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Product Identification Standards for Use in the Distribution of Beverage Alcohol

May 2004

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Changes to the 2005 Edition

The term Global Trade Identification Number or GTIN as used in this document refers to any of the product identifiers generally known as U.P.C. or EAN numbers including U.P.C.-A, U.P.C.-E, EAN-8, EAN-13 and the SCC-14. Each of these symbols also has a new name (see chart in Appendix H – GTIN Terminology) but as these new terms are not yet in common use the legacy terms have been retained where specific reference is made to one of the symbol types.

This change has been made to be consistent with a worldwide change in terminology that has been introduced under an initiative known as Sunrise 2005. This is a change in nomenclature only. The standards described in this document anticipated other aspects of the Sunrise 2005 initiative. No changes other than terminology were required to comply with the Sunrise 2005 requirements.

Compared to previous versions of this document only two other changes have been made.

- The previous numbering system known as CSPC has been discontinued. It is no longer necessary for the CSPC to appear on bottles or cases. Suppliers may remove it from existing packaging at their convenience.
- The practice of annually changing the GTIN number on ordinary wines is discouraged. See Vintages page 11. This change also eliminates a inconsistency in wording between the earlier English and French versions.

Introduction

The purpose of this document is to describe standards for the use of the Universal Product Code (GTIN) bar codes, in the distribution of beverage alcohol in Canada.

In general, the standards comply with internationally accepted GTIN standards. There are minor variations that are noted in "Appendix B".

The standards were prepared under the auspices of the Canadian Association of Liquor Jurisdictions (CALJ) by the Product Identification Standards Committee (PISC). The committee was made up of representatives of liquor jurisdictions and the supplier community.

The standards call for:

the use of the GTIN on all consumer units (effective June, 1997);

the use of the EAN/UCC-14 [Shipping Container Code (SCC-14)], on all shipping containers that are not consumer units (effective January, 1997);

the use of a EAN/UCC-18 [Serial Shipping Container Code (SSCC-18)] label on pallet loads at the discretion of individual jurisdictions.

GTIN bar codes are now required on all consumer units and shipping containers.

Pallet label marking may not be used by all jurisdictions. Jurisdictions that intend to use pallet labels will give suppliers a minimum of six months written notice.

A note about the bar symbols used in this document

The bar codes used in this document are for illustration purposes only. Changes in size or magnification can occur during printing and reproduction. The illustrations should not be used to determine actual dimensions. A table of dimensions is provided as Appendix G

Elimination of CSPC numbers

The previously used CSPC number system has been discontinued. Suppliers are no longer required to include the CSPC number on any retail selling unit or shipping unit. Suppliers are encouraged to remove the CSPC number from all existing packaging at their earliest convenience.

The GTIN should be used for all commerce and communications between suppliers and their customers.

Internet Viewing

The latest version of this manual may be viewed on-line in English and in French via the Internet at http://www.lcbo.com and on the websites of several other jurisdictions.

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Getting Started

Readers who are not familiar with the basic structure and format of the GTIN may wish to read "Appendix A" first.

If You are Already Marking Your Products with a GTIN

If you are using a GTIN (U.P.C. or EAN) today and are conforming to published GTIN (U.P.C./EAN) guidelines then your product will generally conform to the standards in this document.

There are minor differences between these standards and the generally used GTIN standards. These differences are set out in "Appendix B" of this document.

There are also specific requirements for shipping containers (cases and trays) and pallet labels.

If You Need a Company (Manufacturer) Number

Canadian-based companies should contact:

The Electronic Commerce Council of Canada 885 Don Mills Road

Suite 222

Don Mills, Ontario M3C 1V9

Phone: (416) 510-8039 or 1-800-567-7084 Fax: (416) 510-8043 http://www.eccc.org

Suppliers in United States of America should contact:

The Uniform Code Council Inc. 7887 Washington Village Drive, Suite 300 Dayton, OH 45459

Phone: (937) 435-3870 Fax: (937) 435-7317 http://www.uc-council.org

Suppliers in other countries should contact their local issuing body associated with EAN International for a Company Number. A list of these national organizations can be found at:

http://www.ean-int.org

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If You Need Information to Plan Your GTIN Program

- 1. Read this manual carefully.
- 3. Obtain the manuals listed below. The GTIN (U.P.C.) Implementation Guide has a number of useful planning checklists.
- Consult with packaging designers and suppliers of packaging materials. Typically, they have already had significant experience with GTIN requirements.

If You Need Additional Technical Information

This document provides specific information about the marking of retail selling units, shipping containers and pallets.

Readers who require more detailed technical information may wish to obtain one or more of the following manuals. The manuals published by the Uniform Code Council (UCC) and the Electronic Commerce Council of Canada (ECCC) are identical. Each is available at a nominal fee from the ECCC or UCC. French versions of the manuals are also available from the ECCC.

U.P.C. Implementation Guide: How to Develop and Maintain a Top Quality U.P.C.	An excellent introduction to establishing a GTIN program with a number of useful checklists.
Quality Specification For The U.P.C. Printed Symbol	A comprehensive guide to measuring the quality of symbols on consumer products. Provides information needed to set up effective quality controls for GTIN symbols.
Application Standards for Shipping Container codes	A comprehensive guide to standards for shipping container marking. Includes useful technical information for producing shipping container and pallet bar codes.
Barcoding for Designers, Printers & Packagers	Emphasizes the issues related to package design and the printing of packaging materials.

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Standards for Consumer Packages

Accepted Number Formats

CALJ members will accept consumer packages with:

- the eight digit EAN/UCC-8 (formerly U.P.C.-E or EAN-8)
- or twelve (UCC-12) digit formats (formerly U.P.C.-A
- or the thirteen (EAN/UCC-13) digit formats of the GTIN.

The term GTIN when used in this document is meant to include any version of the U.P.C. or EAN symbol.

While the EAN/UCC-14 (SCC-14) is also a GTIN it is used for shipping containers and, as is discussed below, should not be used for consumer packages even if the shipping container is also the consumer unit (e.g. 24 bottles or cans of beer).

Standards for Scannability

All symbols on consumer products must meet the standards for quality of the Electronic Commerce Council of Canada, The Uniform Code Council (USA) or EAN International.

Symbol quality should be measured with an approved verifier using the test procedures defined by the ECCC and UCC. The minimum acceptable test scores are listed in "Appendix D".

The members of CALJ recognize that some package formats are unable to comply with the exact requirements of these standards. Individual members will therefore accept products that do not strictly comply with the standards if they pass the following test.

Based on a sample of one hundred units and using a presentation scanner, ninety-five percent of the units must scan on the first pass and all units must scan in two passes.

This exception is intended primarily for packages where the physical design of the product or its label limits the space available for the symbol. It is not to be used as an excuse to reduce the symbol size for aesthetic reasons. When allocating space on a label, government regulatory requirements have priority, followed by the bar code symbol. Both have priority over marketing information.

Change of GTIN

Changes in the GTIN number can result from a change in either the Company Number or the Item Number.

When the GTIN number of the consumer unit changes the GTIN number on the shipping container, typically the EAN/UCC-14 (SCC-14) must also change.

When any GTIN number changes the supplier must provide sufficient advance notice to its customers to ensure an orderly transition to the new number. Failure to provide proper notification may result in non compliance fees.

Change of Company (Manufacturer) Number

If an existing brand is acquired or sold, the new manufacturer should phase in its own Company Number on the product. This is not strictly necessary if the brand will operate as a separate company.

In practice, this phase-in can take many months and sometimes years.

It is, therefore, recognized that a single supplier may have products with more than one Company Number, or that the same Company Number may legitimately be in use by more than one supplier.

Item Number

Changes in price, package graphics or package format (e.g. glass bottle to plastic bottle) do not require a change in GTIN number.

A change in package format may affect recycling charges and advance notice of the change should be provided to the jurisdictions affected.

The GTIN number must be changed if:

the volume of product in the package changes (e.g. 700 ml. to 750 ml.);

or, the number of units in the <u>consumer</u> unit changes (e.g. six pack to eight pack);

or, if a change in alcohol strength results in a tax or duty rate change under Customs and Excise regulations.

Suppliers may change a GTIN number for other reasons but, as this may disrupt the flow of goods to the consumer, GTIN changes should only be made when absolutely necessary.

