

Table 2—Debt trades:

	<u>Entered into clearing agency by dealers</u>				<u>Matched in clearing agency by custodians</u>			
	<u># of Trades</u>	<u>% Industry</u>	<u>\$ Value of Trades</u>	<u>% Industry</u>	<u># of Trades</u>	<u>% Industry</u>	<u>\$ Value of Trades</u>	<u>% Industry</u>
T								
T+1								
T+2								
T+3								
>T+3								
Total								

Legend

"# of Trades" is the total number of transactions in the month;
"\$ Value of Trades" is the total value of the transactions (purchases and sales) in the month.

Exhibit B – Individual matched trade statistics

Using the format below, for each participant of the clearing agency, provide the percent of client trades during the quarter that have been entered and matched by the participant within the time required in Part 3 of the Instrument. The percentages given should relate to both the number of client trades that have been matched within the time and the aggregate value of the securities purchased and sold in the client trades that have been matched within the time.

<u>Participant</u>	Percentage matched within timelines			
	<u>Equity trades</u>		<u>Debt trades</u>	
	<u>By # of transactions</u>	<u>By Value</u>	<u>By # of transactions</u>	<u>By Value</u>

CERTIFICATE OF CLEARING AGENCY

The undersigned certifies that the information given in this report on behalf of the clearing agency is true and correct.

DATED at _____ this ____ day of _____ 20____

(Name of clearing agency - type or print)

(Name of director, officer or partner - type or print)

(Signature of director, officer or partner)

(Official capacity - type or print)