

## Form for Reporting Requirements under Section 522.27 of the *Bank Act*

This Form must be filed with the Office of the Superintendent of Financial Institutions, Regulatory Information Division, 255 Albert Street, Ottawa, Ontario, K1A 0H2, by the following entities within six months of its financial year-end:

- foreign banks (FB) in respect of which a designation order has been granted or is deemed to have been granted under section 508 of the *Bank Act* (Act); and
- entities associated with a foreign bank (EAFB) in respect of which a decision<sup>1</sup> has been made by the Minister under Part XII of the Act, other than an exemption order under section 509 of the Act.

|  |  |
|--|--|
| Name of FB or EAFB:  |  |
| Address of the FB or EAFB:   |  |
| Return is submitted for the financial year ended:                      |  |
| Name of FB that has been designated (if applicable):                   |  |
| Date of the designation order or<br>Nature and date of other decision: |  |

### Part A – Financial Statements

Enter an “x” in the applicable box:

- The financial statements of the FB or EAFB and those of each of the non-bank affiliate of the FB for the financial year in respect of which this Return is submitted are attached to this Return.
- The FB or EAFB undertakes to provide its financial statements and those of each of the non-bank affiliate of the FB for the financial year in respect of which this Return is submitted, if requested by the Superintendent, within 30 days of receipt of the Superintendent’s request. By providing this undertaking, the FB or EAFB will be deemed to be exempted by the Superintendent from providing those financial statements under paragraph 522.27(a) of the Act.

### Part B - Business and Activities in Canada of the FB or EAFB

| <i>Name of FB or EAFB</i>   | <i>Code of the branch or activities in Canada</i> | <i>Date of Financial Year End</i> | <i>Total assets in Canada at year end (Million \$)</i> |
|---|---|-----------------------------------|--|
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|   |   |                                   |  |
| Total assets in Canada related to business and activities in Canada |   |                                   |  |

<sup>1</sup> Defined in subsection 522.26(1) of the Act (i.e., a decision, an order, an approval, an extension or a permission of or by the Minister under Part XII)

Code for branch/ activities in Canada:

1. Foreign bank branch (section 522.16 of the Act)
2. Foreign insurance branch (section 522.17 of the Act)
3. Cooperative credit society branch (paragraph 522.18(a) of the Act)
4. Securities dealer branch (paragraph 522.18(b) of the Act)
5. Limited commercial branch (section 522.19 of the Act)
6. Holding, managing or otherwise dealing with real property (section 514 of the Act)
7. Temporary activities or investments (section 516, 517 or 517.1 of Act)

**Part C - Businesses of Non-Bank Affiliates**

| <i>Name of non-bank affiliate</i>   | <i>Description of business in Canada</i> | <i>Provision of the Act*</i> | <i>Date of Minister's approval (if applicable)</i> | <i>Date of Financial Year End</i> | <i>Total assets in Canada at year end (Million \$)</i> |
|-------------------------------------|--|------------------------------|--|-----------------------------------|--|
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|                                     |  |                              |  |                                   |  |
| Total assets of non-bank affiliates |  |                              |  |                                   |  |

\* Indicate the provision of the Act allowing the FB or EAFB to hold control of, or a substantial investment in, the entity (i.e., any of sections 522.07 to 522.15 of the Act)

**Part D – Certification**

The undersigned, a senior officer of [insert name of the FB or EAFB], certifies that he has the authority to bind the FB or the EAFB and that the information contained in this Return is correct.

\_\_\_\_\_  
Name

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date