Reuse of Numbers

A GTIN number must not be reused by a supplier within four years of its last shipment unless it is for the reintroduction of the same item.

Product Marking Standards

Individual Bottles

There are five approved ways to locate a symbol on a bottle. They are:

- on the front label;
- on a wrap around front label so that the symbol, while part of the front label, is on the side when the product is displayed;
- on a back label;
- on a sticker;
- for certain types of bottles, on the tamper evident seal.

For all retail selling units the symbol should be placed as close to the bottom of the package as possible.

The symbol must not be applied to the actual bottom of a bottle.

If the symbol is located on the tamper evident seal, it will be better to orient the symbol in ladder style (bars parallel to the bottom of the package) to avoid the distortion that can occur by wrapping the symbol around the neck (see Orientation of the Symbol in "Appendix A").

Applying the symbol to a neck ring is not supported by GTIN standards because of poor scanning performance. CALJ members will accept marking on a neck label if this is the only location available to the supplier and the symbol passes the scanning test described in the section "Standards for Scannability" above. Suppliers who intend to mark a neck ring must recognize that they are taking a risk. Care must be taken in designing and applying the label to avoid problems such as wrinkling or the obscuring of the symbol by incorrect overlapping of the ends of the ring. The symbol should also be oriented in ladder style.

50 ml. Bottles

A symbol is required.

The symbol should be oriented in ladder format.

Because of its small size, it may be necessary to truncate the bar height to accommodate the symbol.

Reduction to the minimum magnification (80%) should be considered, particularly, if truncation is necessary.

Suppliers may wish to use an eight digit EAN/UCC-8 (U.P.C. Version E or EAN-8) symbol, if possible, on these packages.

Individual Cans

Cans may be sold individually even if they are also retailed in a closed multi-can container. All cans must have a GTIN symbol.

The symbol must be located near the bottom of the can away from any welds, beads or flutes that could distort the symbol.

Depending on the size of the symbol and the diameter of the can, it may be necessary to orient the symbol with the bars parallel to the bottom of the can (ladder style) rather than perpendicular to the bottom (picket fence).

If the cans are also sold in a closed container then this container must also have a GTIN symbol but it must have a number that is different from the number on the individual can.

Cans in a Hi-Cone Pack Multi-pack

When a package consists of cans that are connected by plastic rings, the GTIN on the can will be used. A separate GTIN designating the pack is not required.

For point of sale purposes, retailers may define the item as either the can or the pack and use an override key or a multiple key for the exceptions.

Open Carrier Packs

Bottles in open carrier packs must be GTIN marked.

A GTIN is not required on the carrier.

If a GTIN is printed on the carrier pack (required by UCC and EAN standards, and possibly by some jurisdictions outside Canada, but not by the Canadian Association of Liquor Jurisdictions) the number on the carrier must be different from the number on the individual bottle.

For point of sale purposes, retailers may define the item as either the can or the pack and use an override key or a multiple key for the exceptions.

Bottles or Cans in Closed Cartons or Boxes

A closed carton or box sold as a retail unit must have an eight twelve or thirteen digit GTIN symbol on the bottom or side to comply with GTIN standards. Cases or boxes that are not shipping containers only require one GTIN symbol.

If the retail selling unit is also the shipping container then the UCC-12 (U.P.C.-A) or EAN/UCC-13 (EAN-13) must appear on two locations on the shipping container. The supplier has the option of marking either the top and bottom or two adjacent sides. The use of the two symbols is necessary to comply with the requirements for shipping containers and to facilitate scanning at the point of sale. Scanners at the point of sale will generally be positioned to scan the side or top of the retail package but

not the bottom. This second symbol is recommended but is not mandatory if the shipping container contains six or fewer bottles or cans of 450 ml. or less.

Bottles that are not tamper-evident are not intended for individual sale and do not require a GTIN on the bottle. Only the outer retail package must be marked.

Tamper evident bottles and all cans must have a GTIN symbol on the bottle or can even when sold in a sealed case.

The GTIN number on the outer package must be different from the GTIN number on the can or bottle.

Bottles in Permanent Outer Packages

Brands that are normally sold as a bottle in a box or other outer package must have a GTIN on the outer package. The supplier, at its option, may put a GTIN on the bottle. In this case, the bottle should have the same number as the outer package.

The GTIN should be located on the bottom of the outer package. If the construction of the package does not allow the symbol to be placed on the bottom, it should be located on the side near the bottom.

When <u>boxed and unboxed</u> bottles of the same product are shipped in the same shipping container for individual sale, the same GTIN may be used on all units.

When two or more bottles are combined in a box to create one selling unit, a new GTIN must be assigned. Care must be taken to ensure that only the GTIN on the outer package is visible to the scanner.

Bottles in Temporary Outer Packages

When a product is placed in a temporary outer package and there is <u>no</u> price difference, the outer package must carry the same GTIN number as the product inside. Care must be taken to ensure that only one symbol is visible to the scanner.

Free Item Packs

When a free item is attached to a product, the same GTIN should be used. (GTIN rules call for a separate GTIN but this is not required by the Canadian Association of Liquor Jurisdictions).

If the free item also contains beverage alcohol, for example if the free item is a 50 ml. bottle, the same GTIN can only be used if:

- 1. there is no change in the alcohol strength of the original product;
- 2. and the cost to the jurisdiction <u>including duty and taxes</u> is the same as the regular product.

The free item should not have a GTIN symbol. If it does, it should be defaced or obscured so that it cannot be scanned at the checkout.

Vintages

Changes in a product's GTIN may cause disruptions in the supply chain and should be avoided. While supplier's may change a GTIN as they feel necessary, the practice of annual changes to the GTIN of ordinary wines is strongly discouraged.

If two or more vintages of a specialty wine will be retailed <u>at the same time</u> <u>but at different prices</u>, a separate GTIN must be used.

Non-beverage Products

Non-beverage products must have individual GTIN symbols that conform to the standards for general merchandise and apparel.

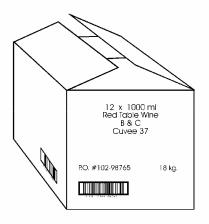
Standards for Shipping Containers

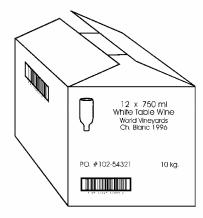
Each shipping container that is not a retail selling unit, will be marked with a combination of human readable and bar coded information.

A bar coded EAN/UCC-14 [Shipping Container Code (SCC-14)] symbol must appear on one side and one end. The U.P.C. should be used on the shipping container only if the case is a retail selling unit.

As a transitional measure, a UCC-12 (U.P.C. Version A) or an EAN/UCC-13 (EAN-13) symbol may be used on a container that is not a retail selling unit. This is intended to allow suppliers more time to implement the EAN/UCC-14 (SCC-14/EAN-14). Acceptance of the UCC-12 or EAN/UCC-13 on shipping containers that are not retail selling units may be withdrawn in the future. When a UCC-12 (U.P.C.-A) ore13 (EAN-13) is used it must be magnified to between 160% and 200% of the nominal symbol size. The number on the shipping container must be different than the number on the retail units it contains.

Specifications for the size and location of the human readable information are given in the chart on pages 11 and 12.





There are special rules for the human readable information on shipping containers that are also retail selling units and for products packed in travs. They are described on page 20.

Use of the bottle symbol

The bottle symbol must only be used when the bottle has a cork closure <u>and</u> the supplier recommends that it be stored in the "corks down" position. It should indicate the orientation of the bottles in the case. Screw cap bottles should not have a bottle symbol.

The preferred orientation of the bar code on the side of the case is with the eye readable characters easily readable in the recommended storage position. As this may not be possible for some labeling equipment, inverted symbols will be accepted.

