the prizes are available to the winners upon completion of the lottery.
- 11. Regulation 19 of the Ticket Lottery Regulations, made pursuant to the Gaming Control Act states as follows:
 - 19 If the licensee is a charitable or religious organization, it shall conduct a ticket lottery in the geographical location set out in the application and authorized in the licence.
- 12. Regulation 20 of the Ticket Lottery Regulations, made pursuant to the Gaming Control Act states as follows: Conduct and management
 - 20 (1) Only personnel under the supervision of the licensee shall conduct, manage or assist in the conduct or management of a ticket lottery.
 - (2) Only the licensee shall
 - (a) conduct and manage a ticket lottery and handle cash transactions in relation thereto; and
 - (b) be responsible for advertising or promoting the ticket lottery.
 - (3) A person convicted of any criminal offence, for which such person did not receive a pardon, shall not be involved in any manner or capacity in the conduct and management of any ticket lottery, unless the Authority considers on its review, that the involvement of such person would not bring the conduct and management of such ticket lottery into disrepute.
 - (4) The conduct and management of a ticket lottery and draw shall not be delegated to any organization or to any person who is not a member of the licensee.
 - (5) A commission for selling tickets may be paid to a charitable or religious organization if the intention to do so is stated in the application for the licence or permit.