

**Nova Scotia Securities Commission**

**Rule 14-101 (Amendment)**

**-and-**

**Amendments to National Instrument 14-101 *Definitions***

WHEREAS:

1. Pursuant to section 150 of the *Securities Act*, R.S.N.S. 1989, chapter 418, as amended (the "Act"), the Nova Scotia Securities Commission (the "Commission") has power to make rules subject to compliance with the requirements of the Act;

Amendments to National Instrument 14-101 *Definitions* (hereinafter called the "Rule"), a copy of which is attached hereto, has been made a rule by one or more of the Canadian securities regulatory authorities; and


3. The Commission is of the opinion that the attainment of the purpose of the Act is advanced by this Instrument.


NOW THEREFORE the Commission hereby:

(a) pursuant to the authority contained in section 150 of the Act and subject to compliance with the requirements of section 150A of the Act, approves the Rule and makes the same a rule of the Commission; and

(b) declares that the rule approved and made pursuant to clause (a) shall take effect, with the approval and specification of the Minister under subsection 150A(4) of the Act, on **March 17, 2008**, unless the Minister disapproves the rule or returns it to the Commission in accordance with subsection 150A(3) of the Act in which event the rule shall not be effective until the rule is approved by the Minister.

IN WITNESS WHEREOF this Instrument has been signed by the Chair and Vice-Chair of the Commission, being the members of the Commission prescribed by the Chair pursuant to subsection 15(3) of the Act to attend the hearing of this matter and the quorum with respect to this matter, on the **1st** day of **February**, **2008**.

  
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H. Leslie O'Brien, Q.C.

  
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R. Daren Baxter

Attachments

**Amendments  
to  
National Instrument 14-101 *Definitions***

**1** *This Instrument amends National Instrument 14-101 Definitions.*

**2** *Section 1.1(3) is amended by repealing the definition of “person or company” and substituting the following:*

“**person or company**”, for the purpose of a national instrument or multilateral instrument, means,

- (a) in British Columbia, a “person” as defined in section 1(1) of the *Securities Act* (British Columbia);
- (b) in New Brunswick, a “person” as defined in section 1(1) of the *Securities Act* (New Brunswick);
- (c) in Prince Edward Island, a “person” as defined in section 1 of the *Securities Act* (Prince Edward Island);
- (d) in Québec, a “person” as defined in section 5.1 of the *Securities Act* (Québec); and
- (e) in Yukon Territory, a “person” as defined in section 1 of the *Securities Act* (Yukon Territory).

**3** *Appendix B is amended,*

- (a) *in the text opposite “New Brunswick”, by striking out “Security Frauds Prevention Act” and substituting “Securities Act”, and*
- (b) *by repealing the text opposite “Québec” and substituting the following:*

*Securities Act and the regulations under that Act, An Act respecting the Autorité des marchés financiers and the blanket rulings and orders issued by the securities regulatory authority.*

**4** *Appendix C is amended*

- (a) *by repealing the text opposite “New Brunswick” and substituting “New Brunswick Securities Commission”,*
- (b) *by repealing the text opposite “Prince Edward Island” and substituting “Superintendent of Securities, Prince Edward Island”,*

- (c) *by repealing the text opposite “Québec” and substituting “Autorité des marchés financiers or, where applicable, the Bureau de décision et de révision en valeurs mobilières”, and*
- (d) *by repealing the text opposite “Yukon Territory” and substituting “Superintendent of Securities, Yukon Territory”.*

**5** *Appendix D is amended*

- (a) *by repealing the text opposite “New Brunswick” and substituting “Executive Director as defined in section 1 of the Securities Act (New Brunswick).”,*
- (b) *by repealing the text opposite “Prince Edward Island” and substituting “Superintendent, as defined in section 1 of the Securities Act (Prince Edward Island).”,*
- (c) *by repealing the text opposite “Québec” and substituting “Autorité des marchés financiers.”, and*
- (d) *by repealing the text opposite “Yukon Territory” and substituting “Superintendent, as defined in section 1 of the Securities Act (Yukon Territory).”.*

**6** *This Instrument comes into force on March 17, 2008.*