Human Readable Information (closed shipping containers only)

Shipping Container Marking	Location	Minimum Height	Other Specifications
EAN/UCC-14 (Shipping Container Code Number)	with EAN/UCC-14 (SCC-14) bar	5.0 mm. (0.20")	Exact placement and format is dictated by bar code symbology used
	code		See page 21
Production Date, or	same side or same end as bar code	13 mm (0.5")	Any one of the four may be used. Approved date formats for production date are:
Best Before Date, or			1. month, day, year (e.g. Jan. 21 2001)
Lot Number or			2. dd/mm/yy in numeric or alpha- numeric format (e.g. 21/01/01 or 21/A/01)
Purchase Order Number			3. yy/mm/dd or yyyy/mm/dd in numeric or alpha-numeric format (e.g. 2001/01/21 or 01/A/21)
			Note: the letter I is omitted from the alpha-numeric format.
			4. y/jjj where "jjj" is the Julian day of the year "y".
			For years after 1999, a four digit format should be used e.g. 2001 rather than a two digit format if there is a possibility of confusing the year with the month or day.
			The date code must be clearly identifiable if part of a larger bottling code.
Sales Units	same end as bar code	13 mm (0.5")	On same line and immediately preceding unit size. Indicate the number of bottles/units per shipping container. For multiple sales units, indicate the number of sales units per shipping container and the number of bottles per sales unit using the following format: 4 (6 X 355 ml.).
Unit Size	same end as bar code	13 mm (0.5")	Express in litres (L) or millilitres (ml).

Shipping Container Marking	Location	Minimum Height	Other Specifications
Product Type (optional)	same end as bar code	13 mm (0.5")	Generic description of the product type (e.g. white wine, red wine, liqueur etc.).
Product Description	same end as bar code	10.6 mm (0.42")	Describes the product: including brand name. May include vintage year (e.g. World Vineyards Ch. blanc 1992). May be part of preprinted graphics.
Bottle Orientation (only for corked products intended	same end as bar code symbol	76 mm. (3")	An illustration of the orientation of the bottles in the shipping container.
to be stored corks down)			The bottle symbol should only be used on corked products and only if they are to be store corks down. The symbol should show the orientation of the bottles in the case.
Shipping container Weight	one end	13 mm (0.5")	Approximate weight, in kg. of the full shipping container (not intended as a shipping weight)

Description of Product on Shipping Container

The intent of this requirement is to allow for easy recognition of the product where scanning is not normally used e.g. the stock area of retail stores.

A human readable description of the retail product must appear on all shipping containers. (Product packed in trays is exempt if the contents of the tray can be readily identified without removing it from the tray.) The brand name must be included if the same product e.g. white rum or Chenin Blanc is offered by multiple suppliers. The vintage year may also be included at the supplier's option.

The description may be printed on the case, applied on a label or incorporated into the preprinted package graphics.

The font used must be at least 30 points 10.6mm. (0.42 inches high).

The description must be located on the same end of the case as the bar code.

Free Item Packs

When the case contains a value added promotion (free item) or special packaging the description on the case should be changed to allow easy

identification of the promotion product or packaging. A label with text may be used in addition to the regular case description.

Structure of the EAN/UCC-14 [Shipping Container Code (SCC-14)]

The EAN/UCC-14 (Shipping Container Code) is a fourteen digit number closely related to the U.P.C. or EAN on the selling unit.

UCC-12 (U.P.CA)	0 12345 67890 5
EAN/UCC-13 (SCC-14)	1 00 12345 67890 2

EAN/UCC-13 (EAN-13)	93 12345 67890 7
EAN/UCC-14 (SCC-14)	1 93 12345 67890 4

The left most digit of the SCC-14 is a Packaging Indicator. This digit is used to differentiate between containers with different quantities of the same item. In this way, a supplier could offer a case of six or a case of twelve; only the packaging indicator and check digit would change.

The packaging indicator can also be used to differentiate changes in the consumer package, such as a temporary gift box, an on-pack promotion or glass versus plastic packaging. This allows different types of warehouse inventory to be easily identified by both the supplier and the retailer.

The digits, one through eight can be used as the packaging indicator. (Nine is reserved for other purposes and cannot be used.) Therefore, up to eight different container configurations can be accommodated.

The digits in positions three through thirteen of the EAN/UCC-14 (SCC-14) correspond to the first eleven digits of the product's UCC-12 (U.P.C.) number. For a EAN/UCC-13 format number, the digits in positions two through thirteen would correspond to the first twelve digits of the product's EAN number.

The final digit is a check digit but, because it is calculated on the preceding thirteen digits including the packaging indicator, it will be different from the check digit of the GTIN.

Packaging Indicator "0"

When the middle eleven or twelve digits of the EAN/UCC-14 (SCC-14) are not the same as those on the retail unit then a packaging indicator of "0" must be used.

UCC-12 0 12345 6789 <u>0</u> 5

(U.P.C.)	
EAN/UCC-14 (SCC-14)	<u>0</u> 00 12345 6789 <u>3</u> 6

It is the practice of some companies to assign EAN/UCC-14 SCC-14 numbers that are completely unrelated to the number on the selling unit. The first ten digits of the retail unit UCC-12 (U.P.C.-A) or the first eleven digits of the EAN/UCC-13 (EAN-13) should be used whenever possible. The use of a completely unrelated number on the shipping container creates unnecessary complication and has been shown to increase errors in communicating information between buyers and suppliers and should, therefore, be avoided.

Bar Code Format

The bar code may be printed using either the Interleaved 2 of 5 (ITF) or the UCC/EAN-128 format. Note that UCC/EAN-128 is a special version of the Code 128 bar code. Code 128 bar codes may not scan correctly.

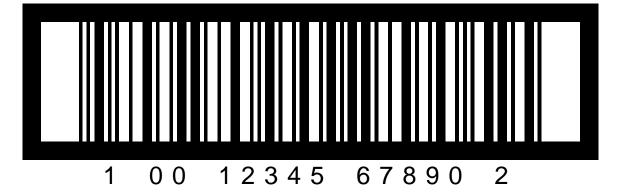
The symbol may be printed directly on the carton or applied on a label. If a label is used the glue or adhesive must completely cover the back surface of the label.

The Interleaved 2 of 5 symbol is usually better suited for direct printing on the shipping container. The UCC/EAN-128 version is smaller but requires a higher printing resolution that makes it the preferred choice when printing on labels.

Interleaved 2 of 5 Symbol

The Interleaved 2 of 5 bar code may be preprinted on the shipping container. It may also be printed on the shipping container at the time of filling. It is suitable for direct printing, flexography or ink jet printing. ¹

¹Complete specifications for the symbol are available from the Electronic Commerce Council of Canada or the Uniform Code Council in the publication <u>Application Standard for Shipping Container Codes.</u>



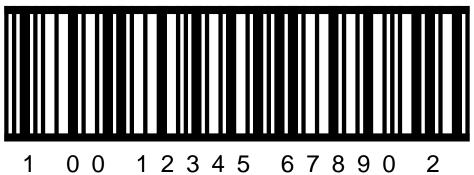
The human readable characters are printed below the symbol in the Interleaved 2 of 5 format.

The border around the symbol is called a bearer bar. It is designed to ensure even pressure when printing the symbol and also helps to prevent misreads during scanning.

The bearer bars must touch the top and bottom of the vertical bars. There can be no space between the bearer bars and vertical bars.

The thickness of the bearer bar depends on the type of printing process used and the size of the symbol.

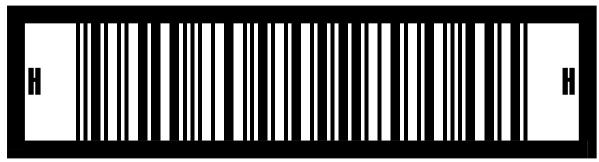
Heavier bars are required if the symbol is printed directly on the shipping container using a plate printing process. Narrower bearer bars may be used if the symbol is printed onto a label or is ink jet printed onto the shipping container.



Vertical bearer bars are only required when the symbol is produced by printing directly on the container using a plate printing process. They are optional when using other printing techniques, such as ink jet printing.

When vertical bearer bars are used, the quiet zones are inside the bearer bars.

A quiet zone is still required at each end of the symbol even when vertical bearer bars are not used.



19312345678904

If "H" marks are used as a quality control guide for the printer, they must not intrude into the quiet zone. This will require 3 mm. of additional space at each end of a nominal size symbol.

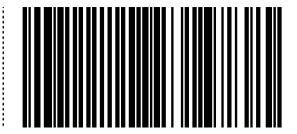
Depending on the printing process used and the type of material that it is printed on, it may be possible (or necessary) to change the magnification of the symbol. The acceptable magnification range is from 62.5% of the nominal size to 120%. This would produce a symbol that ranges from 92 to 181mm. (3.6 to 7.1 inches) long compared to the nominal or 100% symbol that is 152 mm. (6.00") long (all measurements include the quiet zone that is required at each end and the vertical bearer bars).

