# NATIONAL INSTRUMENT 24-101 (the "Instrument")

### FORM 24-101F2

# CLEARING AGENCY QUARTERLY OPERATIONS REPORT OF INSTITUTIONAL TRADE REPORTING AND MATCHING

CALEN	DAR QUARTER PERIOD COVERED:						
From: _	to:						
IDENTI	FICATION AND CONTACT INFORMATION:						
1.	Full name of clearing agency:						
2.	Name(s) under which business is conducted, if different from item 1:						
3.	Address of clearing agency's principal place of business:						
4.	Mailing address, if different from business address:						
5.	Contact employee name:						
	Telephone number:						
	E-mail address:						
Deliver above,	this form together with all exhibits pursuant to section 5.1 of the Instrument, covering the calendar quarter indicated within 30 days of the end of the calendar quarter.  shall be provided in an electronic file, in the following file format: "CSV" (Comma Separated Variable) (e.g., the format by Microsoft Excel).						
EXHIBI	TS:						
1.	DATA REPORTING						
Exhibit A – Aggregate matched trade statistics							
For client trades, provide the information to complete Tables 1 and 2 below for each month in the quarter. These two tables can be integrated into one report. Provide separate aggregate information for trades that have been reported or entered into your facilities as matched trades by a matching service utility.							
Month/Year: (MMM/YYYY)							
Table 1—Equity trades:							
	Entered into clearing agency by dealers  Matched in clearing agency by custodians						

	Entered into clearing agency by dealers				Matched in clearing agency by			
					<u>custodians</u>			
	# of	% Industry	\$ Value of	% Industry	# of	% Industry	\$ Value of	% Industry
	Trades		Trades		Trades		Trades	
Т								
T+1								
T+2								
T+3								
>T+3								
Total								

#### Table 2—Debt trades:

	Entered into clearing agency by dealers				Matched in clearing agency by			
					<u>custodians</u>			
	# of % Industry \$ Value of % Industry			# of	% Industry	\$ Value of	% Industry	
	Trades		Trades		Trades		Trades	
Т								
T+1								
T+2								
T+3								
>T+3								
Total								

#### Legend

- "# of Trades" is the total number of transactions in the month;
- "\$ Value of Trades" is the total value of the transactions (purchases and sales) in the month.

#### Exhibit B - Individual matched trade statistics

Using the format below, for each participant of the clearing agency, provide the percent of client trades during the quarter that have been entered and matched by the participant within the time required in Part 3 of the Instrument. The percentages given should relate to both the number of client trades that have been matched within the time and the aggregate value of the securities purchased and sold in the client trades that have been matched within the time.

	Percentage matched within timelines						
	Equity trades		<u>Debt trades</u>				
<u>Participant</u>	By # of transactions By Value		By # of transactions	By Value			

## **CERTIFICATE OF CLEARING AGENCY**

The undersigned certifies that the information	ation given	in this report o	n behalf of the clearing age	ncy is true and correct.
DATED at	_ this	_ day of	20	
(Name of clearing agency - type or print)				
(Name of director, officer or partner - type	or print)		<del></del>	
(Signature of director, officer or partner)				
(Official capacity - type or print)				