

APPENDIX L

Related Amendments to Saskatchewan securities laws

This Appendix:

- contains notice that Saskatchewan Local Instrument 46-501 *Disclosure of Cash Calls* (SK Instrument 46-501) will be repealed;
- contains notice that Saskatchewan Local Instrument 47-501 *Marketing Communications* (SK Instrument 47-501) will be repealed; and
- lists the authority in *The Securities Act, 1988* (Saskatchewan) (the Act) which permits the Saskatchewan Financial Services Commission (the Commission) to adopt the proposed amendments.

Repeal of Saskatchewan Local Instruments

The Commission will repeal SK Instrument 46-501 and SK Instrument 47-501 when National Instrument 41-101 *Prospectus Disclosure Requirements* is repealed and proposed National Instrument 41-101 *General Prospectus Requirements* is adopted in Saskatchewan.

Authority for Amendments

The Commission has authority to make regulations adopting National Instrument 41-101 *General Prospectus Requirements* pursuant to the following provisions of the Act:

Under clause 154(1)(l) of the Act the Commission has the authority to make regulations regulating the trading or advising in securities or exchange contracts to prevent trading or advising that is fraudulent, manipulative, deceptive or unfairly detrimental to investors;

Under clause 154(1)(p) of the Act the Commission has the authority to make regulations varying the application of the Act to establish procedures for or requirements respecting the preparation and filing of preliminary prospectuses and prospectuses and the issuing of receipts for preliminary prospectuses and prospectuses in order to facilitate or expedite the distribution of securities or the issuing of receipts;

Under clause 154(1)(qq) of the Act the Commission has the authority to make regulations removing any exemption granted by this Act or the regulations;

Under clause 154(1)(cc) of the Act the Commission has the authority to make regulations regulating commodity pools; and

Under clause 154(1)(ii) of the Act the Commission has the authority to make regulations respecting the media, format, preparation, form, content, execution, certification, dissemination and other use, filing and review of all documents required pursuant to or governed by this Act, and the regulations or the rules and all documents determined by the regulations to be ancillary to the documents.