An analysis of EAN/UCC-14 (SCC-14) symbols on shipping containers has shown that reducing the size of the EAN/UCC-14 (SCC-14) below 70% dramatically increases the scanning failure rate. Where space or printing equipment restricts the height available, it is better to use a larger magnification even if the space or equipment does not allow for the full bar height. This is explained more fully in the section "Position of the Bar Code Symbol" below.

UCC/EAN-128 Symbol

The UCC/EAN 128 symbology may also be used to produce the Shipping Container Code-14.





Shipping Container Code in UCC/EAN -128 format

Dotted lines indicate the approximate borders of the quiet zones

UCC/EAN-128 is a family of predefined identification numbers. An application identifier number has been assigned to each member of the

family to identify it. When UCC/EAN-128 is used for the EAN/UCC-14 (SCC-14), the fourteen digits of the shipping container code must be preceded by its application identifier of "(01)".

All sixteen numeric characters will appear in the bar code but the application identifier characters will be discarded by the scanner as soon as the number has been read.

The brackets and spaces only appear in the human readable characters. They are not included in the bar code.

The application identifier is not included when calculating the check digit for the EAN/UCC-14 (SCC-14).

When using the UCC/EAN-128 bar code the human readable characters should appear above the bars.

In order to allow scanning on a moving conveyor, the minimum symbol size is 68 mm. (2.68") measured from the left edge of the left most bar to the right edge of right most bar. This corresponds to an "X" dimension of 0.508 mm. (0.020" or 20 mils.)².

Use of U.P.C. or EAN Symbol on Shipping Containers

A UCC-12 (U.P.C.-A) or EAN/UCC-13 (EAN-13) symbol should only be used on a shipping container if the shipping container is also a retail selling unit in Canada.

While it has been common in some countries to use a U.P.C. or EAN symbol on shipping containers, the EAN/UCC-14 (SCC-14) in either the Interleaved 2 of 5 or UCC/EAN-128 format should be used.

The EAN/UCC-14 (SCC-14) clearly identifies the item as a shipping container and not a retail selling unit.

The Interleaved 2 of 5 is better suited to printing on materials like corrugated cardboard.

UCC-12 (U.P.C.) and EAN/UCC-13 (EAN-13) symbols on shipping containers will be accepted as a transitional measure but acceptance of the UCC-12 (U.P.C.) and EAN/UCC-13 (EAN-13) on shipping containers may be withdrawn in the future.

If a UCC-12 (U.P.C.) or EAN/UCC-13 (EAN-13) is used on a shipping container, it must be at least 160% of nominal size and not larger than 200%.

Position of the Bar Code Symbol

The symbol must appear on one side and one end of the shipping container and always in a horizontal position (never in a ladder style).

²The X dimension is the width of a narrow bar in a bar code.

Symbol located on the end (shortest panel) of the case

The symbol on the end of the case may be placed at any height on the end panel. It must be located so the nearest vertical bar is <u>at least</u> 32 mm. (1.25") from either vertical edge of the panel.

Note: international standards require that the symbol be placed with the bottom of the vertical bars 32 mm (1.25") (+/- 3 mm or 1/8") from the bottom of the case. This is not required by the CALJ standards but may be required in other markets.

Symbol located on the side (longest panel) of the case

The symbol on the side of the case must be located with the bottom of the vertical bars 32 mm (1.25") (+/- 3 mm or 1/8") from the bottom of the case. The vertical bars must be <u>at least</u> 32 mm. (1.25") from the vertical edge of the panel.



If the vertical bars of the symbol are at least 25 mm. (1.0") high the symbol may be located with bottom of the bars up to 76 mm. (3.0") from the bottom of the case. While placement in the range of 32 to 76 mm. (1.25" to 3.0") is acceptable under the CALJ standards, it may not be acceptable to customers outside Canada.

Symbols on labels

The symbol may be applied on a label. Some rotation of the symbol is acceptable.

The effective height must never be less than 13 mm. (0.5").



The guidelines for placement of the label described in "Symbol located on the side (longest panel) of the case" above should be interpreted using the effective height of the symbol.

Reduced height symbols

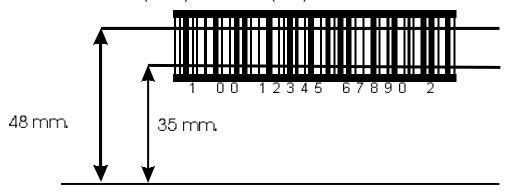
Certain types of printing equipment such as ink jet printers may not be able to print a full height symbol. It may also be difficult to print the full height symbol on some shipping trays.

Reducing the magnification of the symbol to reduce the height may dramatically affect the reliability of scanning.

Under these circumstances, it is preferable to use a higher magnification (wider symbol) even if the bar height does not meet the published specifications.

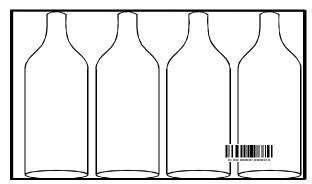
The effective bar height must never be less than 13 mm. (0.5").

When the bar height is truncated, the symbol must be placed to cover the band from 35 mm. (1.38") to 48 mm. (1.9").

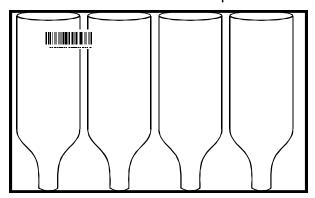


Bar code symbol location on corked products

The symbol on the side of a case of corked product should be placed so that it is near the bottom of the case when the bottles are in the "corks up" position.



This may result in the symbol being near the top of the case if the product is stored with bottles in the "corks down" position.



The eye readable markings on the end of the case should be oriented so that they are easily readable in the normal storage position. It is the supplier's choice as to whether product should be stored with "corks up" or "corks down". (See also page 10.)

Location of Human Readable Bar Code Number

The standards for Interleaved 2 of 5 and UCC/EAN-128 bar codes have slightly different requirements for human readable information.

The human readable characters should appear above the bar code when using UCC/EAN-128 and below the bar code when using Interleaved 2 of 5 but this requirement will not be strictly enforced.

The following spacing should be used:

Interleaved 2 of 5 0 00 12345 67890 5 UCC/EAN-128 (01) 1 00 12345 67890 2

The human readable characters must be a minimum of 5.0 mm. (0.20") high. Any sans serif font may be used.

When the Shipping Container is also the Retail Selling Unit

When the shipping container is also a retail selling unit: for example, twelve or twenty four bottles of beer in a closed case, the UCC-12 (U.P.C.-A) or EAN/UCC-13 (EAN-13) must be used on the container. The GTIN must appear in two locations. Suppliers have the option of placing the GTIN on either two adjacent sides or the top and bottom. The EAN/UCC-14 (SCC-14) is not required but the supplier may put it on the shipping container if they require it for markets outside Canada.

Only one GTIN symbol is required when:

- 1. the shipping container is a selling unit;
- 2. and the shipping container contains six or fewer bottles or cans;
- 3. and each of the bottles or cans contains 450ml. or less.

Tray Packed Products

Modified standards have been adopted for tray packed products. These standards apply only to trays of product that pass through a liquor jurisdiction warehouse.

An UCC-12 (SCC-14) bar code on one side and one end. The UCC-12 (SCC-14) bar code may appear on the two opposite sides if both of the following conditions apply.

- 1. The symbol is printed directly on the tray using an ink jet printer.
- 2. The speed of the conveyor line at the printing location is 25 cases per minute or higher.

The following are required on closed shipping containers but are optional on tray packed products.

- 1. The number of consumer units.
- 2. The size (volume) of the units.
- 3. A human readable description of the product.
- 4. Approximate shipping container weight.
- 5. A batch number or lot code or production date or best before date or purchase order number on any one panel.

Standards for Pallets

Unit loads (pallets and slip sheets) must be marked with labels containing the EAN/UCC-18 [<u>Serial</u> Shipping Container Code (SSCC-18)]. Not all jurisdictions intend to use the EAN/UCC-18 (SSCC-18). Jurisdictions will provide at least six months advance notice to suppliers before requiring pallet labels with the (SSCC-18).

Floor loaded product does not require a label.

There is no requirement to label truckloads or inter-modal containers.

EAN/UCC-18 [Serial Shipping Container Code (SSCC-18)]

The EAN/UCC-18 (SSCC-18) does not identify the product. It is a serial number approach that gives each pallet a unique number.

When the unit load is shipped, relevant information will be transferred from the supplier to the customer by the EDI Advanced Ship Notice (856) or Shipping and Billing Notice (857) transactions. The Shipping and Billing Notice will list the Serial Shipping Container Code and contents of each pallet.

(00) 1 00 12345 55555555 8

Serial Shipping Container Code-18

Dotted lines indicate approximate borders of quiet zone

The EAN/UCC-18 (SSCC-18) uses a UCC/EAN -128 bar code.³

The number is made up as follows.

- (00) application identifier tells the scanner that the number is a Serial Shipping Container Code
- 1 packaging type (logistical variant), a one designates a pallet, a two designates a truck load or inter-modal container (a three is typically used on all shipping containers by suppliers in EAN countries)

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^{3.} UCC/EAN-128 bar codes have some unique properties as compared to standard Code 128. Complete specifications are available from the ECCC or the UCC in the manual <u>Application Standard for Shipping</u> <u>Container Codes</u>

- 0012345 the company identification in the EAN format
- 55555555 a serial number used to make each unit unique
- 8 a check digit. The application identifier (00) is not included in the check digit calculation.

Pallet Label Format

Each pallet will have one bar coded label.

The label must contain an EAN/UCC-18 [Serial Shipping Container Code (SSCC-18)] in the approved UCC/EAN format. The bar code must have an "X" dimension (narrow bar width) of at least 0.762 mm (30 mil. or 0.030"). This will require a label six inches wide.

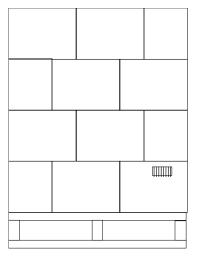
The symbol bars must be at least 38 mm. (1.5") high. The human readable digits must be at least 5 mm. (0.2") high

Suppliers may include other information including other bar codes on the label for their own internal use.

Pallet Label Location

The symbol must be on the face of the load on the right hand side and preferably in the lower right corner.

The label should be applied to a carton on the load. If necessary, the label may obscure some of the data on the case to which it is applied but not the EAN/UCC-14 (SCC-14).



The label must be placed so that right hand edge is 50 to 125 mm. (2-5") from the right edge of the load.

The preferred vertical location is to place the label near the top of the first carton on the pallet but placement higher on the pallet (up to 1.5 metres or sixty inches from the floor) will be accepted.

If the pallet is shrink-wrapped, the label may be applied before or after the shrink-wrap is applied. The preferred approach is to apply the shrink-wrap after the label has been applied so

that pallet identity is not lost when the shrink-wrap is removed. Tests have established that the bar codes can be scanned through the shrink-wrap. Care is required to ensure that there is not excessive wrinkling in the area of the bar code.

Pallets should be loaded on trucks with the labels facing the doors.

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Appendices

Appendix A: GTIN Concepts

UCC-12 (U.P.C.-A)

The GTIN version most widely used in North America is referred to as UCC-12 (U.P.C. Version A).



U.P.C.-A 100%

Dotted lines show approximate boundaries of the quiet zones

This symbol has twelve digits that are represented in both bars and in human readable characters.

The first six or eight⁴ digits identify the owner/controller of the label and are commonly referred to as the Company or Manufacturer Identification Number⁵. They are assigned by the Uniform Code Council (UCC) in the United States or, in Canada, by the Electronic Commerce Council of Canada (ECCC).

The first of these six digits is referred to as the Number System Character. Originally, all Company Numbers had a first digit of "0" but, all of the numbers available in this series have been assigned and the numbers now being issued begin with a "6", a "7" or an "8". The digits "1" and "9" may also be used. Digits "2", "3" and "5" are reserved for special uses and "4" is a retailer assigned number for use only within their own organization.

Following these six digits (or eight) is a five (or three) digit Item Number assigned by the supplier.

The final digit is a check digit that is calculated from the first eleven digits. It is used to verify the accuracy of input during scanning or key entry. (See Appendix "C" for details on the calculation of the check digit.)

Until 1985, the human readable check digit was often omitted although it was in the bars. At this time, it was also common to place the Number System Character half way between the top and bottom on the left side of the bar code. The current guidelines call for all twelve characters to be visible and to be aligned along the bottom of the bar code. The use of any

⁴ The use of eight digit Company Identification Numbers is a recent change. Companies who are given eight digit numbers are limited to 999 item numbers.

⁵The Company Identification Number on a product may be controlled by a manufacturer, a marketing company who contracts out its manufacturing, or an agent. Generally, it should indicate the company who owns the brand. In this document, the term supplier is used to represent all three.

other format is discouraged. All twelve digits must be shown in human readable characters.

EAN/UCC-8 (U.P.C. -E)

A few products have a narrower symbol with eight digits. This is called Version E or a zero suppressed number. It is used when the twelve digit number contains four or more consecutive zeroes.

The number: 0 12345 00005 8

can be represented in Version E as 0 123455 8.

Version E can only be used with numbers that have a Number System Character of "0".



EAN/UCC-8 (U.P.C.-E) 100%

Dotted lines show approximate borders of the quiet zones

Unless the Company Number ends in one or more zeroes, the only Item Numbers that can be used are "00005" through "00009".

The check digit is calculated based on the twelve digits.

Scanners will automatically recognize and interpret a Version E symbol.

If the Company Number ends in one or more zeroes, additional Item Numbers can be used but special rules apply.⁶ These rules can be found in the <u>Symbol Specification Manual</u> available from the UCC or the ECCC.

EAN Numbers

Following its introduction in North America, a version of the U.P.C. was adopted by a number of countries who formed the European Article Number Association (EAN).

It contains one extra digit at the beginning of the number. (The U.P.C. is effectively a subset of the EAN.) In virtually all other respects, the EAN is identical to the U.P.C. This format is referred to as EAN/UCC-13 (EAN-13).

⁶All Company Numbers ending in zeroes have been issued. The only way to get one is to buy it from the current owner.





There is also a shorter version called EAN-8.



Unlike the U.P.C.-E this is an eight digit number and does not expand to thirteen digits. EAN-8 numbers are assigned individually to companies by their local EAN International affiliate.

Assignment of GTIN Numbers

The GTIN number is determined by the supplier. Each supplier will use its own Company Number and combine it with whatever three or five digit Item Number it wishes to assign.⁷

Symbol Quality

Several technical measures are used to evaluate symbol quality. Complying with the print quality standards is primarily the responsibility of the package designer and the printer. Confirming compliance to the standards, should be a normal check before packaging materials leave the printer.

While the standards are quite complex and require sophisticated testing equipment for actual measurement, the principles are relatively simple.

The scanner must be able to distinguish the dark bars from the lighter background and it must be able to determine the relative width of the bars and spaces.

Information on package design and printing is available in the manual <u>Barcoding for Designers</u>, <u>Printers and Packagers</u>. Technical details of the GTIN standards are available in the manual <u>Quality Specification for the U.P.C. Printed Symbol.</u> Both manuals are available from the Electronic Commerce Council of Canada or, in the United States, from the Uniform Code Council.

⁷Some suppliers have attempted to give the Item Number meaning in their own system by using various digits to indicate the type of product, package type or package format. Unless the company has a very limited number of products, these systems can be difficult to maintain. Over time, as new products are acquired or introduced, the structure usually starts to break down.

Package design and the printing process both have a significant impact on the quality of the bar code symbol. The symbol must have good contrast between the light and dark bars and the background material must not have too high a reflectance. Spots or voids in the symbol can cause the scanner to mistake these marks for lines or spaces and prevent the symbol from being read successfully.

It is also critical that the number in the human readable portion be the same as the one in the bars.

Symbol size and the colours of the bars and background also have a significant affect on scanning success.

Symbol Size

The retail unit GTIN symbol can be produced in a range of sizes. The nominal or 100 % symbol is 25.9 mm. (1.020") high X 37.3 mm. (1.469") wide. This size includes a quiet zone or clear space that is required by the scanner at each end of the symbol.



UCC-12 (U.P.C. A) 100 %

The symbol can be produced with a magnification range of 80% to 200%.

The printing process used and the type of material upon which the symbol will be printed determine the minimum size that can be reliably scanned. Some processes, like letterpress, can more easily achieve all of the necessary standards and will permit a smaller symbol. Others, such as flexography, require a larger symbol to meet the specifications.

The critical issue is resolution. The sharper the image, the smaller the symbol can be. As the symbol gets smaller, the tolerance in the width of the bars becomes more critical.

The width of the symbol includes a quiet zone to the left and right of the bars. The scanner uses the quiet zone to establish a reflectance reading for the background material. This reading is used to establish the contrast between the light background and the dark bars.

For the nominal size symbol, this quiet zone is 03 mm (0.117") W.

The height of the symbol extends from the bottom of the numbers to the top of the bars. This can usually be confirmed by measuring a symbol.

It is <u>generally</u> possible to print at the minimum 80 % magnification factor on most paper labels because of the high quality of the printing methods and the quality of the label materials used.

Some types of products, such as those where the package graphics are printed directly onto cardboard or screen printed onto bottles, may require a larger symbol.

Reducing the Size of the Symbol

Package designers frequently try to minimize the size of the symbol, either because the space available is limited or, because they consider the symbol to be ugly, and therefore a blemish on their art.

Reducing the size of the symbol increases the risk that it will not scan. Most retailers treat a symbol that does not scan in the same way they would treat an unlabelled product.

Several techniques can be used to reduce the size of the label when space is limited.

- 1. Use the minimum magnification size possible for the printing process and the type of material being printed upon.
- 2. Use a Version E (zero suppressed) symbol.
- 3. A very slight reduction can be gained by judiciously reducing the size of the human readable characters.
- The final technique is truncation or a shortening of the height of the bars. Truncation is commonly used but is not supported in the GTIN standards.

Truncation

Truncation can reduce the scanner's ability to read the symbol.

Most scanners read a symbol by projecting a dot of red light in a sweeping pattern onto the symbol and then recording the light reflected back.⁸

To read successfully, the dot must travel from one end of the symbol to the other without running off the top or bottom of the symbol. The shorter the height of the bars, relative to the width of the symbol, the more difficult it will be to scan the symbol. This is particularly true for flat-bed or presentation scanners that are installed at the checkout counter. This type of scanner broadcasts the light in many different directions, in a usually successful effort, to find and read a symbol. Unlike a hand held scanner, the operator does not aim the scanner.

On very small packages, truncation (reducing the height of the bars) may be the only way to fit a GTIN symbol onto the product. If truncation is used for aesthetic reasons, product may be rejected and/or compliance charges may be assessed.

If truncation must be used, then it must be used with the minimum practical magnification size to reduce the width of the symbol.

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⁸The light is often generated by a helium-neon laser that is bounced off a moving mirror. The movement of the mirror causes the light dot to move so quickly that it appears as a solid line to the human eye.

Symbol Colours

The critical issue in choosing colours is to provide the best possible contrast between the light background and the dark bars.

Black bars on a white background will always work well but are not the only choice.

The human eye is not a reliable judge of light and dark in a bar code.

Most scanners use red light. Under this red light, yellow and red tend to be invisible. This makes them good choices for background colours while blue and black are best for the bars.

Printing processes create different colours by layering black, blue, red and yellow inks.

Some colours, like browns, that appear quite dark to the human eye may not scan well because they contain a significant amount of red and yellow.

An experienced package designer and a good printer will be able to identify colour combinations that provide scannability while harmonizing with the overall package design.

Orientation of the Symbol

The orientation of the symbol may be dictated by technical issues, such as the direction of the press during printing.

Except for packages that have the symbol on the bottom, the symbol should be positioned to make it easy to read the human readable characters when the package is picked up with the left hand. This is the normal procedure at the point of sale checkout. This means that the symbol must be oriented so that the human readable characters are towards the bottom or on the left hand side.

Symbol Curvature

When a symbol is placed with the human readable characters at the bottom, the curvature of small diameter packages, such as bottles or cans, may distort the distance between lines for the scanner. For example, the maximum magnification for a symbol on a bottle with a 64 mm. (2.5") diameter is 106 %. Any symbol larger than this may not scan because of the distortion introduced by the curvature.⁹ This distortion can be eliminated by rotating the symbol 90 degrees so that the bars appear as a ladder (parallel to the bottom) rather than in the normal picket fence style (at right angles to the bottom).

⁹Detailed information including maximum magnification factors for various diameters is available in the GTIN Guidelines Manual available from the Electronic Commerce Council of Canada or, in the United States, from the Uniform Code Council.

Appendix B: Relationship between GTIN and CALJ Standards

Every effort has been made to conform to the guidelines of the Electronic Commerce Council of Canada and the Uniform Code Council. There are, however, a few instances where this was considered either unnecessary or not possible.

	GTIN Standards	CALJ Standards
Bottles in open carriers	mark bottles with GTIN	apply GTIN to bottles only
	apply different GTIN to bottom of carrier	GTIN on the carrier is optional. If used it must be different from the number on the bottles.
Free item on pack	no or defaced symbol on free item	no or defaced symbol on free item
	assign new GTIN to main item	use regular GTIN on main item ¹⁰
EAN/UCC-14 (Shipping Container Code) on shipping containers	GTIN standards recommend application of the symbol to two adjacent sides of the shipping container	application to two adjacent sides is required
EAN/UCC-14 (Shipping Container Code) on shipping containers	place symbol with bottom of bars 32 mm from bottom of shipping container	may place symbol with bottom of bars 32 mm to 76 mm from bottom of shipping container
Shipping container is also a retail selling unit	GTIN may be used on two adjacent sides of shipping container in place of SCC-14	GTIN is required and may be placed on two adjacent sides or top and bottom of shipping container

¹⁰ This practice recognizes that most free items are applied after the original product has been produced when a GTIN change would be more difficult and expensive. In some cases, the free item is applied to in-store inventory.

Appendix C: Calculation of Check Digits

Check digits are usually automatically calculated for you by the film master supplier or by bar code generating software. The check digit for the eight digit U.P.C. Version E is calculated on the full twelve digit number, including the suppressed zeroes. The check digit for the EAN-8 symbol is calculated on the eight digits.

Position	18	17	16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1
U.P.CA						0	Χ	Χ	Χ	Χ	Χ	Χ	Χ	Χ	Χ	Χ	Χ	С
EAN-13						Χ	Χ	Χ	Χ	Χ	Χ	Χ	Χ	Χ	Χ	Χ	Χ	С
SCC-14					PI	Χ	Χ	Χ	Χ	Χ	Χ	Χ	Χ	Χ	Χ	Χ	Χ	С
SSCC-18	Χ	Χ	Χ	Χ	Χ	Χ	Χ	Χ	Χ	Χ	Χ	Χ	Χ	Χ	Χ	Χ	Χ	С

PI = Packaging Indicator C = Modulus 10 Check Character 0 = Filler 0 for U.P.C. X = Numbers

The same five step process is used for all numbers.

The following example shows the calculation for the EAN/UCC-18 (SSCC-18) number 0 0012345 555555555 8

Position	18	17	16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1
SSCC-18	<u>0</u>	0	<u>0</u>	1	<u>2</u>	3	<u>4</u>	5	<u>5</u>	5	<u>5</u>	5	<u>5</u>	5	<u>5</u>	5	<u>5</u>	8

Step 1: Starting from position 2 add up the value of the even numbered positions.

$$5+5+5+5+5+4+2+0+0=31$$

Note that the application identifiers (00) or (01) that are used with UCC/EAN 128 bar codes are not included in the check digit calculation.

Step 2: Multiply the result of Step 1 by 3.

$$31 \times 3 = 93$$

Step 3: Starting from position 3 add up all the odd numbered positions. Position 1 is not included because it is the check digit.

Position	18	17	16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1
SSCC-18	0	<u>0</u>	0	<u>1</u>	2	<u>3</u>	4	<u>5</u>	5	<u>5</u>	5	<u>5</u>	5	<u>5</u>	5	<u>5</u>	5	8

$$5 + 5 + 5 + 5 + 5 + 5 + 3 + 1 + 0 = 29$$

Step 4: Add the results of Steps 2 and 3.

$$93 + 29 = 122$$

Step 5: The check character is the smallest number which when added to the result of Step 4 gives a number that is a multiple of 10.

$$122 + X = 130$$
 $X = 8$

"8" is the smallest number that when added to 122 results in a multiple of 10. Therefore, the check digit in this example is "8".

Appendix D: Quality Specifications for Symbols

Symbols should be checked regularly with a verifier test instrument at all stages of production and use.

Symbol quality will be evaluated using the methods and standards set out by UCC/ECCC.

The procedure for testing is described in the UCC/ECCC manual <u>Quality Specification for the U.P.C. Printed Symbol</u> and is based on the ANSI symbol specification test.

The following is provided for information only. For detailed information on the test criteria and procedures, please consult the UCC/ECCC or EAN manuals.

At the time of publication of this document, the standards were:

	Minimum (ANSI	Score spec.)	
Symbol Type	Numeric	Alpha	Aperture Size
GTIN (U.P.C./EAN) on selling unit	1.5	С	6 mils
All Interleaved 2 of 5	0.5	D	20 mils
UCC/EAN-128 or GTIN (U.P.C./EAN) on shipping container	1.5	С	10 mils

These results are based on the use of a verifier using light with a wavelength of 670nm +/- 10.

The numeric scores are based on the average of ten scans taken at different locations on the symbol. For averaging, the following weights are used: A=4, B=3, C=2, D=1 and F=0.

These standards specify the symbol quality at point of use. It is recommended that suppliers achieve a quality level at least one grade higher at the point of production to allow for normal wear and tear during handling.

Several companies offer verification services at a nominal fee (approximately \$30 per symbol). A list of these service providers is available from the ECCC. A number of EAN affiliated organizations also offer verification services to their members.

All symbols must pass each of the following criteria.

	Pass Criteria	Fail
Truncation ¹¹	No truncation on retail selling unit	Truncation
Magnification on retail selling unit on shipping container on pallet label	>=80% and <= 200% see table Appendix G see table Appendix G	< 80 or >200%
Edge Determination	Pass	Fail
Decode	Pass	Fail
Quiet Zone	Pass	Fail
Minimum Reflectance	0.5 or less of maximum reflectance	> 0.5
Minimum Edge Contrast	15% or greater	< 15%

In addition to passing each of the previous criteria, all symbols must obtain a passing grade on each of the following criteria.

The passing grade is determined by the type of symbol and whether it is on a retail unit or a shipping container.

The final score for a symbol is based on its lowest score in any category.

The symbol fails if it has an "F" on any category.

	Α	В	С	D	F
Symbol Contrast	=> 70%	=> 55%	=> 40%	=> 20%	< 20%
Modulation	<= 0.7	=< 0.6	=< 0.5	=< 0.4	> 0.4
Decodability	=> 0.62	=> 0.50	=> 0.37	=> 0.25	< 0.25
Defects	=< 0.15	=< 0.20	=< 0.25	=< 0.30	> 0.30

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Vertical bars less than specified height. Truncation will be accepted on very small products where it can be shown that the space available on the product and the label did not allow for a full height symbol.

Appendix E: Glossary of Terms

The application identifier is a prefix used with UCC/EAN-128 type bar Application Identifier

code symbols. It is a two to four digit number that identifies the type of

information contained within the symbol.

Bar Code A bar code is a series of light and dark bars that represent machine

readable (scannable) data. The bar code also includes some clear

space on each end that is called a quiet zone.

The Bar Code Symbol consists of both the bar code and the human Bar Code Symbol

readable data.

Bearer Bars Solid bars placed above or around Interleaved 2 of 5 bar codes to

prevent misreads and improve print quality

Check Digit The check digit is a number calculated from the other digits in a bar

> code symbol according to a specific mathematical calculation. It is used to confirm the accuracy of the data during scanning or key entry.

Clear Space see Quiet Zone

Company Number The six or eight digit portion of the GTIN number that uniquely

identifies a manufacturer or supplier and produces a unique number for

the product when combined with an Item Number.

Consumer Unit The consumer unit is the physical unit that the customer actually buys.

> An item may have more than one consumer unit, for example a single unit and a package of six units. For some products, the consumer unit

may also be the shipping container.

CSPC A six digit item number that has been used to identify beverage alcohol

products sold in Canada. New numbers will no longer be issued after

September 1, 2002.

Direct Print Any printing process in which the printing equipment makes direct

contact with the surface to be printed e.g. wet plate and flexography.

Non direct printing methods include ink jet printing.

EAN-14 See SCC-14

EAN International EAN International is the International Article Numbering Association,

Brussels and is responsible for the administration of GTIN standards

outside North America.

New term for EAN-8 and U.P.C.-E EAN/UCC-8

EAN/UCC-13 New term for EAN-13

EAN/UCC-18 New term for the Serial Shipping Container Code (SSCC-18).

EDI Electronic Data Interchange is the direct exchange between computers

of business information in standard formats.

Electronic Commerce Council of Canada assigns Company Numbers **ECCC**

to Canadian based companies and publishes GTIN related manuals.

GTIN Global Trade Identification Number is a new term that has been

introduced to collectively refer to all U.P.C. and EAN bar codes

Interleaved 2 of 5 (I2 of 5 or ITF)

Interleaved 2 of 5 is a symbology for bar codes. It is well suited to printing directly on rough surfaces such as corrugated cardboard and,

therefore, is commonly used on shipping containers.

Item Number

The five digits of a GTIN that is unique to each product for a specific supplier. Produces a unique number for the product when combined with the Company's ID Number.

Manufacturer Number See Company Number

Nominal Size

The typical (100%) size for a bar code. Larger or smaller sizes may be required/possible depending on the material that the symbol will be printed on and/or the type of printing process used.

Packaging Indicator

A single digit that is used as part of the SCC-14. It is used to identify variations in packaging type or shipping container quantity.

Packaging Type

A single digit within the EAN/UCC-18 (SSCC-18) that is used to identify the shipping unit as a shipping container, pallet or other unit load.

Quiet Zone

The Quiet Zone is a required part of each symbol. It is the clear space before and after the bars that is used by the scanner to locate the symbol and establish the background level for print contrast.

Serial Shipping Container Code (SSCC-18) Now called the EAN/UCC-18. The EAN/UCC-18 [Serial Shipping Container Code (SSCC-18)] is a unique number composed primarily of the Company ID Number and a nine digit serial number. It uniquely identifies a shipping container but contains no information about the product or products inside the container. It is intended for use in an EDI environment where the Shipping and Billing Notice (857) transaction Advanced Shipping Notice (856) transaction provides detailed information on the contents for each uniquely numbered container.

Shipping Container Code (SCC-14) Now called EAN/UCC-14. The EAN/UCC-14 [Shipping Container Code (SCC-14)] is a version of the GTIN number used as an identifier on shipping containers. Shipping containers with identical contents will have the same EAN/UCC-14 (SCC-14).

Shipping Container

A shipping container is a transport package. It can range in size from carton to trailer load and can include containers such as cartons, barrels and travs.

Standard Shipping Container

Symbology

A container of a single product or a fixed configuration of multiple products.

A method for encoding data in a pattern of bars. Three symbologies are used in connection with the GTIN They are U.P.C., Interleaved 2 of

5 and UCC/EAN-128.

UCC The Uniform Code Council is a not for profit, membership organization

based in Dayton Ohio and issues Company Numbers to North American companies that have their headquarters outside Canada.

UCC-12 New term for U.P.C.-A

UCC/EAN-128 is a variation on the Code 128 bar code symbology and

is used with the EAN/UCC-18 and may be used with the EAN/UCC-14.

Vintage A crop year

Appendix F: Summary of Version Changes

This appendix summarizes the changes that have been made in each version of this document since its original distribution (June 12, 1995).

May 10, 1996

- 1. All references to the term U.P.C. were changed to include periods after each letter. The term U.P.C. without the periods refers to the Uniform Plumbing Code and is a registered trademark of the International Association of Plumbing and Mechanical Officials.
- 2. The abbreviation for the Electronic Commerce Council of Canada was corrected to ECCC.
- 3. The eight digit version of the EAN is not a zero suppressed number. Reference to it as a zero suppressed number has been deleted.
- 4. Changes to the U.P.C. number on the retail selling unit should be coordinated with changes to the SCC-14 on the shipping container.
- The terms SCC-14 and SSCC-18 have been adopted by UCC and ECCC to describe the 14 digit Shipping Container Code and the 18 digit Serial Shipping Container Code. This version now uses this terminology.
- 6. A reference was added to clarify that the U.P.C. number can only be used on the shipping container if the shipping container is a retail selling unit. Otherwise, the SCC-14 must be used.
- 7. When the shipping container is also a retail selling unit, the U.P.C. should appear in two locations on the shipping container rather than the SCC-14. Suppliers have the option of placing the U.P.C. on two adjacent sides or on the top and bottom.
- 8. A change was made to indicate that vertical bearer bars are required on the SCC-14 when printing Interleaved 2 of 5 directly onto the shipping container material but are optional for other types of printing processes, such as ink jet printing.
- 9. Four new appendices were added.

Appendix.	Title
С	Calculation of Check Digits
D	Quality Specification for Symbols
Е	Glossary of Terms
F	Summary of Version Changes

September 1998

- All references to the Product Code Council of Canada (ECCC) have been changed to the Electronic Commerce Council of Canada (ECCC) which is now responsible for administration of U.P.C. standards in Canada.
- 2. The acceptance of EAN and U.P.C. numbers on shipping containers has been documented.
- 3. As of January 1, 1999 the product description is mandatory.
- 4. Location of the SCC-14 was revised for symbols with bars less than 25 mm. (1.0) high.
- 5. The supplier now has the choice of placing the CSPC number on either the two ends of the case or on the same side and end as the bar code.
- 6. Marking requirements for tray packed products were amended and clarified.
- 7. Clarification on the location of the SCC-14 for corked products was included.
- 8. Pallet label standards were defined.
- Additional information on the standards and procedures for measuring bar code quality using the ANSI methodology have been included in Appendix D.
- 10. Appendix G was added to provide sample dimensions for all bar codes used.

May 2002

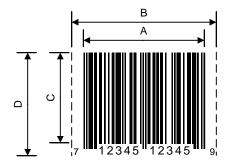
- 1. References to the CSPC, the previous national product number, have been removed as that number has been replaced by the U.P.C.
- Additional reminders that advance notice must be given for changes to the U.P.C./EAN or SCC-14 were inserted in several places within the document.
- 3. Reference were added to note that new Company Identification Numbers may be either six digits allowing a five digit number or eight digits allowing a three digit item number.

May 2004

- A statement was added to remind suppliers that they are no longer required to include the CSPC number on any retail or shipping container.
- 2. Terminology was changed to reflect the global move towards GTIN nomenclature
- 3. A clarification was added to the section on vintage wines to indicate that annual changes to the GTIN are undesirable and should only be used for specialty wines under particular conditions.

4. An appendix was added to show the changes in terminology associated with the introduction of the GTIN nomenclature.

Appendix G: Bar Code Symbol Dimensions

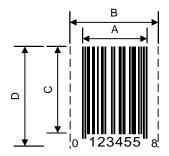


UCC-12 (U.P.C.-A)

In millimeters				
Magnification	Α	В	С	D
80%	25.08	29.83	18.29	20.73
90%	28.22	33.56	20.57	23.32
100%	31.35	37.29	22.86	25.91
120%	37.62	44.75	27.43	31.09
160%	50.16	59.66	36.58	41.45
200%	62.70	74.58	45.72	51.82

In inches				
Magnification	Α	В	С	D
80%	0.988	1.175	0.720	0.816
90%	1.112	1.322	0.810	0.918
100%	1.235	1.469	0.900	1.020
120%	1.482	1.763	1.080	1.224
160%	1.976	2.350	1.440	1.632
200%	2.470	2.938	1.800	2.040

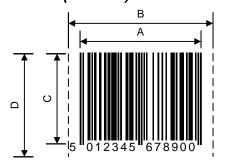
U.P.C.-E



In millimeters				
Magnification	Α	В	С	D
80%	13.46	17.69	18.29	20.73
90%	15.15	19.90	20.57	23.32
100%	16.83	22.11	22.86	25.91
120%	20.20	26.53	27.43	31.09
160%	26.93	35.38	36.58	41.45
200%	33.66	44.22	45.72	51.82

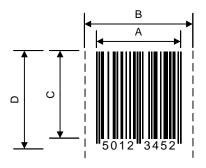
In inches				
Magnification	Α	В	С	D
80%	0.530	0.697	0.720	0.816
90%	0.597	0.784	0.810	0.918
100%	0.663	0.871	0.900	1.020
120%	0.796	1.045	1.080	1.224
160%	1.061	1.694	1.440	1.632
200%	1.326	1.742	1.800	2.040

EAN/UCC-13 (EAN-13)



In millimeters				
Magnification	Α	В	С	D
80%	25.08	29.83	18.29	20.73
90%	28.22	33.56	20.57	23.32
100%	31.35	37.29	22.86	25.91
120%	37.62	44.75	27.43	31.09
160%	50.16	59.66	36.58	41.45
200%	62.70	74.58	45.72	51.82

EAN-8



In millimeters				
Magnification	Α	В	С	D
80%	17.69	21.38	14.58	17.05
90%	19.90	24.06	16.41	19.18
100%	22.11	26.73	18.23	21.21
120%	26.53	32.08	21.88	25.57
160%	35.38	42.77	29.17	34.10
200%	44.22	53.46	36.46	42.62

EAN/UCC-14 (SCC-14) using Interleaved 2 of 5 bar code

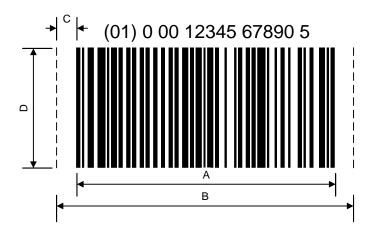


In inches						
Magnification	X dimension	А	В	С	D	Е
70%	0.028	3.374	4.314	0.280	0.190	0.880
80%	0.032	3.856	4.876	0.320	0.190	1.000
90%	0.036	4.338	5.438	0.360	0.190	1.130
100%	0.040	4.820	6.000	0.400	0.190	1.250
110%	0.044	5.302	6.652	0.440	0.190	1.380

In millimeters						
Magnification	X dimension	Α	В	С	D	Е
70%	0.711	85.728	109.58	7.100	4.826	22.350
80%	0.813	97.998	123.850	8.100	4.826	25.400
90%	0.914	110.278	138.120	9.100	4.826	28.700
100%	1.016	122.348	152.400	10.200	4.826	31.750
110%	1.118	134.618	166.670	11.200	4.826	35.050

The vertical bearer bars are required when printing directly on corrugate using a plate based printing process. When other processes such as ink jet printing or labels are used the vertical bearer bars may be omitted and the width of the horizontal bearer bars may be reduced to twice the X dimension.

The human readable characters must be at least 5.1 mm (0.20").



EAN/UCC-14 (SCC-14) using UCC/EAN-128 bar code

In millimeters					_
Magnification	X dimension	А	В	С	D
50.8%	0.508	68.072	80.772	6.350	31.750
63.5%	0.635	85.090	97.790	6.350	31.750
76.2%	0.762	102.108	117.348	7.620	31.750

In inches					
Magnification	X dimension	Α	В	С	D
50.8%	0.020	2.680	3.180	0.250	1.250
63.5%	0.025	3.350	3.850	0.250	1.250
76.2%	0.030	4.020	4.620	0.300	1.250

The human readable characters must be at least 5.1 mm (0.20").

EAN/UCC-18 (SSCC-18)



In millimeters					
Magnification	X dimension	Α	В	С	D
@ 76.2%	0.762	118.872	134.112	7.620	38.1

In inches					
Magnification	X dimension	А	В	С	D
@ 76.2%	0.030	4.680	5.280	0.300	1.5

The human readable characters must be at least 5.1 mm (0.20").

Appendix H – GTIN Terminology

New Global Terms	Legacy Terms	Explanation
Global Trade	U.P.CA	A term used describe any trade item number
Identification Number	U.P.CE	
	EAN-8	
	EAN-13	
	SCC-14	
UCC-12	U.P.C.	The twelve digit product number with or without its barcode representation
EAN/UCC-13	EAN-13	The thirteen digit product number with or without its barcode representation
EAN/UCC-8	EAN-8	The eight digit product number with or without its barcode representation
SSCC-18	SSCC-18	The eighteen digit number used to identify pallets